



State of Hawaii

CONSOLIDATED PLAN
Consolidated Annual Performance and Evaluation Report
(CAPER)
Fourth Program Year

Program Year 2013
(July 1, 2013 - June 30, 2014)

(Concentrating on the Counties of Hawaii, Kauai, and Maui)

September, 2014

Prepared by:
Hawaii Housing Finance and Development Corporation
677 Queen Street, Suite 300
Honolulu, Hawaii 96813



CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER)

Report Period:

July 1, 2013 to June 30, 2014

Name and Address of Grantee:

State of Hawaii
Hawaii Housing Finance and Development
Corporation
677 Queen Street, Suite 300
Honolulu, Hawaii 96813

Grant:

HOME Investment Partnership Program

The grantee's authorized representative certifies that:

To the best of his/her knowledge and belief the data in this report was true and correct as of the date of the report.

The records described in 24 CFR 92.508 are being maintained and will be made available upon request.

Activities have been carried out in compliance with the certifications submitted with the application, and future activities will be carried out in compliance with the certifications.

**Name and Title of Authorized Representative
(Type/Print)**

Craig K. Hirai,
Executive Director

Telephone

(808) 587-0641


Signature of Authorized Representative

September 12, 2014

Date

**CONSOLIDATED ANNUAL PERFORMANCE
AND EVALUATION REPORT (CAPER)**

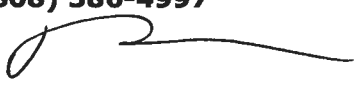
Report Period: July 1, 2013 to June 30, 2014	Name and Address of Grantee: State of Hawaii Department of Human Services – Benefits, Employment and Support Services Division, Homeless Programs Office 820 Mililani Street, Suite 606 Honolulu, Hawaii 96813
Grant: Emergency Solutions Grant and Housing Opportunities for Persons with AIDS	
<p>The grantee's authorized representative certifies that:</p> <p>To the best of his/her knowledge and belief the data in this report was true and correct as of the date of the report.</p> <p>The records described in 24 CFR 576.65 and 24 CFR 574.530 are being maintained and will be made available upon request.</p> <p>Activities have been carried out in compliance with the certifications submitted with the application, and future activities will be carried out in compliance with the certifications.</p>	
Name and Title of Authorized Representative (Type/Print) Patricia McManaman Director Department of Human Services	Telephone (808) 586-4997 
Signature of Authorized Representative	Date SEP 26 2014

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Fourth Program Year CAPER

The CPMP Fourth Consolidated Annual Performance and Evaluation Report includes Narrative Responses to CAPER questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

The grantee must submit an updated Financial Summary Report (PR26).

GENERAL

Executive Summary

This module is optional but encouraged. If you choose to complete it, provide a brief overview that includes major initiatives and highlights that were proposed and executed throughout the first year.

Program Year 4 CAPER Executive Summary response:

The Hawaii Housing Finance and Development Corporation (HHFDC) is responsible for the development and implementation of the State's Consolidated Plan. The State's Plan concentrates on the use of HOME Investment Partnerships (HOME), Emergency Shelter Grant/Emergency Solutions Grant and Housing Opportunities for Persons with AIDS (HOPWA) funds in the counties of Hawaii, Kauai and Maui. The City and County of Honolulu receives its own allocation of HOME, ESG, and HOPWA funds. This CAPER is for Program Year 2013 (PY2013) which ran from July 1, 2013 to June 30, 2014.

HOME Investment Partnerships (HOME) Program

For PY2013, the State received \$3,000,000 in new HOME program funds. PY2013 was the second year of the State's rotational allocation between the Counties of Hawaii, Kauai, and Maui (State Recipients); the County of Hawaii was the first in the rotation to receive the PY2012 HOME allocation, and the County of Kauai received the PY2013 HOME allocation. The County of Maui will follow with the PY2014 allocation.

For PY2013, the County of Kauai proposed using HOME funds for activities that help to meet the U.S. Department of Housing and Urban Development's (HUD) decent housing objective. Pursuant to the PY2013 Action Plan, the State anticipated the achievement of HOME objectives through the following activities:

- Develop forty-four (44) affordable rental units, four of which will be HOME-assisted, in Princeville for families with incomes at or below 60 percent of Kauai's median income;
- Develop sixty (60) affordable rental units, seven of which will be HOME-assisted, in Lihue for senior households with incomes at or below 60 percent of the Kauai's median income; and
- Renovate nine residential buildings to preserve the long-term affordability of 73 rental units, 68 of which will be HOME-assisted for households with incomes at or below 80% of Kauai's median income.

Although the County of Hawaii was not scheduled to receive an allocation of PY2013 HOME funds, its activities from prior years generate program income. The County of Hawaii anticipated receiving \$25,000 in program income, and proposed to use it either for its Tenant-Based Rental Assistance program or its Na Kahua Hale O Ulu Wini project, a rental housing project for low-income households..

The County of Maui was also not scheduled to receive PY2013 HOME funds, but anticipated the receipt of \$55,000 in program income during PY2013. The County of Maui intended to use any program income received for its Kahawai Apartments project, a 16-unit multi-family homeownership development for households with incomes at or below 80 percent of Maui's median income.

During PY2013, HOME funds totaling \$6,063,158.43 were disbursed for HOME activities and program administration. Additionally:

- two rental units in the Na Kahua Hale O Ulu Wini (Kaloko Housing Project, Phase III) were completed;
- the sale of one affordable homeownership unit was completed in the Kamamalu Condominium project (County of Kauai);
- 24 new households in the County of Hawaii received tenant-based rental assistance;
- three loans were completed under the County of Kauai's Homebuyer Loan Program; and
- ten HOME units in the Hawaiian Paradise Park project, Phases 2 through 5 (County of Hawaii) were completed using the self-help building method for low-income homebuyers.

Emergency Solutions Grant (ESG) Program

In PY2013, the State received \$340,980 in Emergency Solutions Grant (ESG) funds. The Homeless Programs Office of the Department of Human Services' Benefits, Employment and Support Services Division (DHS-BESSD), the ESG program administrator, in coordination with the Counties' Continuum of Care, elected to divide the award in near equal amounts between the shelter operations and homelessness prevention/rapid re-housing components. ESG funds of \$158,188 were designated for shelter operational activities in the Counties of Hawaii, Kauai and Maui to meet the 2010-2014 Consolidated Plan objectives of promoting decent, affordable housing and strengthening communities. These funds were awarded to Women Helping Women, Family Life Center, Ka Hale A Ke Ola, Kauai Economic Opportunity, HOPE Services, and Child and Family Service in West and East Hawaii. To strengthen communities, DHS-BESSD anticipated providing funds for operations to providers of emergency shelters to assist approximately 1,430 homeless persons and 750 victims of domestic abuse. In addition, DHS-BESSD anticipated using funds to provide 548 homeless persons and/or victims of domestic abuse with housing stability and to help them transition to permanent housing.

The homelessness prevention/rapid re-housing component of ESG was funded \$162,141 and awarded to Family Life Center (County of Maui), Kauai Economic Opportunity (County of Kauai), and HOPE Services Hawaii (County of Hawaii). Funds were utilized to assist homeless individuals and families quickly attain permanent housing and housing stability. Additionally, persons at risk of homelessness were provided assistance to retain permanent housing and housing stability. The agencies provided supportive services and financial assistance such as short- and medium-term rental assistance, security deposits, mortgage and utility payments. DHS-

BESSD anticipated providing funds to assist 11 persons at-risk of homelessness and 84 chronically homeless individuals. In an effort to focus on rapidly re-housing the homeless, the HPRP funds were allocated as follows: 20% to homelessness prevention and 80% to rapid re-housing.

DHS-BESSD obligated the ESG funding within the timeframe required by HUD, and exceeded most of the goals identified for PY2013, despite the challenging economic environment and increased numbers of homeless persons.

During the program year, DHS-BESSD utilized 97.5% of the ESG funding designated for operations to providers of emergency shelters and outreach services, and 2.5% was used for program administration. The State's goals for PY2013 were to provide 1,430 safe nights of sleep through emergency shelters in the Counties of Kauai, Maui and Hawaii; 2,204 safe nights were provided. The state funded domestic violence emergency shelters in the Counties of Hawaii, Kauai, and Maui with the goal of providing a safe refuge and place to sleep for 750 adults and children; 797 persons obtained a safe refuge and a place to sleep. The state funded agencies to transition homeless persons and/or victims of domestic violence into permanent housing. The annual goal was to transition 548 people, and 617 were transitioned.

Housing Opportunities for Persons with AIDS (HOPWA) Program

For PY2013, the State received \$168,042 in HOPWA funds. DHS-BESSD, the HOPWA program administrator, competitively awarded the funds to providers in the Counties of Hawaii, Kauai and Maui to meet the 2010-2014 Consolidated Plan objectives of providing persons with HIV/AIDS with services to achieve housing stability and resources to obtain market rentals. DHS-BESSD proposed using HOPWA funds to provide tenant-based rental assistance (TBRA), short-term rental, mortgage & utility (STRMU) payments; permanent housing placement and supportive services for eligible residents.

The Neighbor Island HIV/AIDS Coalition (NIHAC) is a cooperative effort of the three community-based AIDS Service Organizations (ASOs) serving the islands of Kauai, Molokai, Lanai, Maui and Hawaii. Established in 1998, the cooperating agencies of NIHAC are Malama Pono Health Services (MP) (formerly, Malama Pono & Kauai AIDS project), Maui AIDS Foundation (MAF), and the Hawaii Island HIV/AIDS Foundation (HIHAF). Out of this coalition arose the Neighbor Island Housing Program (NIHP), which is funded by both formula and competitive HOPWA grants. The coalition provides TBRA, STRMU, Housing Placement Assistance services as well as supportive services/case management at the respective island ASOs. It serves three counties in the State of Hawaii which is comprised of the five separate islands.

In PY2013, DHS-BESSD continued its collaborative contract with the MAF as the lead agency for the NIHP. The objective was to accomplish two goals: 1) to provide funds to pay a portion of the market rental unit costs for homeless and homeless-at-risk persons with HIV/AIDS addressing the housing placement and permanent housing strategies, and 2) to provide housing information and rent/deposit assistance services to persons with HIV/AIDS addressing the housing placement strategy. The NIHP surpassed its goal to provide tenant-based rental assistance to 16 households, as 18 were assisted. It fell just short of its goal to provide supportive services to 446 persons, as 438 persons who have other housing arrangements received supportive services. The shortage of affordable rental units that are within the fair market rents as required by HUD continues to be an ongoing problem.

General Questions

The State's Consolidated Plan for the PY2010-2014 period (July 1, 2010 to June 30, 2015) identifies housing and special needs objectives to promote decent and affordable housing, strengthen communities, and increase homeownership opportunities. HOME, ESG and HOPWA program funds are used in the counties of Hawaii, Kauai and Maui. Although some projects and activities may benefit areas of minority concentration, funds are not specifically targeted for that purpose. Maps showing the locations or projects assisted with HOME, ESG and HOPWA are attached in Appendix G.

Charts 1, 2 and 3 in Appendix A provide a snapshot of the State's performance in attaining its five-year objectives. The PY2013 accomplishments in attaining these objectives are described below.

HOME Program

1. *Assessment of the one-year goals and objectives:*
 - a. *Describe the accomplishments in attaining the goals and objectives for the reporting period.*

In PY2012, the HHFDC began a rotational allocation of the State's HOME funds between the counties of Hawaii, Kauai and Maui, starting with the County of Hawaii. During PY2013, the State received \$3,000,000 in HOME funds, retained \$150,000 for administration of the program, and distributed \$2,850,000 to the County of Kauai.

Accomplishments

The majority of the HOME activities/projects are funded by multiple years of HOME allocations. As such, the outputs and outcomes achieved during this reporting period are predominantly based on the commitment and expenditure of prior HOME allocations to existing project/activities. A majority of HOME funds committed to activities in this reporting period will have outputs and outcomes produced in future program years.

The following **HOME Program** accomplishments were made during PY2013 towards attaining the decent housing objective.

Objectives HR-1: To address the shortage of affordable rental units for low-income families, the County of Kauai conditionally committed \$1,000,000 of its PY2013 HOME funds for its Kolopua project in Princeville, which will produce 44 affordable rental units, 4 of which will be HOME-assisted, and \$711,000 to the Lihue Court Townhomes Exterior Renovations (LCTER) project. The LCTER will preserve 73 long-term affordable rental units in nine buildings; 68 of the units will be HOME-assisted.

The County of Hawaii disbursed \$1,964,079 in prior years' HOME funds for Phase IV of the Na Kahua Hale O Ulu Wini project for families in the County of Hawaii. Upon completion, Phase IV will provide 20 affordable rental units, 10 of which are HOME-assisted. In addition, 36 units in Phase III were completed in PY2013, 2 of which are HOME assisted.

Objective HR-2: To address the shortage of affordable rental units for low-income families, the County of Hawaii disbursed \$270,454.14 in HOME funds

to assist 24 new households with tenant-based rental assistance under its Tenant Based Rental Assistance program.

Objective HR-3: To address the shortage of affordable rental units for special needs populations, the County of Kauai committed \$1,250,000 of its PY2013 HOME funds for its Rice Camp Senior housing project, and disbursed \$872,977.93 during the year. The project is expected to produce 60 affordable rental units, eight of which will be HOME- assisted. The County of Hawaii disbursed \$200.00 in prior years' HOME funds to the Mohouli Heights Senior project, expected to produce 60 affordable housing rental units when completed, seven of which will be HOME-assisted.

Objective H-1: In PY2013, the County of Kauai disbursed program income of \$275.00 for its Kapaa Transitional Housing Project. The project is an acquisition and rehabilitation project to be used as transitional housing units to assist victims of domestic violence or the working homeless.

Objective HO-1: During the program year, the County of Kauai completed the sale of one unit in the Kamamalu Condominium project. The unit had been acquired with HOME funds in PY2012.

Objective HO-2: To address the shortage of affordable for-sale inventory, final disbursements of \$62,000 were made for the Hawaiian Paradise Park, Phase 2 project in the County of Hawaii. The project is complete and provides 10 affordable homeownership units.

The County of Kauai disbursed \$579,164.43 to its Ele'ele Iluna Phase 2 project; the project is under construction and will provide 24 affordable homeownership units when completed.

The County of Maui disbursed \$147,857.35 in prior years' HOME funds to Phase I of the Molokai Mutual Self-Help Housing project on the island of Molokai. The project is under construction and is anticipated to produce 7 homeownership units when completed.

In PY2013, the County of Maui also disbursed a total of \$1,201,411.06 in prior years' HOME funds and program income, to its Kahawai Apartments project. Construction is underway, and the project is expected to produce 16 affordable homeownership units when completed.

Objective HO-3: To address the lack of affordable financing costs to purchase existing homes, the County of Kauai disbursed \$658,000 in homebuyer loans and closed three loans by first-time homebuyers.

- b. *Provide a breakdown of the CPD formula grant funds spent on grant activities for each goal and objective.*

HOME funds were disbursed for the following activities to attain HUD's **decent housing** objective:

HOME Activity	Hawaii	Kauai	Maui	HHFDC	Total State
HR-1	\$1,964,079.00	\$0.00	\$0.00	\$0.00	\$1,964,079.00
HR-2	\$270,454.14	\$0.00	\$0.00	\$0.00	\$270,454.14
HR-3	\$200.00	\$872,977.93	\$0.00	\$0.00	\$873,177.93
H-1	\$0.00	\$275.00	\$0.00	\$0.00	\$275.00
HO-2	\$62,000.00	\$579,164.43	\$1,349,268.41	\$0.00	\$1,990,432.84
HO-3	\$0.00	\$658,000.00	\$0.00	\$0.00	\$658,000.00
HA-1	\$75,000.00	\$65,684.79	\$55,509.54	\$110,545.19	\$306,739.52
TOTAL	\$2,371,733.14	\$2,176,102.15	\$1,404,777.95	\$110,545.19	\$6,063,158.43

For details on how HOME funds were spent, please refer to Section 1.a. above.

Program Income / Recaptured Funds:

Of the \$6,063,158.43 in HOME funds disbursed in PY2013, \$1,045,120.90 was program income/recaptured funds. The HHFDC continues to allow the Counties of Hawaii, Kauai, and Maui, as State Recipients under the State's HOME Program, to retain all program income/recaptured funds for re-distribution to other HOME Program eligible activities. These funds are utilized prior to drawing from the U.S. Treasury account. In addition, the Counties are allowed to use up to 10% of program income received for administrative purposes.

According to the Action Plan for PY2013, the Counties anticipated receiving a total of \$75,000.00 in program income/recaptured funds. The following reflects the actual amount of program income/recaptured funds received and disbursed by the Counties during this reporting period.

PY2013 Summary of PI/Recaptured Funds:

	County of Hawaii	County of Kauai	County of Maui	Total
Beginning Balance as of 07/01/2013	\$0.00	\$537,708.05	\$0.00	\$537,708.05
PI/Recaptured Funds Received in PY2013	\$25,830.21	\$463,271.62	\$19,999.80	\$509,101.63
PI/Recaptured Funds Spent in PY2013	\$25,830.21	\$999,290.89	\$19,999.80	\$1,045,120.90
Ending Balance as of 06/30/2014	\$0.00	\$1,688.78	\$0.00	\$1,688.78

For additional disbursement details, please refer to Appendix C, Exhibit A: Active HOME Activities for the Period of July 1, 2013-June 30, 2014.

- c. *If applicable, explain why progress was not made towards meeting the goals and objectives.*

Progress was not made towards carrying out the following **HOME program** activities during PY2013:

HR-1: Construct affordable rental housing.

Although progress is being made in this objective, progress is slow due to several factors. In general, the construction of affordable rental housing has been slow, in part due to complexities involved in developing affordable rental

housing and a sluggish economy. Delays in the processing of entitlements such as building permits and the availability of infrastructure have delayed developments.

The availability of funding sources is another impediment. The high cost of housing in the State of Hawaii requires large amounts of subsidies to make affordable housing feasible.

More specifically, the cancellation of two rental housing projects in the County of Maui that were anticipated to produce approximately 95 units contributes to the State's delay in reaching its goals for affordable rental housing. Additionally, the Counties of Hawaii, Kauai and Maui have historically been required to invest HOME funds from several grant years to finance projects. The County of Kauai's Kalepa Village Phases 3 and 4 were developed using multiple years of HOME funds, illustrating this issue.

HO-1: Construct affordable for-sale housing.

Progress is being made in this objective. The Kamamalu Condominiums project in the County of Kauai has provided one more affordable homeownership unit.

However, as in rental housing, the development of affordable for-sale housing has been slow due to complexities involved in developing affordable for-sale housing. Delays in the processing of entitlements such as building permits and the availability of infrastructure have delayed developments. Additionally, the cancellation of a for-sale housing project in the County of Maui that was anticipated to produce approximately 10 units contributed to the State's delay in reaching its goals for affordable for-sale housing.

The availability of funding sources is another impediment. The high cost of housing in the State of Hawaii requires large amounts of subsidies to make affordable housing feasible.

2. *Describe the manner in which the recipient would change its program as a result of its experiences.*

Ongoing issues pertaining to the timely commitment and disbursement of CHDO funds in the County of Maui continued to be of concern. To address the issue, HHFDC changed its allocation of HOME funds to an annual rotation, starting with the County of Hawaii in PY2012. The County of Kauai received the State's entire HOME allocation in PY2013, and the County of Maui will receive the entire HOME allocation in PY2014. The receiving county is expected to commit and expend the regular entitlement, CHDO reserve, and administrative funds within the timelines established by HUD.

The intent of this change was to improve the State's administration and maximize efficiency of the HOME program. The annual award of the State's HOME allocation to one county (less a portion for HHFDC's program administration) is expected to result in a more efficient administration of the HOME Program, by reducing the amount of time needed for projects to proceed and ultimately accelerating the State's disbursement of HOME funds. An added benefit is that the Counties will have a two-year planning period to work with its partners to establish feasible projects and to secure additional funding to support its projects.

The County of Maui is the recipient of the PY2014 HOME allocation, which will complete one full cycle of the rotation. At the end of PY2014, HHFDC will evaluate the effectiveness of HHFDC's allocation rotation, and assess project timeliness and timely disbursements of HOME funds.

ESG Program

1. *Assessment of the one-year goals and objectives:*

a. *Describe the accomplishments in attaining the goals and objectives for the reporting period.*

DHS-BESSD, the ESG program administrator, successfully met its goals identified for PY2013. DHS-BESSD anticipated using ESG funds to provide 548 homeless persons and/or victims of domestic violence with housing stability and to help them transition to permanent housing. This goal was achieved, as 617 were transitioned to stability in permanent housing. PY2013 funding for operations to providers of emergency shelters was expected to assist 1,430 homeless persons but far surpassed that goal by assisting 2,204. Funding was expected to assist 750 victims of domestic violence, but sheltered 797, exceeding the projection.

b. *Provide a breakdown of the CPD formula grant funds spent on grant activities for each goal and objective.*

In PY2013, the State received \$340,980 in ESG funding. The State committed \$320,329 to service providers in the counties of Hawaii, Kauai and Maui and retained \$16,601 for DHS-BESSD program administration and \$4,050 for HMIS.

Objective HP-1: To address the need to provide unsheltered homeless persons with a safe place to sleep, ESG funds were used to provide operations funding to providers of emergency shelters on Maui, Hawaii and Kauai. 2,204 homeless persons were provided with safe nights of sleep, exceeding the goal to serve 1,430 homeless persons. All expenditures reported below are as of June 30, 2014 and reflect reimbursements through the third quarter. Fourth quarter reimbursements are still pending.

- DHS-BESSD committed operations funding of \$48,367 to HOPE Services Hawaii for emergency shelter for the unsheltered at the Kihei Pua Emergency Shelter in Hilo and the West Hawaii Emergency Housing Facility in Kona, and \$1,240 for administration. In total, the agency provided safe nights of sleep for 528 homeless persons, exceeding the annual goal of 300. During the program year, \$41,443.84 was expended.
- DHS-BESSD committed operations funding of \$15,550 to Ka Hale A Ke Ola Resource Centers (KHAKO)) for emergency shelter for the unsheltered. The shelter expended \$11,662.51 and provided safe nights of sleep for 907 people, far exceeding its targeted goal of serving 365 homeless persons.
- DHS-BESSD committed operations funding of \$26,101 to the Kauai Economic Opportunity for emergency shelter for the unsheltered at its Mana'olana Emergency Shelter. As of June 30, 2014, \$25,498.61 was

expended. The goal was to provide safe nights of sleep for 260 unsheltered persons. The agency was unable to meet its goal, but served 222 people with emergency shelter.

- DHS-BESSD committed operations funding of \$23,474 to Family Life Center for emergency shelter for the unsheltered at is Ho'olanani Emergency Shelter. As of June 30, 2014, \$21,070.08 was expended. The goal was to provide 505 safe nights of sleep for unsheltered persons, and the agency surpassed their goal by serving 547.

Objective HP-2: To address the need to provide persons fleeing from domestic violence with a safe place to sleep, ESG funds were used to provide operations and essential services funding to three emergency shelters for victims of domestic violence. Overall, 797 women and children were provided with a safe refuge and place to sleep during the program year, surpassing DHS-BESSD's goal of 750. All expenditures reported below are as of June 30, 2014.

- DHS-BESSD committed operations funding of \$15,550 to Women Helping Women (WHW) in the County of Maui for victims of domestic violence. The agency provided 319 victims of domestic violence with a safe place to sleep, which exceeded their goal of serving 250 people. As of June 30, 2014, \$13,749 was disbursed.
- DHS-BESSD committed operations funding of \$14,369 to the Child and Family Services (CFS) East Hawaii for victims of domestic violence, and \$360 for administration. As of June 30, 2014, \$7,718 was expended. The goal was to protect 285 women and children from harm with a safe refuge and place to sleep; CFS Hale Ohana exceeded their goal by providing 297 persons with a safe place to sleep.
- DHS-BESSD committed operations funding of \$12,856 to CFS West Hawaii for victims of domestic violence, and \$321 for administration. As of June 30, 2014, \$6,320 was expended. 181 persons were provided with a safe place to sleep, resulting in their goal to serve 215 women and children being unattained.

Objective HP-4: To assist homeless persons to find affordable rental housing, DHS-BESSD required agencies funded with ESG to include transitioning homeless persons and victims of domestic violence into permanent housing as an integral activity. Its goal was to assist 231 victims of domestic violence, and 317 homeless persons into permanent housing, for a total of 548 persons. Agencies effectively assisted 258 victims of domestic violence and 359 homeless persons into permanent housing, for a total of 617 during the program year. Although permanent housing goals have been met, the lack of truly affordable housing, coupled with participants' financial difficulties, continue to hamper efforts for permanent housing placement and the attainment of housing stability over a sustained period of time.

Following is a chart showing the provider agencies' goals for permanent housing and achievements:

ESG Provider Agencies' Goals and Achievements:

Agency	Target Population	PY2013 Goal	PY2013 Achievement
HOPE Services Hawaii	H*	102	200
Ka Hale A Ke Ola	H	105	67
Kauai Economic Opportunity	H	40	6
Family Life Center	H	70	86
Child & Family Service, W. Hawaii	VDV*	21	23
Child & Family Service, E. Hawaii	VDV	110	72
Women Helping Women	VDV	100	163
TOTAL:		548	617

* H= Homeless; VDV = Victims of Domestic Violence

Objective HP-4a and HP-4b: The assistance provided to the homeless to quickly attain permanent housing and housing stability was accomplished through the rapid re-housing component of the ESG grant. A total of \$127,389 was awarded to one agency in each of the three neighbor island counties to provide financial assistance and housing relocation and stabilization services:

- DHS-BESSD committed funding of \$39,321 for operations and \$1,007 for administration to Family Life Center in the County of Maui. As of June 30, 2014 \$35,142 was expended to provide financial assistance including security deposits, rental assistance and/or utility deposits; and housing relocation and stabilization services. Twenty-three homeless individuals were served, exceeding their goal of 10.
- HOPE Services Hawaii received \$77,514 to serve their goal of 24 homeless persons, and \$1,988 for administration. As of June 30, 2014, HOPE Services expended \$49,804. Seventy-one persons were provided with short-term rentals, security and/or utility deposits, as well as case management, outreach, housing search and placements services, and credit repair services. This amount far exceeded their goal of serving 50 homeless persons.
- Kauai Economic Opportunity received \$10,554 in rapid re-housing funds to attain their goal of serving 24 homeless individuals in the County of Kauai, and \$269 for administration. Financial assistance, including security deposits and/or short- to medium-term rental assistance, and housing relocation and stabilization services were provided to 22 persons. As of June 30, 2014, KEO had expended \$5,344.

Objective HP-4c and HP-4d: Persons and families at risk of homelessness need assistance to retain permanent housing and housing stability. This objective was achieved through the Homelessness Prevention component of the ESG grant with total funding of \$30,704 divided between the counties of Maui and Kauai.

- Family Life Center received \$15,150 to assist three persons retain their housing through rental assistance and housing stabilization services, and \$388 for administration. FLC surpassed its goal by successfully serving 47

at-risk individuals. As of June 30, 2014, none of its homelessness prevention funds was expended.

- DHS-BESSD committed \$15,554 to Kauai Economic Opportunity for homelessness prevention, and \$396 for administration. The goal was to provide eight persons with security deposits, short- to medium-term rental assistance, and/or rent arrears, as well as housing relocation and stabilization services. Financial and stabilization services were provided to 27 persons, far surpassing its goal. As of June 30, 2014, \$15,178 was expended.
- HOPE Services Hawaii opted to focus exclusively on rapidly re-housing the homeless in the County of Hawaii, and did not utilize their HPRP funds for prevention.

The three agencies administering the Homelessness Prevention and Rapid Re-housing programs (Objectives HP-4a, 4b, 4c and 4d) received additional funds of approximately 2.5% of their awards for the administration of the programs.

Objective HP-4e: Funding for the Homeless Management Information System (HMIS) totaled \$4,050 from the ESG HMIS component for PY2013, in conjunction with funding from other sources. As of June 30, 2014, \$588 was utilized to sub-contract C. Peraro Consulting, Ltd. for services including:

- providing training and technical assistance to HMIS users;
- performing ad hoc data analysis; and
- working with the software developer on needed modifications to the HMIS system.

As of this writing, the ESG HPRP database has been completed and implemented.

Objective HP-6: To provide effective program administration to ensure an appropriate, efficient and effective use of ESG funds, DHS-BESSD retained \$16,601 for administration of this program. During the program year, no PY2013 funds were disbursed for administrative purposes, as the remaining balance from previous years' administration budget was utilized. The balance remaining from the PY2013 allocation will be disbursed in the future for audit fees, monitoring expenses, HPO staff travel and related expenses to attend HUD-approved conferences, and/or travel reimbursements for program planning meetings of Bridging the Gap expensed during the grant period. HPO also anticipates using program administration funds from ESG in the upcoming year to contribute a pro-rata share of costs associated with conducting the Analysis of Impediments to Fair Housing (AI) study as required by HUD.

- c. *If applicable, explain why progress was not made towards meeting the goals and objectives.*

DHS-BESSD has met most of its goals and objectives for PY2013, described above. However, agencies serving victims of domestic violence report that it is a struggle to find affordable permanent housing for participants with little or no income, besides welfare benefits. Combined with the high cost of

housing, lack of employment providing living wages, and poor emotional/physical health, participants find themselves unable to obtain and sustain safe and stable living environments. Some clients have actually returned to their abusers rather than become homeless. There has also been an increase in the number of victims seeking shelter from abuse, and staff has been stretched thin to get service plans and assessments done in a timely manner. While permanent housing goals were surpassed as a whole, all agencies which received ESG funding overwhelmingly report the lack of subsidized and/or affordable housing in Hawaii to be the major factor hindering further placements in permanent housing.

DHS-BESSD and its sub-recipients have actively participated in the Hawaii Interagency Council on Homelessness (HICH) to advocate for the creation of additional permanent, affordable housing inventory across the State. Statewide attention and resources are necessary to create more affordable housing units.

2. *Describe the manner in which the recipient would change its program as a result of its experiences.*

DHS-BESSD is very proud of the accomplishments of the ESG-funded providers. Nearly all goals were surpassed for the program year and are expected to continue in the next program year. Significant efforts have also been made with Bridging the Gap (neighbor island CoC) to keep up-to-date with HUD requirements and preparation for full implementation of the HEARTH Act. DHS-BESSD and all chapters of Bridging the Gap worked collaboratively to determine objectives to be targeted with ESG funds. Discussions are in place to implement a common assessment tool on the neighbor islands and to adopt a revised CoC project evaluation tool. The neighbor island counties are poised to take positive advantage of the technical assistance and resources being garnered by their sister Continuum, Partners in Care, from the Department of Veterans Affairs and HUD, to adopt a common assessment tool and implement a coordinated entry system. Plans are in place to have BTG members eventually accessing the Performance Management and Communications Platform (PMCP) that will link homeless individuals and families to the appropriate resources to meet their needs. In this way, BTG will be adopting the national strategy and implementing it by leveraging resources provided to Partners in Care.

HOPWA Program

1. *Assessment of the one-year goals and objectives:*
 - a. *Describe the accomplishments in attaining the goals and objectives for the reporting period.*

Significant achievements this year include ongoing housing stability and health care accessibility in a turbulent environment with a still recovering economy, high housing expenses and increased fuel costs. The service providers have been extremely resourceful in coping with declines in revenue from various funding sources, while maintaining their focus on client needs. Community collaborations have enabled the agencies to provide more with fewer resources at their disposal.

The scarcity of HIV primary care support, including medical, dental, nutritional and mental health care, has also raised concerns among persons living with HIV/AIDS (PLWH/A) and supportive care providers. Maui AIDS/HIV Foundation (MAF)'s most significant accomplishments in PY2013 addressed this issue. MAF, in partnership with Mango Medical, increased the time that a doctor was available to see participants from one day a week to five days a week. In addition, one day a week on-site mental health services were offered through this provider. These supplementary services enabled those who have limited transportation options to access a one-stop facility for much-needed assistance. MAF has also been able to assist with participants' nutritional, transportation and housing needs during a single visit.

Over \$2 million was leveraged throughout the Neighbor Island HIV/AIDS Coalition (NIHAC) from the DHS HOPWA Formula Grant to the Ryan White Care Act, Department of Health of the State of Hawaii, Section 8 Program of the State of Hawaii, Gregory Housing Programs in Honolulu, and other locally raised monies.

- b. Provide a breakdown of the CPD formula grant funds spent on grant activities for each goal and objective.*

The following accomplishments were made during PY2013 toward attaining the **HOPWA Program** objective of a suitable living environment. Please also refer to the "HOPWA Performance Outcomes" in Appendix F. All expenditures reported below reflect reimbursements made through June 30, 2014.

Objective HP-3: Persons with HIV/AIDS need services to achieve housing stability. To address this need, the Maui AIDS Foundation (MAF) expended \$29,445 of its \$31,140 in HOPWA funds designated for housing information to assist 23 eligible persons with supportive services in conjunction with housing activities, surpassing its goal of 20, and 438 people received supportive services not in conjunction with housing activities, which fell slightly short of its goal of 446. Of \$8,387 designated for short-term rent, mortgage and utilities assistance, \$5,000 was expended, assisting three households. Of the \$1,700 committed to permanent housing placement assistance, \$800 was expended to assist three households.

Objective HP-5: Persons with HIV/AIDS lack sufficient resources for market rentals. To address this problem, MAF expended \$100,534 of its \$110,011 in HOPWA funds to pay a portion of the market rental unit costs for 18 eligible households to secure and/or maintain permanent housing, exceeding its goal to serve 16 households.

Objective HP-6: To provide effective program administration to ensure an appropriate, efficient and effective use of HOPWA funds, DHS-BESSD allocated \$11,763 to MAF for administration, coordination, evaluation, record-keeping and reporting. As of June 30, 2014, \$9,808 was expended. DHS-BESSD also retained \$5,041 for administration of the program, of which no funds had been expended, as previous program years' reserves were utilized. DHS-BESSD's PY2013 administrative funds will be used for future audit services, staff training for new staff assigned to administer the HOPWA program, monitoring expenses, HMIS costs, and/or travel reimbursements for

program planning meetings of Bridging the Gap expensed during the grant period.

- c. *If applicable, explain why progress was not made towards meeting the goals and objectives.*

Despite numerous barriers related to the state of the economy and high cost of living, goals associated with providing housing assistance were achieved. Hawaii is one of the most expensive states in which to live, especially considering the prohibitive expense of housing, either to purchase or to rent. These costs are out of reach for most middle income wage earners, more so for low-income persons living with HIV/AIDS. Additionally, the movement of people leaving the program, which in turn would create openings for new clients, continues to be protracted. Jobs providing living wages are still severely limited in the Counties of Hawaii, Kauai and Maui.

2. *Describe the manner in which the recipient would change its program as a result of its experiences.*

Despite the many challenges faced by the Neighbor Island Housing Program (NIHP), they have consistently surpassed the housing stability rate of 80% for the national outcome goal. This is achieved by NIHP's continued effort to increase communication between staff and clients, and between agencies, as a means to improve program efficiency and effectiveness. The NIHP has representatives from each of the counties of Hawaii, Kauai and Maui that attend the respective county's Continuum of Care meetings.

The Executive Directors of the AIDS Service Organizations (ASO) also continue to meet quarterly on Oahu for Network Service Provider meetings and will continue to schedule post-meeting sessions to discuss and review NIHP issues. These face-to-face meetings have been tremendously helpful for defining and resolving issues in the (NIHAC) collaboration. Video conferencing has also been implemented as a less expensive and more convenient option for meetings.

3. Affirmatively Furthering Fair Housing:

- a. *Provide a summary of impediments to fair housing choice.*

In Program Year 2010, the HHFDC and HPHA collaborated to update the Analysis of Impediments to Fair Housing (AI). The 2010 AI identified three impediments to fair housing choice:

- 1) Difficulty Understanding Fair Housing Laws, Rights and Resources;
- 2) Structural Impediments to Fair Housing; and
- 3) Limited Supply of Reasonable Units for Target Population.

The AI recommended several actions to be undertaken by HHFDC and HPHA to address these impediments:

Impediment	Agency	Recommended Action	Status
Difficulty Understanding Fair Housing Laws, Rights and Resources	HPHA	Provide tenant forms (rent subsidy and public housing applications) in translations of Samoan, Chuukese, Tagalog, Ilocano, Chinese and Korean.	In Progress
		Offer, at no charge, interpreters to non-English speaking applicants and tenants prior to interviews and conferences.	Completed and ongoing
	HHFDC	Monitor owners of rental housing projects assisted by HHFDC to ensure fair housing responsibilities are understood and are being met.	Ongoing. Annual monitoring includes fair housing compliance
		Provide fair housing training for HHFDC staff and private managers of HHFDC-owned rental housing properties.	Ongoing. Annual fair housing training is provided
		Promote fair housing awareness by posting fair housing information on HHFDC's website including direct links to the Hawaii Civil Rights Commission, Legal Aid Society of Hawaii, and U.S. Department of Housing and Urban Development Fair Housing/Equal Opportunity sites.	Completed.
Structural Impediments	HPHA	Commission a comprehensive, statewide assessment of HPHA managed properties for compliance with federal accessibility obligations, preparatory to development of a prioritized remedial agenda.	In Progress
		Update the Fair Housing Analysis of Impediments in 2015.	In Progress.
	HHFDC	Revise agency organizational chart to consolidate fair housing planning and compliance functions.	In progress
		Review and, if needed, amend HHFDC administrative rules to ensure fair housing provisions are met.	In Progress
Limited Supply of Reasonable Units for Targeted Population	HHFDC	Facilitate development and preservation of affordable housing units by providing financing and development tools and resources.	Ongoing
		Ensure that developers/property owners of housing projects assisted by HHFDC effectively market the availability of housing opportunities.	Consolidated Application now requires applicants to provide its affirmative marketing information.

b. Identify actions taken to overcome effects of impediments identified.

The AI identified the provision of information and education as an action to achieve understanding of fair housing laws to further fair housing choice. During PY2013, the counties continued to provide fair housing training and education to help the public understand fair housing laws, rights and resources. The Counties of Hawaii, Kauai and Maui provided a total of four fair housing training sessions for landlords, tenants and the general public, attended by more than 260 people. Attendees improved their understanding of fair housing laws by an average of 38% after receiving fair housing training in these sessions. The Counties continue to receive hits to fair housing information on their web pages, and in PY2013 continued to provide assistance to fair housing inquiries.

The Counties participated in three fair housing meetings with representatives from the state and county housing agencies, to work cooperatively to achieve fair housing objectives. State and county agencies also continued efforts to assist with housing discrimination complaints and referrals to HUD and the Hawaii Civil Rights Commission.

As a result of a collaboration between the housing agencies in the Counties of Hawaii, Kauai and Maui, a 13-minute fair housing video entitled, "It's Not Just Fair, It's The Law!" was produced. The video is undergoing final editing and will be released upon completion.

To keep abreast of current fair housing laws and their application, more than 90 staff members and property managers from the Counties, HHFDC and HPHA attended private- and government-sponsored training sessions on the fair housing law and Section 504.

In addition, the HPHA continued the following fair-housing related activities:

- improved language accessibility for program participants, by offering, at no charge, interpreters to non-English speaking applicants and tenant prior to interviews and conferences;
- provided tenant forms (such as rent subsidy and public housing applications) in translations of Samoan, Chuukese, Tagalog, Ilocano, Chinese and Korean; and

The 2010 AI also identified structural impediments to fair housing, and recommended activities for HPHA and HHFDC to undertake to reduce these structural impediments. Both agencies continue to work on the recommended actions.

For HHFDC, the 2010 AI identified an action to further fair housing choice as facilitating the development and preservation of affordable housing units by providing financing and development tools and resources. During PY2013, HOME program funds were committed and disbursed to finance the development of new affordable housing projects statewide in an effort to increase the inventory of affordable housing units. In addition, HHFDC awarded financing in the form of low-income housing tax credits and rental housing trust fund loans for the construction or preservation of 1,416 affordable rental units. The HHFDC continues to promote homeownership in the state of Hawaii by providing financing, tools, and resources for the development of affordable for-sale housing. HHFDC projects that it will have supported the development of nearly 1,700 affordable homeownership units by the year 2016.

4. *Describe Other Actions in Strategic Plan or Action Plan taken to address obstacles to meeting underserved needs.*

Major obstacles to meeting underserved needs are the severe shortage of affordable housing in the Counties and the lack of funding. The fourth Program Year Action Plan outlined the following strategies to address these obstacles. Actions taken during PY2013 are provided in *italicized* print.

- Advocate for increases in State funding to support the development of affordable housing and for homeless/shelter services and improvements.

The HHFDC, DHS-BESSD and HPHA advocated for increased State funding for affordable housing and homeless initiatives at the 2014 State Legislature.

In 2014, the State Legislature:

- *Appropriated capital improvement project appropriations to HHFDC for PY2014-2015:*
 - *\$5,000,000 infusion for the Rental Housing Trust Fund, Statewide;*
 - *\$7,000,000 infusion for the Dwelling unit Revolving Fund, Statewide;*
 - *\$7,832,000 for the Low-Income Housing Tax Credit Loan program, Statewide; and*
 - *\$4,000,000 under a construction grant-in-aid for the Hale Mahaolu Ewalu project, County of Maui.*
- *Increased HHFDC's Hula Mae Multifamily cumulative revenue bond authority from \$750,000,000 to 1,000,000,000. This will allow the agency to continue to provide financing assistance for affordable rental housing projects.*
- *Restored to 50 percent the allocation of the State's conveyance tax collections to the Rental Housing Trust Fund as of July 1, 2014. This is an increase of 20 percent over the previous year's allocation. The Rental Housing Trust Fund is used to provide gap equity financing for affordable rental housing projects.*
- *Authorized HHFDC to issue revenue bonds to assist in financing the development of infrastructure for land owned by an eligible developer for the construction of affordable housing projects. The bill also establishes cumulative revenue bond authority of \$125,000,000 for this purpose.*

Appropriated \$26,000,000 in capital improvement funding to HPHA for its continued improvements to its statewide inventory of public housing properties.

- *Appropriated \$19,520,662 to provide for homeless shelters, outreach and grant programs in all four counties.*
- *Appropriated \$19,520,662 to provide for homeless shelters, outreach, and grant programs in all four counties.*
- *Consolidate CoC SuperNOFA applications for funding to meet underserved needs and provide technical assistance to improve outcomes.*

The State consolidated the CoC SuperNOFA applications for funding to meet underserved needs and provide technical assistance to improve outcomes. Applications included Shelter Plus Care which addresses the needs of the chronically homeless. The State continues to meet with the statewide CoC in an ongoing effort to provide technical assistance.

- *Work with the counties to review and improve HOME administration.*

The HHFDC and Counties continue to explore new ways to improve HOME administration. The Counties are required to submit additional information in its Program Description to the HHFDC to ensure, among other things, that the proposed HOME projects will be constructed in a timely manner and that funds will be available to finance the projects (i.e., evidence of site control, letters of interest/commitment, etc.).

As previously mentioned, to resolve issues pertaining to the use and timely commitment and disbursement of HOME funds, HHFDC has changed the way it distributes its annual HOME allocations. In PY2012, HHFDC commenced a rotation of the annual allocations between three neighbor island counties, starting with the County of Hawaii. The intent of the change is to improve the State's administration and maximize efficiency of the HOME program. The annual award of the State's HOME allocation to one county (less a portion for HHFDC's program administration) is expected to reduce the amount of time needed for projects to proceed, and ultimately accelerate the State's disbursement of HOME funds. An additional benefit of the change is that the Counties will have a two-year planning period to work with its partners to establish feasible projects and to secure additional funding to support its projects. HHFDC continues to consider changes to improve its administration of the HOME program.

The HHFDC has also implemented additional monitoring requirements to improve the State's HOME program disbursement rate.

- The lack of affordable housing continues to be an obstacle to successfully transitioning homeless persons into permanent housing. Hawaii's service industry economy and the demand for housing have driven property values and rents up significantly. Existing units, once affordable to rent, have escalated in value and are out of reach for those on the lowest rung of the economic ladder.

The State is addressing the problem with funding and programs for escalated affordable housing development. However, affordable housing development will take time and must compete for construction resources with other projects.

The State has expanded a pilot program in Housing Placement to operate in three counties. Housing placement assistance to families who are eligible under the Temporary Assistance to Needy Families (TANF) program greatly enhances the success for families to secure permanent housing in market units. The immediate focus is on helping those who already have either Welfare to Work or Section 8 vouchers and TANF eligible families transitioning from homeless shelters. Qualified non-profit provider agencies – Catholic Charities Hawaii, Institute for Human Services, Maui Family Life Center, HOPE Services, and Maui Economic Opportunity – have been contracted to assist families to attain and retain housing. The provider agencies cultivate prospective landlords and build relationships that assist in client placement, even for clients that have poor or no credit history. Additionally, the program will help with first month's rent or deposit and provides classes on being a responsible renter. The provider agencies maintain a database of affordable rental housing units to facilitate housing choice in proximity to jobs and services.

Given HUD's focus on permanent housing and expressed direction to communities to rely less on emergency and transitional shelters as a solution to homelessness, DHS-BESSD has begun discussions with various communities and agencies to strategically transition some of the existing inventory of homeless transitional shelters to permanent housing. This process will be accomplished with appropriate attention to current community needs and with the support of collaborating agencies. For example, by the end of the State's Fiscal Year 2015 (July, 2015), all forty-eight (48) units of a current transitional homeless shelter on Oahu's Leeward Coast will have been converted to affordable permanent housing. This conversion was planned with the cooperation of HHFDC and the contracted homeless provider operating the facility.

HOME Program

5. Leveraging Resources

- a. Identify progress in obtaining "other" public and private resources to address needs.*

Due to Hawaii's high housing costs, the Counties must often leverage their limited HOME Program funds with other public and private funding sources. For details on the various funding sources leveraged with HOME funds for projects/activities completed and conditionally committed from July 1, 2013- June 30, 2014, please refer to Appendix C, Exhibit C: HOME Program - Funding Sources.

- b. How Federal resources from HUD leveraged other public and private resources.*

Please refer to Appendix C, Exhibit C: HOME Program – Funding Sources, which provides a breakdown of the federal, public, and private funding sources leveraged with HOME funds for projects/activities completed and conditionally committed during PY2013.

- c. How matching requirements were satisfied.*

During PY2013, the State expended a total of \$6,063,158.43 in HOME funds. Of this amount, \$4,734,194.77 was subject to a 25% match requirement; the match liability of \$1,183,548.69 was satisfied with excess match banked from prior years and additional new match totaling \$14,289,128.62 (includes State Rental Housing Trust Fund and Rental Assistance Revolving Fund loans, sweat equity, State tax credits, exemptions from the State's general excise taxes, and Office of Hawaiian Affairs technical assistance grants as well as private funds).

For details on HOME funds expended and match contributions for PY2013, please refer to Appendix C, Exhibit A: HOME Program – Active Activities for the Period of July 1, 2013 - June 30, 2014 and Appendix C, the HOME Match Report, form HUD-40107-A.

(NOTE1: The figures provided above differ from the IDIS Report 33 – HOME Matching Liability Report attached since the State's reporting period is from July 1, 2013 through June 30, 2014, while Report 33 provides data from the federal fiscal year of October 1, 2013 through September 30, 2014.)

ESG Program

5. *Leveraging Resources*

a. Identify progress in obtaining "other" public and private resources to address needs.

Housing and homelessness continue to be priorities for the State Administration and the State Legislature. Additionally, the public sector and private nonprofits in the State strongly advocated for solutions to prevent low- and moderate-income families from being forced out of housing due to the depressed economy yielding job losses and reduced hours of employment.

- The State Legislature approved \$16.6 million in State funds for homeless shelter operations, homeless outreach, emergency grant and other services in May 2014, which is in addition to the nearly \$1.5 million allocation of Federal funds from HUD, and \$2.4 million in State funds dedicated to TANF use in FY2015 via the Housing Placement Program.
- The state Legislature appropriated \$1.5M for a Housing First program for PY2014. The state has recently completed procurement and award of its Housing First Program which commenced June 27, 2014. Procurement was delayed in order to align the Department of Human Services' program with the Department of Health's Substance Abuse Mental Health Services Administration (SAMHSA) grant program, the Hawaii Pathways Project (HPP). HPP is an evidence-based program to provide intensive support services to the chronically homeless with substance abuse or co-occurring substance abuse and mental health disorders. The State's design of its Housing First program was intentionally crafted to maximize the leveraging of the federal funds.
- In May, 2013, the State Legislature also appropriated funding of \$1,000,000 for a rental subsidy program, \$400,000 as matching funds for the State's Shelter Plus Care providers, \$150,000 for homelessness prevention and rapid re-housing, \$100,000 for a voluntary "return to home" program that could help reunite homeless persons with family members on the continental U.S., and \$100,000 for innovative housing programs aimed at the homeless. The Department of Human Services administered the State Shelter Plus Care matching funds to neighbor island providers in the aggregate amount of \$100,000. The remainder of the funds were utilized for Oahu providers. Funding for the rental subsidy program was ultimately re-captured by the State as the fiscal situation grew more dire in early 2014. The "Return to Home" program was not implemented. Currently, two projects to promote innovative housing programs aimed at the homeless are being pursued, one by Honolulu Community College (creating homes using shipping containers) and another by the University of Hawaii's School of Architecture (design of micro units).
- HOPE Services on the Island of Hawaii began operation of Kaloko Transitional Housing in Kailua-Kona, now known as Na Kahua Hale O Ulu Wini, in December 2011. This project was scheduled to house 50 unduplicated homeless persons. The program also provides services such as counseling, job training, life skills, educational, life related, family related, and community classes. The County of Hawaii, the

landowner and developer of the property, plans to increase the units available for permanent housing at this property in the next program year.

- One of Maui County's current homeless shelter providers, Family Life Center (FLC), completed expansion of existing shelter facilities from 15 to 50 beds in April 2012 with private and local government sources. FLC has successfully sheltered additional families and males with their expanded capacity. ESG, State, and County funds currently support shelter operations.
- With leadership and encouragement from the Governor's Coordinator on Homelessness and Chair of the State's Interagency Council on Homelessness, the State's Department of Health, Alcohol and Drug Abuse Division (ADAD) submitted a proposal in March, 2013, to the U. S. Department of Health and Human Services for Cooperative Agreements to Benefit Homeless Individuals for States (CABHI-States). This collaborative application brought together many government agencies, private homeless service agencies, and the Interagency Council to seek funds to provide more comprehensive services for those chronically homeless individuals who are dually diagnosed with mental health and substance abuse issues. The State was notified that its proposal was funded for a total of approximately \$2.3 million over a three-year period. The Hawaii Pathways Project is currently contracted to Helping Hands Hawaii, and implementation is projected to begin by the end of July, 2014. The HPP, while operating only on Oahu, is a critical piece of the State's efforts to create coordinated entry and service prioritization for the highest needs in the homeless service system.

b. How Federal resources from HUD leveraged other public and private resources; and

c. How matching requirements were satisfied.

DHS-BESSD is required to match ESG funding provided by HUD on a dollar for dollar basis with funds from other public or private sources. To satisfy the anticipated matching funds requirement, the State of Hawaii provided funds to agencies in the Counties of Hawaii, Maui and Kauai by contracting for services under the State Homeless Shelter Stipend and Outreach programs.

DHS-BESSD matched and leveraged the ESG funding of \$340,980 with the following resources:

Supportive Housing Program	\$273,255
State Funds: Outreach Program	\$2,193,661
Shelter Program	\$13,429,775
State Dept of Human Services	
Housing Placement Program	<u>\$2,431,724</u>
TOTAL:	\$18,328,415

HOPWA Program**5. Leveraging Resources**

- a. Identify progress in obtaining "other" public and private resources to address needs.*

Current funding sources include the HOPWA competitive grant, Ryan White, Hawaii State Department of Health and private and foundation grants, such as the Dennis Dane Emergency Fund and the Maui United Way. The three Executive Directors of the collaborating agencies continue to examine ways to maximize and leverage HOPWA funding.

- b. How Federal resources from HUD leveraged other public and private resources.*

In PY2013, an additional \$2,271,958 was leveraged for housing assistance and supportive services:

Ryan White Care Act	\$ 286,694
Ryan White Housing Assistance	\$ 9,600
HOPWA SPNS Grant	\$ 451,957
Department of Health	\$ 783,246
Housing Choice Voucher Program	\$ 46,000
Maui United Way	\$ 28,000
Dennis Dane Emergency Fund	\$ 7,846
Gregory House	\$ 15,720
Ted Stafford	\$ 1,200
Poot Memorial Fund	\$ 91,882
Private Grants	\$ 9,589
Project Sponsor/Sub-recipient cash	\$ 361,000
Resident Rent Payments by	
Clients Private Landlord	\$ 179,224
TOTAL	\$2,271,958

- c. How matching requirements were satisfied.*

HOPWA does not have a match requirement. However, leveraging of HOPWA funds with other sources of funding amplified the impact of HOPWA funding by over ten to one.

Managing the Process

- 1. Describe actions taken during the last year to ensure compliance with program and comprehensive planning requirements.*

HOME Program

Pursuant to Section 92.504 of the HOME regulations, the HHFDC, as the Participating Jurisdiction, is responsible for 1) managing the day-to-day operations of the State's HOME Program, 2) ensuring that the HOME funds are used in accordance with program requirements and written agreements, and 3) taking appropriate action when performance problems arise. The role of the Counties, as State Recipients, does not relieve the HHFDC of its responsibilities. To effectively manage the day-to-day operations of the HOME Program, the HHFDC conducted the following during PY2013:

- a. Imposed timelines to ensure the timely commitment and expenditure of HOME funds. HHFDC provides a timeline when a fund allocation is offered to the County. The timeline identifies the required dates for the submittal of information, execution of agreements, and the commitment and expenditure of HOME funds.
- b. Conducted and participated in HOME meetings with the Counties, as HOME State Recipients, to discuss the administration of the State's HOME Program and to give the Counties the opportunity to share and learn from their counterparts. Discussions involved the status of HOME commitments/ expenditures, procurement, IDIS, eligible activities/projects, environmental requirements, and monitoring.
- c. Required the Counties to submit quarterly status reports of their HOME projects/programs to ensure the projects/programs are complying with the respective program year timelines and agreements.
- d. Conducted on-site monitoring of three State Recipients. (Please refer to Appendix **D** for the results of the HHFDC's on-site monitoring review.)
- e. Conducted HOME Program on-site inspections of rental projects pursuant to 24 CFR Section 92.504(d), and conducted file reviews for the County of Hawaii's Tenant Based Rental Assistance program.
- f. Continued to administer the contract with SPECTRUM Enterprises, Inc., for on-site monitoring of HOME-assisted rental properties.

ESG Program

All funded agencies are required to participate in the State Homeless Management Information System (HMIS). DHS-BESSD further requires homeless provider agencies funded by State or Federal resources to participate in the County Continuums of Care (CoC) for collaboration and input into the community planning efforts. The State also convenes the Statewide Continuum of Care every other month, which includes the Chairperson of each County CoC and a county government representative. The statewide planning body collaborates on resources, priorities and strategic planning. They have also taken on an expanded role of advising the State on funding priorities and legislative initiatives. DHS-BESSD has been working assertively with Bridging the Gap (BTG), the Hawaii balance-of-state continuum of care (CoC) representing the counties of Hawaii, Kauai and Maui. BTG is a geographically-based group of relevant stakeholder representatives that carries out the planning responsibilities of the CoC program, as set out in 24 CFR Part 578, Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH Act). Local chapters are named as follows: Community Alliance Partners (Hawaii County), Kauai Community Alliance, and the Maui Homeless Alliance.

The purpose of BTG is to end homelessness in the geographic areas. To do so, BTG:

1. operates the CoC;
2. designates a Homeless Management Information System (HMIS) for the CoC;
3. plans for the CoC; and
4. prepares applications for funds.

In accordance with the HEARTH Act, BTG works to use multiple resources to quickly rehouse homeless individuals and families while minimizing the trauma and dislocation caused to homeless individuals, families, and communities by homelessness. BTG mandates collaboration among providers, promotes access to homeless assistance programs, encourages effective utilization of mainstream programs, and strives to optimize self-sufficiency among individuals and families experiencing homelessness.

On April 10 – 11, 2014, the BTG leadership participated in an intensive workshop to finalize its charter. The team also made plans in preparation for the ratification of the charter through a Balance of State CoC general membership vote in August, 2014. Throughout the summer, the State Homeless Program Administrator and Homeless Program Specialist visited each local chapter for informational discussions and to emphasize the importance of the BTG charter and its correlation with HUD's direction and focus on permanent housing.

On May 22, 2014, BTG leadership attended a HUD technical assistance training presented by Chris Pitcher of ICF International. The emphasis of this TA meeting was on HMIS and the CoC charter.

HMIS discussion included:

- HMIS Strategic Plan
- Data Standards
- Coordinated Assessment
- Formal HMIS Governance Charter
- Formal HMIS Policies and Procedures
- Formal Data Quality Plan
- Performance Measurement
- HMIS reporting, including CAPER for ESG

CoC Charter discussion included:

- Requirements for governance structure and responsibilities
- Involved stakeholders
- Operating the CoC
- Designating and operating an HMIS
- CoC planning
- CoC application for funding
- Membership
- Board selection
- Standing and special committees
- CoC goals

On August 6, 2014, a general membership meeting to ratify the BTG governance charter was held via video conference. A quorum of eligible voting members of each local chapter (Community Alliance Partners – Hawaii County; Kauai Community Alliance, and Maui Homeless Alliance) was determined. Those

present unanimously approved the ratification of the charter. A copy of the adopted Charter is attached at Appendix E-1.

HOPWA Program

The Maui AIDS Foundation (MAF) continued its project sponsor/lead agency role throughout PY2013 and provided administrative management and accountability for the agencies which comprise the Neighbor Island HIV/AIDS Coalition (NIHAC): Maui AIDS Foundation, Malama Pono (MP), Health Services (Kauai), and Hawaii Island HIV/AIDS Foundation (HIHAF). HOPWA funds were distributed equitably throughout the counties based on geographic need, unmet needs and acuity levels of individuals/households.

The Executive Directors of the AIDS Services Organization (ASO) met throughout the program year either personally or by video conferencing, for their Network Service Provider meetings and scheduled post-meeting sessions to discuss and review NIHP issues. These meetings have been tremendously helpful for defining and resolving issues in the NIHAC collaboration. The NIHP Housing Director traveled inter-island on a regular basis and while this has been costly, it has increased the efficiency and effectiveness of the staff as well as streamline the services provided to participants. MAF has absorbed much of that cost.

All three agencies participate with the CoCs of their respective counties to collaborate and strategize on a community level.

Citizen Participation

1. *Provide a summary of citizen comments.*

Please note that Citizen Comments and Responses may be included as additional files within the CPMP Tool.

On August 15, 2014, a Notice of Public Comment (Notice) was published in newspapers of general circulation in all counties announcing that the draft CAPER was available for review and comment. A copy of the Notice of Public Comment is shown in Appendix I. Comments from the public were accepted through August 30, 2014, but HHFDC received no comments. The final CAPER was presented to HHFDC's Board of Directors at its public meeting of September 11, 2014.

2. *In addition, the performance report provided to citizens must identify the Federal funds made available for furthering the objectives of the Consolidated Plan. For each formula grant program, the grantee shall identify the total amount of funds available (including estimated program income), the total amount of funds committed during the reporting period, the total amount expended during the reporting period, and the geographic distribution and location of expenditures. Jurisdictions are encouraged to include maps in describing the geographic distribution and location of investment (including areas of minority concentration). The geographic distribution and expenditure requirement may also be satisfied by specifying the census tracts where expenditures were concentrated.*

HOME Program

Please refer to the Exhibits found in Appendix C:

- a. Exhibit A: HOME Program - Active HOME Activities for the Period of July 1, 2013-June 30, 2014. This spreadsheet provides the type and amount of HOME funds expended and the status of these activities as of June 30, 2014.

- b. Exhibit B: HOME Program - Completed Units for the Period of July 1, 2010- June 30, 2015. This spreadsheet identifies the specific HOME projects/ activities completed during the reporting period, along with a comparison to the anticipated five-year goals.
- c. Exhibit C: HOME Program - Funding Sources. This spreadsheet identifies all funding sources leveraged with HOME funds for projects/activities completed and conditionally committed from July 1, 2013 to June 30, 2014.
- d. Exhibit D: HOME Program – Grant Balances as of June 30, 2014. This spreadsheet identifies the total amount of HOME funds available (including program income and recaptured funds).

Appendix **G** provides maps showing the geographic distribution of HOME funds.

ESG Program

ESG funding of \$340,980 was available for the Counties of Hawaii, Kauai and Maui. No program income was derived from the program. The funds were distributed as follows:

PY2013 ESG Funding:

Hawaii County	\$157,015
Kauai County	\$ 52,874
Maui County	\$110,440
DHS-BESSD Administration	\$ 16,601
HMIS	<u>\$ 4,050</u>
TOTAL	\$340,980

Please also refer to Appendix **E** for additional information on ESG expenditures. Appendix **G** provides a map showing the location of agencies that receive ESG funding.

HOPWA

HOPWA funding of \$168,042 was available for the Counties of Hawaii, Kauai and Maui. No program income was derived from the program. The funds were distributed in the following categories and used for eligible people in all three counties:

PY2013 HOPWA Funding:

Rental Assistance	\$110,011
Supportive Services	\$ 31,140
Permanent Housing Placement	\$ 1,700
Short-Term Rental, Mortgage, Utilities	\$ 8,387
Maui AIDS Foundation Administration	\$ 11,763
DHS-BESSD Administration	<u>\$ 5,041</u>
TOTAL	\$168,042

Of the \$5,041 set aside for DHS-BESSD Administration, no funds were expended. DHS-BESSD's administrative funds will be used to pay for audit services and other administrative costs including CoC related travel and monitoring expenditures.

Please also refer to Appendix **F** for additional information on expenditures.

Institutional Structure

1. *Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.*
 - a. Various governmental assistance programs have conflicting requirements that constrain the efficient delivery of affordable homes or support services. Efforts to overcome this gap by reviewing procedural and regulatory requirements and recommending amendments that make it easier to layer financing sources continued during PY2013. Pilot programs to cut across functional “silos” for the provision of housing and supportive services were also continued.
 - b. To enhance coordination between public and private housing, health, and social service agencies, the County of Hawaii includes Request for Proposals and public notices on the County’s weekly newsletter that is distributed by e-mail to 10,000 persons or organizations. The County of Hawaii started and expanded an e-mail list by using the e-mail addresses from the County’s weekly newsletter website. The County of Hawaii has the capability for video conferencing in its citizen participation activities, but there has not been a demand by the public for its use.

In the County of Kauai, the CDBG Coordinator serves as a liaison on Kauai’s Homeless Committee and attends all meetings to assist this community-based working group in addressing homeless priorities and concerns. The County Housing Agency held three workshops during this reporting period. These workshops give public service providers information about CDBG and HOME applications to assist with project development and applications for grant assistance.

To ensure an integrated approach to addressing its community development and housing needs, the County of Maui’s CDBG Program Manager and HOME Program Coordinator participated in state-wide meetings, seminars, and conferences to plan and evaluate the community and housing needs and the performance measures for the CDBG and HOME Programs. The County’s Continuum of Care group met monthly to review and coordinate statewide initiatives relative to homelessness; the Inter-agency Council on Homelessness met bi-monthly to coordinate statewide strategies and provide access to current information on homeless programs and services; and the County of Maui’s Coordinated Homeless Response Team met monthly to coordinate proactive and immediate solutions to acute homelessness issues affecting public health and safety. The County also participated in the monthly statewide housing administrators meeting to review work in progress, plan and collaborate on housing development initiatives and activities. Furthermore, key County departments met regularly to coordinate efforts, resolve and expedite issues, and facilitate progress in meeting County requirements in order to encourage affordable housing in project developments.

- c. The State continued to coordinate and conduct periodic HOME Program meetings with the Counties of Hawaii, Kauai and Maui in a continuing effort to improve lines of communication and provide an opportunity for the HOME

- program staff from the Counties and the HHFDC to freely discuss topics relating to the administration of the State's HOME Program.
- d. Within the homeless and special needs arena, the State continued to pursue the following actions during PY2013 to develop and refine the institutional structure:
- Much of the State's efforts to develop and refine the institutional structure continue to come as a result of the work of the Hawaii Interagency Council on Homelessness, and its working groups. Content areas such as stable and affordable housing, economic self-sufficiency, and health and stability have created new and sometimes better collaborations between large government systems, the social service community, and the homeless themselves. The effort to achieve wide-spread understanding and acceptance of Housing First as a philosophy and model of intervention for the chronically homeless, as well as the implementation of a common assessment tool, has taken hold in our state.
 - In November 2013, the Statewide Homeless Conference convened for homeless providers, community members and government officials to focus on best practices in ending homelessness as well as discuss progress in Hawaii to ending homelessness. Several training sessions were made available to the attendees, including: Utilizing the HMIS for Program Analysis and Evaluation; the Legislative Process; Harm Reduction; Behavioral Health Issues in the Homeless; Healing Medical Home for the Homeless; Housing Focused Approach to Outreach; Domestic Violence and Homelessness; Landlord Liaisons, Building Relationships and Expanding Inventory; and Criminogenic Need, Professional Boundaries and Relationship Security; Principles and Practices for Working with Homeless Offenders. The Homeless Conference was just one of the events of the Homeless Awareness Week which was observed with events in all four counties. Events included media campaigns highlighting homelessness; job and resource fairs, health fairs and strategic planning sessions on homelessness. The week of events culminated with a candlelight vigil that was concurrently held in all four counties.
 - The Statewide Continuum, which includes the chairperson of each County Continuum of Care (CoC) and a representative from each of the County governments, held meetings every other month as a forum for collaboration on homeless issues, strategic planning on the best use of resources, and to share challenges and successes. More effort has been made to have neighbor island representatives participate in planning and implementation of the Homeless Awareness Annual Conference, and with the Oahu Continuum of Care's (Partners in Care) data committee which is working on necessary funding and programmatic up-grades to HMIS. A portion of each Bridging the Gap meeting is set aside for the PIC chair and the City and County of Honolulu representative to give the neighbor islanders a perspective of the legislative, advocacy, policy and data issues being addressed on Oahu, and vice versa. Additionally, many more neighbor island participants have requested placement on the PIC mailing list so that they can keep their agencies and their counties up-to-date, especially on legislative issues during the legislative session. These efforts are building toward a greater sense of community across the state for homeless service providers, and thus, a more cohesive approach to our statewide infrastructure. Progress has been made

by the creation of two significant state-wide committees of the Continuum of Care. A statewide Advocacy and Awareness committee and a statewide Data/HMIS committee have been formed and includes both Oahu and neighbor island representation. These efforts will continue.

- The State's three rural counties have begun to foster a stronger consortium under the balance of State name: Bridging the Gap (BTG). The move for a stronger institutional structure has been triggered in part by the swelling of homeless numbers during Hawaii's soaring economic condition that has priced the most vulnerable of our population out of the housing and rental market. The homeless trends that have affected even Hawaii's most rural counties have stirred the County Mayors to action, and they look to the CoCs to advise and collaborate on solutions. Neighbor islanders are well-represented on the Hawaii Interagency Council on Homelessness (HICH). Although Oahu remains the population center of the State, the rural counties have far less infrastructure (and resources) but still must address homelessness as it occurs in their communities.
- As of this writing, the BTG is in the process of adopting formal HMIS policies and procedures which include: security policies that detail how data is secured in the HMIS; data sharing policies that detail with whom data is shared and for what purposes; and access to and use of the HMIS. A formal data quality plan is also in the works to define what constitutes a record for each program type; and determines timeliness, completeness and accuracy standards.
- A Memorandum of Agreement between the BTG HMIS Data Committee and the DHS/HPO is being finalized to clarify and define the roles and requirements for each party related to the HMIS.
- BTG is considering new project scoring criteria for the CoC Programs, which emphasizes performance based accomplishments.

Monitoring

HOME Program

1. Describe how and the frequency with which you monitored your activities.

During PY2013, the HHFDC monitored all State Recipients, CHDOs and active HOME projects/activities using the U.S. Department of Housing and Urban Development's Community Planning and Development Monitoring Handbook 6509.2, Rev. 6, Chapter 7 as its key monitoring tool. Monitoring included all applicable HOME Program-wide and project/program specific compliance reviews as described in the Handbook.

The HHFDC conducted on-site monitoring of the HOME Program for the Counties of Kauai, Maui and Hawaii. The HHFDC also conducted on-site HOME Program project and unit inspections and tenant file reviews for the below-listed rental projects in the second quarter of PY2013:

2020 Kinoole Senior Residences	Kalepa Village, Phase 3
Ainakea Senior Residences	Kalepa Village, Phase 4
Ewa Villages, Phase I	Kamuela Senior Housing
Hale Mahaolu Ehiku 1A	Kekuilani Gardens
Hale Mahaolu Ehiku 1B	Maui Farm
Hale Mahaolu Ehiku II	Paanau Village
Hale Makana O Waiale	Paanau Village, Phase 2
Hale Ulu Hoi III	Sea Wind Apartments
Hualalai Elderly	TBRA, Hawaii County
Hualalai Elderly, Ph. 2	Na Kahua Hale o Ulu Wini
Hualalai Elderly, Ph. 3	Na Kahua Hale o Ulu Wini, Phase 3
Kalepa Village, Phase 2B	West Maui Resource Center

The final report for all projects inspected contained no findings, with the exception of the Na Kahua Hale o Ulu Wini project in the County of Hawaii. HHFDC's inspection of the County of Hawaii's Na Kahua Hale o Ulu Wini, formerly known as the Kaloko Housing Project, revealed Fair Housing Design Act violations and Housing Quality Standards issues. The issues are still outstanding and pending a written corrective action plan.

County of Hawaii Program Monitoring

HHFDC conducted on-site monitoring of the County of Hawaii's records pursuant to 24 CFR 92.508 of the HOME regulations and examined records focusing on the administration of the County's HOME program. Staff also examined the project/program records for Hawaiian Paradise Park, Phase 2, Mohouli Heights Senior Neighborhood, Habitat for Humanity Affordable Workforce Housing, Na Kahua Hale o Ulu Wini, and the County's general program administration. HHFDC staff noted that the County of Hawaii has not been consistent in conducting on-site monitoring of activities to ensure compliance with program activities. Details of HHFDC's monitoring activities and its findings are found in Appendix **D**.

County of Kauai monitoring

HHFDC conducted on-site monitoring of the County of Kauai's records pursuant to 24 CFR 92.508 of the HOME regulations and examined records focusing on the administration of the County's HOME program. Staff also examined the project/program records for the PY2007 CHDO set-aside, Kapaa Transitional Housing Project, Hanamaulu Transitional Housing Project, the County's Homebuyer Loan Program, Ele'ele Iluna, Phase 2, Increment A, Rice Camp Senior Housing, Lihue Court Townhomes Rehabilitation, Kolopua, and the County of Kauai's general program administration. Overall, the County of Kauai has been proactive and effective in ensuring that its HOME program activities comply with HOME requirements and the changes brought on by the 2013 HOME rule. Details of HHFDC's monitoring activities and its findings are found in Appendix **D**.

County of Maui monitoring

HHFDC conducted on-site monitoring of the County of Maui's records pursuant to 24 CFR 92.508 of the HOME regulations and examined records focusing on the administration of the County's HOME program. Staff also examined the project/program records for the First-Time Homebuyers Agreement issue from prior monitoring, the Kahawai Condominium Project, the Molokai Mutual Self-Help Housing Project, Phase I, Kenolio Leilani, and the County of Maui's general program administration. Of note is the County of Maui's unresolved issue over its failure to enforce the HOME recapture provision under its First-Time Homebuyer

Agreement, and its failure to identify an eligible CHDO activity for its PY2014 HOME CHDO funds. Details of HHFDC's monitoring activities and its findings are found in Appendix D.

2. *Describe the results of your monitoring including any improvements.*

Monitoring findings, concerns and recommendations are shown in Appendix D.

3. *Self Evaluation*

a. *Describe the effect programs have in solving neighborhood and community problems.*

The HOME-funded programs and projects are not specifically aimed at solving neighborhood and community problems. Rather, the primary objective is decent housing for lower income households. Nevertheless, households in safe, decent and affordable housing make better neighbors and contribute to overall community well-being.

b. *Describe progress in meeting priority needs and specific objectives and help make community's vision of the future a reality; and*

c. *Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.*

The State has made positive progress in meeting its HOME Program objectives to provide decent housing. The narrative and charts included in this CAPER provide details on activities to provide decent housing and an assessment of the HOME accomplishments.

d. *Indicate any activities falling behind schedule.*

- HR-1: The objective to develop affordable rental housing for families is lagging, largely due to the cancellation of two projects that would have produced 96 affordable rental units in the County of Maui. Among other issues, CHDO Lokahi Pacific's shortage of experienced staff, led to the cancellation of its development agreements, the termination of the County of Maui's CHDO agreements, and the ultimate return of HOME funds to the IRS.

To assist the County of Maui's production of CHDO projects, HHFDC certified two new CHDOs during PY2010, and the County of Maui committed its CHDO funds to new CHDO projects on the island of Molokai. Construction continues on Phase I of the Molokai Mutual Self-Help homeownership project, but Phase II of the project was cancelled.

HHFDC's newly-adopted rotation of the annual HOME allocation between the Counties is expected to help alleviate some issues by providing an entire year's allocation to one county, providing funds necessary to complete projects. HHFDC continues to collaborate with the Counties of Hawaii, Maui, and Kauai to resolve concerns relating to timely commitments and expenditure of HOME funds, including CHDO funds.

- HR-2: Assisting households with tenant-based rental assistance is behind schedule, due to the County of Maui's failure to establish a HOME Tenant-Based Rental Assistance program as anticipated. In PY2012, HHFDC de-obligated \$1M+ designated for the County of Maui's TBRA and re-allocated the funds to the County of Hawaii's Na Kahua Hale O Ulu Wini project. As stated in a previous CAPER, this setback underscored concerns over the County of Maui's ability to commit and expend HOME funds within HUD's deadlines.
- HO-1: Progress on the development of affordable for-sale units has also been hampered, due in part to the PY2010 cancellation of the County of Maui's Kaiola Apartments, an affordable for-sale development sponsored by the CHDO Lokahi Pacific. The project would have provided 10 affordable homeownership opportunities.

The County of Maui has allowed Lokahi Pacific to complete its Kenolio Leilani affordable for-sale project, downsized from seven to six units, and completed during PY2012. The Kahawai Apartments project in the County of Maui, sponsored by the nonprofit Habitat for Humanity, was expected to be completed in PY2013, but will likely be completed next year. The project has yet to receive commitments for all funds required to complete the project. Habitat is expected to provide a source of funds, and the County's Affordable Housing Fund will provide additional funds should they be needed. However, both commitments are pending approval. HHFDC continues to closely monitor progress on this project.

e. Describe how activities and strategies made an impact on identified needs.

The projects/activities completed and conditionally committed during this reporting period helped to address one of the primary purposes of the HOME Program, to expand the supply of decent, safe, affordable and sanitary housing.

The State addressed the need for affordable rental units for low-income households by providing tenant based rental assistance to 24 new households in the County of Hawaii, and through the construction of the Na Kahua Hale O Ulu Wini (fka Kaloko Housing Project) (County of Hawaii, 36 affordable rental units, 2 of which are HOME-assisted). In addition, the County of Kauai conditionally committed its PY2013 HOME funds to construct affordable rental units in the Kolopua project and Rice Camp Senior Housing project, and to preserve affordable rental units through the rehabilitation of units in the Lihue Court Townhomes project.

The State addressed the need for affordable for-sale units through the construction of the Hawaiian Paradise Park, Phase 2 (County of Hawaii, 10 HOME-assisted self-help units) and the Kamamalu Condominium acquisition, rehabilitation and resale (County of Kauai, one unit). Additionally, three first-time homebuyers received loans under the County of Kauai's homebuyer loan program.

f. Identify indicators that would best describe the results.

Performance indicators are included in Appendix **A**.

- g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.*

A major barrier that had a negative impact on fulfilling the strategies and overall vision is the high cost of housing. Housing costs, including the cost of materials and labor, continue to escalate. As HOME funds (and other government financing resources) are limited, the development of new housing projects is delayed until such time as adequate financing resources are in place.

Another barrier is the lack of housing inventory which exerts upward pressure on housing prices. Homebuyer loan programs are slow moving because it is difficult for first-time homebuyers to find homes within their price range.

- h. Identify whether major goals are on target and discuss reasons for those that are not on target.*

During this program year, the State provided 24 new households in the County of Hawaii with tenant-based rental assistance, exceeding the target to assist 20 new households with tenant-based rental assistance.

Although the State fell short of its fourth-year objective for the development of affordable rental housing for low-income families, 36 units in the Na Kahua Hale O Ulu Wini project were completed, two of which are HOME-assisted. Approximately 90 more affordable rental units will be constructed or preserved within the next few years in the counties of Kauai and Maui.

While no units for special needs populations were completed during the program year, the Mohouli Heights Neighborhood Senior (60 affordable rental units for seniors, 7 of which will be HOME-assisted) housing project in the County of Hawaii is under construction, and the Rice Camp Senior Housing project (60 affordable rental units for seniors, 8 of which will be HOME-assisted) in the County of Kauai is progressing.

In the homeownership arena, the final unit acquired in the Kamamalu Condominium project was sold in the County of Kauai.

Ten units of affordable for-sale housing in the Hawaiian Paradise Park Phase 2 Project in the County of Hawaii, constructed using the self-help building method, were completed during the program year. In the Counties of Hawaii, Kauai and Maui, seventy-five additional self-help housing units are scheduled for completion over the next few years.

One reason for the slow pace of the development of homeownership units and affordable rentals is the limited amount of HOME funds allocated to the State of Hawaii. When projects are selected by the counties, it sometimes becomes a multi-year process before sufficient HOME funds are accumulated for the project. To address this issue, HHFDC has begun implementation of awarding an entire year's HOME allocation to one county, on a rotating basis. This will help projects to accumulate a greater amount of HOME funds at once, rather than having to "save up" several years' HOME allocations.

Another contributing factor for not meeting targeted goals was the cancellation of two rental projects and one homeownership project in the County of Maui. The cancelled projects were anticipated to produce approximately 96 rental units and 10 homeownership units. The projects were cancelled, in part, due to concerns over the CHDO's capacity to develop the projects.

To assist in addressing this issue, HHFDC certified two additional CHDO's during PY 2010; one of the new CHDO's was awarded PY2011 HOME funds to proceed with the development of affordable homeownership housing using the self-help building method, and its first project is near completion on the island of Molokai. In PY2012, HHFDC certified an Oahu CHDO to also provide services in the County of Kauai. The CHDO was awarded PY2013 HOME funds for the renovation of nine residential buildings (73 rental units, 58 of which will be HOME-assisted) to preserve long-term affordability in Kauai's Lihue Court Townhomes project.

- i. Identify any adjustments or improvements to strategies and activities that might meet your needs more effectively.*

Issues pertaining to the timely commitment and disbursement of HOME funds have continued to be of concern in PY2013. To address these concerns, HHFDC initiated a rotation between the Counties of the annual HOME allocation.

The intent of the rotation is to allow one County to receive a larger amount of HOME funds at once, allowing its projects to proceed quicker than the previous practice of dividing annual HOME allocations that resulted in delays due to the Counties' need to "save up" over time before a project could proceed.

The HHFDC will continue to monitor all State Recipients, CHDOs, and active HOME projects/activities on an annual basis. HUD believes that extensive monitoring will increase the performance of the State's HOME Program, particularly in the disbursement of funds. The HHFDC and the Counties will focus efforts on compliance monitoring.

HHFDC has taken steps to strengthen the administration of the HOME program. HHFDC clearly identifies HOME units in its monitoring reports, and verifies results of on-site inspections performed by HHFDC's contractor. For oversight activities of State Recipients, HHFDC developed and implements policies and procedures for the reviews of State Recipient audit reports and fair share tests, subsidy limit tests, and subsidy layering analyses.

ESG Program

- 1. Describe how and the frequency with which you monitored your activities.*

Onsite monitoring is done every other year, and desk monitoring is an ongoing monthly activity. On-site monitoring includes review of randomly selected client files at each agency and a physical inspection of the project and property to ensure the agency's compliance with program rules, Federal and State regulations, and health and safety requirements. Additionally, internal reviews

are conducted to ensure that provider agencies are in compliance with contract terms (i.e., adequate and current insurance coverage, timeliness of reports, numbers served, expenditure of funds, etc.) that are not covered by the site visit. Verification of independent financial audits, client eligibility, reported expenditures and persons served, and providing communication/training via telephone, e-mail and facsimile are incorporated in regular desk monitoring.

After an on-site monitoring visit, the program specialist documents any areas of noncompliance or needed improvements and recommends corrective actions through a written Program Compliance Report (PCR). This report is sent to the agency's Executive Director, who is required to submit a detailed Corrective Action Plan (CAP) for any item which received a rating of less than passing, or as otherwise noted. Each CAP must indicate the following:

1. What specific actions will be taken to ensure compliance in the future?
2. Who will be responsible for implementing the corrective action plan?
3. When will the plan be implemented?
4. How will staff be informed of any policy or procedural changes?

Many items rated as "not passing" or "needs improvement" in the PCR may have been due to non-submittal of requested documents for review, and/or insufficient or lack of responses to exit interview questions. These issues may be rectified by the agency's subsequent submittal of documents and satisfactory responses to queries.

2. *Describe the results of your monitoring including any improvements.*

a. In PY2013, DHS-BESSD allocated a total of \$162,141 for Homelessness Prevention and Rapid RE-housing (HPRP) financial assistance and housing relocation/stabilization services, as well as for provider administrative costs. HPO conducted site visits to all three of the sub-recipient agencies which were awarded ESG HPRP funds:

- HPO visited HOPE Services Hawaii (HOPE) on February 5, 2014. Nine participant files were reviewed for compliance with State and Federal requirements including, without limitation, verification of income, identification documentation, homeless status, rent reasonableness, case management, grievance procedures, lease agreements, housing quality standards, type and amount of assistance. Program monitors also reviewed HOPE's program rules, agency policies and procedures, staffing, CoC participation, audit reports, security and confidentiality, and timeliness of reports and payment requests. There were three areas of concern: inconsistent inclusion of the release of information waiver; lead-based paint compliance verification; and signed/ dated residential plans. HPO has deemed HOPE Services' administration of the ESG HPRP satisfactory subsequent to corrective follow-up actions by the agency.
- On February 28, 2014, HPO visited Family Life Center (FLC) on Maui to conduct a site visit. The resultant one finding and three concerns were as follows: denial of assistance and termination forms were not specific to the program; verification of numbers reported in the files did not reconcile with HMIS reports; lead-based paint standards were not consistently checked; and untimely submittal of required reports and invoices. FLC has

satisfactorily addressed these in a corrective action plan submitted to HPO on April 15, 2014.

- HPO also conducted a site visit on March 12, 2014 to Kauai Economic Opportunity (KEO), as a sub-recipient of HPRP funds. HPO expressed immediate concerns that the monitoring process was prolonged due to difficulty in finding documents and information in participant files. The lack of consistency and organization in each file resulted in undue complications with the file review and was partially to blame for the subsequent seven “not passing” and three “needs improvement” ratings. As of this writing, KEO has not submitted a final corrective action plan. Consequently, payments on invoices for this program have been withheld until KEO take action to resolve the issues identified in the compliance report.
- b. In PY2013, DHS-BESSD allocated a total of \$158,188 of ESG funds for Shelter Operations and provider administrative costs. HPO conducted site visits to the following providers:
 - Child and Family Service, West Hawaii Domestic Abuse Shelter in Kona was monitored on October 15, 2013. The shelter staff were well-prepared for the site visit and were responsive to HPO’s follow-up requests. The three concerns and two findings were primarily due to internal forms which were missing specific language (i.e., grievance procedures – right to legal counsel, and DHS address not listed for final appeal). Subsequent provision of the revised documents and a corrective action plan by CFS satisfied the State/Federal regulations and contractual obligations. These actions allowed HPO to close the Program Compliance Review.
 - HOPE Services Hawaii, Kihei Pua Shelter, was visited by HPO monitors on October 30, 2013. The program compliance report identified several areas of concern, which resulted in thirteen “needs improvement” and four “not passing” ratings. Many of the issues were due to missing documentation in some participant files, including signed grievance procedures, house rules, and social services plans; incomplete HMIS records; non-submittal of staffing documents and required forms; and shelter/safety standards. HOPE Services Hawaii and HPO continue to work on finalizing the corrective action plan.

3. Self Evaluation

- a. *Describe the effect programs have in solving neighborhood and community problems.*

The participants served through the Emergency Solutions Grant program were provided with a safe refuge and a place to sleep as well as information and education to increase their independent living skills, child development and care, referrals for mental health and medical services, etc. The services help create opportunities to rebuild lives and provide a safe and nurturing environment.

The homeless in rural communities were provided shelter services which take them out of living in public spaces and creating public health issues within the community.

Domestic violence agencies funded by ESG provided shelter, food, clothing and support services to help victims and their families heal from the damages of abuse. Families were given opportunities to change their attitudes and regain belief in the future for a healthier sense of self and community. These services also helped make the community safer by reducing abuse and danger to lives.

The ESG HPRP program provided at-risk individuals and families with financial assistance and supportive services critical to circumventing current crisis and to remain housed. The funds also assisted homeless persons get back on their feet by giving them the opportunity to obtain and sustain housing, and providing them with supportive services to learn employment and life skills.

- b. Describe progress in meeting priority needs and specific objectives and help make community's vision of the future a reality.*

Many individuals and families served by ESG-funded shelters are affected by mental illness and/or are those who self-medicate with alcohol, marijuana and other drugs to cope with the stresses of everyday life. Many are recovering from domestic violence abuse, addiction to drugs and/or alcohol, and poverty. Left unsheltered, homeless persons are more likely to have increased rates of emergency room visits and extended hospital stays and arrests, thereby draining valuable community resources. ESG funding positively impacts the community by providing each agency the resources which enable them to assist these homeless individuals with a safe place to sleep, food, clothing, hygiene products, and supportive services. Oftentimes the relationships developed between shelter provider and participant are critical in paving the way to more permanent housing.

The State continues to make exceptional progress in meeting its ESG Program objectives. The State is thus far meeting or exceeding the goals set forth in the program year. The narratives and charts included in this CAPER provide details and an assessment of the ESG accomplishments during this reporting period.

- c. Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.*

Agencies funded through ESG are providing services through emergency shelters and domestic violence shelters. Participants are referred to or provided with life skills classes including budgeting and saving; job skills training; mental/physical health care; housing search and placement assistance; and many other supportive services integral to obtaining and maintaining independent living. Participants who have left situations of domestic violence are usually ill-equipped to live independently or maintain a single parent household. The participants that enter the programs are provided with a safe and nurturing environment, as well as assisted to develop individual goals to increase their ability to become self-sufficient and

maintain long-term housing stability. Domestic violence shelters are located in peaceful, secure areas, where access is strictly regulated for safety purposes.

All other non-domestic violence ESG-funded agencies provide clean, comfortable living areas for the homeless, situated on the islands of Kauai, Maui, and Hawaii. Many are located in areas convenient to schools, libraries, employment, stores, and medical offices. Each have programs to address a variety of needs such as employment, financial and life skills. The primary objective is to provide a clean and safe environment for the homeless to seek refuge and recovery from homelessness.

Agencies funded by ESG often work closely with the county offices which manage the Section 8 programs. These partnerships provide the housing staff with the knowledge and resources to ensure that all housing units meet HUD's housing quality and rent reasonableness standards. Those served are afforded access to safe, sanitary and decent units, and the realization of attaining permanent housing.

d. Indicate any activities falling behind schedule.
Not applicable.

e. Describe how activities and strategies made an impact on identified needs.

The State's goals for PY2013 were to provide 1,430 safe nights of sleep through emergency shelters on Maui and Hawaii; 2,204 safe nights were provided. The state funded domestic violence emergency shelters on Hawaii, Kauai, and Maui with the goal of providing a safe refuge and place to sleep for 750 adults and children; 797 were served.

f. Identify indicators that would best describe the results.

Please review the Performance Measures Models on Chart 3, Appendix **A**.

g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.

Barriers to program improvement include the following:

- Hawaii continues to have one of the highest cost of living rates in the nation, especially in relation to the housing market, resulting in a severe lack of affordable housing.
- Many more people from outside the State are seeking assistance through emergency shelters in recent years, especially immigrants that come to Hawaii through the Compact of Free Association.
- Many out-of-state homeless individuals come to Hawaii with no plan for housing and are unfamiliar with the high costs of rent, food, transportation and other needs.
- Shortage of jobs providing liveable wages.
- Negative community attitudes and biases toward homeless individuals.
- Participants lack marketable job skills.
- Participants lack support network (family/friends).
- Participants often have severe mental health and substance abuse issues.

- Substantial decreases in other funding sources resulted in budgetary constraints.
- Challenges to communication across the islands.
- Staffing cutbacks and turnover.
- Transient nature of the homeless. Cannot set up social services plan and work consistently with many of them.
- Poor history (criminal, rental and financial) prevents housing applications from being successful.
- Many homeless who have drug and/or alcohol dependency and mental health issues experience great difficulty finding and maintaining safe and affordable housing.
- Closed or long waitlists for subsidized housing impact opportunities for shelter participants to transition into affordable housing.
- Lack of safe, affordable childcare.
- Severely limited public transportation options in the rural counties.
- Most homeless participants with limited or no income and ongoing financial problems have no one to co-sign rental agreements required by most housing providers.

h. *Identify whether major goals are on target and discuss reasons for those that are not on target.*

Major goals are on target.

i. *Identify any adjustments or improvements to strategies and activities that might meet your needs more effectively.*

With the current spreadsheet format for capturing performance and financial statistics, agencies are able to enter data each quarter after which year-to-date totals are automatically calculated. With the fourth quarter data entered, the annual total is calculated without any additional input. This format has provided a more efficient tool for reporting by the agencies, as well as for the State to utilize the information for the CAPER. The spreadsheet data is compared to data captured in HMIS to ensure accuracy. The spreadsheet has also recently been updated to capture data in line with the requirements of the Integrated Disbursement and Information System (IDIS). In an effort to streamline reporting, HPO has implemented the ESG HPRP database of HMIS to capture comparable data. HPO intends to transition these statistics into the IDIS Online reporting tool in the near future.

Over the past year, HPO has made progress in educating the public about best practices in the field of homelessness. Housing First as a model for housing and serving the chronically homeless is better understood by the Legislature and by the general public. The securing of a Housing First grant from SAMHSA by the State Department of Health has brought additional national resources to our community. For example, Dr. Sam Tsembaris, developer of the Pathways Housing First program, spent a week in Hawaii in February, 2014 presenting large-scale community training for the service community and for the Hawaii Interagency Council on Homelessness. In addition, Dr. Tsembaris and his colleague met with the media to provide a basic common understanding of Housing First and its benefits. Our community is better informed about evidence-based practices.

In addition to Dr. Tsembaris' intervention, our community has benefitted greatly from technical assistance provided by the Department of Veterans Affairs and HUD. Honolulu has the second highest rate of chronic homelessness per capita of cities across the country. As such, Honolulu was invited to participate in a HUD/VA joint effort called the "25 Cities Initiative." This initiative, coupled with Rapid Results Boot Camp, has brought significant technical and practical assistance to our state. Driven mainly by the Continuum of Care for Oahu, Partners in Care, our system has chosen a common assessment tool (VI-SPDAT) and is prioritizing the homeless individuals with the highest need for placement in permanent supportive housing. Nationally developed tools such as the PMCP (Performance Management Communication Platform) are being loaded with information that will help match the homeless individuals to the resources they need. Coordinated entry into the homeless system and matching with appropriate resources will soon be the norm. And while these advances in practice are being piloted on Oahu, the neighbor island providers, through Bridging the Gap, have been kept informed and have purposefully watched the process unfold with the knowledge that a coordinated entry system for their CoC must also be operationalized.

Data-driven performance standards are being updated and refined. Further, HPO is developing a means to transform State funding to more closely reflect performance standards and performance based funding as does HUD. While these systemic changes will take time to fully implement, the mechanisms to achieve and maintain progress have been executed.

HOPWA Program

1. Describe how and the frequency with which you monitored your activities.

Onsite monitoring is done every other year, and desk monitoring is an ongoing monthly activity. The monitoring includes a review of independent financial audits, client eligibility documentation, review of monthly expenses for tenant-based rental assistance and supportive service, and verification of reported expenditures and persons served. The desk monitoring includes a review of each of the payment requests and documentation to justify payment. The DHS-BESSD program specialist documents any areas of noncompliance and details corrective action needed through written correspondence, telephone interviews, and by e-mail.

2. Describe the results of your monitoring including any improvements.

During PY2013, DHS-BESSD did not conduct on-site monitoring of Maui AIDS Foundation (MAF). The State plans a site visit to the agency in Program Year 2014. Desk monitoring showed no areas non-compliance that required corrective action for PY2013.

3. Self Evaluation

a. Describe the effect programs have in solving neighborhood and community problems.

NIHP has successfully assisted persons with AIDS to maintain stable, decent housing within the community at large. NIHP continues to be successful in

having individuals and families maintain contact with their case manager and with a primary health provider, and develop a housing plan to maintain or establish stable on-going residency. The program preempts homelessness, which is a major community problem and ensures better health outcomes for homeless persons with HIV/AIDS.

- b. *Describe progress in meeting priority needs and specific objectives and help make community's vision of the future a reality.*

Most HOPWA beneficiaries have limited incomes derived from welfare (State Financial Assistance), SSI and/or SDI. Given the high cost of housing in Hawaii, the majority of the participants served would not be able to find or maintain decent housing and a suitable living environment without HOPWA assistance. Access to collaborative services through the case management provided by the NIHP is critical to addressing the ongoing health, medical and housing needs of the participants. Healthy communities with access to healthcare and housing are a current and future community vision.

The most significant achievement for PY2013 was the ongoing housing stability and health care accessibility at a time when government agencies and private donors were cutting back on funding. With the mortgage crisis came foreclosures and short sales which not only put stress on the homeowners but on the renters as well. Rental costs have gone up due to the upsurge of renters, including previous homeowners now forced to rent. Despite this turmoil in the housing market, NIHP has continued to successfully accommodate HOPWA program participants.

As stated earlier, the scarcity of HIV primary care support in the islands, including medical, dental, nutritional and mental health care, has also raised concerns among persons living with HIV/AIDS (PLWH/A) and supportive care providers. To contend with this predicament, MAF retains an HIV/AIDS specialist doctor in-house who sees clients five days a week. In addition to providing health services, MAF provides participants with one-stop access to address transportation, nutritional and housing needs.

Gas prices in the County of Maui are some of the highest in the nation. To combat this dilemma, some ASO's issue monthly bus passes or gas cards to help keep program participants mobile and employed.

The NIHP has consistently surpassed the housing stability rate of 90%. The availability of funding and services provided through the grants as well as the dedication, commitment and hard work of the staff (including case managers and housing coordinators) contributed to the achievement and maintenance of the high stability rate. NIHP has continued to assist households to establish or better maintain a stable living environment in housing that is safe, decent and sanitary, reduced the risks of homelessness, and improved access to HIV treatment and other health care and support.

- c. *Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.*

Through the HOPWA funding, the NIHP continues to provide an increasing number of individuals and families living with AIDS with rental assistance, housing information, supportive services and short term rental and utility

assistance. These critical services have supported those living with AIDS to maintain permanent housing and increase access to health care, thereby increasing their ability to live independently.

d. Indicate any activities falling behind schedule.

Not applicable. All activities are meeting or exceeding expectations of the 5-year plan. Tenant based rental assistance has been a challenge due to the shortage of affordable housing in Hawaii, especially in the counties of Maui, Kauai and Hawaii; however, is on track to attain the 5-year output goal.

e. Describe how activities and strategies made an impact on identified needs.

During PY2013, 23 households received HOPWA housing subsidy assistance, in the forms of tenant-based rental assistance, short-term rent, mortgage and utility assistance, and permanent housing placement services. For those with HIV/AIDS, housing stability is a vital component to health maintenance and survival. For these 23 households, housing stability was made a reality through this program.

An additional 438 individuals and their families received supportive services without HOPWA housing assistance. These people received assistance in accessing services to increase their ability for self-sufficiency.

f. Identify indicators that would best describe the results.

Please review the Performance Measures Models on Chart 3, Appendix A.

g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.

- Diminished resources and rising costs: While the population of people living with HIV/AIDS has grown, funding has decreased due to the distressed economy.
- Challenges to communication across the islands.
- The fast-changing HIV/AIDS environment that includes unmet needs for mental health assistance, nutrition and socialization opportunities.
- Lack of community awareness of the immense challenges of HIV/AIDS.
- Lack of some medical services in the targeted communities which requires clients to travel to Honolulu for treatment and sometimes remain there for one month or more.
- High rents in the counties of Hawaii, Kauai and Maui continue to exceed Fair Market Rents: the rent determination and fair market rents need to better reflect the true market rents experienced in the region. There have been considerable fluctuations of market rents in certain areas of each island. As a tourist economy, Hawaii rents can vary by the season and the number of visitors. It is challenging to adequately house clients in modest facilities.
- Co-morbidities, including substance abuse, mental illness, and domestic violence continue to complicate and aggravate housing stability and access to health care for persons living with HIV/AIDS.
- Lack of available HIV primary care in the counties of Hawaii, Kauai and Maui.
- Low availability of public transportation and the high costs of existing means of transportation.
- HIV stigmatization in the general population.

- Decreased sense of urgency among those at high and highest risk for HIV infection.
 - Insufficient staff capacity and administrative support. The substantial amount of reporting requirements and the cost of administration are on the rise while the amount of funding to pay for the same is diminishing.
 - Disparity of expectations for levels of service between clients and providers.
 - Continued misconception that HIV/AIDS does not affect the heterosexual community.
 - Increased belief among youths that HIV/AIDS is no longer a problem.
- h. Identify whether major goals are on target and discuss reasons for those that are not on target.*
- Major goals are on target.
- i. Identify any adjustments or improvements to strategies and activities that might meet your needs more effectively.*

Recommendations are as follows:

- Increase the level of communication between staff and clients and between agencies. Increase the travel of the Housing Director and the meetings of the collaborating agency Executive Directors to discuss issues of concern. Clear communication between collaborating agencies will help alleviate problems during program implementation.
- Clarify the needs that NIHP can and cannot meet for clients. Set boundaries, and give staff a firm foundation from which to make decisions about appropriate service delivery.
- The Executive Directors and Housing Coordinators throughout the rural counties will continue to address the high cost of housing and the nonexistent public transportation issues with other island providers. The Rent Determination and Fair Market Rents need to better reflect the market rents experienced in this region.
- Preservation of HOPWA funding should be incorporated in overall HUD regulations in order to assure and maintain adequate housing for individuals and their families living with HIV/AIDS.
- Explore low cost technological solutions to providing services across the islands, and set benchmarks to incorporate into routine use.
- Seek ways to make the HIV/AIDS service systems easier to navigate for the clients, and put more responsibility on those clients who are able.
- Increase the level of education that HIV/AIDS can still be fatal. NIHP has found that many youths feel that HIV/AIDS is no longer a problem, and believe there is a "cure."

Lead-based Paint

- 1. Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.*

During PY2013, the HPHA completed lead-based paint testing on 535 public housing units, resulting in 253 positive results and abatement on 21 units. Additionally, building exteriors were tested and are being abated as well as three trash chutes. Completion of abatement on the balance of units is scheduled during PY2014. HPHA approaches all modernization work with precautions to

treat the existing paint as containing lead, unless lead-based paint testing is conducted. Lead-based paint testing is included in all contracts awarded for repairs and renovations at all public housing developments, and abatement is being included as reports indicate they are necessary.

The County of Hawaii, through its Residential Emergency Repair Program, continues to conduct lead-based paint risk assessments on homes throughout the program year. Distribution of lead-based paint pamphlets to its RERP applicants is ongoing, and the County of Hawaii continued its efforts in implementing the State's lead-based paint regulations.

The County of Maui continues to assist in educating the public on the hazards of lead-based paint by providing informational material to Section 8 applicants, tenants and landlords.

HOUSING

Housing Needs

*Please also refer to the Housing Needs Table in the Needs.xls workbook.

1. *Describe Actions taken during the last year to foster and maintain affordable housing.*

Program Year 4 CAPER Housing Needs response:

The State continued to make available incentives for non-profit and for-profit entities to develop affordable housing. Incentives include low-interest loans, low income housing tax credits, and exemptions from the state's general excise taxes. HHFDC ensured that the organizations are aware of the benefits of the various state programs and of how equity may be obtained for affordable rentals.

Specific Housing Objectives

1. *Evaluate progress in meeting specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.*
2. *Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.*

The State is making progress in meeting its decent housing objectives. The majority of the HOME activities/projects are multi-year funded. As such, the outputs and outcomes achieved during this reporting period are largely based on the commitment and expenditure of prior HOME fund allocations for existing project/activities. Please refer to Appendix A, Chart 1, for details on activities during PY2013.

Rental Housing

For Objective HR-1, the promotion of decent, affordable housing through the construction of affordable rentals, the State anticipated the completion of

10 units, but completed 36 units in the Na Kahua Hale O Ulu Wini project in the County of Hawaii, 2 units of which are HOME-assisted. When all phases are completed, the project will provide 24 transitional units and 72 affordable rental units, 12 of which will be HOME-assisted.

For Objective HR-2, tenant-based rental assistance, the State anticipated that approximately 20 households would be assisted. The County of Hawaii's Tenant-Based Rental Assistance Program served a total of 24 households.

For Objective HR-3, constructing affordable rental housing for special needs populations, the State anticipated the completion of 54 units during the program year, but none were completed. However, the Mohouli Heights Senior Neighborhood project in the County of Hawaii is under construction and is expected to produce a total of 60 affordable rental units for seniors, and the County of Kauai is progressing on the development of its Rice Camp Senior Housing project, expected to produce another 60 senior units when completed.

For Objective H-1, development (new construction or acquisition/rehab of existing buildings) of new transitional housing units to assist victims of domestic violence or the working homeless, the State anticipated the completion of five units during the program year, but none were completed. However, the acquisition of two properties in the County of Kauai was completed, and rehabilitation started in PY2012. One of the properties will be subdivided to provide two units, resulting in a total of three housing units when completed.

Homeownership

For Objective HO-1, the State had anticipated that 10 affordable for-sale housing units would be completed during the program year, but none were completed or anticipated. However, the re-sale of one unit acquired by the County of Kauai in the Kamamalu Condominiums project (County of Kauai) was completed.

For Objective HO-2, providing project development funds to carry out projects that produce affordable for-sale housing using a self-help building method, no units were anticipated for completion during this program year; however, ten units in the Hawaiian Paradise Park Self-Help project, Phase 2 (County of Hawaii), were completed in this program year.

For Objective HO-3, providing downpayment/closing cost assistance, loan guarantees and gap loans through various county programs, three first-time homebuyers received loans under the County of Kauai's homebuyer loan program during PY2013.

For Objective HO-4, providing homebuyer education and counseling sessions to increase homeownership opportunities, the State had estimated 4 sessions to be conducted annually. During PY2013, the counties referred interested clients to approved housing counseling agencies for homeownership education and counseling sessions. Although the County of Kauai conducted some homeownership educational sessions, other sources of funding (not HOME) were used to cover related expenses. Homeownership education continues to be an important factor for increasing homeownership opportunities; however, to focus limited HOME funds on the production of affordable housing units, future educational sessions provided by the counties will be supported with other sources of funding.

Households in the following income groups and racial and ethnic groups benefited from these rental housing and homeownership activities:

Assistance by Income Group:					
Objective	<30%	>30% and <50%	>50% and <60%	>60% and <80%	Totals
HR-1	2	0	0	0	2
HR-2	24	0	0	0	24
HR-3	0	0	0	0	0
H-1	0	0	0	0	0
HO-1	0	0	0	1	1
HO-2	2	7	0	1	10
HO-3	0	0	0	3	3
HO-4	0	0	0	0	0
Total	28	7	0	5	40

Assistance by Racial and Ethnic Group	Renter		Owners		Totals
	H/L	NH/NL	H/L	NH/NL	
•White	0	5	0	1	6
•Black/African American	0	0	0	0	0
•Asian	0	1	0	4	5
•American Indian/Alaska Native	0	2	0	0	2
•Native Hawaiian/Other Pacific Islander	0	15	0	6	21
•American Indian/Alaska Native & White	0	1	0	0	1
•Black/African American & White	0	0	0	0	0
•Asian/White	0	2	0	0	2
•American Indian/Alaska Native & Black/African American	0	0	0	0	0
•Other Multi Racial	0	0	0	3	3
Totals	0	26	0	14	40

H/L = Hispanic or Latino, NH/NL = Not Hispanic or Latino

Please refer to Appendix C, Exhibit B: HOME Program - Completed Units for the Period of July 1, 2010 to June 30, 2015, which further identifies the specific HOME projects/activities completed during the reporting period, along with a comparison to the anticipated five-year goals.

3. *Describe efforts to address “worst-case” housing needs and housing needs of persons with disabilities.*

Program Year 4 CAPER Specific Housing Objectives response:

Efforts have been made to address the “worst-case” housing needs and housing needs of persons with disabilities through the construction of affordable rental housing for special needs populations. Although no senior projects were completed during the year, the Mohouli Heights Senior Neighborhood project and the Rice Camp Senior Housing project are expected in the coming years, and will help to address the need for low-income elderly households.

As a means of addressing the shortage of rental units available to support homeless with transitional housing needs, the County of Kauai has acquired two

housing units for transitional housing which are pending rehabilitation, one of which will produce two units and, when completed, will assist the working homeless transition to permanent housing.

Public Housing Strategy

1. Describe actions taken during the last year to improve public housing and resident initiatives.

During PY2013, the HPHA collaborated with resident associations, service providers, legislators, businesses, and various community members to improve the quantity and quality of the HPHA housing inventory.

Milestones achieved during this period include:

- Closed an eleven-year Corrective Action Order with the U.S. Department of Housing and Urban Development (HUD), which involved federal oversight in all areas including procurement, obligation of federal capital funds, and the operation of public housing and financial management.
- Fulfilled the Consent Agreement and Final Order by the U. S. Environmental Protection Agency which involved the converting or closing of all of HPHA's large capacity cesspools statewide.
- Improved statewide average occupancy in public housing to 98%.
- Amended "No Smoking Policy," Chapter 17-20208, Hawaii Administrative Rules.
- Continued infrastructure improvements. The HPHA continues to improve the housing delivery system through cost-effective management of federal and state government programs and resources. Over the last year, the Hawaii Public Housing Authority has been in the process of upgrading its Emphasys computer software, which is specifically designed for public housing authorities. The upgrade to the Elite program version will provide improved financial accounting and reporting and improved functionality in the management of over 20,000 client and applicant files.
- Improved the overall Real Estate Assessment Center (REAC) Scores at 95% of the HPHA's inventory, with the most significant improvements at Mayor Wright Homes (from 59 to 79) and the Waimaha Sunflower project (from 43 to 76).
- Act 159, Session Laws of Hawaii 2012, provided the HPHA with a temporary civil service exemption to renovate vacant units, and created the HPHA's "Special Teams." Through this effort, the HPHA has reduced its vacant unit turnaround time from triple digit days to seven days, completed 73 new rent-ready units, and renovated 26 kitchens/baths.
- Implemented crime prevention through environmental design features (such as the erecting of higher and stronger fencing, increasing property lighting in dark areas, installing security cameras, and building or enhancing security guard shack at property entrances/exits) throughout the HPHA's public

housing system. For example – Mayor Wright Homes, since March of 2012 has significantly increased the safety of residents: no murder, 84% less aggravated assault, 72% less nuisance complaints, 66% less auto theft, 50% less graffiti, drunk nuisance, robbery and property damage, and an overall 50% decrease in crimes reported to the Honolulu Police Department. These statistics are from HPD's reported offenses coming directly out of Mayor Wright Homes.

- HPHA secured a legislative appropriation of \$26 million in capital improvement funding from the state general funds to continue to make improvements to HPHA's statewide inventory of public housing properties.

HPHA was also able to secure the Legislature's and Governor's support in passing laws:

- Act 178, Session Laws of Hawaii 2014, requiring the return of a Housing Choice Voucher (Section 8) to the HPHA upon the death or removal from assistance of the last original household member or upon the youngest minor of the family reaching the age of twenty-one, or twenty-three if the minor is a full-time student. It also prohibits adding legal guardians to the household unless the legal guardian is also eligible for participation in the program.
- Act 91, Session Laws of Hawaii 2014, prohibiting smoking in and around HPHA federal and state low-income public housing.

In addition, HPHA and the Michaels Development Company worked on the second year of the Choice Neighborhoods Initiative Planning Grant process. WRNS Studios was chosen to prepare the Housing and Neighborhood program components for a Final Transformation Plan and, based on the data gathered and analyzed from all task force meetings, community charrettes, and comments collected at neighborhood meetings in reaction to the master of planning preferred concept that has been developed, the WRNS Studios design team provided the Kuhio Park Choice Neighborhoods Initiative Planning Grant Team with a Draft Report.

Highlights of the Draft Report include: charrette goals of the project; existing conditions with a photo survey of KPT low-rise, Kūhiō Homes, and the surrounding target neighborhood; the planning context – maps of the neighborhood component, and developed existing conditions/opportunities and constraints maps; project opportunities – i.e., connecting and unifying the property, retail development, public transit, open space and recreation, and joint use; charrette recommendations; and the Preferred Master Plan Concept. HPHA is in the process of reviewing the draft report.

Barriers to Affordable Housing

1. *Describe actions taken during the last year to eliminate barriers to affordable housing.*

Program Year 4 CAPER Barriers to Affordable Housing response:

The Action Plan for PY2013 proposed to take the following actions to address barriers to affordable housing. Actions taken during the program year are provided in *italicized* print.

Barrier: Lack of resources to develop affordable housing.

The State HHFDC, HPHA and DHS-BESSD advocated for increased state funding for affordable housing and homeless shelter / services and improvements. In 2014 the state legislature:

- *Appropriated capital improvement project appropriations to HHFDC for PY2014-2015:*
 - *\$5,000,000 infusion for the Rental Housing Trust Fund, Statewide;*
 - *\$7,000,000 infusion for the Dwelling unit Revolving Fund, Statewide;*
 - *\$7,832,000 for the Low-Income Housing Tax Credit Loan program, Statewide: and*
 - *\$4,000,000 under a construction grant-in-aid for the Hale Mahaolu Ewalu project, County of Maui.*
- *Increased HHFDC's Hula Mae Multifamily cumulative revenue bond authority from \$750,000,000 to 1,000,000,000. This will allow the agency to continue to provide financing assistance for affordable rental housing projects.*
- *Restored to 50 percent the allocation of the State's conveyance tax collections to the Rental Housing Trust Fund as of July 1, 2014. This is an increase of 20 percent over the previous year's allocation. The Rental Housing Trust Fund is used to provide gap equity financing for affordable rental housing projects.*
- *Authorized HHFDC to issue revenue bonds to assist in financing the development of infrastructure for land owned by an eligible developer for the construction of affordable housing projects. The bill also establishes cumulative revenue bond authority of \$125,000,000 for this purpose.*
- *Appropriated \$26,000,000 in capital improvement funding to HPHA for its continued improvements to its statewide inventory of public housing properties.*

DHS-BESSD also consolidated the CoC SuperNOFA applications for the rural counties for funding to meet underserved needs and provide technical assistance to improve outcomes. Applications included CoC Homeless Assistance Programs which addresses the needs of the chronically homeless. DHS-BESSD's application was successful with \$1,749,479 being awarded to provider applicants in the three rural counties. The State continues to meet with the Statewide CoC in an ongoing effort to provide technical assistance.

The State's Interagency Council on Homelessness has devoted one of their work groups to address the State's need for stable and affordable housing. Developers, service providers, the State and others interested and committed to affordable housing have come together to do work in this committee. The committee is currently pursuing a "pilot" project to develop single room occupancy units for the homeless, with hopes that this model for leveraged funding, expedited permitting, and granting of entitlements may be replicated in the future.

In order to increase the availability of permanent affordable housing as a core solution to homelessness, the State has begun the process of planned conversion of transitional shelter units from its inventory into permanent housing (transition in place) for homeless individuals and families. That process has begun with a provider on the island of Hawaii that received tenant-based vouchers and project-based vouchers from the County of Hawaii that allowed ten (10) transitional shelter units to be converted to permanent housing. The transition in place strategy will also be employed over the next year at one of the state-owned properties on the Leeward coast of Oahu.

Barrier: Land use controls and local governmental policies, such as zoning and subdivision ordinance, and impact fees, are enacted to protect and further the general welfare of the public. However, a complex regulatory environment also serves as a barrier to affordable housing by delaying development and increasing the cost of housing.

To address this obstacle, the Governor in September 2007 established the Affordable Housing Regulatory Barriers Task Force. The Task Force completed its review of state and county regulatory requirements and provided recommendations for solutions to achieve regulatory reform needed to help reduce the costs of affordable housing. A copy of the Report of the Governor's Affordable Housing Regulatory Barriers Task Force may be viewed electronically at:

<http://hawaii.gov/gov/leg/session-2009/reports/AffordableHousingRegulatoryBarriersReport.pdf>.

The Task Force introduced a package of legislative proposals for regulatory reform in the 2009 Session of the Hawaii State Legislature. One proposal was enacted by the legislature; Act 142, Session Laws of Hawaii 2009, provides the counties 90 days to accept or reject a request for public infrastructure dedication for affordable housing, or the infrastructure is deemed dedicated. Discussions on other task force proposals will continue in the next legislative session.

In addition, the County of Kauai's Housing Task force continues to meet monthly to "fast track" affordable housing projects that must undergo government approvals and permitting in order to develop new affordable housing units. During the reporting period no permitting occurred for any of the Housing Task Force projects.

HOME

1. Assessment of Relationship of HOME Funds to Goals and Objectives

- a. *Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.*

The HHFDC allows the Counties, as HOME program State Recipients, to utilize their share of HOME funds to address their respective housing needs. The funds must be used to address the housing priorities set forth in the State's Consolidated Plan, and the HOME project/program must be in compliance with HOME regulations. Progress was made during PY2013 toward meeting goals for providing affordable housing using HOME funds.

The following categories of persons were assisted with housing:

Number and Type of Families Served			
Assistance by Income Group	Renters	Owners	Totals
•0-30 Percent of Area Median	26	2	28
•30-50 Percent of Area Median	0	7	7
•50-60 Percent of Area Median	0	0	0
•60-80 Percent of Area Median	0	5	5
Totals	26	14	40

Assistance by Racial and Ethnic Group	Renter		Owners		Totals
	H/L	NH/NL	H/L	NH/NL	
•White	0	5	0	1	6
•Black/African American	0	0	0	0	0
•Asian	0	1	0	4	5
•American Indian/Alaska Native	0	2	0	0	2
•Native Hawaiian/Other Pacific Islander	0	15	0	6	21
•American Indian/Alaska Native & White	0	1	0	0	1
•Asian & White	0	2	0	0	2
•Black/African American & White	0	0	0	0	0
•American Indian/Alaska Native & Black/African American	0	0	0	0	0
•Other Multi Racial	0	0	0	3	3
Totals	0	26	0	14	40

H/L = Hispanic or Latino, NH/NL = Not Hispanic or Latino

2. *HOME Match Report*

- a. *Use HOME Match Report HUD-40107-A to report on match contributions for the period covered by the Consolidated Plan program year.*

Please refer to Appendix C, the HOME Match Report HUD-40107-A.

3. *HOME MBE and WBE Report*

- a. *Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).*

The number and dollar value of contracts with Minority and Women's Business Enterprises for HOME projects completed during this reporting period are reflected in HUD Form 40107 in Appendix C.

4. *Assessments*

- a. *Detail results of on-site inspections of rental housing.*

The HHFDC contracted with SPECTRUM Enterprises, Inc. to conduct on-site inspections of HOME assisted rental housing. Please see Appendix D for detailed results.

- b. *Describe the HOME jurisdiction's affirmative marketing actions.*

The HHFDC has evaluated the affirmative marketing actions of the Counties of Hawaii, Kauai and Maui as State Recipients under the State's HOME Program. The HHFDC has determined that the Counties have adequately complied with the applicable affirmative marketing procedures through the use of

commercial media, community contacts, the Equal Housing Opportunity logo/slogan, and display of the Fair Housing poster.

c. Describe outreach to minority and women owned businesses.

The Counties of Hawaii, Kauai and Maui as State Recipients under the State's HOME Program have each acknowledged their acceptance and utilization of the State's current MBEs/WBEs Outreach Program as follows:

- Solicitations for the procurement of services and property by the state recipients, subrecipients or other entities receiving funding under the HOME Program includes outreach efforts to the MBEs and WBEs (such as utilization of the State of Hawaii's Department of Transportation's Disadvantaged Business Enterprise Directory).
- Public notices of bids solicitation and requests for proposals include a statement that encourages participation by MBEs and WBEs.
- State recipients, subrecipients and other entities receiving funding under the HOME Program report annually on the type and number of contracts awarded, the names and addresses of the firms awarded bids, the dollar value of all contracts awarded, the dollar value of contracts awarded to MBEs and WBEs, names and addresses of MBEs/WBEs who submitted bids but were not awarded contracts, and the method of implementing the outreach requirements.

HOME data compiled during this reporting period reflects that one contract generated seven subcontracts for one HOME project completed during PY2013. Of the seven subcontracts, four were awarded to MBEs and one was awarded to a WBEs. The state remains confident that WBEs and MBEs will continue to be given opportunities to participate in the State's HOME Program.

The number and dollar value of contracts with Minority and Women's Business Enterprises for HOME projects completed during this reporting period are reflected in HUD Form 40107 attached in Appendix C.

HOMELESS

Homeless Needs

*Please also refer to the Homeless Needs Table in the Needs.xls workbook.

1. Identify actions taken to address needs of homeless persons.

The Hawaii Interagency Council on Homelessness (HICH) is comprised of state department directors, federal agency representatives, and community leaders whose mission is to adopt and implement a unified plan to end homelessness in Hawaii.

There are four goals in the HICH Plan that reflect alignment with the United States Interagency Council on Homelessness Plan. These goals (and a discussion of progress made) are:

1. Retool the Homeless Crisis Response System;
2. Increase Access to Stable and Affordable Housing;
3. Improve Health and Stability; and
4. Increase Economic Stability and Self-Sufficiency.

Goal 1: Retool the Homeless Crisis Response System

- a. From September, 2012-2013, HICH contributed to the 100,000 Homes Campaign by using a common assessment tool, surveying hundreds of individuals, and housing those with the highest needs.
- b. National experts and facilitators traveled to Hawaii to assist HICH in creation of a more effective homeless response system in October, 2013, February, 2014 and June, 2014. Rapid results Bootcamp efforts were well-received and implementation begun on systemic changes to homeless service systems. A common assessment tool (VI-SPDAT) was chosen and is being implemented widely on Oahu, with additional utilization planned for the neighbor islands.
- c. Honolulu, Hawaii has the second highest rate of chronic homelessness per capita of cities across the nation. HICH members were invited to participate in the 25 Cities Campaign in Washington DC in March 2014, an initiative driven by the VA and HUD.
- d. Creation of a coordinated entry system for the island of Oahu was begun with the technical assistance and resources garnered from HUD and the VA. This effort was named "Hale O Malama". The state is now in the process of utilizing the Performance Management and Communication Platform (PMCP) designed to assist the 25 Cities with coordinated entry and service prioritization.
- e. Hale O Malama was formerly adopted by HICH in July, 2014 as the working group to address the Retooling of the Homeless Crisis Response System.

Goal 2: Increase Access to Stable and Affordable Housing

- a. HICH's work is aligned with national initiatives that focus on permanent supportive housing for those with high levels of acuity, rapid rehousing into permanent housing for those with mid-range levels of acuity and mainstream resources for those with lower levels of acuity.
- b. Reduce cost of construction for rental housing.
 1. The Legislature created a Homeless Assistance Working Group (HAWG) that offered suggestions on homeless resources. Honolulu Community College was granted funds to design and build livable housing by using a shipping container (low cost housing). The University of Hawaii School of Architecture is designing micro units that will be built in partnership with the Hawaii Housing Finance Development Corporation (HHFDC).
 2. HICH supported an increase in funding to the Rental Housing Trust Fund to build affordable housing.

- a) the state Legislature increased the conveyance tax from 30% to 50% for deposit into the Rental Housing Trust Fund.
- b) the state Legislature appropriated additional Capital Improvement Funds (CIP) for HHFDC.
- c. Developing additional affordable housing initiatives.
 - 1. Objective is to build affordable housing for those at or below 60% of Area Median Income (AMI).
 - 2. Work with the Public Housing Authority to develop housing and better coordinate the use of public housing units and Section 8 vouchers to address the goal of ending homelessness.
 - 3. On-going work with the City and County of Honolulu to implement Transient Oriented Development, the City's Housing First Program, and other Oahu-based interventions.

Goal 3: Increase Health and Stability

- a. The state's Department of Health applied for and was granted funds (\$2.1 million over three years) from the Substance Abuse Mental Health Services Administration (SAMHSA) to implement the Hawaii Pathways Project (HPP). This project will operationalize the Pathways Housing First Model (S. Tsemberis) with fidelity. This grant provides funding for the intensive support services (and Assertive Community Treatment team) to keep chronically homeless with substance abuse and/or co-occurring disorders stably housed.
 - 1. Dr. Tsemberis and colleague came to Honolulu to provide training to the selected contractor, HICH members, and the larger community on the Pathways Housing First model, and evidence based practice.
 - 2. SAMHSA grant to operate in conjunction with State of Hawaii's Housing First program (start date June 27, 2014).
 - 3. Together these two programs and the implementation of the Coordinated Entry System (Hale O Malama) will ensure that those chronically homeless with the highest needs are prioritized for service.
- b. New alliances being built to address health care and service coordination.
 - 1. Among the new partners involved with the HICH are: hospitals, emergency rooms and health care systems (Hawaii Pacific Health); Medicaid; Adult Mental Health Division; Alcohol and Drug Abuse Division;
 - 2. Coordinated outreach and assessment will require greater collaboration among all service providers, but will ensure that duplication is reduced, and service prioritization continues.

Goal 4: Increase Economic Stability and Self Sufficiency

- a. State Legislature passed and Governor signed law to increase minimum wage in Hawaii.
- b. Use data from HMIS to create a profile of the homeless and their needs relating to employment, training, education, and housing affordability.
- c. Increase collaborations with Department of Human Services, Department of Labor and Industrial Relations, and City and County of Honolulu to increase access to employment and related services. For example, the Hawaii Community Development Authority (HCDA) has partnered with the Next Step Shelter to create a job training program and offer of housing deposits for those who successfully complete training.

The membership of the HICH has included mayors of the three rural counties. Additionally, the HICH has also had significant contributions from other neighbor island representatives, including various members of Bridging the Gap on housing-specific work groups.

2. Identify actions to help homeless persons make the transition to permanent housing and independent living.

All agencies that are contracted to provide shelter and services must also work with the clients toward permanent housing and independent living. As such, a client assessment and program plan must be completed within the first two weeks of contact to set goals and objectives to achieve permanent housing. Agencies facilitate clients in achieving access to jobs, job training, basic educational goals, access to mainstream resources, application to subsidized housing, budgeting/life skills, drug rehabilitation, etc.

Furthermore, the State has continued the Housing Placement Program to assist in transitioning homeless families into permanent housing. The service provides housing counseling, deposit/first month's rent assistance, landlord cultivation, rental unit damage insurance, and landlord-tenant intervention. The program is funded through the TANF program and is available in three counties.

The State has implemented and successfully sustained HUD's ESG Homelessness Prevention and Rapid Re-Housing program in the three rural counties. The program has prevented many who were hovering on the brink of homelessness to stay housed. It has also assisted the newly homeless to quickly get back into permanent housing.

The State continues to effectively administer HUD's CoC Homeless Assistance Programs which provide rental assistance and supportive services to those with serious mental illness, or co-occurring serious mental illness and substance abuse or other disabling conditions.

3. Identify new Federal resources obtained from Homeless SuperNOFA.

The State of Hawaii received renewed and new program funding totaling \$1,749,479 for the PY2013 Continuum of Care Homeless Assistance programs (formerly known as Shelter Plus Care and Supportive Housing Program).

Specific Homeless Prevention Elements

1. *Identify actions taken to prevent homelessness.*

The State completed its eighth year of housing placement activities. Initially, the Housing Placement Program was funded with TANF funding. However, in PY2012, State general funds were utilized for this program, though it continues to be referenced as a “TANF” program, so that the restrictive use by TANF-eligible families is made clear. The service provides housing counseling, deposit/first month’s rent assistance, landlord cultivation, rental unit damage insurance, and landlord-tenant intervention. The program helps holders of Section-8 vouchers to find appropriate rental units and maintain the unit for the long term. The program is especially helpful in getting landlords to accept clients who do not have good credit histories and attain and retain permanent housing. Due to its success, Housing Placement was expanded to three counties.

The State Homeless Emergency Grants Program (SHEG) provides funds to those who have an emergency bill or other financial need that threatens their ability to pay rent and has been a mainstay of the prevention program. The State Legislature continued to support funding for this program for PY2013. Currently, the administrative format allows agencies to provide aid up to twice per year and also broadens eligibility for items that encourage employment (such as specialized program equipment for work).

Emergency Solutions Grants (ESG)

1. *Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).*

The following actions support the priority needs identified in the gap analysis table wherein emergency shelter, transitional shelter and permanent housing are all high priority need areas as well as victims of domestic violence.

HP-1: Provide operations funding to four providers of emergency shelter for the unsheltered (Kauai, Maui and Hawaii).

HP-2: Provide operations funding for three emergency shelters for victims of domestic violence (Hawaii, Maui).

HP-4: Agencies funded by ESG will include transitioning homeless persons into permanent housing as an integral activity (Hawaii, Kauai, Maui).

2. *Assessment of Relationship of ESG Funds to Goals and Objectives*

a. *Evaluate progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.*

The use of ESG funds to address homeless and homeless prevention needs and goals are on target with regard to the specific objectives identified in the Consolidated Plan.

- b. *Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.*

ESG funds provide the immediate first-response that is vital to any jurisdiction's comprehensive planning strategy to end homelessness. ESG funds were used to help three emergency shelters for victims of domestic violence, for whom a shelter provides a life-saving haven to escape abuse and a place to stabilize and transition to safe housing accommodation. ESG funds were also used for operations of four emergency shelters, all of which address the very basic life and health preservation needs of people who experience homelessness. Services are delivered to deter life threatening situations and facilitate transition into more stable housing.

On the Island of Hawai'i:

HOPE Services Hawaii far exceeded their objectives with the Kihei PUA Emergency Shelter in Hilo and the West Hawai'i Emergency Housing Facility in Kona. The combined effort resulted in sheltering 528 persons in total (goal: 300) and transitioning 200 persons to permanent housing (goal: 102). HOPE Services was able to maximize its resources by leveraging other funding sources to provide emergency shelter and linkages to essential services for unsheltered homeless individuals and families. Increased referrals include the following services:

- Neighborhood Place of Puna, providing support to families to prevent Child Welfare Services intervention;
- Mental health case management, providing support to individuals and families living with severe and persistent mental illness; and
- Work Force Development Divisions' ICan, providing employment readiness training.

While major goals have been met, HOPE Services reports continued difficulties with participants' lack of sustainable income. They often rely on public benefits to survive, but are not enough to support fair market rents. Those who are fortunate enough to be on the waitlist for rent-controlled units are unable to obtain the rent subsidies within the six-week program period.

HOPE Services utilized their ESG HPRP funding to rapidly re-house 71 homeless persons in permanent housing, exceeding their goal of 50. HOPE Services reported that many households were able to contribute more towards their housing costs, thus allowing the agency to assist more than projected. The ESG HPRP funding allowed HOPE Services to significantly impact the community by reducing the number of nights that the homeless spend on the streets, decreasing the number of bed nights in emergency shelters, increasing landlord network by providing housing stabilization, and reducing the number of households becoming homeless through prevention strategies. The most significant barriers HOPE Services regularly encounters are the lack of access to subsidized housing and educational/employment skill-building opportunities. Without access to affordable housing and the ability to obtain higher education or meaningful employment training, the agency finds it challenging for their population to obtain and maintain living wage employment. Additionally, the lack of funding for adequate staffing

created difficulties in providing ongoing case management to households after they are placed in permanent housing.

Child and Family Services (CFS)' Hale Ohana Domestic Abuse Shelter provided shelter to 297 women and children, exceeding its goal of 285 persons. CFS Hale Ohana transitioned 72 persons to permanent housing, missing its projected goal to transition 110 individuals. The West Hawaii Domestic Abuse Shelter was unable to attain their goal of sheltering 215 individuals but provided shelter to 181 participants. The agency transitioned 23 individuals to permanent housing, exceeding their goal of 21. The biggest barrier facing the overall vision of CFS is the lack of affordable housing and living wages. Many of their clients have no source of income besides welfare benefits, so are limited in their ability to afford basic necessities such as food, supplies, clothing and housing. There are long waitlists for low-income housing and government housing vouchers (currently, the waitlist for Section 8 vouchers date back to 2008). From their first contact with the shelter hotline to the intake into the program, shelter staff engage the resident with developing a safety plan that meets their individual needs. As residents attend support groups and are educated on the dynamics of domestic violence, there is even more planning surrounding safety and how to navigate within the community while maintaining their plan. CFS is making progress in providing more supportive services with regard to transitional and affordable housing to increase the number of successful transitions out of the shelter program. Another area in which CFS is making positive changes is the provision of educational, interactive and supportive groups focused on children and parent involvement. Residents are also receiving more health support including tobacco prevention, mental health needs, substance abuse and nutrition education..

On the Island of Kauai:

At Kauai Economic Opportunity's (KEO) Mana'olana Emergency Shelter, 222 persons were provided shelter, missing the projected goal of 260 persons. Six participants transitioned to permanent housing, missing their goal of 40. KEO continued to provide the only emergency shelter services on the island of Kauai, with meals, bathroom facilities, bedrooms, laundry facilities, security, and ADA accessibility. Homeless individuals and families were assisted with case management services; development of individual service plans identifying short-term goals and objectives to move them to temporary transitional or permanent housing; and to obtain employment as they work toward self-sufficiency.

KEO successfully surpassed its goals for the ESG HPRP program. The agency provided 27 households with assistance for homelessness prevention against its goal of 8. KEO narrowly missed its rapid re-housing goal of 27 persons, and provided 22 homeless participants with rapid re-housing assistance.

The agency continued the Ready to Rent training program to prepare participants to become successful renters. The County's Section 8 office will take new applications for rent subsidies this August, and the expectation is that more homeless individuals and families will be able to obtain housing vouchers. KEO is working hard to partner with other agencies in the community to obtain security deposits and rental assistance once the ESG funding expires.

On the Island of Maui:

Ka Hale A Ke Ola Homeless Resource Center (KHAKO) provided shelter to 907 persons, surpassing their goal of 365 persons. 532 persons were served at KHAKO's central location in Wailuku, and 375 persons were served on the west side of Maui in Lahaina. Of the total number of participants, 67 were transitioned to permanent housing, leaving KHAKO unable to attain their projection of 105. KHAKO continued to provide required programs to assist participants in becoming more employable. Once employed, these clients may become eligible for KHAKO's Rental Assistance Program which provides financial assistance and case management for up to a year. Other classes offered include proper care of their living area; money management; family treatment classes for substance abuse issues; and landlord/tenant codes.

Family Life Center (FLC) Ho'olanani Emergency Shelter exceeded its goal of serving 505 persons, by assisting 547. FLC transitioned 86 persons into permanent housing, surpassing their goal of 70. They utilized other resources including housing and rental assistance programs to facilitate movement of clients into permanent housing that is safe, clean, and meets HUD's habitability standards. FLC has been successful in improving collaboration with other agencies in order to provide solutions to problems mutually shared. This collaborative effort has proved successful in allowing the community to be better served with coordinated and meaningful services.

Through the ESG HPRP funds, FLC was able to provide 23 homeless persons with rapid re-housing assistance, far surpassing its goal of 10. The agency also provided 47 at-risk individuals with homelessness prevention assistance, exceeding its goal of 3. Although there were many barriers to contend with, including the lack of affordable housing and clients with limited or no financial resources and poor credit history, FLC continued to work closely with landlords and other housing providers in their community. The ESG HPRP funds were instrumental in preventing homelessness and rapidly re-housing the homeless through financial support, including security and utility deposits, and short- and medium-term rental assistance. With this support, FLC was successful in assisting individuals access housing, thereby alleviating some of the stress on hospitals, public health and emergency services. However, FLC has found that additional support and time is needed to assist the unsheltered who have no income and are disabled. In order to sustain permanent housing, they require more assistance in acquiring benefits from mainstream resources.

Women Helping Women (WHW) provided emergency shelter and support services for 319 women and children fleeing actual or potential domestic violence, exceeding their objective to serve 250 persons. WHW transitioned 163 persons to permanent housing, exceeding their goal of 100 persons. An overwhelming majority of the women have little or no income at intake; only 5% of WHW participants report wage income in excess of \$20,000 per year, placing the shelter's population, by most metrics, in the low-income category. Almost 40% reported no income at all. Lack of financial resources is a major factor why victims of domestic violence choose to stay with their partners. The shelter addresses this problem in the short term by providing a safe place to stay.

In the long term, shelter staff work with residents to formulate personal goals and objectives, such as applying for government assistance programs, enrolling for classes, finding jobs, housing and more. Because obtaining permanent housing is not an easy task, WHW employs a dedicated in-house person who assists current and former residents with housing issues. High occupancy in terms of bed nights, as well as unique individual needs, has prompted some changes during the program year. The playground for children was replaced with a deck and outdoor furniture to allow for more comfortable and private spaces. There is discussion that another domestic violence shelter may be needed on the island of Maui due to high occupancy rates experienced this past year. Plans for a market research is currently in development.

3. Matching Resources

- a. Provide specific sources and amounts of new funding used to meet match as required by 42 USC 11375(a)(1), including cash resources, grants, and staff salaries, as well as in-kind contributions such as the value of a building or lease, donated materials, or volunteer time.*

DHS-BESSD received the following funds to address homeless needs and to prevent homelessness:

- \$13.6 million for the State Homeless Shelter Program (shelter and supportive services),
- \$1.8 million in additional TANF funds to supplement funding for shelter and support services for the state Homeless Shelter Program,
- \$2.2 million for the State Homeless Outreach Program (service to the unsheltered homeless including case management and the provision of medical care, food, living supplies, and referrals),
- \$500,000 for the State Homeless Grant and Loan Program (rental housing deposit and utility payment assistance),
- \$1.5 million for the Housing First Program,
- \$340,980 for the Emergency Solutions Grant Program,
- \$168,042 for Housing Opportunities for Persons with AIDS,
- \$2,431,724 for the state's Housing Placement Program (state TANF funds providing a wide range of supportive services to enable housing placement in affordable market rentals),
- \$1,994,028 for CoC Homeless Assistance Programs.

To satisfy the anticipated matching funds requirement, the State of Hawaii provided funds to agencies in the Counties of Hawaii, Maui and Kauai by contracting for services under the State Homeless Shelter Program and Outreach Program.

4. State Method of Distribution

- a. States must describe their method of distribution and how it rated and selected its local government agencies and private nonprofit organizations acting as subrecipients.*

The State received a formula allocation from HUD for ESG funds and requested pre-award authority from HUD in order to continue implementation of ESG projects without interruption.

The State solicited requests for proposals for PY2013 from emergency shelter providers in the three rural counties. DHS-BESSD's allocation of the grant amounts were based on the applicant's ability to satisfy two criteria: 1) each rural county should receive a portion of the funds for emergency or abuse shelters; and 2) grant allocations are prioritized in a manner that supports the continuum of care for the homeless population in a particular rural county. When competing proposals were received from various service providers with equally high priority needs, eligible proposals were measured for priority based on the agency's experience and capability, qualifications of personnel, proposed past service delivery, CoC participation, and financial viability.

5. *Activity and Beneficiary Data*

- a. *Completion of attached Emergency Shelter Grant Program Performance Chart or other reports showing ESGP expenditures by type of activity. Also describe any problems in collecting, reporting, and evaluating the reliability of this information.*

Please refer to Appendix E, Grantee Expenditure Table.

- b. *Homeless Discharge Coordination*

As part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster care or other youth facilities, or corrections institutions or programs.

ESG funds were not used for homeless at risk who were being released from publicly funded institutions.

- c. *Explain how your government is instituting a homeless discharge coordination policy, and how ESG homeless prevention funds are being used in this effort.*

The State's goal is that all public institutions will have discharge policies in place and that the discharge policy does not allow anyone to be discharged into homelessness. Through collaboration with the Hawaii Interagency Council on Homelessness (HICH), the state Department of Health's Adult Mental Health Division has a zero tolerance policy for homelessness for their consumers. Hence the Adult Mental Health Division (AMHD) has a Housing Office, which addresses housing needs of their consumers. Through the work of the Interagency Council, AMHD has been more involved in the homeless service arena and thus its work is better coordinated. AMHD's contracted homeless outreach providers across the state are not required to administer the common assessment tool (VI-SPDAT). Contributions to data collection via HMIS are also being arranged.

Additionally, housing is a high priority for the state Department of Public Safety in efforts to reduce recidivism. The Department of Public Safety recently created and filled the position of Re-entry Coordinator. Public Safety has recently executed two contracts with HOPE Services on the island of Hawaii to provide support services for re-entry of discharged prisoners on the east and west side of the island. These contracts total over \$200,000.

Discharge planning has remained a major focus for the HICH, and specifically includes the transition of young adults from the state foster care system and the discharge of patients from public and private hospitals. It is anticipated that additional progress will be made in these areas over the next year. During the 2013 Legislative session, the Department of Human Services (DHS) successfully advocated for an "extension" of foster care benefits for those young adults who are working or attending school for more than 20 hours per week to maintain their status in foster care. This legislation will enable foster families and foster youth to voluntarily continue to receive benefits from DHS and to allow for a more well-planned transition for these youths into full independence.

Hopital discharge planning is another area that continues to be of great concern. Through the work of the HICH, health systems such as Hawaii Pacific Health (HPH) have recently signed a Memorandum of Agreement with the HICH to contribute to data collection about the emergency room and other resources spent on the care of homeless individuals. Additionally, plans are in place for HPH provider hospitalos to integrate the VI-SPDAT in their emergency rooms or in their discharge planning process so that linkages to the homeless service system are made and discharges to the streets can be avoided.

Note: Activity and beneficiary data and information on the State's PY2013 ESG program was entered in IDIS on the ESG-only portion of the CAPER template. Screen shots of the ESG information are included in Appendix E.

COMMUNITY DEVELOPMENT

Community Development

(Not Applicable. The State does not administer CDBG.)

*Please also refer to the Community Development Table in the Needs.xls workbook.

1. *Assessment of Relationship of CDBG Funds to Goals and Objectives*
 - a. *Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.*
 - b. *Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.*
 - c. *Indicate the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate-income persons.*
2. *Changes in Program Objectives*

- a. Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.*
- 3. Assessment of Efforts in Carrying Out Planned Actions*
 - a. Indicate how grantee pursued all resources indicated in the Consolidated Plan.*
 - b. Indicate how grantee provided certifications of consistency in a fair and impartial manner.*
 - c. Indicate how grantee did not hinder Consolidated Plan implementation by action or willful inaction.*
- 4. For Funds Not Used for National Objectives*
 - a. Indicate how use of CDBG funds did not meet national objectives.*
 - b. Indicate how did not comply with overall benefit certification.*
- 5. Anti-displacement and Relocation – for activities that involve acquisition, rehabilitation or demolition of occupied real property*
 - a. Describe steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.*
 - b. Describe steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.*
 - c. Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.*
- 6. Low/Mod Job Activities – for economic development activities undertaken where jobs were made available but not taken by low- or moderate-income persons*
 - a. Describe actions taken by grantee and businesses to ensure first consideration was or will be given to low/mod persons.*
 - b. List by job title of all the permanent jobs created/retained and those that were made available to low/mod persons.*
 - c. If any of jobs claimed as being available to low/mod persons require special skill, work experience, or education, provide a description of steps being taken or that will be taken to provide such skills, experience, or education.*
- 7. Low/Mod Limited Clientele Activities – for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit*
 - a. Describe how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% of whom are low- and moderate-income.*
- 8. Program income received*
 - a. Detail the amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund.*
 - b. Detail the amount repaid on each float-funded activity.*
 - c. Detail all other loan repayments broken down by the categories of housing rehabilitation, economic development, or other.*
 - d. Detail the amount of income received from the sale of property by parcel.*

9. *Prior period adjustments – where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information:*
 - a. *The activity name and number as shown in IDIS;*
 - b. *The program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported;*
 - c. *The amount returned to line-of-credit or program account; and*
 - d. *Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.*
10. *Loans and other receivables*
 - a. *List the principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.*
 - b. *List the total number of other loans outstanding and the principal balance owed as of the end of the reporting period.*
 - c. *List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.*
 - d. *Detail the total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.*
 - e. *Provide a List of the parcels of property owned by the grantee or its subrecipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.*
11. *Lump sum agreements*
 - a. *Provide the name of the financial institution.*
 - b. *Provide the date the funds were deposited.*
 - c. *Provide the date the use of funds commenced.*
 - d. *Provide the percentage of funds disbursed within 180 days of deposit in the institution.*
12. *Housing Rehabilitation – for each type of rehabilitation program for which projects/units were reported as completed during the program year*
 - a. *Identify the type of program and number of projects/units completed for each program.*
 - b. *Provide the total CDBG funds involved in the program.*
 - c. *Detail other public and private funds involved in the project.*
13. *Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies*
 - a. *Describe progress against benchmarks for the program year. For grantees with Federally-designated EZs or ECs that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.*

The State does not administer Community Development Block Grants.

Antipoverty Strategy

1. *Describe actions taken during the last year to reduce the number of persons living below the poverty level.*

The State's strategy to reduce the number of poverty level families was to (a) meet basic subsistence requirements for those in need, (b) strengthen and preserve families, and (c) support self-empowerment.

The Department of Human Services continues to implement its policy that requires adults who are receiving financial assistance under the Temporary Assistance to Needy Families (TANF) or Temporary Assistance to Other Needy Families (TAONF) to participate in work programs. Non-compliance with the work program results in a full-household termination of assistance. Also, every work mandatory adult who has received assistance for at least 24 months must participate in a work activity. The adult must participate in an activity for each month he or she receives financial assistance after month twenty-four. Failure to do so will make the entire household ineligible.

NON-HOMELESS SPECIAL NEEDS HOUSING

Non-homeless Special Needs

*Please also refer to the Non-homeless Special Needs Table in the Needs.xls workbook.

1. *Identify actions taken to address special needs of persons that are not homeless but require supportive housing, (including persons with HIV/AIDS and their families).*

During this reporting period, no units for special needs populations were completed; however, the completion of the Mohouli Heights Senior Neighborhood project in the County of Hawaii, and the Rice Camp Senior Housing project in the County of Kauai, in the next few program years will help to address this need.

Specific HOPWA Objectives

*Please also refer to the HOPWA Table in the Needs.xls workbook.

1. *Overall Assessment of Relationship of HOPWA Funds to Goals and Objectives*
Grantees should demonstrate through the CAPER and related IDIS reports the progress they are making at accomplishing identified goals and objectives with HOPWA funding.

Grantees should demonstrate:

- a. *That progress is being made toward meeting the HOPWA goal for providing affordable housing using HOPWA funds and other resources for persons with HIV/AIDS and their families through a comprehensive community plan;*

As a part of the community planning effort, HOPWA has provided affordable housing for 23 participants and resources to access supportive services to 438 persons. NIHP actively participates in the COC of each county, which is the locally-based community planning organization focusing on affordable housing

and services for the homeless and at-risk homeless. Additionally, NIHP is a member of the Statewide Coordinating Council which is tasked with strategic planning for housing and services for persons with HIV/AIDS.

- b. *That community-wide HIV/AIDS housing strategies are meeting HUD's national goal of increasing the availability of decent, safe, and affordable housing for low-income persons living with HIV/AIDS;*

The NIHP is a unique model of providing housing and services to the homeless and at-risk homeless in Hawaii. The NIHP began as the Neighbor Island HIV/AIDS Coalition (NIHAC) comprised of the primary AIDS service organization on the rural counties of Maui, Hawaii and Kauai seeking to provide a collective voice to address the regional inequities that impact persons living with HIV/AIDS on these counties. The NIHP provided an avenue to build upon the NIHAC collaborative model. Through the NIHP, Maui AIDS Foundation, Malama Pono, Kauai, and the Hawaii Island HIV/AIDS Foundation share resources, experiences and strategies in order to respond to the housing needs across the three counties. The collaboration of the three counties maximizes the efficient delivery of housing and services, and moves resources where the need exists.

The commitment of the agencies in the NIHP to attend each of their respective county's CoC increases community awareness to address the service gaps in the continuum for those with HIV/AIDS. Along with the NIHP presence in the CoC, there is a collaborated effort with the State and County to aggressively develop affordable housing and increase the inventory for all, including units for those with special needs.

- c. *That community partnerships between State and local governments and community-based non-profits are creating models and innovative strategies to serve the housing and related supportive service needs of persons living with HIV/AIDS and their families;*

The State has required the project sponsor administering the HOPWA funds in the rural counties to attend and participate in the Continuum of Care on each of the respective counties. Through this participation, community based non-profits have been able to network with one another to provide comprehensive services such as: medical, housing, financial and other supportive services to encourage self-sufficiency.

- d. *That through community-wide strategies Federal, State, local, and other resources are matched with HOPWA funding to create comprehensive housing strategies;*

Through community-wide strategic planning efforts, resources have been provided by leveraging matches such as the Ryan White Care Act (\$286,694) and the Department of Health (\$783,246). The leveraged funds provide assistance for tenant-based rental assistance, supportive services in conjunction with housing assistance, supportive services not in conjunction with housing assistance, grantee administration (other than DHS-BESSD) and project sponsor administration.

- e. *That community strategies produce and support actual units of housing for persons living with HIV/AIDS; and finally,*

The participating agencies in the NIHP meet regularly to identify the funding sources available to support and sustain the affordable housing units in each of the rural counties. Through this collaborative effort, they are able to effectively plan and support the requests for funding for each of the agencies. The success of their efforts is apparent in the ongoing support for 23 units of housing for persons with HIV/AIDS and the services that are provided to the residents and families.

- f. *That community strategies identify and supply related supportive services in conjunction with housing to ensure the needs of persons living with HIV/AIDS and their families are met.*

Each of the participants in the NIHP (Maui AIDS Foundation, Malama Pono, and Hawaii Island HIV/AIDS Foundation) work collaboratively with other non-profit agencies on each of their respective rural counties, to provide the continuum of services for those with HIV/AIDS. Supportive services are integral to the long-term housing stability for client struggling with medical, social and economic issues.

- 2. *This should be accomplished by providing an executive summary (1-5 pages) that includes:*

- a. *Grantee Narrative*

- i. *Grantee and Community Overview*

- (1) *A brief description of your organization, the area of service, the name of each project sponsor and a broad overview of the range/type of housing activities and related services.*

DHS-BESSD administers state and federal funds for programs that target the homeless and at-risk-homeless persons. The overall goal is to offer homeless or at-risk-homeless persons an opportunity to stabilize health, housing and social issues for transition to permanent housing while maintaining long term economic independence and self-sufficiency.

The HOPWA project sponsor is the Maui AIDS Foundation (MAF), which administers The Neighbor Island Housing Program (NIHP), a collaborative housing program model that includes the Counties of Hawaii, Kauai and Maui. DHS-BESSD contracts with the MAF, which works in conjunction with the Hawaii Island HIV/AIDS Foundation and Malama Pono (Kauai) to provide affordable housing using HOPWA funds for low-income persons living with HIV/AIDS and their families in all of the rural counties of the State of Hawaii.

HOPWA funds are used to provide tenant-based rental assistance; rental deposit; supportive services in and not in conjunction with housing activities; and housing information and placement services to persons with HIV/AIDS. The collaboration of the three counties maximizes the efficient delivery of housing and services and moves resources where the need exists.

- (2) How grant management oversight of project sponsor activities is conducted and how project sponsors are selected.*

DHS-BESSD conducted a Request for Proposals to select project sponsors for expenditure of HOPWA funds in the Counties of Hawaii, Kauai, and Maui for PY2013. A committee of one government employee and representatives from each of the three rural County CoCs reviewed the proposals that were submitted. As a result of the proposal review, DHS-BESSD selected MAF, which partnered with Hawaii Island HIV/AIDS Foundation and Malama Pono (Kauai), to be the collaboration of project sponsorship.

Onsite monitoring is done every other year and desk monitoring is performed continuously. The monitoring includes a review of independent financial audits, client eligibility, review of expenses for tenant-based rental assistance and supportive service, verification of reported expenditures and persons served, and communication/training provided via telephone, e-mail and by facsimile. The program specialist documents any areas of noncompliance and details corrective action needed through written correspondence, telephone interviews, and by e-mail.

The MAF Housing Director travels to the counties to assess and assist Housing Coordinators on Kauai and the Big Island of Hawaii. The MAF will continue to build inter-agency/inter-island relationships, deepen client interaction, and offer supervision and assistance to Project Sponsor Partners, Housing Coordinators and other agency staff. Each of the Housing Coordinators must meet the qualifications as set by the respective agencies.

- (3) A description of the local jurisdiction, its need, and the estimated number of persons living with HIV/AIDS.*

Hawaii's total population is estimated at nearly 1.4 million. While Hawaii's poverty and unemployment rates are slightly lower than the U.S. average, Hawaii has some of the highest median monthly housing costs in the nation.

The State Department of Health's (DoH) HIV/AIDS Surveillance Program maintains active HIV and AIDS statistical data for the State of Hawaii. According to the DoH's most recent data report as of the end of 2012, there were 4,383 HIV infection cases diagnosed and reported in Hawaii, including 1,001 HIV (not AIDS) and 3,382 AIDS cases. Although not included in the NIHP, Honolulu County is the most densely populated of the island counties and reported approximately 72.7% of the state's total HIV/AIDS cases. The County of Hawaii reported 12.6%, followed by the County of Maui at 9.7% and the County of Kauai at 5% of people living with HIV/AIDS.

- (4) A brief description of the planning and public consultations involved in the use of HOPWA funds including reference to any appropriate planning document or advisory body.*

Planning and public consultation for the use of HOPWA funds occurs through the Consolidated Plan process and through the County Continuum of Care meetings. One area for growth was communication between staff and agencies. This challenge is being addressed through the efforts of the three Executive Directors of the primary AIDS service organizations who meet regularly to discuss matters of mutual concern and the particulars of NIHP. To assist in these matters, NIHP staff consults with the technical assistance provider, HUD Honolulu Field Office Representative, and DHS Program Specialist.

Additionally, the State facilitates the Statewide Coordinating Committee of AIDS service providers which meet regularly to discuss challenges and barriers to housing and services.

- (5) *What other resources were used in conjunction with HOPWA funded activities, including cash resources and in-kind contributions, such as the value of services or materials provided by volunteers or by other individuals or organizations.*

In PY2013, an additional \$2,271,958 in other resources was utilized:

Ryan White Care Act	\$286,694
Ryan White Housing Assistance	\$9,600
HOPWA SPNS Grant	\$451,957
Department of Health	\$783,246
Housing Choice Voucher Program	\$46,000
Gregory Housing	\$28,000
Dennis Dane Emergency Fund	\$7,846
Maui United Way	\$15,720
Ted Stafford	\$1,200
Poot Memorial Fund	\$91,882
Private Grants	\$9,589
Project Sponsor/Subrecipient Cash Resources	\$361,000
Resident Rent Payments by Clients Private Landlord	\$179,224
TOTAL	\$2,271,958

- (6) *Collaborative efforts with related programs including coordination and planning with clients, advocates, Ryan White CARE Act planning bodies, AIDS Drug Assistance Programs, homeless assistance programs, or other efforts that assist persons living with HIV/AIDS and their families.*

The participating agencies within the NIHP have been working with the State of Hawaii Department of Health. Leveraged funds are received from the Ryan White Care Act. The NIHP coordinates services for their clients with the AIDS Drug Assistance programs, DHS-BESSD, and the county Continuums of Care (CoC) for collaboration and input into the community planning efforts. Additionally, all funded agencies are required to participate in the State Homeless Management Information System.

ii. *Project Accomplishment Overview*

- (1) *A brief summary of all housing activities broken down by three types: emergency or short-term rent, mortgage or utility payments to prevent homelessness; rental assistance; facility based housing, including development cost, operating cost for those facilities and community residences.*

During the program year, the NIHP expended \$106,334 in HOPWA funds for tenant-based rental assistance, STRMU and permanent housing placement services, and \$29,445 for supportive services in conjunction with and without housing assistance. (Pending expenditures not processed in IDIS by June 30, 2014.) No funds were expended for facility based housing.

Of the 18 households provided with tenant-based rental assistance, 13 will continue with housing assistance into the next operating year.

Individuals and their families had contact with a case manager at least once in the last three months. The participants remained consistent with the schedule specified in their individualized service plan to be in contact with a primary health provider and have a housing plan for maintaining or establishing stable on-going residency. The NIHP is accomplishing access to care and support using the measure identified by HOPWA. Participants and others are connected to a range of resources through Maui AIDS Foundation, Hawaii Island HIV/AIDS Foundation and Malama Pono. Resources include assistance with utilities, medical assistance and care, food and other services.

- (2) *The number of units of housing which have been created through acquisition, rehabilitation, or new construction since 1993 with any HOPWA funds.*

Not applicable. The State has no HOPWA-funded activity committed to creating housing units through acquisition, rehabilitation, or new construction since 1993.

- (3) *A brief description of any unique supportive service or other service delivery models or efforts.*

The Neighbor Island Housing Program (NIHP) is a unique model of providing housing and services to the homeless and at-risk homeless in Hawaii. The NIHP began as the Neighbor Island HIV/AIDS Coalition (NIHAC) comprised of the primary AIDS service organization on the rural counties of Maui, Hawaii and Kauai seeking to provide a collective voice to address the regional inequities that impact persons living with HIV/AIDS on these counties. In response the NIHP provided the avenue to build upon the NIHAC collaborative model. Through the NIHP, with the lead agency Maui AIDS Foundation, Malama Pono, Kauai, Hawaii Island HIV/AIDS Foundation share resources, experiences and strategies in order to respond to the housing needs across the three counties. The collaboration of the three counties maximizes the efficient delivery of housing and services and moves resources where the need exists.

The commitment from the agencies which comprise the NIHP to attend each of their respective county's CoC, increases the awareness of the service gaps in the continuum for those with HIV/AIDS. Along with the NIHP presence in the CoC, there is a collaborated effort with the State and County to aggressively develop affordable housing and increase the inventory for all, including units for those with special needs.

- (4) *Any other accomplishments recognized in your community due to the use of HOPWA funds, including any projects in developmental stages that are not operational.*

Not applicable.

iii. *Barriers or Trends Overview*

- (1) *Describe any barriers encountered, actions in response to barriers, and recommendations for program improvement*
(2) *Trends you expect your community to face in meeting the needs of persons with HIV/AIDS, and*
(3) *Any other information you feel may be important as you look at providing services to persons with HIV/AIDS in the next 5-10 years.*

A Needs Assessment Steering Committee, consisting of HOPWA and Ryan White grantees, in conjunction with Building Changes, completed a statewide survey to increase the knowledge and understanding of the housing, care and treatment needs of people living with HIV/AIDS in Hawaii and to identify opportunities to address these needs. Defining needs and developing strategies was done largely by local stakeholders, particularly the Steering Committee, in order to ensure that the plan was truly community based. The plan continues to be a useful guide for further efforts in housing, care and treatment programs for people living with HIV/AIDS.

The Needs Assessment Steering Committee gathered data through a statewide survey to assess the housing and services needs of people living with HIV/AIDS. The survey included questions regarding demographics, housing, employment and benefits, care services and barriers. Approximately 200 stakeholders from each of Hawaii's four counties participated in the process by completing the survey, as well as participating in focus groups, interviews, Steering Committee meetings or Community Planning Group meetings. In April 2009, Building Changes submitted the final report consisting of the critical issues identified in the study and recommendations to address these issues. The report is an essential tool for current planning efforts and future strategic planning.

One of the challenges to program management is the continued difficulties in communication between clients, staff and collaborating agencies across the three islands. This is currently being addressed by increased interaction among the three executive directors of the partnering agencies, increased site visits by the MAF Housing Director, and the increased use of video conferencing.

Many clients have to travel a far distance from their homes to their places of employment. Hawaii gas prices are among the highest in the

nation which makes it difficult for HOPWA participants to afford. To combat this dilemma, some ASO's issue monthly bus passes or gas cards to help keep clients employed and stably housed.

MAF provides HIV primary care support for medical, dental, nutritional and mental health care needs by retaining an HIV/AIDS specialist doctor five days a week (increased from one day a week last year) and is endeavoring to expand services to include other physicians.

For those with transportation and/or mobility issues, MAF provides the added benefit of one-stop assistance for housing, medical, food bank and other support services.

Despite the many barriers, NIHP has consistently surpassed the housing stability rate of 90%. The availability of funding and services provided through the grants as well as the dedication, commitment and hard work of the staff (including case managers and housing coordinators) contributed to achieve and maintain the high stability rate.

b. Accomplishment Data

- i. Completion of CAPER Performance Chart 1 of Actual Performance in the provision of housing (Table II-1 to be submitted with CAPER).*
- ii. Completion of CAPER Performance Chart 2 of Comparison to Planned Housing Actions (Table II-2 to be submitted with CAPER).*

Objective: HP-3

Problem/Need: Persons with HIV/AIDS need services to achieve housing stability.

Activity: Provide housing information and rent/deposit assistance services to persons with HIV/AIDS (Hawaii, Kauai, and Maui). Expenses were reported as of June 30, 2014.

- Provided \$31,140 to MAF to assist eligible households with supportive services in conjunction with or without housing activities. MAF expended \$29,445 for this purpose while assisting 23 households with supportive services in conjunction with housing activities, and 438 persons with supportive services not in conjunction with housing activities.
- Initially provided \$1,000 to MAF to assist households with permanent housing placement; the budget was subsequently increased to \$1,700. MAF expended \$800 and assisted three eligible households.
- Initially provided \$1,387 to provide households with partial short-term rental, mortgage and utility payments; the budget was subsequently increased to \$8,387. MAF expended \$5,000 and assisted three eligible households.

Objective: HP-5

Problem/Need: Persons with HIV/AIDS lack sufficient resources for market rentals.

Activity: Provide funds to pay a portion of the market rental unit costs for homeless and homeless-at-risk persons with HIV/AIDS (Hawaii, Kauai, Maui).

- Initially provided \$117,711 to MAF to pay a portion of the market rental units costs for persons with HIV/AIDS who were homeless or homeless-at-risk. The budget was subsequently revised to \$110,011; as of June 30, 2014, MAF expended \$100,534 for this purpose, enabling 18 households to secure and/or maintain housing.

As the grant period waned, MAF was unable to expend the balance of the TBRA funds without running the risk of accepting a new client, only to terminate him/her during the next grant period when the FMR in Maui decreased. With permission from DHS-BESSD, MAF amended their budget and moved the remaining funds into STRMU and permanent housing placement, which were fully utilized to assist additional participants.

Objective: HP-6

Problem/Need: Appropriate, efficient and effective use of funds

Activity: Provide effective program administration

- Provided \$11,763 to MAF for administration, coordination, evaluation, record-keeping and reporting; as of June 30, 2014, MAF expended \$9,808 for this purpose.
- DHS-BESSD retained \$5,041 for administration of this program. No funds were expended as the remaining administrative funds from the previous program year were used. The remaining balance will be utilized for audit services, other administrative costs including CoC-related travel, and monitoring expenditures.

Please see the HOPWA CAPER Performance Chart 3 provided in Appendix F.

OTHER NARRATIVE

Include any CAPER information that was not covered in any other section.

Neighborhood Stabilization Program, Round 1

Under Round 1 of the Neighborhood Stabilization Program (NSP1), authorized by Title III of the Housing and Economic Recovery Act of 2008, the State of Hawaii received \$19,600,000 to provide emergency assistance to acquire and redevelop foreclosed and vacant properties in the State of Hawaii. Through a collaborative effort with all four counties, HHFDC entered into five contracts to undertake NSP1 activities. As of June 30, 2014, HHFDC met the 100% expenditure deadline for NSP funds with the total of grant and program income expenditures.

In order to meet the NSP expenditure deadline of March 18, 2013, HHFDC issued a Request for Interest to transfer \$400,000 of its administrative funds to another eligible NSP activity. The award was made to the County of Hawaii for its Ulu Wini project and the funds were fully expended by November, 2012.

The NSP Substantial Amendment No. 4, providing for the County of Kauai to establish a revolving loan fund for a homebuyer loan program, was approved by HUD on August 1, 2013. Program income generated from the sale of homes was used to establish the revolving loan fund. However, as the County of Kauai's lot development and acquisition of foreclosed properties activities are near completion, the revolving loan fund will not suit the purposes of the County's NSP program for much longer. NSP homebuyer loans are currently the primary NSP activity for the County. As such, with HUD's technical assistance, the homebuyer loan program revolving fund was

converted to regular program income funds in April, 2014. Changes to the Action Plan activity budgets and titles will be made in the next quarter.

The following chart shows the State's NSP1 activities and expenditures to date:

NSP 1 - \$19,600,000 Awarded 3/19/09

State Recipient / Developer Project/Activity	NSP Project Description	NSP Award	Grant Funds Expended	Program Income Expended
Housing Solutions Incorporated Sea Winds Apartments	New construction of affordable rental housing in Waianae, Oahu, to provide 50 transitional and permanent residential units. The project is fully leased and 20 two-bedroom NSP units are designated for households \leq 50% AMI.	\$4,900,000	\$4,900,000	\$0.00
Hui Kahale, Inc. Ewa Villages Apartments	New construction of affordable rental housing in Ewa, Oahu, to provide 64 permanent residential units. The project was completed in November, 2012 and provides 8 NSP units for households \leq 50% AMI.	\$2,000,000	\$2,000,000	\$0.00
County of Hawaii, Office of Housing and Community Development Na Kahua Hale O Ulu Wini (formerly Kaloko Rental Housing)	New construction of affordable rental housing in Kailua-Kona, Hawaii, to provide 40 transitional and permanent residential units. Two phases are complete, of which 29 NSP units are designated for households \leq 50% AMI. The project is now known as Na Kahua Hale O Ulu Wini or "Ulu Wini". It will eventually include 96 multi-family units, a warehouse, a self-contained wastewater treatment plant, and a community center.	\$5,239,435.08	\$5,239,435.08	\$0.00
Kauai County Housing Agency New Construction to Redevelop Vacant Land	New construction of 3 single family units for homeownership opportunities in Elele & Waimea. All 3 homes have been completed and sold in leasehold to households \leq 120% AMI. This lot development activity was expanded to include a 4 th home utilizing program income funds. The home is completed and scheduled for closing in the next quarter. Total program income generated from this activity and interest income to date is \$613,861.18.	\$857,759	\$813,573.55	\$342,369.26
Kauai County Housing agency Acquisition, Rehabilitation and Resale of Foreclosed Homes	Acquisition and rehabilitation of abandoned or foreclosed residential properties within the County of Kauai. The 7th foreclosed home was acquired during the program year. 5 of the 7 homes have been acquired, rehabilitated and sold in leasehold to households \leq 120% AMI. The remaining 2 homes are pending sales to eligible homebuyers on the county waitlist. Total Program Income generated for this activity to date is in the amount of \$1,511,721.36.	\$2,193,190.32	\$2,103,041.13	\$587,069.46
Na Hale O Maui Acquisition, Rehabilitation and Resale of Foreclosed Homes	Acquisition and rehabilitation of 9 abandoned or foreclosed residential properties within the County of Maui. All 9 properties have been sold as part of a community land trust to qualified households \leq 120% AMI.	\$3,146,728.90	\$3,146,728.90	\$0.00
Hawaii Housing Finance And Development Corporation Administration	Administrative Costs	\$776,920.21	\$776,920.21	\$0.00
County of Hawaii Administration	Administrative Costs	\$134,764.92	\$134,764.92	\$0.00
County of Kauai Administration	Administrative Costs	\$351,201.57	\$320,482.60	\$185,707.88
TOTALS		\$19,600,000.00	\$19,434,946.39	\$1,115,146.60
TOTAL EXPENDITURES (Grant Funds and Program Income):			\$20,550,092.99	

NSP1 Monitoring.

During PY2013, HHFDC conducted on-site monitoring of the NSP1 activities in accordance with the Community Planning and Development Monitoring Handbook 6509.2 Rev-6.

The Na Hale O Maui (NHOM) homebuyer activity was monitored in November, 2013 and no findings or concerns were noted during the review. NHOM monitors the homebuyer activity for nine NSP1 homes and two NSP3 homes.

The County of Kauai's NSP1 activities were monitored for compliance with program rules. Concerns were cited regarding the County's progress, as the NSP grant could not be closed until all program income and remaining grant funds were disbursed. Additional errors were cited regarding the homebuyer agreements and conflict with other program requirements. The County is working with HHFDC to correct the errors.

The Sea Winds Apartments project was monitored for compliance by Spectrum Enterprises, Inc. A physical inspection of the property and unit inspections were conducted for seven units. In addition, file reviews were conducted for six units that were new move-ins. Findings were issued as a result of the review, and the owners worked with HHFDC to resolve the findings. HHFDC's Summary Report subsequently cleared all findings.

The Ewa Villages project was monitored for compliance by Sepctrum Enterprises, Inc. A physical inspection of the property, unit inspections, and file reviews were conducted for four units. Findings were issued as a result of the review, and HHFDC's subsequent Summary Report acknowledged receipt of the project's Action Plan to address Fair Housing Design issues, including the retrofit plan, an escrow agreement to cover the anticipated costs, and a specific timeframe for completion.

The Na Kahua Hale O Ulu Wini or "Ulu Wini" project (formerly known as the Kaloko Housing Project), was monitored for compliance by Spectrum Enterprises, Inc. and HHFDC staff. A physical inspection of the property and unit inspections were conducted for 24 units, and tenant files were also reviewed. As a result of the review, findings were issued for additional fair housing design violations regarding the shower stalls, the project's Affirmative Marketing procedures, and overall communication concerns regarding the management of the property and the various program requirements. HHFDC's monitoring also cited concerns with financial oversight of the project, and the lease requirement for mandatory support services. The County of Hawaii is working to resolve all findings and concerns.

Neighborhood Stabilization Program, Round 3

The Neighborhood Stabilization Program was established pursuant to Title III of the Housing and Economic Recovery Act of 2008. Round 3 (NSP3) is the third one-time appropriation, authorized by the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2011 (Public Law 111-203) to mitigate the negative impacts of the nation's economic decline and housing market collapse and to stabilize and revitalize communities/areas hit the hardest. The State of Hawaii, through HHFDC, received \$5,000,000 under NSP3.

Due to the NSP3 expenditure deadline of March 2, 2014, HHFDC re-allocated administrative funds in danger of lapsing to Na Hale o Maui for the acquisition of

another foreclosed property. The property was rehabilitated with another source of funds, and the sale is pending to an eligible homebuyer.

As of June 30, 2014, 100% of the NSP3 grant funds had been expended and disbursed.

NSP3 - \$5,000,000 Awarded 03/02/11

State Recipient/ Developer Project/Activity	NSP3 Project Description	NSP Award	Amount Obligated	Amount Expended
County of Hawaii, Office of Housing & Community Development Na Kahua Hale O Ulu Wini (formerly Kaloko Housing Project)	New construction of affordable rental housing in Kailua-Kona, to provide 36 permanent residential units, of which 16 NSP units will be designated for households with incomes $\leq 50\%$ AMI. This phase was completed in June, 2013 and is fully leased. The development will eventually include 96 multi-family units, a warehouse, a self-contained wastewater treatment plant, and a community center.	\$4,050,000	\$4,050,000	\$4,034,632.85
Na Hale O Maui Acquisition, Rehabilitation and Resale of Foreclosed Homes	Acquisition and rehabilitation of three residential properties in targeted areas. Two foreclosed homes have been acquired, rehabilitated, and sold to qualified households $\leq 120\%$ AMI. A third foreclosed property has been acquired and the sale to an eligible homebuyer is pending.	\$617,000	\$617,000	\$617,000
Hawaii Housing Finance and Development Corporation Administration	Statewide Administrative Costs	\$108,000	\$108,000	\$108,000.00
County of Hawaii Administration	County of Hawaii Administrative Costs	\$225,000	\$225,000	\$225,000
TOTALS:		\$5,000,000	\$5,000,000	\$5,000,000.00

Section 3 Summary Reports

Section 3 of the Housing and Urban Development Act of 1968 ensures that employment and economic opportunities, generated by HUD financial assistance, are directed to low- and very low-income persons and to businesses that provide economic opportunities to low- and very low-income persons. Grantees of HUD's Community Planning and Development funds, such as HOME, ESG, HOPWA and NSP, are required to submit Section 3 Summary Reports to the Economic Opportunity Division, Office of Fair Housing and Equal Opportunity at the end of each program year.

The Counties continue their efforts to implement Section 3 opportunities, and distribute to all subcontractors informational packets that include Section 3 requirements and a request that efforts be made to hire Section 3 employees.

The State's Section 3 Summary Reports for the HOME, NSP, NSP3, ESG, and HOPWA are attached at Appendix J, and will be submitted online to HUD's Office of Fair Housing and Equal Opportunity (FHEO) at <http://www.hud.gov>.

CAPER IDIS Reports

The following CAPER IDIS Reports are required to be submitted to HUD as part of the CAPER process. These documents are available for review upon request and are as follows:

- PR02 – List of Activities by Program Year and Project
- PR06 – Summary of ConPlan Projects for Report Year
- PR22 – Status of HOME Activities
- PR23 – Summary of Accomplishments
- PR25 – Status of CHDO Funds by Fiscal Year
- PR27 – Status of HOME Grants
- PR33 – HOME Match Liability Report
- PR91 – 2011 ESG Financial Summary
- PR91 – 2012 ESG Financial Summary

To request a specific report, please complete the form in Appendix K.

APPENDIX A

Charts Performance Measurement Models

PERFORMANCE MEASUREMENT MODELS

CHART 1 – Housing and Special Needs Housing Goals (State of Hawaii)

OBJ#	PROBLEM/ NEED	INPUTS/ RESOURCES	ACTIVITIES	OUTPUT YEAR	5-YR OUTPUT GOALS	ANNUAL OUTPUT GOALS	ACTUAL OUTPUT	COMMUNITY INDICATORS*	YEARLY ACTUAL COMMUNITY INDICATORS	HUD OBJECTIVE	HUD OUTCOME
HR-1	Shortage of affordable rental units for low-income families	HOME	Construct new or rehabilitate existing affordable rental housing	2010	20 units	96 units	0 units	*4,040 unit years of affordability in rental projects	0 unit years	Decent, affordable housing	Affordability
				2011	72 units	0 units	0 units		0 unit years		
				2012	50 units	6 units	16 units		320 unit years		
				2013	10 units	72 units	2 units		40 unit years		
				2014	50 units						
				TOTAL	202 units	174 units	18 units		360 unit years		
HR-2	Shortage of affordable rental units for low-income families	HOME	Provide tenant-based rental assistance	2010	20 HH	20 HH	22 HH	100 low-income households pay more affordable rents	22 households	Decent, affordable housing	Affordability
				2011	20 HH	20 HH	14 HH		14 households		
				2012	20 HH	20 HH	14 HH		14 households		
				2013	20 HH	0 HH	24 HH		24 households		
				2014	20 HH						
				TOTAL	100 HH	60 HH	74 HH		74 households		
HR-3	Shortage of affordable rental units for special needs populations	HOME	Construct affordable rental housing for special needs populations	2010	0 units	29 units	15 units	*2,880 unit years of affordability in rental projects	300 unit years	Decent, affordable housing	Affordability
				2011	0 units	60 units	5 units		100 unit years		
				2012	60 units	0 units	0 units		0 unit years		
				2013	54 units	4 units	0 units		0 units		
				2014	30 units						
				TOTAL	144 units	93 units	20 units		400 unit years		

* The minimum HOME affordability period for rental projects is 20 years. Multiply the number of units by 20 years to get the unit years of affordability for rental projects.

PERFORMANCE MEASUREMENT MODELS

CHART 1 – Housing and Special Needs Housing Goals (State of Hawaii)

OBJ#	PROBLEM/ NEED	INPUTS/ RESOURCES	ACTIVITIES	OUTPUT YEAR	5-YR OUTPUT GOALS	ANNUAL OUTPUT GOALS	ACTUAL OUTPUT	COMMUNITY INDICATORS*	YEARLY ACTUAL COMMUNITY INDICATORS	HUD OBJECTIVE	HUD OUTCOME
H-1	Shortage of rental units available to support homeless with transitional housing needs	HOME	Develop (new construction or rehab of existing bldgs.) new transitional housing units to assist victims of domestic violence or the working homeless.	2010	0 units	1 unit	0 units	*360 unit years of transitional housing	0 units	Strengthen communities; Promote / provide decent, affordable housing	Affordability
				2011	0 units	1 unit	0 units		0 units		
				2012	4 units	0 units	0 units		0 units		
				2013	5 units	0 units	0 units		0 units		
				2014	9 units						
				TOTAL	18 units	2 units	0 units		0 units		
HO-1	Shortage of affordable for-sale inventory	HOME	Construct new or acquire/rehabilitate existing affordable for-sale housing	2010	7 HH	16 HH	0 HH	33 low-income households become homeowners	0 HH	Promote / provide decent affordable housing	Affordability
				2011	16 HH	3 HH	0 HH		0 HH		
				2012	0 HH	1 HH	8 HH		8 HH		
				2013	10 HH	0 HH	1 HH		1 HH		
				2014	0 HH						
				TOTAL	33 HH	20 HH	9 HH		9 HH		
HO-2	Shortage of affordable for-sale inventory	HOME	Provide project development funds to carry out projects that produce affordable housing using a self-help building method	2010	0 HH	0 HH	10 HH	40 low-income first-time homeowners	10 HH	Promote / provide decent affordable housing	Affordability
				2011	0 HH	25 HH	8 HH		8 HH		
				2012	20 HH	92 HH	16 HH		16 HH		
				2013	0 HH	0 HH	10 HH		10 HH		
				2014	20 HH						
				TOTAL	40 HH	117 HH	44 HH		44 HH		

* The minimum HOME affordability period for rental projects is 20 years. Multiply the number of units by 20 years to get the unit years of affordability for rental projects.

PERFORMANCE MEASUREMENT MODELS

CHART 1 – Housing and Special Needs Housing Goals (State of Hawaii)

OBJ#	PROBLEM/ NEED	INPUTS/ RESOURCES	ACTIVITIES	OUTPUT YEAR	5-YR OUTPUT GOALS	ANNUAL OUTPUT GOALS	ACTUAL OUTPUT	COMMUNITY INDICATORS*	YEARLY ACTUAL COMMUNITY INDICATORS	HUD OBJECTIVE	HUD OUTCOME
HO-3	Lack of affordable financing costs to purchase existing homes	HOME	Provide down payment/closing cost assistance, loan guarantees & gap loans thru various county home buyer loan programs	2010	8 HH	10 HH	2 HH	30 first-time low-income homeowners	2 HH	Promote / provide decent affordable housing	Affordability
				2011	7 HH	3 HH	2 HH		2 HH		
				2012	5 HH	5 HH	0 HH		0 HH		
				2013	5 HH	0 HH	3 HH		3 HH		
				2014	5 HH						
				TOTAL	30 HH	18 HH	7 HH		7 HH		
HO-4	Lack of education and counseling for potential homebuyers	HOME	Provide homebuyer education and counseling sessions	2010	4 sessions	4 sessions	88 sessions	20 sessions; 50% of participants become home owners; 25% improve purchasing ability, 25% decline to purchase.	88 sessions	Promote / provide decent affordable housing	Availability, Accessibility
				2011	4 sessions	4 sessions	21 sessions		21 sessions		
				2012	4 sessions	4 sessions	0 sessions		0 sessions		
				2013	4 sessions	4 sessions	0 sessions		0 sessions		
				2014	4 sessions						
				TOTAL	20 sessions	12 sessions	109 sessions		109 sessions		
HA-1	Appropriate, efficient, and effective use of funds	HOME	Carry out high standards of ethics, management and accountability	2010				Program timeliness in committing and expending funds		Promote / provide effective program administration	
				2011							
				2012							
				2013							
				2014							
				TOTAL	N/A	N/A	N/A				
HA-2	Appropriate, efficient, and effective use of funds	HOME	Conduct housing study to measure progress and needs of the housing market	2010	1 study	0 study	0 study	Better service to community thru program enhancement made possible by current data from study			
				2011	0 study	1 study	1 study				
				2012	0 study	0 study	0 study				
				2013	0 study	0 study	0 study				
				2014	0 study						
				TOTAL	1 study	1 study	1 study				

PERFORMANCE MEASUREMENT MODELS
CHART 2 – Fair Housing Goals (State of Hawaii)

OBJ#	PROBLEM/ NEED	INPUTS/ RESOURCES	ACTIVITIES	OUTPUT YEAR	5-YR OUTPUT GOALS	ANNUAL OUTPUT GOALS	ACTUAL OUTPUT	COMMUNITY INDICATORS*	YEARLY ACTUAL COMMUNITY INDICATORS	HUD OBJECTIVE	HUD OUTCOME
FH-1	Lack of education and outreach	\$2,000	Provide annual trng. in Kauai, Maui, Honolulu, Hilo & Kona to landlords, tenants & the general public on state & fed'l. fair hsg laws.	2010	5 sessions	5 sessions	33 sessions	Increase the trainees' understanding of federal and state fair housing laws by 50%.	50% increase	Decent affordable housing	Availability, Accessibility
		\$2,000		2011	5 sessions	5 sessions	32 sessions		50% increase		
		\$2,000		2012	5 sessions	5 sessions	7 sessions		50% increase		
		\$2,000		2013	5 sessions	5 sessions	4 sessions		38% increase		
		\$2,000		2014	5 sessions				% increase		
		\$10,000		TOTAL	25 sessions	15 sessions	76 sessions		% increase		
FH-2	Lack of education and outreach	\$2,500	Provide annual trng. in Kauai, Maui, Honolulu, Hilo & Kona to non English speaking or Limited English speaking groups (interpreter available).	2010	5 sessions	5 sessions	1 session	Increase the trainees' understanding of federal and state fair housing laws by 50%.	50% increase	Decent affordable housing	Availability, Accessibility
		\$2,500		2011	5 sessions	5 sessions	1 session		50% increase		
		\$2,500		2012	5 sessions	5 sessions	2 sessions		50% increase		
		\$2,500		2013	5 sessions	5 sessions	0 sessions		% increase		
		\$2,500		2014	5 sessions				% increase		
		\$12,500		TOTAL	25 sessions	25 sessions	4 sessions		% increase		
FH-3	Lack of education and outreach	\$1,000	Provide annual training to County, HHFDC and HPHA staff, both new and current employees.	2010	1 sessions	1 session	1 session	Increase the trainees' understanding of federal and state fair housing laws by 50%.	50% increase	Decent affordable housing	Availability, Accessibility
		\$1,000		2011	1 sessions	1 session	12 sessions		50% increase		
		\$1,000		2012	1 sessions	1 session	10 sessions		50% increase		
		\$1,000		2013	1 sessions	1 session	10 sessions		50% increase		
		\$1,000		2014	1 sessions				% increase		
		\$5,000		TOTAL	5 sessions	3 sessions	33 sessions		% increase		

PERFORMANCE MEASUREMENT MODELS
CHART 2 – Fair Housing Goals (State of Hawaii)

OBJ#	PROBLEM/ NEED	INPUTS/ RESOURCES	ACTIVITIES	OUTPUT YEAR	5-YR OUTPUT GOALS	ANNUAL OUTPUT GOALS	ACTUAL OUTPUT	COMMUNITY INDICATORS*	YEARLY ACTUAL COMMUNITY INDICATORS	HUD OBJECTIVE	HUD OUTCOME
FH-4	Lack of coordination between the State and counties	\$5,000	Produce a local fair housing video.	2010	1 FH video	0 FH video	0 FH video	1 fair housing video produced for use in fair housing trainings.	0 FH video	Decent affordable housing	Availability, Accessibility
				2011	0 FH video	0 FH video	0 FH video		0 FH video		
				2012	0 FH video	0 FH video	0 FH video		0 FH video		
				2013	0 FH video	0 FH video	1 FH video		1 FH video		
				2014	0 FH video						
				TOTAL	1 FH video	0 FH Video	1 FH video		1 FH video		
FH-5		\$25,000	Update Analysis of Impediments to fair housing choice	2010		0 AI update	1 update	Identify and remove impediments to fair housing choice.	1 update	Decent affordable housing	Availability, Accessibility
				2011	1 AI update	1 AI update	0 updates		0 updates		
				2012		0 AI update	0 updates		0 updates		
				2013		0 AI update	0 update		0 updates		
				2014	1 AI update						
				TOTAL	2 AI updates	1 update	1 update		1 update		
FH-6			Attend Fair Housing meetings with the State and all counties.	2010	Attend 4 times a year.		1 meeting	Increase coordinated efforts between the State and counties.	1 meeting	Decent affordable housing	Availability, Accessibility
				2011			4 meetings		4 meetings		
				2012			3 meetings		3 meetings		
				2013			3 meetings		3 meetings		
				2014							
				TOTAL			11 meetings		11 meetings		

PERFORMANCE MEASUREMENT MODELS

CHART 3 – Homeless Goals – Logic Model (State of Hawaii)

OBJ#	PROBLEM/ NEED	INPUTS/ RESOURCES	ACTIVITIES	OUTPUT YEAR	5-YR OUTPUT GOALS	ANNUAL OUTPUT GOALS	ACTUAL OUTPUT	COMMUNITY INDICATORS*	YEARLY ACTUAL COMMUNITY INDICATORS		HUD OBJECTIVE	HUD OUTCOME
									SHORT	LONG		
HP-1	Unsheltered homeless need a safe place to sleep	ESG	Provide operations and essential services funding to 4 providers of emergency shelter for the unsheltered (Hawaii, Kauai and Maui)	2010	1,200	1,495	1,436	<u>Short term:</u> 6,000 homeless persons will have safe nights of sleep. <u>Long term:</u> 650 persons will transition into permanent housing.	1,436	305	Decent affordable housing	Availability, Accessibility
				2011	1,200	1,495	1,547		1,547	418		
				2012	1,200	1,138	2,090		2,090	506		
				2013	1,200	1,430	2,204		2,204	359		
				2014	1,200							
				Total:	6,000	5,558	7,277		7,277	1,588		
HP-2	Persons fleeing from domestic violence need a safe place to sleep	ESG	Provide operations and essential services funding to four emergency shelters for victims of domestic violence (Hawaii, Kauai and Maui)	2010	700	706	788	Short term: 3,500 wo- men & children will be protected from harm when provided a safe refuge & place to sleep. Long term: 1,200 will move to permanent hsg. secure from harm.	788	511	Decent affordable housing	Availability, Accessibility
				2011	700	706	807		807	306		
				2012	700	730	770		770	258		
				2013	700	750	797		797	258		
				2014	700							
				Total:	3,500	2,892	3,162		3,162	1,333		
HP-3	Persons with HIV/AIDS need services to achieve housing stability	HOPWA	Provide housing information and rent/deposit assistance services and other supportive services to persons with HIV/AIDS (Hawaii, Kauai, Maui)	2010	350	413	426	1,850 persons with HIV/AIDS will receive supportive services, such as health care accessibility, either with or without housing activities.		426	Decent affordable housing	Availability, Accessibility
				2011	350	413	428			428		
				2012	350	447	362			362		
				2013	400	450	467			467		
				2014	400							
				Total:	1,850	1,723	1,683			1,683		
HP-4	The homeless are not able to find affordable rentals	ESG	Agencies funded by ESG will include transitioning homeless persons to permanent housing as an integral activity. (Hawaii, Kauai and Maui)	2010	350	768	816	1,850 persons will achieve housing stability with placement in permanent housing.		816	Decent affordable housing	Availability, Accessibility
				2011	350	768	724			724		
				2012	350	773	764			764		
				2013	400	548	617			617		
				2014	400							
				Total:	1,850	2,857	2,921			2,921		

PERFORMANCE MEASUREMENT MODELS

CHART 3 – Homeless Goals – Logic Model (State of Hawaii)

OBJ#	PROBLEM/ NEED	INPUTS/ RESOURCES	ACTIVITIES	OUTPUT YEAR	5-YR OUTPUT GOALS	ANNUAL OUTPUT GOALS	ACTUAL OUTPUT	COMMUNITY INDICATORS*	YEARLY ACTUAL COMMUNITY INDICATORS	HUD OBJECTIVE	HUD OUTCOME
HP-4a	The homeless need assistance to quickly attain permanent housing and housing stability.	ESG	Provide financial assistance to individuals and families living on the streets and in emergency shelters to achieve hsg stability in permanent housing.	2010	N/A	N/A	N/A	120 persons/ families will achieve housing stability by receiving financial assistance to get off the streets or out of emergency shelters.	N/A	Decent affordable housing	Availability, Accessibility
				2011	30	30	50		50		
				2012	30	48	82		82		
				2013	30	84	116		116		
				2014	30						
				Total:	120	162	248		248		
HP-4b		ESG	Provide housing relocation & stabilization svcs (case mgt, housing search & placement, legal svcs, mediation, etc.) to achieve hsg stability in permanent housing.	2010	N/A	N/A	N/A	120 persons/families get off the streets or out of emergency shelters & achieve housing stability thru housing relocation and stabilization services.	N/A	Decent affordable housing	Availability, Accessibility
				2011	30	30	50		50		
				2012	30	48	82		82		
				2013	30	84	116		116		
				2014	30						
				Total:	120	162	248		248		
HP-4c	Persons and families at risk of homelessness need assistance to retain permanent housing and housing stability.	ESG	Prevent homelessness by providing financial assistance to persons and families at risk of homelessness.	2010	N/A	N/A	N/A	30 persons/families will receive financial assistance to remain in their homes.	N/A	Decent affordable housing	Availability, Accessibility
				2011	N/A	N/A	N/A		N/A		
				2012	10	64	69		69		
				2013	10	11	74		74		
				2014	10						
				Total:	30	75	143		143		
HP-4d		ESG	Prevent homelessness by providing housing relocation/stabilization services (case management, housing search & placement, legal svcs, mediation, etc.)	2010	N/A	N/A	N/A	30 persons/ families will receive housing relocation and stabilization services to help them to remain in their homes.	N/A	Decent affordable housing	Availability, Accessibility
				2011	N/A	N/A	N/A		N/A		
				2012	10	64	69		69		
				2013	10	11	74		74		
				2014	10						
				Total:	30	75	143		143		

PERFORMANCE MEASUREMENT MODELS

CHART 3 – Homeless Goals – Logic Model (State of Hawaii)

OBJ#	PROBLEM/ NEED	INPUTS/ RESOURCES	ACTIVITIES	OUTPUT YEAR	5-YR OUTPUT GOALS	ANNUAL OUTPUT GOALS	ACTUAL OUTPUT	COMMUNITY INDICATORS*	YEARLY ACTUAL COMMUNITY INDICATORS	HUD OBJECTIVE	HUD OUTCOME
HP-4e	Funding is needed for the administration and operation of HMIS	ESG	Provide funding for HMIS administration and operations.	2010	N/A	N/A	N/A	All persons receiving ESG assistance will be included in the HMIS database.	N/A	Decent affordable housing	Availability, Accessibility
				2011	60	60	50		50		
				2012	80	112	151		151		
				2013	80	95	190		190		
				2014	80						
				Total:	300	267	391		391		
HP-5	Persons with HIV/AIDS lack sufficient resources for market rentals.	HOPWA	Provide funds to pay a portion of the market rental unit costs for homeless & homeless-at-risk persons with HIV/AIDS (Hawaii, Kauai, Maui)	2010	25	28	28	125 households of persons with HIV/AIDS will secure and maintain permanent affordable housing.	28	Decent affordable housing	Affordability, Availability, Accessibility
				2011	25	28	26		26		
				2012	25	37	19		19		
				2013	25	16	18		18		
				2014	25						
				Total:	125	109	91		91		
HP-6	Appropriate, efficient and effective use of funds	ESG and HOPWA	Provide effective program administration	2010				Program timeliness in committing and expended funds.			
				2011							
				2012							
				2013							
				2014							
				Total:							

APPENDIX B

Projects

KEY TO CPMP Tool – Project Sheets
(July 1, 2013 to June 30, 2014)

This key and the following CPMP Project Sheets represent HOME, ESG or HOPWA projects and programs reflecting activity in Program Year 2013-2014.

HOME	DESCRIPTION
Project 1	State of Hawaii - HHFDC – Administration
Project 2	County of Hawaii - Administration
Project 3	County of Kauai – Administration
Project 4	County of Maui – Administration
Project 5	County of Hawaii – Na Kahua Hale O Ulu Wini, fka Kaloko Housing Project
Project 6	County of Hawaii – Tenant-Based Rental Assistance
Project 7	County of Hawaii – Mohouli Heights Senior Neighborhood
Project 8c	County of Hawaii – Hawaiian Paradise Park, Self-Help, Phases 2- 5
Project 11a	County of Kauai – Kapaa Transitional Program
Project 12	County of Kauai – Homebuyer Loan Program
Project 12b	County of Kauai – Rice Camp Senior Housing
Project 13	County of Kauai – Kamamalu Condominium Acquisition
Project 14a	County of Kauai - Ele'ele Iluna, Phase 2, Increment A
Project 17	County of Maui – Kahawai Apartments
Project 19	County of Maui – Molokai Mutual Self Help Housing Phase I

ESG	DESCRIPTION
Project 30	DHS – BESSD/Homeless Programs – Administration
Project 30a	DHS – BESSD/Homeless Programs – HMIS
Project 31	Women Helping Women (Maui)
Project 32	Family Life Center (Maui)
Project 32HP	Family Life Center (Maui)
Project 32RR	Family Life Center (Maui)
Project 33	Maui Economic Concerns of the Community (Maui)
Project 35	Kauai Economic Opportunity (Kauai)
Project 35HP	Kauai Economic Opportunity (Kauai)
Project 35RR	Kauai Economic Opportunity (Kauai)
Project 36	HOPE Services – W.Hawaii Emerg. Hsg. & Kiheipua (Hawaii)
Project 36HP	HOPE Services (Hawaii)
Project 36RR	HOPE Services (Hawaii)
Project 38	Child & Family Services – West Hawaii (Hawaii)
Project 39	Child & Family Services – Hale Ohana (Hawaii)

HOPWA	DESCRIPTION
Project 40	DHS – BESSD/Homeless Programs – Administration
Project 41	Maui AIDS Foundation (MAF) – Administration
Project 42	MAF - Rental Assistance
Project 43	MAF - Housing Information & Supportive Services
Project 44	MAF – Short-Term Rental, Mortgage & Utility Assistance
Project 45	MAF – Permanent Housing Placement Assistance

Grantee Name: **State of Hawaii**

Project Name:		HOME Administration (HHFDC)					
Description:		IDIS Project #:		UOG Code:		150001	
General program administration, project coordination, monitoring, evaluation, and recordkeeping and reporting. General program administration is carried out by the staff assigned to the HOME Program in accordance with applicable HUD rules and regulations.							
Location:		Priority Need Category					
State of Hawaii - Hawaii Housing Finance and Development Corporation		Select one:		Planning/Administration ▼			
Expected Completion Date:		Explanation:					
6/30/2013		Performance Measurement Model Obj. #: HA-1 Funds will support the provision of effective program administration, and the HHFDC will meet timeliness requirements in accordance with regulations.					
Objective Category <input type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Specific Objectives					
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1	▼				
		2	▼				
		3	▼				
Project-level Accomplishments	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure			Actual Outcome		
Program timeliness in committing/expending funding		Program timeliness in committing/expending funding					
21A General Program Administration 570.206 ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Program Year 1	HOME ▼	Proposed Amt.	\$45,000.00		Fund Source: ▼	Proposed Amt.	
		Actual Amount	\$57,321.91			Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	HOME	▼	Proposed Amt.	\$75,000.00		▼	Proposed Amt.	
			Actual Amount	\$91,920.88				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3	▼	Proposed Amt.	\$75,000.00		▼	Proposed Amt.	
			Actual Amount	\$88,836.33				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4	▼	Proposed Amt.	\$150,000.00		▼	Proposed Amt.	
			Actual Amount	\$110,545.19				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	

Grantee Name: **State of Hawaii**

Project Name:	HOME Administration, Planning & Fair Housing (County of Hawai'i)						
Description:	IDIS Project #:	MHC09AD	UOG Code:	150001			
The County's Office of Housing and Community Development will utilize HOME funds to administer and coordinate the County's HOME and ADDI Programs to ensure effective and timely project implementation in accordance with all applicable HUD rules and regulations.							
Location:	Priority Need Category						
County of Hawai'i	Select one:		Planning/Administration ▼				
Explanation:							
Expected Completion Date:	Performance Measurement Model Obj. #: HA-1						
6/30/2013	Funds will support the provision of effective program administration, and the County of Hawaii will meet timeliness requirements in accordance with regulations.						
Objective Category							
<input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity							
Specific Objectives							
Outcome Categories		1	▼				
<input type="checkbox"/> Availability/Accessibility		2	▼				
<input type="checkbox"/> Affordability		3	▼				
<input type="checkbox"/> Sustainability							
Project-level Accomplishments	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure		Actual Outcome			
Program timeliness in committing/expending funds		Program timeliness in committing/expending funds					
21A General Program Administration 570.206 ▼		Matrix Codes ▼		▼			
Matrix Codes ▼		Matrix Codes ▼		▼			
Matrix Codes ▼		Matrix Codes ▼		▼			
Program Year 1	HOME ▼	Proposed Amt.	\$85,000.00		Fund Source: ▼	Proposed Amt.	
		Actual Amount	\$76,926.79			Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	HOME	▼	Proposed Amt.	\$75,000.00		▼	Proposed Amt.	
			Actual Amount	\$93,073.21				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3	▼	Proposed Amt.	\$225,000.00		▼	Proposed Amt.	
			Actual Amount	\$75,027.95				Actual Amount	
	▼	Proposed Amt.	\$0		▼	Proposed Amt.	
			Actual Amount	\$0				Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4	▼	Proposed Amt.	\$0		▼	Proposed Amt.	
			Actual Amount	\$72,416.98				Actual Amount	
	▼	Proposed Amt.	\$0		▼	Proposed Amt.	
	Program Income		Actual Amount	\$2,583.02				Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	

CPMP

Program Year 2	HOME	▼	Proposed Amt.	\$75,000.00		▼	Proposed Amt.	
			Actual Amount	\$79,893.54				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3	▼	Proposed Amt.	\$0		▼	Proposed Amt.	
			Actual Amount	\$59,882.13				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
	Program Income		Actual Amount	\$39,168.76				Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4	▼	Proposed Amt.	\$150,000.00		▼	Proposed Amt.	
			Actual Amount	\$45,371.05				Actual Amount	
	▼	Proposed Amt.	\$0		▼	Proposed Amt.	
	Program Income		Actual Amount	\$20,313.74				Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	

Project Name:		HOME Administration, Planning & Fair Housing (County of Maui)												
Description:		IDIS Project #:		MHC09AD		UOG Code:		150001						
<p>The County of Maui's Department of Housing and Human Concerns will utilize HOME funds to administer and coordinate the County's HOME Program to ensure effective and timely project implementation in accordance with all applicable HUD rules and regulations.</p>														
Location:			Priority Need Category											
County of Maui			Select one:		<div>Planning/Administration</div>									
					Explanation:									
Expected Completion Date:			Performance Measurement Model Obj. #: HA-1											
6/30/2013			Funds will support the provision of effective program administration, and the County of Maui will meet timeliness requirements in accordance with regulations.											
<div>Objective Category</div> <div> <input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity </div>			Specific Objectives											
<div>Outcome Categories</div> <div> <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability </div>			1	<div></div>										
			2	<div></div>										
			3	<div></div>										
Project-level Accomplishments	Accompl. Type:		Proposed						Accompl. Type:		Proposed			
			Underway								Underway			
			Complete								Complete			
	Accompl. Type:		Proposed						Accompl. Type:		Proposed			
			Underway								Underway			
			Complete								Complete			
	Accompl. Type:		Proposed						Accompl. Type:		Proposed			
			Underway								Underway			
			Complete								Complete			
	Accompl. Type:		Proposed						Accompl. Type:		Proposed			
			Underway								Underway			
			Complete								Complete			
Proposed Outcome			Performance Measure				Actual Outcome							
Program timeliness in committing/expending funds			Program timeliness in committing/expending funds											
21A General Program Administration 570.206						Matrix Codes								
Matrix Codes						Matrix Codes								
Matrix Codes						Matrix Codes								
Program Year 1	HOME		Proposed Amt.		\$85,000.00				Fund Source:		Proposed Amt.			
			Actual Amount		\$50,409.11						Actual Amount			
	Fund Source:		Proposed Amt.						Fund Source:		Proposed Amt.			
			Actual Amount								Actual Amount			
	Accompl. Type:		Proposed Units						Accompl. Type:		Proposed Units			
			Actual Units								Actual Units			
	Accompl. Type:		Proposed Units						Accompl. Type:		Proposed Units			
			Actual Units								Actual Units			

Program Year 2	HOME	▼	Proposed Amt.	\$75,000.00		▼	Proposed Amt.	
			Actual Amount	\$123,619.71				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3	▼	Proposed Amt.	\$0		▼	Proposed Amt.	
			Actual Amount	\$91,919.95				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4	▼	Proposed Amt.	\$0		▼	Proposed Amt.	
			Actual Amount	\$55,509.54				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	

Project Name:		HOME-Na Kahua Hale O Ulu Wini PH.III, fka Kaloko Housing Project (County of Hawaii)						
Description:		IDIS Project #:		UOG Code:		150001		
The County of Hawaii's OHCD will develop Phase III of the Na Kahua Hale O Ulu Wini project, formerly known as the Kaloko Housing Project. When completed, the entire project will consist of 24 transitional units, 72 affordable rental units, an administration building, a warehouse and sewage treatment plant. OHCD will utilize HOME funds to pay for the construction costs of 2 two-bedroom rental units for low-income households.								
Location:		Priority Need Category						
Kailua-Kona, Hawaii (3)7-3-009-055 Off of Hinalani Street, North of Kaloko Industrial Park		Select one:		Rental Housing ▼				
Expected Completion Date:		Explanation:						
6/30/2013		Performance Measurement Model Obj. #: HR-1 Goal: Promote decent affordable housing. Problem/Need: Shortage of affordable rental units for low-moderate income families. Activity: Construct affordable rental housing. Output: 2 HOME-assisted affordable rental housing units.						
Objective Category <input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Specific Objectives						
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1	Increase the supply of affordable rental housing ▼					
		2	▼					
		3	▼					
Project-level Accomplishments	10 Housing Units ▼	Proposed	2		Accompl. Type: ▼	Proposed		
		Underway	2			Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Proposed Outcome		Performance Measure		Actual Outcome			
	40 unit years of affordability in rental housing.		Completion and occupancy of rental units.					
	12 Construction of Housing 570.201(m) ▼		Matrix Codes ▼					
Matrix Codes ▼		Matrix Codes ▼						
Matrix Codes ▼		Matrix Codes ▼						
Program Year 1	HOME ▼	Proposed Amt.	\$214,573		Other ▼	Proposed Amt.	\$7,575,117	
		Actual Amount			County Funds	Actual Amount		
	Other ▼	Proposed Amt.	\$9,412,800		Fund Source: ▼	Proposed Amt.		
	NSP1, EDI	Actual Amount				Actual Amount		
	10 Housing Units ▼	Proposed Units	2		Accompl. Type: ▼	Proposed Units		
		Actual Units	2			Actual Units		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		

Program Year 2	Fund Source: ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount	\$235,946.21			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$0.00	 ▼	Proposed Amt.	
		Actual Amount	#####			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	2	 ▼	Proposed Units	
		Actual Units	2			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Project Name:		HOME Tenant Based Rental Assistance (TBRA) (County of Hawaii)					
Description:		IDIS Project #:		UOG Code:		150001	
The County of Hawai'i will administer the HOME Tenant Based Rental Assistance Program which will assist low and moderate income families within the County of Hawai'i by subsidizing their rent payments.							
Location:		Priority Need Category					
County of Hawai'i		Select one:		Rental Housing ▼			
		Explanation:					
Expected Completion Date:		Performance Measures Model Objective #: HR-2					
6/30/2013		Annual Unit/Output: 25					
Objective Category <input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Units Upon Completion of this project: 75					
		Specific Objectives					
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1	Improve access to affordable rental housing ▼				
		2	▼				
		3	▼				
Project-level Accomplishments	04 Households ▼	Proposed	75		Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
		Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure			Actual Outcome		
Affordable rents for 75 low income households		Number of low income households assisted with ongoing monthly rental subsidies					
31F Tenant based rental assistance ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Program Year 1	HOME ▼	Proposed Amt.	\$500,000.00		Fund Source: ▼	Proposed Amt.	
		Actual Amount	\$290,184.27			Actual Amount	
	HOME ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
	Program Income	Actual Amount	\$23,519.42			Actual Amount	
	04 Households ▼	Proposed Units	20		Accompl. Type: ▼	Proposed Units	
		Actual Units	22			Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	HOME	▼	Proposed Amt.	\$300,335		▼	Proposed Amt.	
			Actual Amount	\$282,254				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units	25		▼	Proposed Units	
			Actual Units	14				Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3	▼	Proposed Amt.	#####		▼	Proposed Amt.	
			Actual Amount	\$243,292.94				Actual Amount	
	▼	Proposed Amt.	\$22,500		▼	Proposed Amt.	
	Program Income		Actual Amount	\$0				Actual Amount	
	▼	Proposed Units	75		▼	Proposed Units	
			Actual Units	14				Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4	▼	Proposed Amt.	\$0		▼	Proposed Amt.	
			Actual Amount	\$247,206.95				Actual Amount	
	▼	Proposed Amt.	\$0		▼	Proposed Amt.	
	Program Income		Actual Amount	\$23,247.19				Actual Amount	
	▼	Proposed Units	20		▼	Proposed Units	
			Actual Units	24				Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	

Project Name:		HOME - Mohouli Heights Senior Neighborhood, Ph.I (County of Hawaii)												
Description:		IDIS Project #:				UOG Code:		150001						
<p>There is a shortage of affordable rental units for special needs populations. The nonprofit Hawaii Island Community Development Corporation (a CHDO) will develop 60 one-bedroom, one-bath, long-term rental housing units for seniors. HOME funds will be used to pay a portion of construction costs.</p>														
Location:				Priority Need Category										
Hilo, Hawaii TMK (3)2-4-001-177 Northwest corner of Mohouli and Komohana Streets				Select one:		Rental Housing ▼								
						Explanation:								
Expected Completion Date:				Performance Measurement Model Obj. #: HR-3 Goal: Promote decent affordable housing for special needs populations. Problem/Need: Shortage of affordable rental units for special needs populations. Activity: Construct affordable rental housing. Output: 60 HOME-assisted affordable rental housing units for seniors.										
6/30/2013 Objective Category <input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity				Specific Objectives										
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability				1		Increase the supply of affordable rental housing ▼								
				2		▼								
				3		▼								
Project-level Accomplishments	10 Housing Units ▼		Proposed		60				Accompl. Type: ▼		Proposed			
			Underway		60						Underway			
			Complete								Complete			
	Accompl. Type: ▼		Proposed						Accompl. Type: ▼		Proposed			
			Underway								Underway			
			Complete								Complete			
	Accompl. Type: ▼		Proposed						Accompl. Type: ▼		Proposed			
			Underway								Underway			
			Complete								Complete			
	Proposed Outcome				Performance Measure				Actual Outcome					
	1200 unit years of affordability in rental housing.				Completion and occupancy of rental units.									
	12 Construction of Housing 570.201 (m) ▼										Matrix Codes ▼			
Matrix Codes ▼										Matrix Codes ▼				
Matrix Codes ▼										Matrix Codes ▼				
Program Year 1	HOME ▼		Proposed Amt.		\$200,000				Other ▼		Proposed Amt.		\$5,255,481	
			Actual Amount						USDA		Actual Amount			
	Other ▼		Proposed Amt.		\$1,150,000				Fund Source: ▼		Proposed Amt.			
	LIHTC		Actual Amount								Actual Amount			
	10 Housing Units ▼		Proposed Units		24				Accompl. Type: ▼		Proposed Units			
			Actual Units								Actual Units			
	Accompl. Type: ▼		Proposed Units						Accompl. Type: ▼		Proposed Units			
			Actual Units								Actual Units			

Program Year 2	HOME	▼	Proposed Amt.	\$400,000		▼	Proposed Amt.	\$8,382,995
			Actual Amount			HUD 202		Actual Amount	
	▼	Proposed Amt.	\$11,375,000		▼	Proposed Amt.	\$1,000,000
	State DURF		Actual Amount			RCAC		Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3	▼	Proposed Amt.			▼	Proposed Amt.	
	CHDO		Actual Amount	\$599,800.00				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4	▼	Proposed Amt.	\$0		▼	Proposed Amt.	
			Actual Amount	\$200.00				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	

Project Name:	HOME - Hawaiian Paradise Park Mutual SH, Ph 2-5 (CHDO) (County of Hawaii)							
Description:	IDIS Project #:		UOG Code:		150001			
The not-for-profit Hawaii Island Community Development Corporation (CHDO) will utilize HOME funds to pay a portion of the construction costs for 40 three-bedroom and two-bath housing units, using the self-help building method, in Kea'au, Hawaii.								
Location:		Priority Need Category						
Hawaiian Paradise Park, Kea'au, Hawaii		Select one:		Owner Occupied Housing ▼				
Expected Completion Date:		Explanation:						
6/30/2013		Performance Measurement Model Obj. #: HO-2 Goal: Promote opportunities for homeownership by low- and moderate-income families. Problem/Need: Shortage of affordable homeownership units. Activity: Construct affordable rental housing. Annual Units/Units Upon Completion: 10/40.						
Objective Category <input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Specific Objectives						
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1	Increase the availability of affordable owner housing ▼					
		2	▼					
		3	▼					
Project-level Accomplishments	04 Households ▼	Proposed	40		Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Proposed Outcome		Performance Measure		Actual Outcome			
	40 low-income first-time homeowners		Number of low-income first-time homeowners					
	12 Construction of Housing 570.201(m) ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼				
Matrix Codes ▼				Matrix Codes ▼				
Program Year 1	Fund Source: ▼	Proposed Amt.			Other ▼	Proposed Amt.	\$5,255,481	
		Actual Amount			USDA	Actual Amount		
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		

Program Year 2	HOME	▼	Proposed Amt.	\$200,000		▼	Proposed Amt.	\$8,382,995
			Actual Amount	\$200,000		HUD 202		Actual Amount	
	▼	Proposed Amt.	\$1,791,450		▼	Proposed Amt.	\$1,000,000
	USDA		Actual Amount			RCAC		Actual Amount	
	▼	Proposed Units	10		▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3	▼	Proposed Amt.	\$450,000		▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Amt.	\$3,301,900		▼	Proposed Amt.	
	USDA		Actual Amount					Actual Amount	
	▼	Proposed Units	10		▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4	▼	Proposed Amt.	\$0		▼	Proposed Amt.	
			Actual Amount	\$62,000.00				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units	10		▼	Proposed Units	
			Actual Units	10				Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	

Project Name:		HOME Kauai Economic Opportunity (CHDO) (County of Kauai) - Kapaa Transitional Housing						
Description:		IDIS Project #:		UOG Code:		150001		
The County of Kauai is working with the Kauai Economic Opportunity, Inc. (CHDO) to acquire housing that will serve families needing transitional housing. If needed, the housing unit will undergo moderate rehabilitation prior to being placed in service.								
Location:		Priority Need Category						
Kapaa, County of Kauai		Select one:		Rental Housing ▼				
Expected Completion Date:		Explanation:						
6/30/2013		Performance Measurement Model Obj. #: H-1 Develop (new construction or acquisition/rehabilitation of existing buildings) a new transitional housing unit to assist victims of domestic violence or the work8ng homeless.						
Objective Category <input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Specific Objectives						
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1	Increase the number of homeless persons moving into permanent housing ▼					
		2	Increase the supply of affordable rental housing ▼					
		3	▼					
Project-level Accomplishments	04 Households ▼	Proposed	1		Accompl. Type: ▼	Proposed		
		Underway	1			Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Proposed Outcome		Performance Measure			Actual Outcome		
	One new transitional housing units		One household is assisted into transitional housing.					
	14A Rehab; Single-Unit Residential 570.202 ▼				Matrix Codes ▼			
14G Acquisition - for Rehabilitation 570.202 ▼				Matrix Codes ▼				
Matrix Codes ▼				Matrix Codes ▼				
Program Year 1	HOME ▼	Proposed Amt.	\$232,080		Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	10 Housing Units ▼	Proposed Units	1		Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		

Program Year 2	Fund Source: ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.		 ▼	Proposed Amt.	
	Program Income	Actual Amount	\$185,990.12			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	1	 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$0	 ▼	Proposed Amt.	
		Actual Amount	\$275.00			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	1	 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Project Name:		HOME Home-Buyer Loan Program (County of Kauai)					
Description:		IDIS Project #:		UOG Code:		150001	
The County of Kauai will improve access to homeownership opportunities by providing downpayment/closing cost assistance and gap loans through various county homebuyer loan programs, for low- and moderate-income, first-time homebuyers in the County of Kauai.							
Location:		Priority Need Category					
County-wide, County of Kauai		Select one:		Owner Occupied Housing ▼			
Expected Completion Date:		Explanation:					
6/30/2013		Performance Measurement Model Obj. #: HO-3 Improve access to affordable owner housing by providing affordable financing to purchase existing homes.					
Objective Category <input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Specific Objectives					
Outcome Categories <input checked="" type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1	Improve access to affordable owner housing ▼				
		2	▼				
		3	▼				
Project-level Accomplishments	04 Households ▼	Proposed	10		Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
		Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure			Actual Outcome		
10 households will become first-time homeowners		Number of low- and moderate-income first-time homeowners					
13 Direct Homeownership Assistance 570.201(n) ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Program Year 1	HOME ▼	Proposed Amt.	\$762,388		Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	04 Households ▼	Proposed Units	10		Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	Fund Source: ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$0	 ▼	Proposed Amt.	
	Program Income	Actual Amount	\$658,000.00			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	10	 ▼	Proposed Units	
		Actual Units	3			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Project Name:		HOME: Rice Camp Senior Housing Project (County of Kauai)					
Description:		IDIS Project #:		UOG Code:		150001	
The County of Kauai will provide development financing to construct 60 units (7 floating HOME units) of affordable rental housing in Lihu'e, providing 1-bedroom and 2-bedroom units for senior households with incomes at or below 60% of Kauai's median income.							
Location:		Priority Need Category					
Lihu'e, Kauai, Hawaii		Select one:		Rental Housing ▼			
Explanation:							
6/30/2015		Performance Measurement Model Obj. #: HR-3 Goal: Promote decent, affordable housing. Problem/Need: Shortage of affordable rental units for special needs populations. Activity: Construct affordable rental housing. Output: 7 HOME-assisted affordable rental units for seniors @ 60% AMI.					
Objective Category							
<input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity							
Outcome Categories							
<input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability							
		1		Increase the supply of affordable rental housing ▼			
		2		▼			
		3		▼			
Project-level Accomplishments	10 Housing Units ▼	Proposed	7		Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure			Actual Outcome		
140 units years of affordability in rental housing.		Completion and occupancy of rental units					
12 Construction of Housing 570.201(m) ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Program Year 1	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	Fund Source: ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	#####	 ▼	Proposed Amt.	#####
		Actual Amount	\$872,977.93		LIHTC	Actual Amount	
 ▼	Proposed Amt.	#####	 ▼	Proposed Amt.	
	County Land (Lease)	Actual Amount				Actual Amount	
 ▼	Proposed Units	7	 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Project Name:		HOME: Kamamalu Condominium Acquisition (County of Kaua'i)						
Description:		IDIS Project #:		UOG Code:		150001		
Increase the availability of owner-occupied housing through the purchase of three Kamamalu Condominium units and re-sale to low-income households through the County's Homebuyer Loan Program.								
Location:		Priority Need Category						
3920 Haa Street Lihue, Kauai, Hawaii (4) 3-5-001-165		TMK		Select one:		Owner Occupied Housing ▼		
Explanation:								
Expected Completion Date:		Performance Measurement Model Obj. #: HO-1						
6/30/2014		Opportunities for homeownership by low- and moderate-income families is a high priority need. HOME funds will be used for the acquisition of three units in the Kamamalu Condominium project for re-sale to low-income households.						
Objective Category <input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Annual units / output: 3 units. Units upon completion of project: 3 units.						
Outcome Categories		Specific Objectives						
<input checked="" type="checkbox"/> Availability/Accessibility		1		Increase the availability of affordable owner housing		▼		
<input checked="" type="checkbox"/> Affordability		2				▼		
<input type="checkbox"/> Sustainability		3				▼		
Project-level Accomplishments	04 Households ▼	Proposed	3		Accompl. Type: ▼	Proposed		
		Underway	3			Underway		
		Complete	2			Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Proposed Outcome		Performance Measure		Actual Outcome			
	3 low-income first-time homeowners		Number of low-income first time homeowners					
	Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼				
Matrix Codes ▼				Matrix Codes ▼				
Program Year 1	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		

Program Year 2	HOME	▼	Proposed Amt.	\$500,280.00			▼	Proposed Amt.	\$30,000.00
			Actual Amount	\$379,073.79			CDBG	Actual Amount	
		▼	Proposed Amt.				▼	Proposed Amt.	
	Program Income		Actual Amount	\$120,926.21				Actual Amount	
		▼	Proposed Units	3			▼	Proposed Units	
			Actual Units					Actual Units	
		▼	Proposed Units				▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3		▼	Proposed Amt.				▼	Proposed Amt.	
	CHDO		Actual Amount	\$132,105				Actual Amount	
		▼	Proposed Amt.				▼	Proposed Amt.	
			Actual Amount					Actual Amount	
		▼	Proposed Units	3			▼	Proposed Units	
			Actual Units	2				Actual Units	
		▼	Proposed Units				▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4		▼	Proposed Amt.	\$0.00			▼	Proposed Amt.	
			Actual Amount	\$0.00				Actual Amount	
		▼	Proposed Amt.				▼	Proposed Amt.	
			Actual Amount					Actual Amount	
		▼	Proposed Units	1			▼	Proposed Units	
			Actual Units	1				Actual Units	
		▼	Proposed Units				▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5		▼	Proposed Amt.				▼	Proposed Amt.	
			Actual Amount					Actual Amount	
		▼	Proposed Amt.				▼	Proposed Amt.	
			Actual Amount					Actual Amount	
		▼	Proposed Units				▼	Proposed Units	
			Actual Units					Actual Units	
		▼	Proposed Units				▼	Proposed Units	
			Actual Units					Actual Units	

Project Name:	HOME - Ele'ele Iluna, Phase 2, Increment A (County of Kauai)							
Description:	IDIS Project #:		UOG Code:		150001			
The County of Kaua'i will provide development financing to the Kaua'i Habitat for Humanity, to construct site infrastructure to create buildable subdivision lots for 48 homes, using the self-help building method, for low-income homeowners ranging from 30% to 80% of Kaua'i's median income. Of the 48 units, 24 will be HOME-assisted.								
				Priority Need Category				
Ele'ele, Kauai				Select one:		Owner Occupied Housing ▼		
				Explanation:				
Expected Completion Date:				Performance Measurement Model Obj. #: HO-2				
6/30/2015				Goal: Promote opportunities for homeownership by low- and moderate-income families.				
Objective Category <input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity				Problem/Need: Shortage of affordable homeownership units. Activity: Construct affordable rental housing. Annual Units/Units Upon Completion: 24.				
				Specific Objectives				
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability				1		Increase the availability of affordable owner housing ▼		
				2		▼		
				3		▼		
Project-level Accomplishments	04 Households ▼		Proposed		24		Accompl. Type: ▼	
			Underway					
			Complete					
	Accompl. Type: ▼		Proposed				Accompl. Type: ▼	
			Underway					
			Complete					
	Accompl. Type: ▼		Proposed				Accompl. Type: ▼	
			Underway					
			Complete					
	Accompl. Type: ▼		Proposed				Accompl. Type: ▼	
			Underway					
			Complete					
Proposed Outcome			Performance Measure			Actual Outcome		
48 low-income first-time homeowners			Number of low-income first-time homeowners					
12 Construction of Housing 570.201(m) ▼				Matrix Codes ▼				
Matrix Codes ▼				Matrix Codes ▼				
Matrix Codes ▼				Matrix Codes ▼				
Program Year 1	Fund Source: ▼		Proposed Amt.				Fund Source: ▼	
			Actual Amount					
	Fund Source: ▼		Proposed Amt.				Fund Source: ▼	
			Actual Amount					
	Accompl. Type: ▼		Proposed Units				Accompl. Type: ▼	
			Actual Units					
	Accompl. Type: ▼		Proposed Units				Accompl. Type: ▼	
			Actual Units					

Program Year 2	Fund Source: ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.	\$1,920,000	 ▼	Proposed Amt.	
	Undrawn Prior HOME	Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	24	 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$0.00	 ▼	Proposed Amt.	
		Actual Amount	\$258,187.28			Actual Amount	
 ▼	Proposed Amt.	\$0.00	 ▼	Proposed Amt.	
	Program Income	Actual Amount	\$320,977.15			Actual Amount	
 ▼	Proposed Units	24	 ▼	Proposed Units	
		Actual Units	0			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Project Name:	HOME: Kahawai Apartments (County of Maui)						
Description:	IDIS Project #:		UOG Code:		150001		
Development of a 16-unit multi-family homeownership project for sale to families earning eighty percent (80%) of the area median income.							
Location:		Priority Need Category					
County of Maui		Select one:		Owner Occupied Housing ▼			
Expected Completion Date:		Explanation:					
6/30/2013		Performance Measurement Model Obj. #: HO-1 Goal: Promote decent, affordable housing. Problem/Need: Shortage of affordable owner-occupied housing for low-income families. Activity: Construct affordable owner-occupied housing units. Units Upon Completion of this Project: 16					
Objective Category		Specific Objectives					
<input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity							
Outcome Categories							
<input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability							
Project-level Accomplishments	10 Housing Units ▼	Proposed	16		Accompl. Type: ▼	Proposed	
		Underway	16			Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
		Proposed				Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure			Actual Outcome		
240 unit years of affordability in owner-occupied housing.		Completion and occupancy of owner-occupied housing units.					
12 Construction of Housing 570.201(r) ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Program Year 1	HOME ▼	Proposed Amt.	\$362,387		Other ▼	Proposed Amt.	\$1,333,000
		Actual Amount			State/Local Funds	Actual Amount	
	HOME ▼	Proposed Amt.	\$1,960,370		Other ▼	Proposed Amt.	\$2,957,221
	Prior Yrs.'HOME Funds	Actual Amount			Other	Actual Amount	
	04 Households ▼	Proposed Units	16		Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	HOME	▼	Proposed Amt.	\$55,000			▼	Proposed Amt.	
	Est. Program Income		Actual Amount					Actual Amount	
		▼	Proposed Amt.				▼	Proposed Amt.	
			Actual Amount					Actual Amount	
		▼	Proposed Units	16			▼	Proposed Units	
			Actual Units					Actual Units	
		▼	Proposed Units				▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3		▼	Proposed Amt.				▼	Proposed Amt.	
	Prior Years' Funds		Actual Amount	\$555,341				Actual Amount	
		▼	Proposed Amt.				▼	Proposed Amt.	
	Program Income		Actual Amount	\$19,582				Actual Amount	
		▼	Proposed Units	16			▼	Proposed Units	
			Actual Units					Actual Units	
		▼	Proposed Units				▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4		▼	Proposed Amt.	\$0.00			▼	Proposed Amt.	
			Actual Amount	#####				Actual Amount	
		▼	Proposed Amt.	\$0.00			▼	Proposed Amt.	
	Program Income		Actual Amount	\$19,999.80				Actual Amount	
		▼	Proposed Units	16			▼	Proposed Units	
			Actual Units					Actual Units	
		▼	Proposed Units				▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5		▼	Proposed Amt.				▼	Proposed Amt.	
			Actual Amount					Actual Amount	
		▼	Proposed Amt.				▼	Proposed Amt.	
			Actual Amount					Actual Amount	
		▼	Proposed Units				▼	Proposed Units	
			Actual Units					Actual Units	
		▼	Proposed Units				▼	Proposed Units	
			Actual Units					Actual Units	

Project Name:		HOME Self-Help Housing: Molokai Mutual SH Hsg Project I (CHDO)					
Description:		IDIS Project #:		UOG Code:		150001	
Opportunities for homeownership by low-and moderate-income families is a high priority need. HOME CHDO funds will be used to develop 7 self-help housing units for families earning 80% or less of the are median income. The project will consist of 3 two-bedroom units, 1 three-bedroom unit, and 3 four-bedroom units.							
Location:		Priority Need Category					
Hoolehua & Kaunakakai Molokai, Maui, Hawaii		Select one:		Owner Occupied Housing ▼			
		Explanation:					
6/30/2012		Performance Measurement Model Obj. #: HO-2					
Objective Category		Opportunities for homeownership by low and moderate income families is a high priority need. HOME funds will be used to construct 7 self-help housing units.					
<input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Annual units / output: 7 units.					
		Units upon completion of project: 7 units.					
		Specific Objectives					
Outcome Categories		1		Increase the availability of affordable owner housing		▼	
<input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		2				▼	
		3				▼	
Project-level Accomplishments	10 Housing Units ▼	Proposed	7		Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure			Actual Outcome		
7 low-income first-time homeowners		Number of low-income first time homeowners					
12 Construction of Housing 570.201(m) ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Program Year 1	HOME ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	10 Housing Units ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	HOME	▼	Proposed Amt.	\$0		▼	Proposed Amt.	\$664,484
			Actual Amount			USDA Rural Dev.		Actual Amount	
	▼	Proposed Amt.	\$327,516		▼	Proposed Amt.	
	Prior Yrs. HOME		Actual Amount	\$60,554				Actual Amount	
	▼	Proposed Units	7		▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3	▼	Proposed Amt.			▼	Proposed Amt.	
	Prior Yrs. HOME CHDO		Actual Amount	\$244,120.52				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
	Program Income		Actual Amount					Actual Amount	
	▼	Proposed Units	7		▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4	▼	Proposed Amt.	\$0.00		▼	Proposed Amt.	
			Actual Amount	\$53,550.19				Actual Amount	
	▼	Proposed Amt.	\$0.00		▼	Proposed Amt.	
	CHDO		Actual Amount	\$94,307.16				Actual Amount	
	▼	Proposed Units	7		▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	

Project Name:		ESG DHS-BESSD Admin					
Description:		IDIS Project #:		UOG Code:		HI159999 HAWAII STATE PROGRAM	
General program administration, project coordination, monitoring, evaluation, and recordkeeping and reporting. General program administration is carried out by the staff assigned to the ESG Program.							
Location:		Priority Need Category					
State of Hawaii: Department of Human Services - Benefits, Employment and Support Services		Select one:		Planning/Administration ▼			
Expected Completion Date:		Explanation:					
6/30/2013		Performance Measurement Model Obj. #: HP-6					
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Funds will support the provision of effective program administration, and DHS-BESSD will meet timeliness requirements in accordance with regulations.					
Outcome Categories		Specific Objectives					
<input type="checkbox"/> Availability/Accessibility		1	▼				
<input type="checkbox"/> Affordability		2	▼				
<input type="checkbox"/> Sustainability		3	▼				
Project-level Accomplishments	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Proposed Outcome		Performance Measure		Actual Outcome		
	Program timeliness in committing/expending funding		Program timeliness in committing/expending funding				
	21A General Program Administration 570.206 ▼		Matrix Codes ▼		Matrix Codes ▼		
Matrix Codes ▼		Matrix Codes ▼		Matrix Codes ▼			
Matrix Codes ▼		Matrix Codes ▼		Matrix Codes ▼			
Program Year 1	ESG ▼	Proposed Amt.	\$5,821		Fund Source: ▼	Proposed Amt.	
		Actual Amount	\$0			Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	ESG	▼	Proposed Amt.	\$5,866.00		▼	Proposed Amt.	
			Actual Amount	\$2,159.84				Actual Amount	
	...	▼	Proposed Amt.	\$10,000.00		▼	Proposed Amt.	
	ESG2		Actual Amount	\$2,657.87				Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3	...	▼	Proposed Amt.	\$21,074		▼	Proposed Amt.	
			Actual Amount	\$0				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4	...	▼	Proposed Amt.	\$16,601		▼	Proposed Amt.	
			Actual Amount	\$0				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	

Project Name:		ESG Homeless Management Information System					
Description:		IDIS Project #:		UOG Code:		HI159999 HAWAII STATE PROGRAM	
Funding is needed for the administration and operation of the Homeless Management Information System. ESG funds will support HMIS to ensure accurate and current data on the homeless population in the State of Hawaii.							
Location:		Priority Need Category					
State of Hawaii: Department of Human Services - Benefits, Employment and Support Services		Select one:		Planning/Administration ▼			
Expected Completion Date:		Explanation:					
6/30/2013		Performance Measurement Model Obj. #: HP-4e					
<input type="radio"/> Objective Category <input type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Funds will support the provision of effective administration and operations for the Homeless Management Information System.					
Outcome Categories		Specific Objectives					
<input type="checkbox"/> Availability/Accessibility		1	▼				
<input type="checkbox"/> Affordability		2	▼				
<input type="checkbox"/> Sustainability		3	▼				
Project-level Accomplishments	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Proposed Outcome		Performance Measure		Actual Outcome		
	Program efficiency through HMIS		Program efficiency through HMIS				
	21A General Program Administration 570.206 ▼				Matrix Codes ▼		
Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Program Year 1	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	ESG	▼	Proposed Amt.	\$2,500.00		▼	Proposed Amt.	
	ESG2		Actual Amount	\$0.00				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3	▼	Proposed Amt.	\$5,000		▼	Proposed Amt.	
			Actual Amount	\$0.00				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4	▼	Proposed Amt.	\$4,050		▼	Proposed Amt.	
			Actual Amount	\$588				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	

Project Name: ESG - Women Helping Women (Hale Lokomaika'i)						
Description:	IDIS Project #: UOG Code: HI159999 HAWAII STATE PROGRAM					
Provide operations funding to Women Helping Women's Hale Lokomaika'i for emergency shelter for the unsheltered.						
Location:	Priority Need Category					
County of Maui - Countywide	Select one: Homeless/HIV/AIDS ▼					
Explanation:						
Expected Completion Date:	Performance Measure Model, Objective #: HP-1 & HP-4					
6/30/2013	A safe place to sleep for victims of domestic violence is a high priority need.					
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity	Objective is to transition victims of domestic violence into permanent housing. ESG funds will be used for operations of an emergency shelter serving 250 victims of domestic violence, and assistance to transition 100 victims of domestic violence into permanent housing.					
Specific Objectives						
Outcome Categories <input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	1 End chronic homelessness ▼ 2 Increase the number of homeless persons moving into permanent housing ▼ 3 ▼					
Project-level Accomplishments	01 People ▼	Proposed	250	Accompl. Type: ▼	Proposed	
		Underway	319		Underway	
		Complete			Complete	
	Accompl. Type: ▼	Proposed		Accompl. Type: ▼	Proposed	
		Underway			Underway	
		Complete			Complete	
	Accompl. Type: ▼	Proposed		Accompl. Type: ▼	Proposed	
		Underway			Underway	
		Complete			Complete	
	Proposed Outcome		Performance Measure		Actual Outcome	
	Provide suitable living environment		250 victims of domestic violence will have safe nights of sleep; 100 transition to permanent housing.			
	O3T Operating Costs of Homeless/AIDS Patients Programs ▼		Matrix Codes ▼			
Matrix Codes ▼		Matrix Codes ▼				
Matrix Codes ▼		Matrix Codes ▼				
Program Year 1	ESG ▼	Proposed Amt.	\$15,000.00	Fund Source: ▼	Proposed Amt.	
		Actual Amount	\$10,411.00		Actual Amount	
	Fund Source: ▼	Proposed Amt.			Proposed Amt.	
		Actual Amount			Actual Amount	
	01 People ▼	Proposed Units	250	Accompl. Type: ▼	Proposed Units	
		Actual Units	231		Actual Units	
	Accompl. Type: ▼	Proposed Units			Proposed Units	
		Actual Units			Actual Units	

Program Year 2	ESG ▼	Proposed Amt.	\$15,173.00	 ▼	Proposed Amt.	
		Actual Amount	\$0.00			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	250	 ▼	Proposed Units	
		Actual Units	225			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.	\$22,250	 ▼	Proposed Amt.	
		Actual Amount	\$20,093			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	250	 ▼	Proposed Units	
		Actual Units	231			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$15,550	 ▼	Proposed Amt.	
		Actual Amount	\$13,749			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	250	 ▼	Proposed Units	
		Actual Units	319			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Project Name:		ESG - Family Life Center (Ho'olanani)					
Description:		IDIS Project #:		UOG Code:		HI159999 HAWAII STATE PROGRAM	
Provide operations funding to Family Life Center's Ho'olanani for emergency shelter for the unsheltered.							
Location:		Priority Need Category					
County of Maui - Countywide		Select one:		Homeless/HIV/AIDS ▼			
Expected Completion Date:		Explanation:					
6/30/2013		Performance Measure Model, Objective #: HP-1 & HP-4					
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		A safe place to sleep for the homeless is a high priority need. Objectives are to end chronic homelessness and increase the number of homeless moving into permanent housing. Funds will be used to provide operations of an emergency shelter serving 300 homeless persons, and assistance to transition 69 homeless persons into permanent housing.					
Outcome Categories		Specific Objectives					
<input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1		End chronic homelessness ▼			
		2		Increase the number of homeless persons moving into permanent housing ▼			
		3		▼			
Project-level Accomplishments	01 People ▼	Proposed	300		Accompl. Type: ▼	Proposed	
		Underway	555			Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure			Actual Outcome		
Provide suitable living environment		505 homeless persons will have safe nights of sleep; 70 transition to permanent housing.					
O3T Operating Costs of Homeless/AIDS Patients Programs ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Program Year 1	ESG ▼	Proposed Amt.	\$23,300.00		Fund Source: ▼	Proposed Amt.	
		Actual Amount	\$19,798.72			Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	01 People ▼	Proposed Units	135		Accompl. Type: ▼	Proposed Units	
		Actual Units	147			Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	ESG	▼	Proposed Amt.	\$23,474.00		▼	Proposed Amt.	
			Actual Amount	\$23,474.00			Actual Amount	
	▼	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount				Actual Amount	
	▼	▼	Proposed Units	135		▼	Proposed Units	
			Actual Units	164			Actual Units	
	▼	▼	Proposed Units			▼	Proposed Units	
			Actual Units				Actual Units	
Program Year 3	▼	▼	Proposed Amt.	\$23,474.00		▼	Proposed Amt.	
			Actual Amount	\$23,154.00			Actual Amount	
	▼	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount				Actual Amount	
	▼	▼	Proposed Units	300		▼	Proposed Units	
			Actual Units	555			Actual Units	
	▼	▼	Proposed Units			▼	Proposed Units	
			Actual Units				Actual Units	
Program Year 4	▼	▼	Proposed Amt.	\$23,474		▼	Proposed Amt.	
			Actual Amount	\$21,070			Actual Amount	
	▼	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount				Actual Amount	
	▼	▼	Proposed Units	505		▼	Proposed Units	
			Actual Units	547			Actual Units	
	▼	▼	Proposed Units			▼	Proposed Units	
			Actual Units				Actual Units	
Program Year 5	▼	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount				Actual Amount	
	▼	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount				Actual Amount	
	▼	▼	Proposed Units			▼	Proposed Units	
			Actual Units				Actual Units	
	▼	▼	Proposed Units			▼	Proposed Units	
			Actual Units				Actual Units	

Project Name: ESG - Family Life Center						
Description:	IDIS Project #: UOG Code: HI159999 HAWAII STATE PROGRAM					
County of Maui. Provide rapid re-housing activities such as tenant-based rental assistance targeted to individuals and families living on the street or in shelters.						
Location:	Priority Need Category					
County of Maui	Select one: Homeless/HIV/AIDS ▼					
Expected Completion Date:	Explanation:					
6/30/2014	Performance Measurement Model, Objective #: HP-4a & 4b					
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity	Objectives are to end chronic homelessness and increase the number of homeless persons moving into permanent housing. Funds will be used to assist individuals and families who are homeless or at risk of homelessness to quickly attain permanent housing and housing stability.					
Outcome Categories	Specific Objectives					
<input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	1 End chronic homelessness ▼ 2 Increase the number of homeless persons moving into permanent housing ▼ 3 ▼					
Project-level Accomplishments	01 People ▼	Proposed	10	Accompl. Type: ▼	Proposed	
		Underway			Underway	
		Complete			Complete	
	Accompl. Type: ▼	Proposed		Accompl. Type: ▼	Proposed	
		Underway			Underway	
		Complete			Complete	
	Accompl. Type: ▼	Proposed		Accompl. Type: ▼	Proposed	
		Underway			Underway	
		Complete			Complete	
Proposed Outcome	Performance Measure	Actual Outcome				
Reduce the number of individuals/families living on the streets or in emergency shelters	10 individuals/families will achieve stability in permanent housing through rapid re-housing activities.					
31G Short term rent mortgage utility payments ▼		31I Housing information services ▼				
31F Tenant based rental assistance ▼		Matrix Codes ▼				
31E Supportive service ▼		Matrix Codes ▼				
Program Year 1	Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	

Program Year 2	Fund Source: ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$40,328	 ▼	Proposed Amt.	
		Actual Amount	\$35,142			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	10	 ▼	Proposed Units	
		Actual Units	23			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Project Name: ESG - Family Life Center																																																	
Description:	IDIS Project #: UOG Code: HI159999 HAWAII STATE PROGRAM																																																
County of Maui. Provide homelessness prevention activities such as short-term rent, mortgage & utilities assistance to prevent households from becoming homeless.																																																	
Location:	Priority Need Category																																																
County of Maui	Select one: Homeless/HIV/AIDS ▼																																																
Expected Completion Date:	Explanation:																																																
6/30/2014	Performance Measurement Model, Objective #: HP-4c & 4d Objective is to prevent homelessness by providing financial assistance and housing relocation/stabilization services to persons and families at risk of homelessness.																																																
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity	Specific Objectives																																																
Outcome Categories <input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	1 End chronic homelessness ▼ 2 Increase the number of homeless persons moving into permanent housing ▼ 3 ▼																																																
Project-level Accomplishments	<table border="1"> <tr> <td rowspan="9">01 People ▼</td> <td>Proposed</td> <td>3</td> <td rowspan="3">Accompl. Type: ▼</td> <td>Proposed</td> <td></td> </tr> <tr> <td>Underway</td> <td>47</td> <td>Underway</td> <td></td> </tr> <tr> <td>Complete</td> <td></td> <td>Complete</td> <td></td> </tr> <tr> <td>Accompl. Type: ▼</td> <td>Proposed</td> <td></td> <td>Accompl. Type: ▼</td> <td>Proposed</td> <td></td> </tr> <tr> <td>Underway</td> <td></td> <td>Underway</td> <td></td> </tr> <tr> <td>Complete</td> <td></td> <td>Complete</td> <td></td> </tr> <tr> <td>Accompl. Type: ▼</td> <td>Proposed</td> <td></td> <td>Accompl. Type: ▼</td> <td>Proposed</td> <td></td> </tr> <tr> <td>Underway</td> <td></td> <td>Underway</td> <td></td> </tr> <tr> <td>Complete</td> <td></td> <td>Complete</td> <td></td> </tr> </table>	01 People ▼	Proposed	3	Accompl. Type: ▼	Proposed		Underway	47	Underway		Complete		Complete		Accompl. Type: ▼	Proposed		Accompl. Type: ▼	Proposed		Underway		Underway		Complete		Complete		Accompl. Type: ▼	Proposed		Accompl. Type: ▼	Proposed		Underway		Underway		Complete		Complete							
01 People ▼	Proposed		3	Accompl. Type: ▼		Proposed																																											
	Underway		47			Underway																																											
	Complete				Complete																																												
	Accompl. Type: ▼		Proposed		Accompl. Type: ▼	Proposed																																											
	Underway			Underway																																													
	Complete			Complete																																													
	Accompl. Type: ▼		Proposed		Accompl. Type: ▼	Proposed																																											
	Underway			Underway																																													
	Complete		Complete																																														
Proposed Outcome	Performance Measure																																																
Reduce the number of individuals/families living on the streets or in emergency shelters	3 individuals/families will achieve stability in permanent housing through homelessness prevention activities.																																																
31G Short term rent mortgage utility payments ▼	31I Housing information services ▼																																																
31F Tenant based rental assistance ▼	Matrix Codes ▼																																																
31E Supportive service ▼	Matrix Codes ▼																																																
Program Year 1	<table border="1"> <tr> <td>Fund Source: ▼</td> <td>Proposed Amt.</td> <td></td> <td>Fund Source: ▼</td> <td>Proposed Amt.</td> <td></td> </tr> <tr> <td></td> <td>Actual Amount</td> <td></td> <td></td> <td>Actual Amount</td> <td></td> </tr> <tr> <td>Fund Source: ▼</td> <td>Proposed Amt.</td> <td></td> <td>Fund Source: ▼</td> <td>Proposed Amt.</td> <td></td> </tr> <tr> <td></td> <td>Actual Amount</td> <td></td> <td></td> <td>Actual Amount</td> <td></td> </tr> <tr> <td>Accompl. Type: ▼</td> <td>Proposed Units</td> <td></td> <td>Accompl. Type: ▼</td> <td>Proposed Units</td> <td></td> </tr> <tr> <td></td> <td>Actual Units</td> <td></td> <td></td> <td>Actual Units</td> <td></td> </tr> <tr> <td>Accompl. Type: ▼</td> <td>Proposed Units</td> <td></td> <td>Accompl. Type: ▼</td> <td>Proposed Units</td> <td></td> </tr> <tr> <td></td> <td>Actual Units</td> <td></td> <td></td> <td>Actual Units</td> <td></td> </tr> </table>	Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.			Actual Amount			Actual Amount		Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.			Actual Amount			Actual Amount		Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units			Actual Units			Actual Units		Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units			Actual Units			Actual Units	
Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.																																													
	Actual Amount			Actual Amount																																													
Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.																																													
	Actual Amount			Actual Amount																																													
Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units																																													
	Actual Units			Actual Units																																													
Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units																																													
	Actual Units			Actual Units																																													

Program Year 2	Fund Source: ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$15,538	 ▼	Proposed Amt.	
		Actual Amount	\$0			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	3	 ▼	Proposed Units	
		Actual Units	47			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Grantee Name: **State of Hawaii**

Project Name:		ESG - Maui Economic Concerns of the Community (Ka Hale A Ke Ola)					
Description:		IDIS Project #:		UOG Code:		HI159999 HAWAII STATE PROGRAM	
Provide operations funding to MECC's Ka Hale A Ke Ola for emergency shelter for the unsheltered.							
Location:		Priority Need Category					
County of Maui - Countywide		Select one:		Homeless/HIV/AIDS ▼			
Expected Completion Date:		Explanation:					
6/30/2013		Performance Measure Model, Objective #: HP-1 & HP-4					
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		A safe place to sleep for the homeless is a high priority need. Objectives are to end chronic homelessness and increase the number of homeless moving into permanent housing. Funds will be used to provide operations of an emergency shelter serving 365 homeless persons, and assistance to transition 105 homeless persons into permanent housing.					
Outcome Categories		Specific Objectives					
<input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1		End chronic homelessness ▼			
		2		Increase the number of homeless persons moving into permanent housing ▼			
		3		▼			
Project-level Accomplishments	01 People ▼	Proposed	365		Accompl. Type: ▼	Proposed	
		Underway	907			Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure			Actual Outcome		
Provide suitable living environment		285 homeless persons will have safe nights of sleep; 62 transition to permanent housing.					
O3T Operating Costs of Homeless/AIDS Patients Programs ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Program Year 1	ESG ▼	Proposed Amt.	\$39,284.00		Fund Source: ▼	Proposed Amt.	
		Actual Amount	\$29,463.00			Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	01 People ▼	Proposed Units	840		Accompl. Type: ▼	Proposed Units	
		Actual Units	678			Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	ESG ▼	Proposed Amt.	\$39,630	 ▼	Proposed Amt.	
		Actual Amount	\$39,630			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	840	 ▼	Proposed Units	
		Actual Units	839			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.	\$22,482	 ▼	Proposed Amt.	
		Actual Amount	\$16,861			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	285	 ▼	Proposed Units	
		Actual Units	891			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$15,550	 ▼	Proposed Amt.	
		Actual Amount	\$11,662			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	365	 ▼	Proposed Units	
		Actual Units	907			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Project Name:		ESG - Kauai Economic Opportunity (Mana'olana Emergency Shelter)						
Description:		IDIS Project #:		UOG Code:		HI159999 HAWAII STATE PROGRAM		
Provide operations funding to Kauai Economic Opportunities' Manaolana Emergency Shelter for the unsheltered.								
Location:		Priority Need Category						
County of Kauai, Countywide		Select one:		Homeless/HIV/AIDS ▼				
Expected Completion Date:		Explanation:						
6/30/2013		Performance Measure Model, Objective #: HP-1 & HP-4						
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		A safe place to sleep for the homeless is a high priority need. Objectives are to end chronic homelessness and increase the number of homeless moving into permanent housing. Funds will be used to provide operations of an emergency shelter serving 260 homeless persons, and assistance to transition 25 homeless persons into permanent housing.						
Outcome Categories		Specific Objectives						
<input checked="" type="checkbox"/> Availability/Accessibility		1		End chronic homelessness ▼				
<input type="checkbox"/> Affordability		2		Increase the number of homeless persons moving into permanent housing ▼				
<input type="checkbox"/> Sustainability		3		▼				
Project-level Accomplishments	01 People ▼	Proposed	260		Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete	222			Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Proposed Outcome		Performance Measure		Actual Outcome			
	Provide suitable living environment		260 homeless persons will have safe nights of sleep; 25 transition to permanent housing.					
	03T Operating Costs of Homeless/AIDS Patients Programs ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼				
Matrix Codes ▼				Matrix Codes ▼				
Program Year 1	ESG ▼	Proposed Amt.	\$25,367.00		Fund Source: ▼	Proposed Amt.		
		Actual Amount	\$22,394.24			Actual Amount		
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	01 People ▼	Proposed Units	200		Accompl. Type: ▼	Proposed Units		
		Actual Units	242			Actual Units		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		

Program Year 2	ESG	▼	Proposed Amt.	\$25,539		▼	Proposed Amt.	
			Actual Amount	\$25,539				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units	200		▼	Proposed Units	
			Actual Units	227				Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3	▼	Proposed Amt.	\$32,620		▼	Proposed Amt.	
			Actual Amount	\$31,013				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units	260		▼	Proposed Units	
			Actual Units	274				Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4	▼	Proposed Amt.	\$26,101		▼	Proposed Amt.	
			Actual Amount	\$25,499				Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units	260		▼	Proposed Units	
			Actual Units	222				Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	
	▼	Proposed Units			▼	Proposed Units	
			Actual Units					Actual Units	

Project Name:		ESG - Kauai Economic Opportunity						
Description:		IDIS Project #:		UOG Code:		HI159999 HAWAII STATE PROGRAM		
County of Kauai. Provide rapid re-housing activities such as tenant-based rental assistance targeted to individuals and families living on the street or in shelters.								
Location:		Priority Need Category						
County of Kauai		Select one:		Homeless/HIV/AIDS ▼				
Expected Completion Date:		Explanation:						
6/30/2013		Performance Measure Model, Objective #: HP-4a & 4b Objective is to end chronic homelessness and increase the number of homeless persons moving into permanent housing. Funds will be used to assist individuals and families who are homeless or at risk of homelessness to quickly attain permanent housing and housing stability.						
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Specific Objectives						
Outcome Categories <input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1	End chronic homelessness ▼					
		2	Increase the number of homeless persons moving into permanent housing ▼					
		3	▼					
Project-level Accomplishments	01 People ▼	Proposed	24		Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Proposed Outcome		Performance Measure		Actual Outcome			
	Reduce the number of individuals/families living on the streets or in emergency shelters.		24 individuals/families will achieve stability in permanent housing thru homelessness prevention and rapid re-housing activities.					
	31G Short term rent mortgage utility payments ▼		31I Housing information services ▼					
31F Tenant based rental assistance ▼		Matrix Codes ▼						
31E Supportive service ▼		Matrix Codes ▼						
Program Year 1	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	Fund Source: ▼	Proposed Amt.				Proposed Amt.		
		Actual Amount				Actual Amount		
	Accompl. Type: ▼	Proposed Units				Proposed Units		
		Actual Units				Actual Units		
	Accompl. Type: ▼	Proposed Units				Proposed Units		
		Actual Units				Actual Units		

Program Year 2	Fund Source: ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$10,823	 ▼	Proposed Amt.	
		Actual Amount	\$5,344			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	24	 ▼	Proposed Units	
		Actual Units	22			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Project Name:		ESG - Kauai Economic Opportunity						
Description:		IDIS Project #:		UOG Code:		HI159999 HAWAII STATE PROGRAM		
County of Kauai. Provide homelessness prevention activities such as short-term rent, mortgage & utilities assistance to prevent households from becoming homeless.								
Location:		Priority Need Category						
County of Kauai		Select one:		Homeless/HIV/AIDS ▼				
Expected Completion Date:		Explanation:						
6/30/2014		Performance Measure Model, Objective #: HP-4c & 4d Objective is to prevent homelessness by providing financial assistance and housing relocation/stabilization services to persons and families at risk of homelessness.						
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Specific Objectives						
Outcome Categories <input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1	End chronic homelessness ▼					
		2	Increase the number of homeless persons moving into permanent housing ▼					
		3	▼					
Project-level Accomplishments	01 People ▼	Proposed	8		Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Proposed Outcome		Performance Measure		Actual Outcome			
	Reduce the number of individuals/families living on the streets or in emergency shelters.		8 individuals/families will achieve stability in permanent housing thru homelessness prevention activities.					
	31G Short term rent mortgage utility payments ▼		31I Housing information services ▼					
31F Tenant based rental assistance ▼		Matrix Codes ▼						
31E Supportive service ▼		Matrix Codes ▼						
Program Year 1	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		

Program Year 2	Fund Source: ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$15,950	 ▼	Proposed Amt.	
		Actual Amount	\$15,178			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	8	 ▼	Proposed Units	
		Actual Units	27			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Grantee Name: **State of Hawaii**

Project Name:		ESG - HOPE Services (West Hawaii and East Hawaii)						
Description:		IDIS Project #:		UOG Code:		HI159999 HAWAII STATE PROGRAM		
Provide operations funding to HOPE Services for two emergency shelters for the unsheltered.								
Location:		Priority Need Category						
County of Hawaii - Countywide		Select one:		Homeless/HIV/AIDS ▼				
Expected Completion Date:		Explanation:						
6/30/2014		Performance Measure Model, Objective #: HP-1 & HP-4						
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		A safe place to sleep for the homeless is a high priority need. Objectives are to end chronic homelessness and increase the number of homeless moving into permanent housing. Funds will be used to provide operations of an emergency shelter serving 300 homeless persons, and assistance to transition 102 homeless persons into permanent housing.						
Outcome Categories		Specific Objectives						
<input checked="" type="checkbox"/> Availability/Accessibility		1		End chronic homelessness ▼				
<input type="checkbox"/> Affordability		2		Increase the number of homeless persons moving into permanent housing ▼				
<input type="checkbox"/> Sustainability		3		▼				
Project-level Accomplishments	01 People ▼	Proposed	300		Accompl. Type: ▼	Proposed		
		Underway	528			Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Proposed Outcome		Performance Measure			Actual Outcome		
	Provide suitable living environment		300 homeless persons will have safe nights of sleep; 102 transition to permanent housing.					
	O3T Operating Costs of Homeless/AIDS Patients Programs ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼				
Matrix Codes ▼				Matrix Codes ▼				
Program Year 1	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		

Program Year 2	Fund Source: ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.	\$67,802	 ▼	Proposed Amt.	
		Actual Amount	\$48,813			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	293	 ▼	Proposed Units	
		Actual Units	370			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$49,607	 ▼	Proposed Amt.	
		Actual Amount	\$41,444			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	300	 ▼	Proposed Units	
		Actual Units	528			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Project Name:		ESG - HOPE Services Hawaii, Inc.						
Description:		IDIS Project #:		UOG Code:		HI159999 HAWAII STATE PROGRAM		
County of Hawaii. Provide rapid re-housing activities such as tenant-based rental assistance targeted to individuals and families living on the street or in shelters.								
Location:		Priority Need Category						
County of Hawaii - Countywide		Select one:		Homeless/HIV/AIDS ▼				
Expected Completion Date:		Explanation:						
6/30/2014		Performance Measure Model, Objective #: HP-4a & 4b						
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Objectives are to end chronic homelessness and increase the number of homeless persons moving into permanent housing. Funds will be used to assist individuals and families who are homeless or at risk of homelessness to quickly attain permanent housing and housing stability.						
Outcome Categories		Specific Objectives						
<input checked="" type="checkbox"/> Availability/Accessibility		1		End chronic homelessness ▼				
<input type="checkbox"/> Affordability		2		Increase the number of homeless persons moving into permanent housing ▼				
<input type="checkbox"/> Sustainability		3		▼				
Project-level Accomplishments	01 People ▼	Proposed	50		Accompl. Type: ▼	Proposed		
		Underway	71			Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Proposed Outcome		Performance Measure			Actual Outcome		
	Reduce the number of individuals/families living on the streets or in emergency shelters.		50 individuals/families will achieve stability in permanent housing thru rapid re-housing activities.					
	31G Short term rent mortgage utility payments ▼				31I Housing information services ▼			
31F Tenant based rental assistance ▼				Matrix Codes ▼				
31E Supportive service ▼				Matrix Codes ▼				
Program Year 1	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		

Program Year 2	Fund Source: ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$79,502	 ▼	Proposed Amt.	
		Actual Amount	\$49,804			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	50	 ▼	Proposed Units	
		Actual Units	71			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

























Project Name: ESG - Child and Family Service (West Hawaii)							
Description:	IDIS Project #: UOG Code: HI159999 HAWAII STATE PROGRAM						
Provide operations funding to Child and Family Service's West Hawaii shelter for victims of domestic violence.							
Location:	Priority Need Category						
County of Hawaii - Countywide	Select one: Homeless/HIV/AIDS ▼						
Expected Completion Date:	Explanation:						
6/30/2013	Performance Measure Model, Objective #: HP-1 & HP-4						
<input type="checkbox"/> Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity	A safe place to sleep for victims of domestic violence is a high priority need. ESG funds will be used for operations of an emergency shelter serving 240 victims of domestic violence, and assistance to transition 195 victims of domestic violence into permanent housing.						
Outcome Categories <input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	Specific Objectives 1 End chronic homelessness ▼ 2 Increase the number of homeless persons moving into permanent housing ▼ 3 ▼						
Project-level Accomplishments	01 People ▼	Proposed	240		Accompl. Type: ▼	Proposed	
		Underway	199		Underway		
		Complete			Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway			Underway		
		Complete			Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway			Underway		
		Complete			Complete		
	Proposed Outcome		Performance Measure		Actual Outcome		
	Provide suitable living environment		215 homeless persons will have safe nights of sleep; 181 transition to permanent housing.				
	O3T Operating Costs of Homeless/AIDS Patients Programs ▼				Matrix Codes ▼		
Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Program Year 1	Fund Source: ▼	Proposed Amt.	\$14,000.00		Fund Source: ▼	Proposed Amt.	
		Actual Amount	\$10,082.00			Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	01 People ▼	Proposed Units	130		Accompl. Type: ▼	Proposed Units	
		Actual Units	200			Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	ESG ▼	Proposed Amt.	\$14,172.00	 ▼	Proposed Amt.	
		Actual Amount	\$14,172.00			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	130	 ▼	Proposed Units	
		Actual Units	194			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.	\$14,535	 ▼	Proposed Amt.	
		Actual Amount	\$9,839			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	240	 ▼	Proposed Units	
		Actual Units	199			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$13,177	 ▼	Proposed Amt.	
		Actual Amount	\$6,320			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	215	 ▼	Proposed Units	
		Actual Units	181			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Grantee Name: **State of Hawaii**

Project Name:		ESG - Child and Family Service (East Hawaii - Hale Ohana)						
Description:		IDIS Project #:		UOG Code:		HI159999 HAWAII STATE PROGRAM		
Provide operations funding to Child and Family Service's East Hawaii emergency shelter, Hale Ohana, for victims of domestic violence.								
Location:		Priority Need Category						
County of Hawaii - Countywide		Select one:		Homeless/HIV/AIDS ▼				
Expected Completion Date:		Explanation:						
6/30/2013		Performance Measure Model, Objective #: HP-1 & HP-4 A safe place to sleep for victims of domestic violence is a high priority need. Objective is to transition victims of domestic violence into permanent housing. ESG funds will be used for operations of an emergency shelter serving 285 victims of domestic violence, and assistance to transition 110 victims of domestic violence into permanent housing.						
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Specific Objectives						
Outcome Categories <input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1		End chronic homelessness ▼				
		2		Increase the number of homeless persons moving into permanent housing ▼				
		3		▼				
Project-level Accomplishments	01 People ▼	Proposed	240		Accompl. Type: ▼	Proposed		
		Underway	340		Underway			
		Complete			Complete			
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway			Underway			
		Complete			Complete			
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway			Underway			
		Complete			Complete			
	Proposed Outcome		Performance Measure			Actual Outcome		
	Provide suitable living environment		285 homeless persons will have safe nights of sleep; 220 transition to permanent housing.					
	O3T Operating Costs of Homeless/AIDS Patients Programs ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼				
Matrix Codes ▼				Matrix Codes ▼				
Program Year 1	ESG ▼	Proposed Amt.	\$14,000.00		Fund Source: ▼	Proposed Amt.		
		Actual Amount	\$10,348.49			Actual Amount		
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	01 People ▼	Proposed Units	176		Accompl. Type: ▼	Proposed Units		
		Actual Units	243			Actual Units		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		

Program Year 2	ESG ▼	Proposed Amt.	\$14,172.00	 ▼	Proposed Amt.	
		Actual Amount	\$14,172.00			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	176	 ▼	Proposed Units	
		Actual Units	263			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.	\$14,535	 ▼	Proposed Amt.	
		Actual Amount	\$14,535			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	240	 ▼	Proposed Units	
		Actual Units	340			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$14,729	 ▼	Proposed Amt.	
		Actual Amount	\$7,718			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	285	 ▼	Proposed Units	
		Actual Units	297			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Project Name:		HOPWA DHS-BESSD Admin						
Description:		IDIS Project #:		UOG Code:		HI159999 HAWAII STATE PROGRAM		
Grantee Administrative Fee General Program administration, coordination, monitoring, evaluation, record-keeping and reporting. Staff assigned to the HOPWA program carry out general administration.								
Location:		Priority Need Category						
State of Hawaii -- Department of Human Services - Benefits, Employment & Support Services, Homeless Programs Branch		Select one:		Planning/Administration 				
Expected Completion Date:		Explanation:						
6/30/2011		Performance Measurement Model Obj. #: HP-6						
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Funds will support the provision of effective program administration, and the DHS-BESSD will meet timeliness requirements in accordance with regulations.						
Outcome Categories		Specific Objectives						
<input type="checkbox"/> Availability/Accessibility		1		End chronic homelessness 				
<input type="checkbox"/> Affordability		2		Improve access to affordable rental housing 				
<input type="checkbox"/> Sustainability		3		Increase the number of homeless persons moving into permanent housing 				
Project-level Accomplishments	Accompl. Type: 	Proposed			Accompl. Type: 	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: 	Proposed			Accompl. Type: 	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: 	Proposed			Accompl. Type: 	Proposed		
		Underway				Underway		
		Complete				Complete		
	Proposed Outcome		Performance Measure		Actual Outcome			
	Program timeliness in committing/expending funds		Program timeliness in committing/expending funds					
	31B Administration - grantee 				Matrix Codes 			
Matrix Codes 				Matrix Codes 				
Matrix Codes 				Matrix Codes 				
Program Year 1	HOPWA 	Proposed Amt.	\$5,450		Fund Source: 	Proposed Amt.		
		Actual Amount	\$0			Actual Amount		
	Fund Source: 	Proposed Amt.			Fund Source: 	Proposed Amt.		
		Actual Amount				Actual Amount		
	Accompl. Type: 	Proposed Units			Accompl. Type: 	Proposed Units		
		Actual Units				Actual Units		
	Accompl. Type: 	Proposed Units			Accompl. Type: 	Proposed Units		
		Actual Units				Actual Units		

Program Year 2	HOPWA ▼	Proposed Amt.	\$5,350	 ▼	Proposed Amt.	
		Actual Amount	\$653			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.	\$5,307	 ▼	Proposed Amt.	
		Actual Amount	\$0			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$5,041	 ▼	Proposed Amt.	
		Actual Amount	\$0			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Project Name:		HOPWA - Maui AIDS Foundation Admin						
Description:		IDIS Project #:		UOG Code:		HI159999 HAWAII STATE PROGRAM		
Project Sponsor Maui AIDS Foundation administrative fee for administering, coordination, evaluation, record-keeping and reporting by the staff assigned to the HOPWA Program to carry out.								
Location:		Priority Need Category						
		Select one:		Planning/Administration ▼				
		Explanation:						
Expected Completion Date:		Performance Measurement Model Obj. #: HP-6						
6/30/2013		Funds will support the provision of effective program administration, and the Maui AIDS Foundation will meet timeliness requirements in accordance with regulations.						
Objective Category <input type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity								
		Specific Objectives						
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1		End chronic homelessness		▼		
		2		Improve access to affordable rental housing		▼		
		3		Increase the number of homeless persons moving into permanent housing		▼		
Project-level Accomplishments	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Proposed Outcome		Performance Measure		Actual Outcome			
	Program timeliness in committing/expending funds		Program timeliness in committing/expending funds					
	31D Administration - project sponsor ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼				
Matrix Codes ▼				Matrix Codes ▼				
Program Year 1	Fund Source: ▼	Proposed Amt.	\$12,718.00		Fund Source: ▼	Proposed Amt.		
		Actual Amount	\$12,718.00			Actual Amount		
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		

Program Year 2	Fund Source: ▼	Proposed Amt.	\$12,485.00	 ▼	Proposed Amt.	
		Actual Amount	\$9,803.00			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.	\$12,012	 ▼	Proposed Amt.	
		Actual Amount	\$11,432			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$11,763	 ▼	Proposed Amt.	
		Actual Amount	\$9,808			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Project Name:		HOPWA - Maui AIDS Foundation - Rental Assistance						
Description:		IDIS Project #:		UOG Code:		HI159999 HAWAII STATE PROGRAM		
Provide funds to pay a portion of the market rental unit costs for homeless and homeless-at-risk with HIV/AIDS.								
Location:		Priority Need Category						
Counties of Hawaii, Kauai, and Maui		Select one:		Homeless/HIV/AIDS ▼				
Expected Completion Date:		Explanation:						
6/30/2013		Performance Measure Model, Objective #: HP-5 Housing for persons with HIV/AIDS is a high priority need. This program will provide funds to pay a portion of the market rental unit costs for homeless and homeless-at-risk persons with HIV/AIDS. 28 persons with HIV/AIDS and their families will be assisted.						
Objective Category		Specific Objectives						
<input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity								
Outcome Categories								
<input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability								
		1 End chronic homelessness ▼ 2 Improve access to affordable rental housing ▼ 3 Increase the number of homeless persons moving into permanent housing ▼						
Project-level Accomplishments	01 People ▼	Proposed	28		Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete	19			Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Proposed Outcome		Performance Measure		Actual Outcome			
	Units provided to persons with HIV/AIDS		Number of households provided rental assistance					
	31F Tenant based rental assistance ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼				
Matrix Codes ▼				Matrix Codes ▼				
Program Year 1	ESG ▼	Proposed Amt.	\$123,193		Fund Source: ▼	Proposed Amt.		
		Actual Amount	\$123,127			Actual Amount		
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	04 Households ▼	Proposed Units	28		Accompl. Type: ▼	Proposed Units		
		Actual Units	28			Actual Units		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		

Program Year 2	ESG	▼	Proposed Amt.	\$123,193		▼	Proposed Amt.	
			Actual Amount	\$110,407			Actual Amount	
		▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount				Actual Amount	
		▼	Proposed Units	28		▼	Proposed Units	
			Actual Units	26			Actual Units	
		▼	Proposed Units			▼	Proposed Units	
			Actual Units				Actual Units	
Program Year 3		▼	Proposed Amt.	\$118,655		▼	Proposed Amt.	
			Actual Amount	\$106,638			Actual Amount	
		▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount				Actual Amount	
		▼	Proposed Units	28		▼	Proposed Units	
			Actual Units	19			Actual Units	
		▼	Proposed Units			▼	Proposed Units	
			Actual Units				Actual Units	
Program Year 4		▼	Proposed Amt.	\$110,011		▼	Proposed Amt.	
			Actual Amount	\$100,534			Actual Amount	
		▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount				Actual Amount	
		▼	Proposed Units	16		▼	Proposed Units	
			Actual Units	18			Actual Units	
		▼	Proposed Units			▼	Proposed Units	
			Actual Units				Actual Units	
Program Year 5		▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount				Actual Amount	
		▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount				Actual Amount	
		▼	Proposed Units			▼	Proposed Units	
			Actual Units				Actual Units	
		▼	Proposed Units			▼	Proposed Units	
			Actual Units				Actual Units	

Project Name:		HOPWA - Maui AIDS Foundation - Housing Information and Supportive Services						
Description:		IDIS Project #:		UOG Code:		HI159999 HAWAII STATE PROGRAM		
Persons living with HIV/AIDS need services to achieve housing stability. Provide supportive services, with and without housing activities, to persons living with HIV/AIDS, by providing rent, deposit/utility assistance, and housing information services to persons with HIV/AIDS.								
Location:		Priority Need Category						
Counties of Hawaii, Kauai, and Maui		Select one:		Homeless/HIV/AIDS ▼				
Expected Completion Date:		Explanation:						
6/30/2013		Performance Measure Model, Objective #: HP-3 Housing for persons with HIV/AIDS is a high priority need. This program will provide supportive services, with and without housing activities, to persons living with HIV/AIDS.						
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Specific Objectives						
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1		End chronic homelessness ▼				
		2		Improve access to affordable rental housing ▼				
		3		Increase the number of homeless persons moving into permanent housing ▼				
Project-level Accomplishments	01 People ▼	Proposed	450		Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete	467			Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Proposed Outcome		Performance Measure		Actual Outcome			
	Provide persons with HIV/AIDS with supportive services		450 persons with HIV/AIDS receive assistance to achieve stable permanent housing.					
	31E Supportive service ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼				
Matrix Codes ▼				Matrix Codes ▼				
Program Year 1	ESG ▼	Proposed Amt.	\$40,330.00		Fund Source: ▼	Proposed Amt.		
		Actual Amount	\$40,279.00			Actual Amount		
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	04 Households ▼	Proposed Units	413		Accompl. Type: ▼	Proposed Units		
		Actual Units	431			Actual Units		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		

Program Year 2	ESG	▼	Proposed Amt.	\$37,329.00		▼	Proposed Amt.	
			Actual Amount	\$33,086.00			Actual Amount	
		▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount				Actual Amount	
		▼	Proposed Units	449		▼	Proposed Units	
			Actual Units	429			Actual Units	
		▼	Proposed Units			▼	Proposed Units	
			Actual Units				Actual Units	
Program Year 3		▼	Proposed Amt.	\$32,330		▼	Proposed Amt.	
			Actual Amount	\$29,636			Actual Amount	
		▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount				Actual Amount	
		▼	Proposed Units	447		▼	Proposed Units	
			Actual Units	362			Actual Units	
		▼	Proposed Units			▼	Proposed Units	
			Actual Units				Actual Units	
Program Year 4		▼	Proposed Amt.	\$31,140		▼	Proposed Amt.	
			Actual Amount	\$29,445			Actual Amount	
		▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount				Actual Amount	
		▼	Proposed Units	450		▼	Proposed Units	
			Actual Units	461			Actual Units	
		▼	Proposed Units			▼	Proposed Units	
			Actual Units				Actual Units	
Program Year 5		▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount				Actual Amount	
		▼	Proposed Amt.			▼	Proposed Amt.	
			Actual Amount				Actual Amount	
		▼	Proposed Units			▼	Proposed Units	
			Actual Units				Actual Units	
		▼	Proposed Units			▼	Proposed Units	
			Actual Units				Actual Units	

Project Name:		HOPWA - Maui AIDS Foundation - Short-Term Rental, Mortgage, Utility (STRMU)						
Description:		IDIS Project #:		UOG Code:		HI159999 HAWAII STATE PROGRAM		
Provide funds to pay a portion of short-term rental unit costs, mortgage and utilities to assist at-risk, homeless persons with HIV/AIDS.								
Location:		Priority Need Category						
Counties of Hawaii, Kauai, and Maui		Select one:		Homeless/HIV/AIDS ▼				
Expected Completion Date:		Explanation:						
6/30/2013		Performance Measure Model, Objective #: HP-5 Housing for persons with HIV/AIDS is a high priority need. This objective will provide funds for short-term rental, mortgage and utilities assistance to at-risk persons with HIV/AIDS.						
Objective Category <input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		Specific Objectives						
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1		End chronic homelessness		▼		
		2		Improve access to affordable rental housing		▼		
		3		Increase the number of homeless persons moving into permanent housing		▼		
Project-level Accomplishments	01 People ▼	Proposed	4		Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete	6			Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Proposed Outcome		Performance Measure			Actual Outcome		
	Provide persons with HIV/AIDS with housing information and STRMU		4 households receive housing information, short-term rent assistance/deposit and utility payment assistance.					
	31G Short term rent mortgage utility payments ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼				
Matrix Codes ▼				Matrix Codes ▼				
Program Year 1	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	04 Households ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		

Program Year 2	Fund Source: ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.	\$6,702	 ▼	Proposed Amt.	
		Actual Amount	\$4,831			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	4	 ▼	Proposed Units	
		Actual Units	6			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$1,700	 ▼	Proposed Amt.	
		Actual Amount	\$800			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units	3			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

Project Name:		HOPWA - Maui AIDS Foundation - Permanent Housing Placement Assistance					
Description:		IDIS Project #:		UOG Code:		HI159999 HAWAII STATE PROGRAM	
Provide housing information and security deposit assistance services to persons with HIV/AIDS.							
Location:		Priority Need Category					
Counties of Hawaii, Kauai, and Maui		Select one:		Homeless/HIV/AIDS ▼			
Expected Completion Date:		Explanation:					
6/30/2013		Performance Measure Model, Objective #: HP-5 Housing for persons with HIV/AIDS is a high priority need. This objective will provide housing information and rent/deposit assistance services to persons with HIV/AIDS.					
Objective Category		Specific Objectives					
<input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity							
Outcome Categories							
<input checked="" type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability							
		1 End chronic homelessness ▼ 2 Improve access to affordable rental housing ▼ 3 Increase the number of homeless persons moving into permanent housing ▼					
Project-level Accomplishments	01 People ▼	Proposed	4		Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete	2			Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
		Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure			Actual Outcome		
Provide housing information and security deposit assistance services to persons with HIV/AIDS.		4 households receiving housing information & security deposit assistance services.					
31E Supportive service ▼		Matrix Codes ▼			Matrix Codes ▼		
Matrix Codes ▼		Matrix Codes ▼			Matrix Codes ▼		
Matrix Codes ▼		Matrix Codes ▼			Matrix Codes ▼		
Program Year 1	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	04 Households ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	Fund Source: ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3 ▼	Proposed Amt.	\$1,900	 ▼	Proposed Amt.	
		Actual Amount	\$1,900			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units	4	 ▼	Proposed Units	
		Actual Units	2			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4 ▼	Proposed Amt.	\$8,387	 ▼	Proposed Amt.	
		Actual Amount	\$5,000			Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units	3			Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Amt.		 ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	
 ▼	Proposed Units		 ▼	Proposed Units	
		Actual Units				Actual Units	

APPENDIX C

HOME Reports

Annual Performance Report HOME Program

U.S. Department of Housing
and Urban Development
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	Starting 07/01/2013	Ending 06/30/2014	

Part I Participant Identification

1. Participant Number SG-15-0100	2. Participant Name Hawaii Housing Finance and Development Corporation		
3. Name of Person completing this report Glori Inafuku		4. Phone Number (Include Area Code) 808-587-0538	
5. Address 677 Queen Street, Suite 300	6. City Honolulu	7. State HI	8. Zip Code 96813

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
\$537,708.05	\$509,101.63	\$1,045,120.90	\$23,247.19	\$1688.78

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
A. Contracts					
1. Number	0				
2. Dollar Amount					
B. Sub-Contracts					
1. Number	0				
2. Dollar Amount					
	a. Total	b. Women Business Enterprises (WBE)	c. Male		
C. Contracts					
1. Number	0				
2. Dollar Amount					
D. Sub-Contracts					
1. Number	0				
2. Dollar Amounts					

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	0					
2. Dollar Amount						

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost				
1. Parcels Acquired	0					
2. Businesses Displaced	0					
3. Nonprofit Organizations Displaced	0					
4. Households Temporarily Relocated, not Displaced	0					
Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number	0					
6. Households Displaced - Cost						

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval No. 2506-0171
(exp. 12/31/2012)

Part I Participant Identification

1. Participant No. (assigned by HUD) SG-15-0100	2. Name of the Participating Jurisdiction Hawaii Housing Finance and Development Corporation	3. Name of Contact (person completing this report) Glori Inafuku	Match Contributions for Federal Fiscal Year (yyyy) 7/13 - 6/14
5. Street Address of the Participating Jurisdiction 677 Queen Street, Suite 300	8. Zip Code 96813	4. Contact's Phone Number (include area code) 808-587-0538	
6. City Honolulu	7. State Hawaii		

Part II Fiscal Year Summary

1. Excess match from prior Federal fiscal year	\$	13,456,894.73	
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$	832,233.89	
3. Total match available for current Federal fiscal year (line 1 + line 2)	\$		14,289,128.62
4. Match liability for current Federal fiscal year	\$		1,183,548.69
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)	\$		13,105,579.93

Part III Match Contribution for the Federal Fiscal Year

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land / Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
County of Hawaii								
Mohouli Heights Sr.	06/30/14		180,543.63					180,543.63
HI Paradise Pk PH 2	06/30/14		74,425.16					74,425.16
Ulu Wini (Kaloko)	06/30/14		148,230.41					148,230.41
Kumukua Self Help	06/30/14		7,790.19					7,790.19
County of Kauai								
Kalepa Village Ph 2B	06/30/14		88,744.50					88,744.50
Kalepa Village Ph 3	06/30/14		332,500.00					332,500.00

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

Instructions for the HOME Match Report

Applicability:

The HOME Match Report is part of the HOME APR and must be filled out by every participating jurisdiction that incurred a match liability. Match liability occurs when FY 1993 funds (or subsequent year funds) are drawn down from the U.S. Treasury for HOME projects. A Participating Jurisdiction (PJ) may start counting match contributions as of the beginning of Federal Fiscal Year 1993 (October 1, 1992). A jurisdiction not required to submit this report, either because it did not incur any match or because it had a full match reduction, may submit a HOME Match Report if it wishes. The match would count as excess match that is carried over to subsequent years. The match reported on this form must have been contributed during the reporting period (between October 1 and September 30).

Timing:

This form is to be submitted as part of the HOME APR on or before December 31. The original is sent to the HUD Field Office. One copy is sent to the

Office of Affordable Housing Programs, CGHF
Room 7176, HUD, 451 7th Street, S.W.
Washington, D.C. 20410.

The participating jurisdiction also keeps a copy.

Instructions for Part II:

1. **Excess match from prior Federal fiscal year:** Excess match carried over from prior Federal fiscal year.
2. **Match contributed during current Federal fiscal year:** The total amount of match contributions for all projects listed under Part III in column 9 for the Federal fiscal year.

3. **Total match available for current Federal fiscal year:** The sum of excess match carried over from the prior Federal fiscal year (Part II, line 1) and the total match contribution for the current Federal fiscal year (Part II, line 2). This sum is the total match available for the Federal fiscal year.

4. **Match liability for current Federal fiscal year:** The amount of match liability is available from HUD and is provided periodically to PJs. The match must be provided in the current year. The amount of match that must be provided is based on the amount of HOME funds drawn from the U.S. Treasury for HOME projects. The amount of match required equals 25% of the amount drawn down for HOME projects during the Federal fiscal year. Excess match may be carried over and used to meet match liability for subsequent years (see Part II line 5). Funds drawn down for administrative costs, CHDO operating expenses, and CHDO capacity building do not have to be matched. Funds drawn down for CHDO seed money and/or technical assistance loans do not have to be matched if the project does not go forward. A jurisdiction is allowed to get a partial reduction (50%) of match if it meets one of two statutory distress criteria, indicating "fiscal distress," or else a full reduction (100%) of match if it meets both criteria, indicating "severe fiscal distress." The two criteria are poverty rate (must be equal to or greater than 125% of the average national family poverty rate to qualify for a reduction) and per capita income (must be less than 75% of the national average per capita income to qualify for a reduction). In addition, a jurisdiction can get a full reduction if it is declared a disaster area under the Robert T. Stafford Disaster Relief and Emergency Act.

5. **Excess match carried over to next Federal fiscal year:** The total match available for the current Federal fiscal year (Part II, line 3) minus the match liability for the current Federal fiscal year (Part II, line 4). Excess match may be carried over and applied to future HOME project match liability.

Instructions for Part III:

1. **Project No. or Other ID:** "Project number" is assigned by the C/MI System when the PJ makes a project setup call. These projects involve at least some Treasury funds. If the HOME project does not involve Treasury funds, it must be identified with "other ID" as follows: the fiscal year (last two digits only), followed by a number (starting from "01" for the first non-Treasury-funded project of the fiscal year), and then at least one of the following abbreviations: "SF" for project using shortfall funds, "PJ" for projects using program income, and "NON" for non-HOME-assisted affordable housing. Example: 93.01.SF, 93.02.PJ, 93.03.NON, etc.

Shortfall funds are non-HOME funds used to make up the difference between the participation threshold and the amount of HOME funds allocated to the PJ; the participation threshold requirement applies only in the PJ's first year of eligibility. [§92.102]

Program income (also called "repayment income") is any return on the investment of HOME funds. This income must be deposited in the jurisdiction's HOME account to be used for HOME projects. [§92.503(b)]

Non-HOME-assisted affordable housing is investment in housing not assisted by HOME funds that would qualify as "affordable housing" under the HOME Program definitions. "NON" funds must be contributed to a specific project; it is not sufficient to make a contribution to an entity engaged in developing affordable housing. [§92.219(b)]

2. **Date of Contribution:** Enter the date of contribution. Multiple entries may be made on a single line as long as the contributions were made during the current fiscal year. In such cases, if the contributions were made at different dates during the year, enter the date of the last contribution.

3. **Cash:** Cash contributions from non-Federal resources. This means the funds are contributed permanently to the HOME Program regardless of the form of investment the jurisdiction provides to a project. Therefore all repayment, interest, or other return on investment of the contribution must be deposited in the PJ's HOME account to be used for HOME projects. The PJ, non-Federal public entities (State/local governments), private entities, and individuals can make contributions. The grant equivalent of a below-market interest rate loan to the project is eligible when the loan is not repayable to the PJ's HOME account. [§92.220(a)(1)] In addition, a cash contribution can count as match if it is used for eligible costs defined under §92.206 (except administrative costs and CHDO operating expenses) or under §92.209, or for the following non-eligible costs: the value of non-Federal funds used to remove and relocate ECHO units to accommodate eligible tenants, a project reserve account for re-placements, a project reserve account for unanticipated increases in operating costs, operating subsidies, or costs relating to the portion of a mixed-income or mixed-use project not related to the affordable housing units. [§92.219(c)]

4. **Foregone Taxes, Fees, Charges:** Taxes, fees, and charges that are normally and customarily charged but have been waived, foregone, or deferred in a manner that achieves affordability of the HOME-assisted housing. This includes State tax credits for low-income housing development. The amount of real estate taxes may be based on the

post-improvement property value. For those taxes, fees, or charges given for future years, the value is the present discounted cash value. [§92.220(a)(2)]

5. **Appraised Land/Real Property:** The appraised value, before the HOME assistance is provided and minus any debt burden, lien, or other encumbrance, of land or other real property, not acquired with Federal resources. The appraisal must be made by an independent, certified appraiser. [§92.220(a)(3)]

6. **Required Infrastructure:** The cost of investment, not made with Federal resources, in on-site and off-site infrastructure directly required for HOME-assisted affordable housing. The infrastructure must have been completed no earlier than 12 months before HOME funds were committed. [§92.220(a)(4)]

7. **Site preparation, Construction materials, Donated labor:** The reasonable value of any site-preparation and construction materials, not acquired with Federal resources, and any donated or voluntary labor (see §92.354(b)) in connection with the site-preparation for, or construction or rehabilitation of, affordable housing. The value of site-preparation and construction materials is determined in accordance with the PJ's cost estimate procedures. The value of donated or voluntary labor is determined by a single rate ("labor rate") to be published annually in the Notice Of Funding Availability (NOFA) for the HOME Program. [§92.220(6)]

8. **Bond Financing:** Multifamily and single-family project bond financing must be validly issued by a State or local government (or an agency, instrumental-ity, or political subdivision thereof). 50% of a loan from bond proceeds made to a multifamily affordable housing project owner can count as match. 25% of a loan from bond proceeds made to a single-family affordable housing project owner can count as match. Loans from all bond proceeds, including excess bond match from prior years, may not exceed 25% of a PJ's total annual match contribution. [§92.220(a)(5)] The amount in excess of the 25% cap for bonds may carry over, and the excess will count as part of the statutory limit of up to 25% per year. Requirements regarding

bond financing as an eligible source of match will be available upon publication of the implementing regulation early in FY 1994.

9. **Total Match:** Total of items 3 through 8. This is the total match contribution for each project identified in item 1.

Ineligible forms of match include:

1. Contributions made with or derived from Federal resources e.g. CDBG funds [§92.220(b)(1)]
2. Interest rate subsidy attributable to the Federal tax-exemption on financing or the value attributable to Federal tax credits [§92.220(b)(2)]
3. Contributions from builders, contractors or investors, including owner equity, involved with HOME-assisted projects. [§92.220(b)(3)]
4. Sweat equity [§92.220(b)(4)]
5. Contributions from applicants/recipients of HOME assistance [§92.220(b)(5)]
6. Fees/charges that are associated with the HOME Program only, rather than normally and customarily charged on all transactions or projects [§92.220(a)(2)]
7. Administrative costs

Exhibit A: HOME Program - Active Activities
For the Period of July 1, 2013 - June 30, 2014

Objective #	Activity Type	Active HOME Activities	Proposed		Total Commitment to Date	Total Prior Draws	Draws During Reporting Year					Available Balance To Date	Activity Status As of June 30, 2014
			Total Units	HOME Units			SU	CR	AD	PI/PA	Total Disbursed		
HR-1	Construct affordable rental housing	<u>County of Hawaii</u>											
		Na Kahua Hale O Ulu Wini (Kaloko IV)	20	10	1,964,279.00	0.00	1,964,079.00				1,964,079.00	200.00	Construction near completion.
HR-2	Provide tenant-based rental assistance	<u>County of Hawaii</u>											
		Tenant Based Rental Assistance	24	24	1,662,055.82	401,633.01	247,206.95			23,247.19	270,454.14	989,968.67	On-going
HR-3	Construct affordable rental housing for special needs populations	<u>County of Hawaii</u>											
		Mohouli Heights Senior - Phase I	60	7	994,441.00	599,800.00		200.00			200.00	394,441.00	Project units occupied; photo voltaic work in progress
		<u>County of Kauai</u> Rice Camp	60	8	1,250,000.00	0.00	872,977.93				872,977.93	377,022.07	Construction in progress
H-1	Develop (new construction or rehab of existing bldgs.) new transitional housing units to assist victims of domestic violence or the working homeless.	<u>County of Kauai</u>											
		Hanamaulu Transitional Housing	2	2	300,000.00	300,000.00					0.00	0.00	Add'l. rehab with CDBG funds to be completed along with conversion to two units.
		Kapaa Transitional Housing	1	1	232,080.00	185,990.12		275.00			275.00	45,814.88	Rehab scheduled to begin July, 2014.
HO-1	Affordable for-sale housing	No Activities											
HO-2	Provide project development funds to carry out projects that produce affordable housing using a self-help building method	<u>County of Hawaii</u>											
		Hawaiian Paradise Park - Phase 2	10	10	62,000.00	0.00		62,000.00			62,000.00	0.00	Completed
		<u>County of Kauai</u> Eleele Iluna Phase 2	48	24	1,920,000.00	0.00	258,187.28			320,977.15	579,164.43	1,340,835.57	Construction in progress
		<u>County of Maui</u> Molokai Mutual Self-Help Housing	7	7	458,551.92	304,674.76	53,550.19	94,307.16			147,857.35	6,019.81	Construction in progress
HO-3	Provide downpayment/closing cost assistance, primary and gap loans through various County Home-Buyer Loan Programs	<u>County of Kauai</u>											
		Homebuyer Loan Program	4	4	750,000.00	0.00				658,000.00	658,000.00	92,000.00	Issued three first time homebuyer loans.
HO-4	Provide homebuyer education and counseling sessions	No Activities											
HA-1	Provide effective program administration	<u>County of Hawaii</u> Administration			227,583.02	0.00		72,416.98		2,583.02	75,000.00	152,583.02	On-going
		<u>County of Kauai</u> Administration			131,351.35	65,666.56		45,371.05		20,313.74	65,684.79	0.00	Completed
		<u>County of Maui</u> Administration			245,028.00	98,660.18		55,509.54			55,509.54	90,858.28	On-going
		<u>HHFDC</u> Administration			150,000.00	0.00		110,545.19			110,545.19	39,454.81	On-going
HA-2	Conduct housing study to measure progress and needs of the housing market												
Total			252	113	12,670,127.11	2,651,629.55	4,577,412.61	156,782.16	283,842.76	1,045,120.90	6,063,158.43	3,955,339.13	

Exhibit B: HOME Program - Completed Units
for the Period of July 1, 2010- June 30, 2015

Objective #	Activity Type	Project Name	Location	Total Units	Total HOME Units	Completed HOME units by Objective (2013 - 2014)	4th Year Goals (2013 - 2014)	Differential in Meeting 4th Year Goals (2013-14)	Five Year Goals (2010-15)	Total (2010-15)	Differential in Meeting Five Year Goals
IR-1	Construct affordable rental housing	<u>County of Hawaii</u> Na Kahua Hale O Ulu Wini Ph 3 (Ika Kaloko Housing Project)	Kona, Hawaii	36	2	2					
		<u>County of Kauai</u> Paanau Village Phase 2		50	16						
					18	2	10	-8	202	18	-184
IR-2	Provide tenant-based rental assistance	<u>County of Hawaii</u> Tenant Based Rental Assistance	County-wide								
		Program Year 2010		22	22						
		Program Year 2011		14	14						
		Program Year 2012		14	14						
		Program Year 2013		24	24	24					
					74	24	20	4	100	74	-26
IR-3	Construct affordable rental housing for special needs populations	<u>County of Maui</u> Program Year 2010									
		Hale Mahaolu Ehiku 1B	Kihei, Maui	20	15						
		Program Year 2011									
		Hale Mahaolu Ehiku Phase II	Kihei, Maui	59	5						
					20	0	54	-54	144	20	-124
I-1	Develop (new construction or rehab of existing bldgs.) new transitional housing units to assist victims of domestic violence or the working homeless.	No Activities				0	5	-5	18	0	-18
IO-1	Affordable for-sale housing	<u>County of Maui</u> Kenolio Leilani	Kihei, Maui	6	6						
		<u>County of Kauai</u> Kamamalu Condominium	Lihue, Kauai	3	3	1					
					9	1	10	-9	33	9	-24
IO-2	Provide project development funds to carry out projects that produce affordable housing using a self-help building method	<u>County of Hawaii</u> Program Year 2010									
		Kumakua Self-Help Increment 2A	North Kohala, Hawaii	10	10						
		Program Year 2011									
		Pacific Paradise Gardens Mutual Self- Help Phase 8	Mt. View, Hawaii	8	8						
		Program Year 2012									
		Kumakua Self-Help 2B	North Kohala, Hawaii	7	7						
		Hawaiian Paradise Park Phase I	Keaau, Hawaii	9	9						
		Program Year 2013									
		Hawaiian Paradise Park Phase 2	Keaau, Hawaii	10	10	10					
					44	10	0	10	40	44	4
O-3	Provide downpayment/closing cost assistance and gap loans through various County Home-Buyer Loan Programs	<u>County of Hawaii</u> County Homebuyer Loan Program	County-Wide								
		Program Year 2010		1	1						
		Program Year 2011		1	1						
		<u>County of Maui</u> County Homebuyer Loan Program	County-wide								
		Program Year 2010		1	1						
		Program Year 2011		1	1						
		<u>County of Kauai</u> County Homebuyer Loan Program	County-wide								
				3	3	3					
					7	3	5	-2	30	7	-23
IO-4	Provide homebuyer education and counseling sessions	No Activities									
Total Completed Units				299	172	40	104	-64	567	172	-395

Exhibit C: Funding Sources

Completed HOME Projects/Activities in Program Year 2013-2014

Program Administrator Project/Program	HOME Program Funds		Public Funds			Private Funds				Low Income Housing Tax Credit Proceeds	Totals
	2013 HOME Funds	Prior Allocations of HOME Funds	Other Federal Funds	State/Local Funds	Tax-Exempt Bond Proceeds	Private Loans	Owner Cash Contributions	Private Grants			
Hawaii Na Kahua Hale O Ulu Wini (formerly Kaloko Housing Project)		235,946.21	3,836,510.00	NSP3, EDI 656,771.74 County CIP	0.00	0.00	0.00	0.00		0.00	4,729,227.95
Hawaii Hawaiian Paradise Park Phase 2	0.00	62,000.00	1,581,284.00	USDA-RD 0.00	0.00	74,367.00	0.00	0.00		0.00	1,717,651.00
Totals	0.00	62,000.00	1,581,284.00	0.00	0.00	74,367.00	0.00	0.00		0.00	1,717,651.00

Conditionally Committed HOME Projects/Activities in Program Year 2013-2014

Program Administrator Project/Program	HOME Program Funds		Public Funds			Private Funds				Low Income Housing Tax Credit Proceeds	Totals
	2013 HOME Funds	Prior Allocations of HOME Funds	Other Federal Funds	State/Local Funds	Tax-Exempt Bond Proceeds	Private Loans	Owner Cash Contributions	Private Grants			
Kauai Kolopua	1,000,000.00	0.00	0.00	0.00	0.00	2,175,000.00	445,719.00	0.00		11,292,285.00	14,913,004.00
Kauai Lihue Court Townhomes Rehabilitation	711,000.00	0.00	0.00	0.00	0.00	0.00	0.00	54,000.00		0.00	765,000.00
Kauai Rice Camp Senior Housing	1,250,000.00	0.00	0.00	0.00	0.00	500,000.00	726,697.00	0.00		10,792,403.00	13,269,100.00
Totals	2,961,000.00	0.00	0.00	0.00	0.00	2,675,000.00	1,172,416.00	54,000.00		22,084,688.00	28,947,104.00

Federal Funding Sources
CDBG Community Development Block Grant
EDI Economic Development Initiative
ISP Neighborhood Stabilization Program
ISDA-RD USDA Rural Development Financing Program

State/Local Funding Sources
County of HI County CIP/County Revolving

Exhibit D: HOME Program - Grant Balances

As Of: June 30, 2014

Reconciliation with Report PR35 & PR27

National Defense Act Expenditure Deadline	9/30/2014	9/30/2015	9/30/2016	9/30/2017	9/30/2018	9/30/2019	9/30/2020	
Projected CHDO Expenditure Deadline	9/30/2014	12/31/2014	12/31/2014	6/30/2015	7/31/2016	7/31/2017	7/31/2018	
Grant Expenditure Deadline	7/31/2012	8/31/2013	7/31/2014	6/30/2015	7/31/2016	7/31/2017	7/31/2018	
State Recipient Expenditure Deadline	7/9/2010	8/30/2013	8/30/2014	6/30/2015	7/15/2016	7/27/2016	12/31/2016	
	2007	2008	2009	2010	2011	2012	2013	TOTALS
HHFDC (UOG 150001-00001)								
(IDIS 141967871)								
Entitlement (EN)	\$3,032,266.00	\$3,013,037.00	\$3,035,377.00	\$3,043,720.00	\$3,001,118.00	\$3,000,000.00	\$3,000,000.00	
Administration (AD)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.60	\$0.00	\$39,454.81	\$39,455.41
CHDO Reserve (CR)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Subrecipient (SU)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Program Income (PI)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Recaptured (RE)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.60	\$0.00	\$39,454.81	\$39,455.41
Hawaii (UOG 159001-00001)								
(IDIS 996000567)								
AD Uncommitted	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
AD Available To Be Drawn	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$152,583.02	\$0.00	\$152,583.02
CR Uncommitted	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$388,000.00	\$0.00	\$388,000.00
CR Available To Be Drawn	\$0.00	\$0.00	\$92,199.00	\$152,186.00	\$150,056.00	\$0.00	\$0.00	\$394,441.00
SU Uncommitted	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$342,386.52	\$0.00	\$342,386.52
SU Available To Be Drawn	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$990,168.67	\$0.00	\$990,168.67
PI/RE Available To Be Drawn	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$0.00	\$0.00	\$92,199.00	\$152,186.00	\$150,056.00	\$1,873,138.21	\$0.00	\$2,267,579.21
Kauai (UOG 159007-00001)								
(IDIS 996000658)								
AD Uncommitted	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$35,024.67	\$35,024.67
AD Available To Be Drawn	\$0.00	\$0.00	\$0.00	\$0.00	\$35,024.67	\$0.00	\$114,975.33	\$150,000.00
CR Uncommitted	\$0.00	\$39,000.00	\$105,679.12	\$152,186.00	\$150,056.00	\$0.00	\$0.00	\$446,921.12
CR Available To Be Drawn	\$150,000.00	\$111,000.00	\$45,814.88	\$0.00	\$0.00	\$0.00	\$450,000.00	\$756,814.88
SU Uncommitted	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$2,045,955.74	\$2,045,955.74
SU Available To Be Drawn	\$0.00	\$0.00	\$31,518.39	\$762,388.00	\$811,906.99	\$0.00	\$204,044.26	\$1,809,857.64
PI/RE Available To Be Drawn	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,688.78	\$1,688.78
	\$150,000.00	\$150,000.00	\$183,012.39	\$914,574.00	\$996,987.66	\$0.00	\$2,851,688.78	\$5,246,262.83
Maui (UOG 159009-00001)								
(IDIS 996000618)								
AD Uncommitted	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
AD Available To Be Drawn	\$0.00	\$0.00	\$0.00	\$15,830.28	\$75,028.00	\$0.00	\$0.00	\$90,858.28
CR Uncommitted	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CR Available To Be Drawn	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SU Uncommitted	\$0.00	\$0.00	\$0.00	\$105,625.18	\$0.00	\$0.00	\$0.00	\$105,625.18
SU Available To Be Drawn	\$0.00	\$0.00	\$75,399.01	\$356,761.82	\$0.00	\$0.00	\$0.00	\$432,160.83
PI/RE Available To Be Drawn	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	\$0.00	\$0.00	\$75,399.01	\$478,217.28	\$75,028.00	\$0.00	\$0.00	\$628,644.29
TOTALS	\$150,000.00	\$150,000.00	\$350,610.40	\$1,544,977.28	\$1,222,072.26	\$1,873,138.21	\$2,891,143.59	\$8,181,941.74

APPENDIX D

HOME Monitoring and Inspection Reports

2013 – 2014 HOME Monitoring and Inspection Results

County HOME Program Administration

The following are the results of the Hawaii Housing Finance and Development Corporation's (HHFDC) on-site monitoring review of the HOME Program of the Counties of Hawaii, Kauai and Maui. In particular, staff examined each County's records pursuant to Chapter 7 of the U.S. Department of Housing and Urban Development's Community Planning and Development Monitoring Handbook 6509.2, Rev.6, and focused on the administration of the County's HOME Program.

County of Hawaii

HHFDC staff conducted its on-site monitoring review of the County of Hawaii's project and program records and noted the following:

General Program Administration - The County has not been consistent in conducting on site monitoring of activities to ensure compliance with the program requirements.

Hawaiian Paradise Park 2 – The County did not conduct monitoring of the CHDO upon completion of the activity.

Mohouli Heights Senior Neighborhood - No Findings/Concerns

Habitat for Humanity Affordable Workforce Housing - No Findings/Concerns

Na Kahua Hale O Ulu Wini - The Spectrum Monitoring Owner's Report dated May 27, 2014, cited a finding regarding shower stalls as the width does not meet the Fair Housing Act design requirements for usable bathrooms. Pursuant to the State Recipient agreement, the County is required to adhere to the fair housing and non discrimination laws in its provision of housing or housing services.

The leases are prohibited from including a requirement for mandatory supportive services.

County of Kauai

HHFDC staff conducted its on-site monitoring review of the County of Kauai's project and program records, and noted the following:

General Program Administration - FY2007 CHDO Set Aside Funds (CR) in the amount of \$150,000 are due to expire on September 30, 2014. HOME funds remaining in the PJ's FY 2007 grant after that date will be recaptured by the United States Treasury.

The County has committed CR funds in the amount of \$711,000 to the Lihue Court Townhomes Rehabilitation project and anticipates that the funds will be fully disbursed prior to the deadline.

Kapaa Transitional Housing Project - This Activity 446 had an IDIS flag for infrequent draws as the rehabilitation activity had been delayed for almost one year. (Subsequently, the County worked with the CHDO and was able to remedy the flag by processing an eligible draw.)

Hanamaulu Transitional - This activity had been cited in the previous monitoring as the acquisition exceeded the Maximum Per-Unit Subsidy Limits at the time of funding. The County and KEO had been working on the rehabilitation cost and source of funds. It was reported that the rehabilitation work was approved for CDBG funding and the contract was under negotiations. The work is projected to begin in June, 2014 and should be completed in six months.

Homebuyer Loan Program - The County issued three Homebuyer Loan Agreements with a Resale provision without prior HUD approval. (The County specified the modified resale and recapture provisions and HUD subsequently approved the retroactive approval on June 4, 2014.)

Ele'ele Iluna Phase 2 Increment A - No Findings/Concerns

Rice Camp Senior Housing - No Findings/Concerns

Lihue Court Townhomes Rehabilitation - No Findings/Concerns

Kolopua - No Findings/Concerns

Overall, the County has been very proactive and effective in ensuring that its HOME activities comply with the changes brought on by the 2013 HOME rule.

County of Maui

On April 29, 2014, HHFDC staff conducted its on-site monitoring review of the County of Maui's project and program records, and noted the following:

General Program Administration – During the monitoring it was reported that staffing changes were needed as the HOME Program Specialist was leaving her position as of May 2, 2014. The County needed to review and provide a copy of its staffing and training plan to ensure proper administration of the HOME program.

First Time Homebuyer's Agreement Finding - In the PY 2012 monitoring report, the County was cited for failure to enforce the recapture provision of the homebuyer agreement. The County reported they attempted to collect repayment from the escrow

company for their error and that the matter had to be referred to the County's Corporation Counsel for resolution. No further action or documentation had been provided to date.

Kahawai Condominium Project Finding - Although the Kahawai project is scheduled for completion this year, it hasn't received the commitment of all funds to complete the project. It was reported that Habitat expected to provide a source of funds and the County Affordable Housing Fund would serve as the back-up plan should the funds fall short. However, both commitments were pending approval.

Molokai Mutual Self Help Housing Project, Phase I - No Findings or Concerns

Kenolio Leilani - No Findings or Concerns

2014 Action Plan - The primary concern was that the County was unable to identify an appropriate CHDO activity for its PY 2014 HOME CHDO funds in the approximate amount of \$450,000. The proposed activity submitted for the Action Plan was deemed ineligible due to the land ownership requirement for CHDO homeownership projects. (The HHFDC subsequently issued a Request for Interest to identify an alternate CHDO activity. On June 12, 2014, the Mutual Housing Association of Hawaii (MHAH) was awarded the CHDO funds for its Ko'oloa'ula Project, Phase II.)

2013-2014 HOME/NSP Monitoring and Inspection Results

HOME/NSP Assisted Rental Project Inspection Results

HHFDC contracted with Spectrum Seminars, Inc. to conduct on-site inspections and tenant file reviews of HOME/NSP assisted rental housing. In October 2013, Spectrum completed projects and unit inspections and tenant file review in all of the following projects, and issued Owner's Reports listing their findings. After corrective actions, Final Reports were issued with no findings in most projects and findings in one project:

	HOME / NSP Project	No. of Units Inspected	No. of Tenant File Reviews
1	2020 Kinoole Senior Residences (HOME)	2	2
2	Ainakea Senior Residences (HOME)	1	1
3	Ewa Villages, Phase I (Villages at Moa'e Ku) (NSP)	4	4
4	Hale Mahaolu Ehiku, 1A (HOME)	1	1
5	Hlae Mahaolu Ehiku, 1B (HOME)	3	3
6	Hale Mahaolu Ehiku, II (HOME)	1	1
7	Hale Makana o'Waiale (HOME)	40	40
8	Hale Ulu Hoi III (Laukapu Apts) (HOME)	2	2
9	Hualalai Elderly (HOME)	6	6
10	Hualalai Elderly, Phase 2 (HOME)	2	2
11	Hualalai Elderly, Phase 3 (HOME)	2	2
12	Kalepa Village, Phase 2B (HOME)	8	8
13	Kalepa Village, Phase 3 (HOME)	2	2
14	Kalepa Village, Phase 4 (HOME)	4	4
15	Kamuela Senior Housing (HOME)	3	3
16	Kekuilani Gardens (HOME)	12	12
17	Maui Farm (HOME)	1	1
18	Paanau Village ((HOME)	3	3
19	Paanau Village, Phase 2 (HOME)	4	4
20	Sea Wind Apartments (NSP – Initial Placements)	7	6
21	TBRA – County of Hawaii (HOME)	N/A	7
22	*Na Kahua Hale o Ulu Wini (HOME/NSP)	18	18
23	*Na Kahua Hale o Ulu Wini, Phase 3 (HOME/NSP)	19	19
24	West Maui Resource Center	4	4

* The Summary Report for the Na Kahua Hale o Ulu Wini in the County of Hawaii, issued as a result of a physical inspection of the property and units, and file reviews of 18 tenants, listed findings pertaining to Fair Housing Design Act violations and issues pertaining to Housing Quality Standards issues. As of this writing, HHFDC is awaiting a corrective action plan, and issues remain outstanding.

APPENDIX E

Grantee ESG Expenditures

State and Local Government ESG Expenditures Form

Grantee Government: State of Hawaii, Department of Human Services

Official: Pat McManaman, Director

	FY2011		FY2012		FY2013	
	PLANNED	ACTUAL	PLANNED	ACTUAL	PLANNED	ACTUAL
Major Rehabilitation/ Renovation/Conversion	\$0	\$0	\$0	\$0	\$0	\$0
Homeless Prevention	\$0	\$0	\$64,662	\$34,758	\$30,704	\$15,178
Rapid Re-housing	\$131,998	\$69,347	\$128,568	\$81,120	\$127,389	\$90,290
Essential Services	\$0	\$0	\$0	\$0	\$0	\$0
Operations	\$228,842	\$210,362	\$194,399	\$164,309	\$156,267	\$127,462
Administration	\$5,821	\$2,160	\$28,841	\$0	\$22,570	\$0
Data Collection (HMIS)	\$0	\$0	\$5,000	\$0	\$4,050	\$588
TOTAL	\$366,661	\$281,869	\$421,470	\$280,187	\$340,980	\$233,518

NOTES:

INSTRUCTIONS: This sheet is a supplement for reporting the uses of ESG funds for annual reporting purposes in IDIS and the CAPER.

PLANNED: Proposed ESG activity amounts from the governmental grantee's Consolidated Plan.

ACTUAL: Final ESG activity amount as reported by the governmental grantee in its annual report.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	HAWAII
Organizational DUNS Number	804412661
EIN/TIN Number	141967871
Identify the Field Office	HONOLULU
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Hawaii Balance of State CoC

ESG Contact Name

Prefix	
First Name	Judy
Middle Name	
Last Name	Ishida
Suffix	
Title	Homeless Programs Specialist

ESG Contact Address

Street Address 1	820 Mililani Street
Street Address 2	Suite 606
City	Honolulu
State	HI
ZIP Code	96813-
Phone Number	808-586-7064
Extension	
Fax Number	808-586-5180
Email Address	jishida@dhs.hawaii.gov

ESG Secondary Contact

Prefix	
First Name	Lori
Last Name	Tsuhako
Suffix	
Title	Homeless Programs Administrator
Phone Number	808-586-7072
Extension	
Email Address	Itsuhako@dhs.hawaii.gov

2. Reporting Period—All Recipients Complete

CAPER

1

Program Year Start Date 07/01/2013
Program Year End Date 06/30/2014

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: CHILD AND FAMILY SERVICE

City: Ewa Beach

State: HI

Zip Code: 96706, 1909

DUNS Number: 039302138

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 27225

Subrecipient or Contractor Name: FAMILY LIFE CENTER

City: Kahului

State: HI

Zip Code: 96732, 1622

DUNS Number: 038658936

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 77945

Subrecipient or Contractor Name: HOPE SERVICES HAWAII, INC.

City: Hilo

State: HI

Zip Code: 96720, 4911

DUNS Number: 613639194

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 125881

Subrecipient or Contractor Name: KA HALE A KE OLA HOMELESS RESOURCE CENTERS, INC.

City: Wailuku

State: HI

Zip Code: 96793, 2375

DUNS Number: 163678506

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 15550

Subrecipient or Contractor Name: KAUAI ECONOMIC OPPORTUNITY, INC.

City: Lihue

State: HI

Zip Code: 96766, 1690

DUNS Number: 084547827

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 52209

Subrecipient or Contractor Name: WOMEN HELPING WOMEN

City: Wailuku

State: HI

Zip Code: 96793, 1784

DUNS Number: 113709369

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 15550

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	40
Children	34
Don't Know/Refused/Other	0
Missing Information	0
Total	74

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	101
Children	15
Don't Know/Refused/Other	0
Missing Information	0
Total	116

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	2,066
Children	935
Don't Know/Refused/Other	0
Missing Information	0
Total	3,001

Table 3 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 4 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	2,207
Children	984
Don't Know/Refused/Other	0
Missing Information	0
Total	3,191

Table 5 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	1,520
Female	1,666
Transgender	5
Don't Know/Refused/Other	0
Missing Information	0
Total	3,191

Table 6 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	987
18-24	354
25 and over	1,850
Don't Know/Refused/Other	0
Missing Information	0
Total	3,191

Table 7 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters	Total
Veterans	152	5	15	132
Victims of Domestic Violence	960	2	18	940
Elderly	157	3	6	148
HIV/AIDS	6	0	0	6
Chronically Homeless	435	0	28	407
Persons with Disabilities:				
Severely Mentally Ill	458	1	22	435
Chronic Substance Abuse	521	0	11	510
Other Disability	635	0	39	596
Total (Unduplicated if possible)	3,324	11	139	3,174

Table 8 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	104,187
Total Number of bed-nights provided	98,384
Capacity Utilization	94.43%

Table 9 – Shelter Capacity

9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
Expenditures for Rental Assistance	0	26,264	11,635
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	1,029	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	3,543
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	0	27,293	15,178

Table 10 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
Expenditures for Rental Assistance	37,673	46,150	53,005
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	18,488	3,721
Expenditures for Housing Relocation & Stabilization Services - Services	0	16,482	33,564
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	37,673	81,120	90,290

Table 11 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
Essential Services	0	0	0
Operations	210,362	164,309	127,462
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0

Subtotal	210,362	164,309	127,462
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Table 12 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
HMIS	0	0	588
Administration	2,160	0	0
Street Outreach	0	0	0

Table 13 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	FY 2009	FY 2010	FY 2011
756,435	250,195	272,722	233,518

Table 14 - Total ESG Funds Expended

11f. Match Source

	FY 2009	FY 2010	FY 2011
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	190,615	271,755	273,255
State Government	17,958,678	18,248,907	17,781,905
Local Government	0	0	0
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	18,149,293	18,520,662	18,055,160

Table 15 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	FY 2009	FY 2010	FY 2011
55,481,550	18,399,488	18,793,384	18,288,678

Table 16 - Total Amount of Funds Expended on ESG Activities

APPENDIX E-1

The Neighbor Island Continuum of Care
(The Balance-of-State Continuum of Care)

Governance Charter
Ratified August 6, 2014

Bridging the Gap
The Neighbor Island Continuum of Care
(The Hawai'i Balance-of-State Continuum of Care)

Governance Charter

The Hawai'i balance-of-state continuum of care (CoC) is known as Bridging the Gap (BTG). BTG is a geographically based group of relevant stakeholder representatives that carries out the operating and planning responsibilities of the CoC program, as defined in 24 CFR Part 578, Homeless Emergency Assistance and Rapid Transition to Housing: Continuum of Care Program.

As the neighbor island CoC, BTG represents the counties of Hawai'i, Kaua'i, and Maui, with one chapter in each of these counties. The local chapters are Community Alliance Partners (Hawaii County), Kauai Community Alliance and the Maui Homeless Alliance. BTG responsibility extends beyond the HUD-funded CoC Homeless Assistance Programs (formerly known as Supportive Housing and Shelter Plus Care), Housing Opportunities for Persons with AIDS (HOPWA), and Emergency Solutions Grant programs. BTG promotes community-wide commitment to the goal of ending homelessness.

Mission Statement

To end homelessness across the Counties of Hawaii, Kauai, and Maui through collaborative, coordinated and effective service delivery by agents for change, unified by a common vision where all Hawaii's people have safe, decent, affordable housing.

Purpose

As stated in the BTG mission statement, the purpose of BTG is to end homelessness in our geographic area. To do so, BTG shall be responsible for the following Continuum of Care activities:

Operating the CoC

1. Develop a governance charter which shall be reviewed and updated at least annually.
2. Hold meetings of the full membership, with published agendas at least semi-annually.
3. Make an invitation for new members to join at least annually.

4. Adopt and follow a written process to select a board; process shall be reviewed, updated and approved at least every 5 years.
5. Appoint additional committees, sub-committees or work groups as needed.
6. Consult with the collaborative applicant and HMIS Lead to develop, follow and update the governance charter annually, including policies and procedures needed to comply with HMIS requirements as prescribed by HUD.
7. Consult with recipients and sub-recipients to establish performance targets appropriate for population and program type, monitor recipient and sub-recipient performance, evaluate outcome and take action against poor performers.
8. Evaluate outcomes of project under the ESG and CoC projects, and report to HUD.
9. In consultation with ESG recipients, establish and operate a centralized or coordinated assessment system that provides an initial, comprehensive assessment of needs individuals and families for housing and services which complies with requirements established by HUD.
10. In consultation with ESG recipients, establish and follow written standards for providing CoC assistance.

Designating and operating an HMIS

1. Designate a single HMIS for the geographic area.
2. Designate an eligible applicant to manage the CoC's HMIS, to be known as the HMIS Lead.
3. Review, revise and approve a privacy plan, security plan, and data quality plan for the HMIS.
4. Ensure consistent participation of recipients and sub-recipients in the HMIS.
5. Ensure HMIS is administered in compliance with HUD requirements.

Continuum of Care Planning

1. Coordinate the implementation of housing and services system within its geographic area that meets the needs of the homeless individual and families.
2. Plan for and conduct a point-in-time (PIT) count of homeless persons within its geographic area at least biennially.
3. Conduct an annual gaps analysis of homeless needs and services available within its geographic area.

4. Provide information to complete the Consolidated Plan within the CoC's geographic area.
5. Consult with the State on the plan for allocating ESG funds, and reporting and evaluating performance of ESG sub-recipients.

Prepare Application for Funds

1. Design, operate, and follow a collaborative process for the development of applications and approve the submission of applications in response to a Notice of Funds Availability (NOFA) published by HUD.
2. Establish priorities for funding projects in the geographic area;
3. Determine if one application for funding will be submitted for all projects within the geographic area or if more than one application will be submitted for the projects within the geographic area;
4. Designate an eligible collaborative applicant to procure for qualified applicants; evaluate and select qualified applicants; collect and combine the required information from all applicants for submittal in response to the CoC NOFA.
5. The Continuum shall retain all of its responsibilities, even if it designates one or more eligible applicants other than itself to apply for funds on behalf of the Continuum. This includes approving the Continuum of Care application.

In accordance with the HEARTH Act, BTG works to use multiple resources to quickly rehouse homeless individuals and families while minimizing the trauma and dislocation caused to homeless individuals, families, and communities by homelessness. BTG mandates collaboration among providers, promotes access to homeless assistance programs, encourages effective utilization of mainstream programs, and strives to optimize self-sufficiency among individuals and families experiencing homelessness.

OPERATING CALENDAR AND BOARD MEMBERSHIP

BTG defines each operating year as a calendar year, commencing January 1st of the year and ending December 31st of that same year.

The entire membership meets at least twice annually for General Membership Meetings. General Membership meetings shall be conducted via electronic means (webinar, video teleconference, teleconference, or other generally available technologies).

Elected members representing the three chapters of BTG and the HPO meet as the BTG Board at least five times a year and more frequently if deemed necessary. The Board shall meet in person or by electronic means. BTG Board members will have full authority to speak for their entire Chapter membership in matters described above (the Coordinated Assessment System, area needs, overseeing funds, evaluating project effectiveness, and establishing funding priorities). Elected members may attend BTG Board Meetings in person or virtually via electronic means.

(See Attachment A: Annual Events Calendar)

BTG MEMBERSHIP

Each of the three neighbor island chapters include representatives of relevant organizations such as non-profit homeless assistance providers, victim service providers, faith-based organizations, mental health and medical agencies, universities, law enforcement, veteran service providers and homeless/formerly homeless individuals.

Members may join BTG through “at large” membership or through a BTG Chapter based on the county in which they live and work. Individuals who live or work in O’ahu or the U.S. Mainland may join as “at large” members but may not vote, with the exception of two representatives from the State Homeless Programs Office (HPO).

All members must complete a chapter application and disclosure form. Members must renew these documents annually and may retain membership as long as they remain residents of the geographical area BTG serves, and sustain an interest in eliminating homelessness. Each local CoC chapter shall be responsible for maintaining and storing the membership documents.

BTG voting privileges shall be extended to voting members of each local chapter. To retain voting rights, regardless of their chapter affiliation, members or their designated representative must attend seventy-five percent (75%) or more of the BTG meetings (including chapter and committee meetings, as applicable) as well as meet any local chapter requirements to remain in good standing. Members may attend BTG meetings electronically by making prior arrangements with the BTG Vice Chair. In all cases, any missed meeting, regardless of the reason, will be considered an absence. Therefore, representatives are strongly encouraged to designate a second individual to attend in their place should they need to miss a meeting for any reason.

All members are expected to review the minutes and materials provided prior to BTG General Meetings, to contribute to discussions at General Meetings, and, if a voting member, to be prepared to vote on BTG issues at General Meetings.

In addition, each member or their designated representative shall actively participate in at least one of the following committees:

- Local CoC chapter Committee
- BTG Sub-Committee
- BTG Special Committee.

Each local CoC chapter shall be responsible for recording and verifying meeting attendance and committee participation.

It is important to note that when the funding proposals are evaluated, points will be awarded to agencies for attendance as well as participation at BTG chapter meetings (including Executive Committee and General Membership meetings), BTG meetings, and BTG Committee meetings. Additional points may be awarded for chairing and coordinating BTG committees.

For general membership meetings, quorum shall be established as fifty percent plus one (50% + 1) of the total voting membership of the BTG.

BTG BOARD, OFFICERS AND TERMS OF SERVICE

Board Selection

A minimum of nine individuals shall comprise the BTG Board. Each of the three county chapters shall name three individuals from their membership to participate on the BTG board. Selections by chapters shall occur at least every other year beginning in 2014. One out of the three chosen people shall be a representative of the respective county government. The second representative shall be the chair of the local chapter or their designated representative. The remaining member of the counties' delegation shall be chosen from the chapters' general voting membership. If one of the nine does not meet the homeless or formerly homeless criteria, then an individual meeting those criteria will be selected. In the event that there is an even number of voting members, the State Homeless Programs Office will act as the designated voting tiebreaker.

The term shall be limited to two consecutive two year terms, except when to do so would threaten the continuity of the Board. The Board shall have the discretion to extend or shorten the term limit.

Failure of any chapter to elect a replacement to the BTG Board will result in a reduction of the maximum number of votes or loss of voting privileges for that chapter until the replacement has been named.

In the event of a mid-term vacancy, the chapters from which the position was vacated shall select a new member to carry out the remaining term of office.

BTG BOARD OFFICERS

The Board Members shall elect the BTG Board Officers by popular vote at the last scheduled meeting of the calendar year during the same two year voting cycle as the Board election.

The officers of BTG are Chair, Vice Chair, Secretary, and Advisory (Previous) Chair.

The collaborative applicant (the State HPO) shall reimburse chapter representatives for the airfare of two individuals per chapter to attend sanctioned BTG meetings or functions. County government representatives shall not be reimbursed by the collaborative applicant for said expenses.

The Board meetings shall be conducted by adopting the procedures as outlined in "Robert's Rules of Order."

(Summary information of Roberts Rules of Order located at <http://www.robertsrules.org/rulesintro.htm>)

For Board meetings, fifty percent (50%) of the board plus one other board member shall constitute a quorum.

A Board member may be terminated for code of ethic or code of conduct violations. Said member will be notified in writing of the violation and potential termination, and be required to appear before the Board for review. Final decision of termination will be by majority vote of the Board.

BTG BOARD OFFICER Responsibilities:

BTG Chair

- Creates meeting agendas, schedules and facilitates BTG Board meetings in coordination with the HPO and Chapter Chairs.
- Coordinates with appropriate Chapter Chair to schedule General Membership Meetings.
- Serves as BTG's primary representative, media contact, and public speaker on behalf of BTG.
- Writes and signs letters on behalf of and as directed by BTG, and represents BTG at statewide meetings and other task force meetings related to issues of homelessness.
- Signs contracts, MOUs, and other documents on behalf of BTG.
- Calls special/emergency meetings of the Board as needed.

BTG Secretary

- Records and maintains BTG history, including membership files, meeting attendance lists, and captures meeting notes in conjunction with HPO.
- Disseminates agendas, minutes and other pertinent documents to BTG Board members.
- Updates the statewide BTG member application, disclosure form, and confidentiality agreement, as needed, for use statewide.
- Disseminates information statewide that may be of interest to BTG general members.
- Maintains and moderates the BTG email list.
- Coordinates BTG Board and General Membership meeting dates and locations, posts announcements and approved meeting minutes, and maintains the BTG roster.

BTG Vice-Chair

- Assists the Chair in the completion of their duties when the Chair is out of state or otherwise unable to perform their full range of duties.
- Coordinates with appropriate Chapter Chair and the Chair to schedule General Membership Meetings.
- Coordinates virtual attendance at meeting by members for BTG General or Board meetings, as requested.

- Performs the full duties of the Chair in the event the Chair is unable to complete their term.

BTG Advisory (Previous) Chair

- Serves as an advisor to the current BTG Chair in matters related to protocol and BTG operations.
- Serves as the secondary representative, media contact, and public speaker on behalf of BTG.

BTG Board Statewide Sub-Committees

The BTG Board Statewide sub-committees are: Advocacy/Awareness, HMIS/Data, and Planning. These committees shall be formed annually. The Advocacy/Awareness and HMIS/Data Sub-committees shall consist of a minimum of three Board members each. The Planning sub-committee shall consist of the entire Board.

Additional standing committees may be formed, combined or eliminated by amending this charter when such changes will better address stakeholder and community needs. The Board shall decide upon the establishment, adjustment or dissolution of committees.

With the exception of the Board, all sub-committees are open to BTG members. Only members with voting privileges will be allowed vote on recommendations.

Sub-Committee General Responsibilities:

Advocacy/Awareness

In collaboration with Partners In Care (PIC), the Oahu CoC, the Advocacy/Awareness Committee shall follow county, state, and federal legislation and strive to educate legislators regarding issues relating to homelessness on the Neighbor Islands. The Advocacy Chair or assigned members will draft testimony on behalf of BTG and distribute that testimony statewide for member comment and vote. Because members often do not respond to email or other requests for feedback in a timely manner, the committee has the right, if members do not respond with objections within the stated time, to assume silent members are in agreement with the proposed testimony as written and to submit this testimony on behalf of BTG. The Chair will represent BTG at related task-force meetings, and answer questions from legislators.

Additionally, the committee will work to increase awareness of BTG as a statewide entity, to increase awareness of the issues of homelessness on the Neighbor Islands, and to engage additional partners for all chapters of BTG. The committee will develop materials to increase community knowledge of homelessness; plan events to bring attention to issues relating to homelessness; develop membership packets for BTG to distribute to potential new members; oversee annual updates of local Resource Directories and the homeless help card for each chapter; and maintain an on-line presence through a website and social media.

HMIS/Data

BTG's HMIS/Data sub-committee shall participate in the Statewide Data Committee in collaboration with PIC, and shall provide oversight and recommendations to BTG regarding the Homeless Management Information System (HMIS). The HMIS is used by government and non-profit agencies to document services provided to homeless individuals and families in the counties served by BTG.

The responsibilities of the HMIS/DATA committee include, without limitation, the following activities:

- Participate in decision making and recommend policies and procedures regarding the statewide HMIS database and software system
- Collaborate and support statewide HMIS activities
- Disseminate information about the statewide HMIS database, the steering committee and its activities, policies, and procedures
- Provide counsel and assistance to HMIS staff within each participating continua of care
- Identify, develop, and implement strategies for improving HMIS coverage and data quality throughout the geographic region
- Provide support to BTG chapters in their efforts to identify and diminish potential barriers to the use and improvement of the statewide HMIS database, develop strategies to ensure consistent, accurate input by recipients and sub-recipients in the HMIS
- Ensure the HMIS is administered in compliance with requirements prescribed by HUD

The HMIS/Data Sub-committee chair shall schedule and lead committee meetings; assign tasks to members; and represent BTG at

Point-in-Time events, HMIS policy meetings, and Statewide Data Committee meetings.

Planning

The Planning Committee will include the entire Board and least one member from the State Homeless Programs Office (HPO). The Planning Committee will review data, trends, and successful strategies related to reducing or eliminating homelessness and report these to the BTG General Membership as well as the BTG Board at each meeting. The Planning Committee, working with the HMIS/Data Committee, with stakeholders, and within the guidelines of the HEARTH Act, will implement, assess, and update, as necessary, the BTG Coordinated Assessment System. The Planning Committee will provide regular updates to BTG Membership regarding the status of the assessment system.

Furthermore, the committee will plan for and conduct point-in-time (PIT) counts of homeless persons within each geographic area; conduct an annual gaps analysis of homeless needs and services; provide information to complete the Consolidated Plan within the CoC's geographic area; consult with HPO on the plan for allocating ESG funds; and reporting and evaluating performance of ESG sub-recipients.

(See Attachment B – Diagram: relationship between BTG and CoC chapters.)

BTG Special Purpose Committees

Additional committees will be called into service for special purposes as needed and will include one to three representatives from each Chapter. These representatives may be chairs of sub-committees, board officers, or other chapter stakeholder representatives. However, some Special Purpose Committees have membership exclusions relating to conflicts of interest. Members of Special purpose Committees will be called together as needed by the BTG Board to address specific issues, but at least once annually.

TRANSPARENCY

BTG strives for transparency in operations, so all General and Committee meetings are open to all members and to the public.

All meeting agendas (General, Board, and Committee) and meeting minutes shall be posted on the DHS website.

Annual Performance Reports (APR) for the CoC Programs and the Consolidated Annual Evaluation and Performance Reports (CAPER) for ESG and HOPWA programs shall be posted on the DHS website following HUD's approval of the annual reports.

CONFLICT OF INTEREST

A conflict of interest is defined as a situation in which a BTG member, either as an individual or organization, might benefit financially in some way, whether directly or indirectly, from actions on which they might vote or in situations when their decisions would not be objective and impartial.

All BTG members must disclose their affiliations, whether paid or unpaid, to include board membership, director, staff, advisor, sub-contractor, or volunteer with all homeless service providers.

BTG members shall not participate in or influence discussions or decisions related to the award of a grant or other financial benefits to the organization that the member represents. Additionally, all BTG members must publicly recuse themselves from voting on issues that would directly affect any agencies with which they are affiliated. Should a conflict of interest, or the appearance of a conflict exist, and the Board member fails to recuse him or herself, another BTG board member may make a motion to recuse that individual. The motion will be voted on by remaining members and decided upon by a majority vote.

All BTG members are free to testify at the Hawaii Legislature as well as at County Council meetings on behalf of their agencies, regardless of the BTG position on any issue. However, in these circumstances BTG members must clearly state they are testifying for their agency only and avoid the appearance of having the support of BTG.

Code of Conduct

Visitors:

1. All visitors may not display or distribute items deemed to demean or discredit people who are homeless or organizations serving them.

2. The BTG Chair may, at its discretion, ask a visitor to leave the meeting if their conduct is disruptive, inappropriate, or if their presence is inappropriate (for example, may violate confidentiality or appears to be a conflict of interest).
3. Any visitors who collect personal data from attendees are required to disclose how the information will be used and shared with others.
4. No beverages containing alcohol may be served, offered, or distributed at BTG meetings.

Guest Speakers:

1. Speakers are expected to present topics appropriate for professionals serving the homeless and relevant to the mission of BTG.
2. Speakers shall not solicit business, present product information, distribute products, promote their own business, or use BTG meetings to inform attendees about products and services unless their purpose at the meeting is clearly to do so (for example, HMIS vendors).
3. Speakers must provide a speaker biography, objectives and outline of content at least one week prior to their appearance.
4. Speakers must stay within time limits as determined by BTG and are encouraged to provide time for questions and answers when appropriate.
5. Handouts are the responsibility of the speaker unless otherwise arranged with BTG.
6. BTG may, at its discretion, accept or reject any request to present at a General or Board Meeting.

Meeting Etiquette:

1. All attendees of BTG chapter, general, and Board meetings and conferences shall conduct themselves in a professional and courteous manner showing respect to others at all times.
2. All members shall conduct themselves professionally.
3. All attendees are asked to arrive on time to meetings, events, and programs and attend the entire meeting.

Anyone who violates this code of conduct may be removed from the event.

BTG COLLABORATIVE APPLICANT

The BTG Board selects the State of Hawai'i Department of Human Services, Homeless Programs Office (HPO) to act as the BTG Collaborative Applicant. Each year BTG Membership shall reassess the decision and determine whether it is in the best interests of the BTG stakeholders to continue this relationship.

As the Collaborative Applicant, HPO shall:

- Maintain a financial management system through the State of Hawai'i that meets the standards set forth in 24 CFR 85.20;
- Demonstrate the ability to monitor sub-recipients;
- Meet other criteria as HUD may establish by NOFA;
- Apply to HUD for funding for all projects within the geographic area and enter into grant agreements with HUD for the entire geographic area;
- Enter into legally binding agreements with sub-recipients, receive and distribute funds to sub-recipients;
- Require sub-recipients to establish fiscal control and accounting procedures to assure proper disbursement of and accounting for federal funds in accordance with 24 CFR parts 84 and 85 and corresponding Office of Management and Budget (OMB) circulars;
- Obtain approval of any proposed grant agreement amendments by BTG before submitting a request for an amendment to HUD.

AMENDMENTS

The BTG will review, update, and approve this governance charter at least annually.

Charter revisions/amendments proposed by the Chapters shall be elevated to the BTG Board for vetting. After vetting by the Board, the board will take a vote on final proposed charter revisions, and if voted in the affirmative, the proposed charter revision shall be put before the full membership for ratification. All proposed charter revisions must be reviewed and approved by the Board by vote with enough time to be properly added to the agenda for the next general membership meeting.

Amendment of the charter requires a majority vote of the members present at a BTG general membership meeting.

Notice of Funds Availability Notices

HPO shall post Request for Information or Request for Proposal notices on the State Procurement website. It is the sole responsibility of each member or member agency to check the State Procurement website to obtain notices of funds availability.

Membership Dues

Dues, if any, shall be at the sole discretion of each local chapter.

Glossary of Terms

See Attachment C – 24 CFR Ch. V §578.3 Definitions

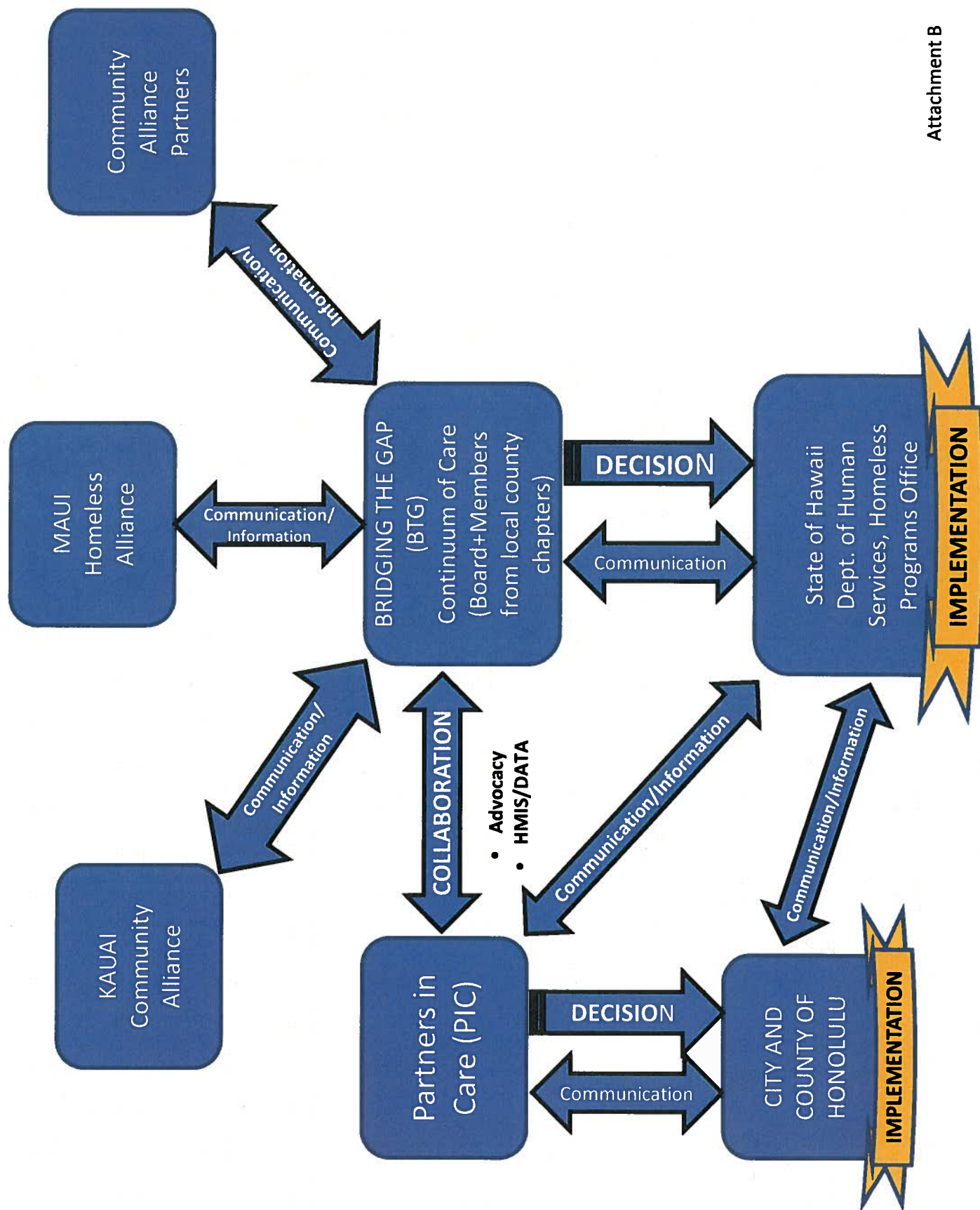
Attachments

- A Bridging the Gap Annual Events Calendar (Tentative)
- B Diagram – Relationship between BTG and CoC chapters
- C Glossary of Terms

BRIDGING THE GAP
ANNUAL EVENTS CALENDAR (TENTATIVE)

2015	January	February	March	April	May	June	July	August	September	October	November	December
BTG		Board 2d Fri		Board 2d Fri	General ??/??	Board 2d Fri		Board 2d Fri: Charter Review		Board 2d Fri	General 11/21	Board 2d Fri
Chapter Meetings	Maui-3d Wed	BI _____ Maui 3d Wed Kaua'i 4th Wed	Maui-3d Wed	BI _____ Maui 3d Wed Kaua'i 4th Wed	Maui-3d Wed	BI _____ Maui 3d Wed Kaua'i 4th Wed	Maui-3d Wed	BI _____ Maui 3d Wed Kaua'i 4th Wed	Maui-3d Wed	BI _____ Maui 3d Wed Kaua'i 4th Wed	Maui-3d Wed	BI _____ Maui 3d Wed Kaua'i 4th Wed
CoC Prgrms, NOFA, CDBG	NOFA									Prep for CDBG		Prep for NOFA release
Homeless Awareness Week											Statewide Conference Week before Thanksgiving	
Legislative & Advocacy						PIC Advocacy Conference						
ESG/HOPWA	Post RFI for ESG and HOPWA Work on 5 year Consolidated Plan	ESG/HOPWA applications due/evaluation/award	Draft Action Plan due to HHFDC		Final Action Plan due to HUD		Draft CAPER due to HHFDC		CAPER due to HUD	Conduct public hearings for Action Plan	Conduct public hearings Action Plan	
PIT	PIT Trng 1/1-9 PIT 1/23-30								PIT Count Planning			

Attachment A



GLOSSARY OF TERMS

Annual renewal amount – The amount that a grant can be awarded on an annual basis when renewed. It includes funds only for those eligible activities (operating, supportive services, leasing, rental assistance, HMIS, and administration) that were funded in the original grant (or the original grant as amended), less the unrenowable activities (acquisition, new construction, rehabilitation, and any administrative costs related to these activities).

Applicant - An eligible applicant that has been designated by the Continuum of Care to apply for assistance under this part on behalf of that Continuum.

At risk of homelessness - An individual or family who:

- (1) Has an annual income below 30 percent of median family income for the area, as determined by HUD;
- (2) Does not have sufficient resources or support networks, e.g., family, friends, faith-based or other social networks, immediately available to prevent them from moving to an emergency shelter or another place described in paragraph (1) of the “Homeless” definition in this section; and
- (3) Meets one of the following conditions:
 - (i) Has moved because of economic reasons two or more times during the 60 days immediately preceding the application for homelessness prevention assistance;
 - (ii) Is living in the home of another because of economic hardship;
 - (iii) Has been notified in writing that their right to occupy their current housing or living situation will be terminated within 21 days of the date of application for assistance;

Centralized or coordinated assessment System - A centralized or coordinated process designed to coordinate program participant intake assessment and provision of referrals. A centralized or coordinated assessment system covers the geographic area, is easily accessed by individuals and families seeking housing or services, is well advertized, and includes a comprehensive and standardized assessment tool.

Chronically homeless –

- (1) An individual who:
 - (i) Is homeless and lives in a place not meant for human habitation, a safe haven, or in an emergency shelter; and
 - (ii) Has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least one year or on at least four separate occasions in the last 3 years; and
 - (iii) Can be diagnosed with one or more of the following conditions: substance use disorder, serious mental illness, developmental disability, post-traumatic stress disorder, cognitive impairments resulting from brain injury, or chronic physical illness or disability;
- (2) An individual who has been residing in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital, or other similar facility,

for fewer than 90 days and met all of the criteria in paragraph (1) of this definition, before entering that facility; or

- (3) A family with an adult head of household (or if there is no adult in the family, a minor head of household) who meets all of the criteria in paragraph (1) of this definition, including a family whose composition has fluctuated while the head of household has been homeless.

Collaborative applicant - The eligible applicant that has been designated by the Continuum of Care to apply for a grant for Continuum of Care planning funds under this part on behalf of the Continuum.

Consolidated plan - The HUD-approved plan developed in accordance with 24 CFR 91. The Consolidated Plan is designed to help states and local jurisdictions to assess their affordable housing and community development needs and market conditions, and to make data-driven, place-based investment decisions. The consolidated planning process serves as the framework for a community-wide dialogue to identify housing and community development priorities that align and focus funding from the CPD formula block grant programs: Community Development Block Grant (CDBG) Program, HOME Investment Partnerships (HOME) Program, Emergency Solutions Grant (ESG) Program, and Housing Opportunities for Persons With AIDS (HOPWA) Program.

Continuum of Care and Continuum - The group organized to carry out the responsibilities required under this part and that is composed of representatives of organizations, including nonprofit homeless providers, victim service providers, faith-based organizations, governments, businesses, advocates, public housing agencies, school districts, social service providers, mental health agencies, hospitals, universities, affordable housing developers, law enforcement, organizations that serve homeless and formerly homeless veterans, and homeless and formerly homeless persons to the extent these groups are represented within the geographic area and are available to participate.

Developmental disability –

(1) A severe, chronic disability of an individual that—

- (i) Is attributable to a mental or physical impairment or combination of mental and physical impairments;
- (ii) Is manifested before the individual attains age 22;
- (iii) Is likely to continue indefinitely;
- (iii) Results in substantial functional limitations in three or more of the following areas of major life activity:
 - a. Self-care;
 - b. Receptive and expressive language;
 - c. Learning;
 - d. Mobility;
 - e. Self-direction;
 - f. Capacity for independent living;

- g. Economic self-sufficiency.
 - (v) Reflects the individual's need for a combination and sequence of special, interdisciplinary, or generic services, individualized supports, or other forms of assistance that are of lifelong or extended duration and are individually planned and coordinated.
- (2) An individual from birth to age 9, inclusive, who has a substantial developmental delay or specific congenital or acquired condition, may be considered to have a developmental disability without meeting three or more of the criteria described in paragraphs (1) (i) through (v) of the definition of "developmental disability", if the individual, without services and supports, has a high probability of meeting these criteria later in life.

Eligible applicant - A private nonprofit organization, State, local government, or instrumentality of State and local government.

Emergency shelter - Defined in 24 CFR part 576 as any facility, the primary purpose of which is to provide a temporary shelter for the homeless in general or for specific populations of the homeless and which does not require occupants to sign leases or occupancy agreements.

Emergency Solutions Grants (ESG) - The grants provided under 24 CFR part 576. ESG is authorized by subtitle B of title IV of the McKinney-Vento Homeless Assistance Act. The program authorizes the Department of Housing and Urban Development (HUD) to make grants to States, units of general purpose local government, and territories for the rehabilitation or conversion of buildings for use as emergency shelter for the homeless, for the payment of certain expenses related to operating emergency shelters, for essential services related to emergency shelters and street outreach for the homeless, and for homelessness prevention and rapid re-housing assistance.

Fair Market Rent (FMR) - FMRs are gross rent estimates. They include the housing rent plus the cost of all tenant-paid utilities, except telephones, cable or satellite television service, and internet service. The Fair Market Rents are published in the Federal Register annually by HUD and available for use at the start of that fiscal year, on October 1.

High-performing community (HPC) – A Continuum of Care that meets the standards in subpart E of this part and has been designated as a high-performing community by HUD. Each year, HUD will specify in the CoC Program NOFA whether and how CoCs may apply for designation as a high-performing community (HPC), which will provide the designated CoCs with flexibility to use CoC funds to support homelessness prevention costs. HUD will select up to 10 HPCs based on their ability to meet specified performance criteria. If selected, the HPC designation lasts only for that specific year and CoCs will have to reapply to be considered an HPC in subsequent years.

Homeless –

- (1) An individual or family who lacks a fixed, regular, and adequate nighttime residence, meaning:
 - (i) An individual or family with a primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodation for human beings, including a car, park, abandoned building, bus or train station, airport, or camping ground;
 - (ii) An individual or family living in a supervised publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, transitional housing, and hotels and motels paid for by charitable organizations or by federal, State, or local government programs for low-income individuals); or
 - (iii) An individual who is exiting an institution where he or she resided for 90 days or less and who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution;
- (2) An individual or family who will imminently lose their primary nighttime residence provided that:
 - (i) The primary nighttime residence will be lost within 14 days of the date of application for homeless assistance;
 - (ii) No subsequent residence has been identified; and
 - (iii) The individual or family lacks the resources or support networks, *e.g.*, family, friends, faith-based or other social networks, needed to obtain other permanent housing;
- (3) Unaccompanied youth under 25 years of age, or families with children and youth, who do not otherwise qualify as homeless under this definition, but who:
 - (i) Are defined as homeless under section 387 of the Runaway and Homeless Youth Act (42 U.S.C. 5732a), section 637 of the Head Start Act (42 U.S.C. 9832), section 41403 of the Violence Against Women Act of 1994 (42 U.S.C. 14043e–2), section 330(h) of the Public Health Service Act (42 U.S.C. 254b(h)), section 3 of the Food and Nutrition Act of 2008 (7 U.S.C. 2012), section 17(b) of the Child Nutrition Act of 1966 (42 U.S.C. 1786(b)), or section 725 of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a);
 - (ii) Have not had a lease, ownership interest, or occupancy agreement in permanent housing at any time during the 60 days immediately preceding the date of application for homeless assistance;
 - (iii) Have experienced persistent instability as measured by two moves or more during the 60-day period immediately preceding the date of applying for homeless assistance; and
 - (iv) Can be expected to continue in such status for an extended period of time because of chronic disabilities; chronic physical health or mental health conditions; substance addiction; histories of domestic violence or childhood abuse (including neglect); the presence of a child or youth with a disability; or two or more barriers to employment, which include the lack of a high school degree or General Education Development (GED), illiteracy, low English

proficiency, a history of incarceration or detention for criminal activity, and a history of unstable employment; or

(4) Any individual or family who:

- (i) Is fleeing, or is attempting to flee, domestic violence, dating violence, sexual assault, stalking, or other dangerous or life-threatening conditions that relate to violence against the individual or a family member, including a child, that has either taken place within the individual's or family's primary nighttime residence or has made the individual or family afraid to return to their primary nighttime residence;
- (ii) Has no other residence; and
- (iii) Lacks the resources or support networks, *e.g.*, family, friends, and faith-based or other social networks, to obtain other permanent housing.

Homeless Management Information System (HMIS) - The information system designated by the Continuum of Care to comply with the HMIS requirements prescribed by HUD.

HMIS Lead - The entity designated by the Continuum of Care in accordance with this part to operate the Continuum's HMIS on its behalf.

Permanent housing - Community-based housing without a designated length of stay, and includes both permanent supportive housing and rapid rehousing. To be permanent housing, the program participant must be the tenant on a lease for a term of at least one year, which is renewable for terms that are a minimum of one month long, and is terminable only for cause.

Permanent supportive housing - Permanent housing in which supportive services are provided to assist homeless persons with a disability to live independently.

Point-in-time count - A count of sheltered and unsheltered homeless persons carried out on one night in the last 10 calendar days of January or at such other time as required by HUD.

Private nonprofit organization – means an organization:

- (1) That has no part of the net earnings of which inure to the benefit of any member, founder, contributor, or individual;
- (2) That has a voluntary board;
- (3) That has a functioning accounting system that is operated in accordance with generally accepted accounting principles, or has designated a fiscal agent that will maintain a functioning accounting system for the organization in accordance with generally accepted accounting principles; and
- (4) That practices nondiscrimination in the provision of assistance.

A private nonprofit organization does not include governmental organizations, such as public housing agencies.

Program participant - An individual (including an unaccompanied youth) or family who is assisted with Continuum of Care program funds.

Project – A group of eligible activities, such as HMIS costs, identified as a project in an application to HUD for Continuum of Care funds and includes a structure (or structures) that is (are) acquired, rehabilitated, constructed, or leased with assistance provided under this part or with respect to which HUD provides rental assistance or annual payments for operating costs, or supportive services under this subtitle.

Recipient - An applicant that signs a grant agreement with HUD.

Safe haven - For the purpose of defining chronically homeless, supportive housing that meets the following:

- (1) Serves hard to reach homeless persons with severe mental illness who came from the streets and have been unwilling or unable to participate in supportive services;
- (2) Provides 24-hour residence for eligible persons for an unspecified period;
- (3) Has an overnight capacity limited to 25 or fewer persons; and
- (4) Provides low-demand services and referrals for the residents.

State - Each of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, American Samoa, Guam, the Commonwealth of the Northern Marianas, and the Virgin Islands.

Subrecipient - A private nonprofit organization, State, local government, or instrumentality of State or local government that receives a subgrant from the recipient to carry out a project.

Transitional housing - Housing, where all program participants have signed a lease or occupancy agreement, the purpose of which is to facilitate the movement of homeless individuals and families into permanent housing within 24 months or such longer period as HUD determines necessary. The program participant must have a lease or occupancy agreement for a term of at least one month that ends in 24 months and cannot be extended.

Unified Funding Agency (UFA) - An eligible applicant selected by the Continuum of Care to apply for a grant for the entire Continuum, which has the capacity to carry out the duties in which is approved by HUD and to which HUD awards a grant.

Victim service provider - A private nonprofit organization whose primary mission is to provide services to victims of domestic violence, dating violence, sexual assault, or stalking. This term includes rape crisis centers, battered women's shelters, domestic violence transitional housing programs, and other programs.

APPENDIX F

HOPWA CAPER

Measuring Performance Outcomes



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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 - b. Annual Performance under the Action Plan
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PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging
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PART 4: Summary of Performance Outcomes

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- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, PHP, Facility Based Units, Master Leased Units ONLY)
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Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department's standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran's Information, and Children's Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who: (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See *the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide* for additional reference.

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number HI-H13-F999		Operating Year for this report <i>From (mm/dd/yy)</i> 7/01/13 <i>To (mm/dd/yy)</i> 06/30/14		
Grantee Name State of Hawaii				
Business Address	677 Queen Street, Suite 300			
City, County, State, Zip	Honolulu	Honolulu	Hi	96813
Employer Identification Number (EIN) or Tax Identification Number (TIN)	141967871			
DUN & Bradstreet Number (DUNs):	80-441-2661	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number:		
*Congressional District of Grantee's Business Address	1			
*Congressional District of Primary Service Area(s)				
*City(ies) and County(ies) of Primary Service Area(s)	Cities:	Counties:		
Organization's Website Address www.hawaii.gov/dbedt/hhfdc	Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.			

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Maui AIDS Foundation (Neighbor Island Housing Program)		Parent Company Name, if applicable na	
Name and Title of Contact at Project Sponsor Agency	Steve@mauiids.org / Scott@mauiids.org		
Email Address	Steve Hire, Executive Director / Scott Dixon, NIHP Director		
Business Address	1935 Main St., Suite 101 / PO Box 858		
City, County, State, Zip,	Wailuku, Maui, HI 96793		
Phone Number (with area code)	808-242-4900		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	99-0256926	Fax Number (with area code) 808-242-1968	
DUN & Bradstreet Number (DUNS):	62-370-3071		
Congressional District of Project Sponsor's Business Address	2		
Congressional District(s) of Primary Service Area(s)	2		
City(ies) and County(ies) of Primary Service Area(s)	Cities Ualapue, Haiku, Haliimaile, Hana, Honokowai, Kaanapali, Kaanapali Landing, Kahului, Kapalua, Kaunakakai, Keokea, Kihei, Kualapuu, Lahaina, Lanai City, Launiupoko, Maalaea, Makawao, Makena, Maunaloa, Napili, Olowalu, Pauwela, Paia, Pukalani, Waiehu, Waihee, Waikapu, Wailea, Wailuku, Anahola, Eleele, Haena, Hanalei, Hanamaulu, Hanapepe, Kalaheo, Kalihiwa, Kapaa, Kaumakani, Kekaha, Kilauea, Koloa, Lawai, Lihue, Omao, Pakala Village, Poipu, Princeville, Puhi, Wailu, Wailua Homesteads, Waimea, Wainiha, Ainaloa, Captain Cook, Discovery Harbour, Eden Roc, Fern Acres, Fern Forest, Halaula, Hawaiian Acres, Hawaiian Beaches, Hawaiian Ocean View, Hawaiian Paradise Park, Hawi, Hilo, Holualoa, Honalo, Honaunau, Honokaa, Honomu, Kahaluu, Kailua, Kalaoa, Kapaau, Keaau, Kealahakua, Keauhou, Kukuiahae, Kurtistown, Laupahoehoe, Leilani Estates, Mountain View, Naalehu, Nanawale Estates, Napaopoo, Orchidlands Estates, Paauilo, Pahala, Paho, Papaikou, Paukaa, Pepeekeo, Puako, Volcano, Waikoloa Village, Waimea, Wainaku		
Total HOPWA contract amount for this Organization for the operating year	82,772.76	Counties: Maui Kauai Hawaii	
Organization's Website Address www.mauuids.org	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

None

4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Subrecipient Name	Malama Pono Health Services			Parent Company Name, if applicable	
Name and Title of Contact at Subrecipient	Mistee Bailey-Myrick, Executive Director				
Email Address	mistee@malama-pono.org				
Business Address	4357 Rice Street / PO Box 1950				
City, State, Zip, County	Lihue	Kauai	HI	96766	
Phone Number (with area code)	808-246-9577			Fax Number (include area code)	
				808-246-9588	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	99-0260914				
DUN & Bradstreet Number (DUNs):	10-795-8873				
North American Industry Classification System (NAICS) Code	62412				
Congressional District of Subrecipient's Business Address	2				
Congressional District of Primary Service Area	2				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities: Anahola, Eleele, Haena, Hanalei, Hanamaulu, Hanapepe, Kalaheo, Kalihiwa, Kapaa, Kaumakani, Kekaha, Kilauea, Koloa, Lawai, Lihue, Omao, Pakala Village, Poipu, Princeville, Puihi, Wailu, Wailua Homesteads, Waimea, Wainiha			Counties: NA Kauai	
Total HOPWA Subcontract Amount of this Organization for the operating year	15,262.00				

4. Program Subrecipient Information (cont)

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name	Hawaii Island HIV/AIDS Foundation	Parent Company Name, if applicable N/A		
Name and Title of Contact at Contractor/ Sub-contractor Agency	Misty Pacheco, DrPh, MHA, Executive Director			
Email Address	Misty@hihaf.org			
Business Address	75-240 Nani Kailua, Suite 5 Kailua, Kona, HI, 96740			
City, County, State, Zip	Kailua, Kona	HI	96740	
Phone Number (included area code)	808-331-8177		Fax Number (include area code) 808-331-0762	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	99-0305807			
DUN & Bradstreet Number (DUNs)	83-182-8967			
North American Industry Classification System (NAICS) Code	62412			
Congressional District of the Sub-recipient's Business Address	2			
Congressional District(s) of Primary Service Area	2			
City(ies) and County(ies) of Primary Service Area	Cities: Ainaloa, Captain Cook, Discovery Harbour, Eden Roc, Fern Acres, Fern Forest, Halaula, Hawaiian Acres, Hawaiian Beaches, Hawaiian Ocean View, Hawaiian Paradise Park, Hawi, Hilo, Holualoa, Honalo, Honaunau, Honokaa, Honomu, Kahaluu, Kailua, Kalaoa, Kapaa, Keaau, Kealahou, Keauhou, Kukuihaele, Kurtistown, Laupahoehoe, Leilani Estates, Mountain View, Naalehu, Nanawale Estates, Napaopoo, Orchidlands Estates, Paauilo, Pahala, Pahoa, Papaikou, Paukaa, Pepeekeo, Puako, Volcano, Waikoloa Village, Waimea, Wainaku		Counties: Hawaii	
Total HOPWA Subcontract Amount of this Organization for the operating year	64,966.24			

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

For Program Year 2013-2014, the State anticipated receiving \$168,042 .00 in HOPWA funds. The Department of Human Services (DHS), the HOPWA program administrator, proposed using the funds to provide tenant-based rental assistance, non-rental assistance grants, housing information services, resource identification and supportive services for eligible residents. The DHS contracted with the Maui AIDS Foundation (MAF) as the lead agency for the Neighbor Island HIV/AIDS Coalition (NIHAC) to accomplish two objective goals: 1) to provide housing information, rent deposit, and supportive services, and 2) to provide funds to pay a portion of the market rental unit costs for homeless and homeless-at-risk persons with HIV/AIDS in Hawaii, Kauai and Maui counties. The agencies are:

*Lead agency: Maui AIDS Foundation for the County of Maui
1935 Main Street, Wailuku, Maui 96793*

*Partnering agencies: Malama Pono Health Services (HIV/AIDS services) for the County of Kauai
4357 Rice Street, Lihue, Kauai 96766*

*Hawaii Island HIV/AIDS Housing Program for the County of Hawaii
75-240 Nani Kailua Drive, Ste. 5, Kailua-Kona, Hawaii 96740*

Maui AIDS Foundation is the only project sponsor and lead agency for the project. HIHAF (Hawaii county) is a sub-recipient for over \$25,000, and Malama Pono (Kauai county), is a sub-recipient to MAF for less than \$25,000 per year.

The Neighbor Island HIV/AIDS Coalition (NIHAC) is a cooperative effort of the three community-based AIDS Service Organizations (ASOs) serving the islands of Kauai, Molokai, Lanai, Maui and Hawaii. Established in 1998, the cooperating agencies of NIHAC are Malama Pono Health Services (MP) [formerly, Malama Pono & Kauai AIDS Project], Maui AIDS Foundation (MAF) and the Hawaii Island HIV/AIDS Foundation (HIHAF). Out of this coalition arose the Housing Program we have today, which is funded by both formula and competitive HOPWA grants. The coalition provides tenant based rental assistance (TBRA); short-term rent, mortgage, utilities (STRMU); housing placement assistance services, as well as supportive services/case management at the respective island ASOs. It serves three counties in the State of Hawaii which is comprised of the five separate islands.

Maui AIDS Foundation continued its project sponsor/lead agency role throughout the program year 2013-2014 and provided administrative management and accountability for all three agencies.

Significant achievements include ongoing housing stability and health care accessibility. With the mortgage crisis came foreclosures and short sales which not only put stress on the homeowners but also on the renters. This increase in renters, which resulted in higher rental costs, is in part due to previous homeowners who are now looking to rent as well as a drop in the construction of new units. Despite this turmoil in the housing market, NIHAC has continued to accommodate program participants. Funds were reallocated in this funding cycle to expand assistance for mortgage costs.

The scarcity of HIV primary care support on the islands, including medical, dental, nutritional and mental health care, has also raised concerns among persons living with HIV/AIDS (PLWH/A) and supportive care providers. One of the most significant accomplishments of PY13 addressed this issue. MAF, in partnership with Mango Medical, increased the time that a doctor was available to see participants from one day a week to five days a week. In addition, one day a week on-site mental health services were offered through this provider. These supplementary services enabled those who have limited transportation options to access a one-stop facility for much needed assistance. MAF has also been able to assist with participants' nutritional, transportation and housing needs during a single visit. Over \$2.2 million was leveraged throughout NIHAC from the DHS HOPWA Formula Grant to the Ryan White Care Act, Department of Health of the State of Hawaii, Section 8 Program of the State of Hawaii, Gregory House Programs in Honolulu, Hawaii and other locally raised monies.

Currently 20 eligible individuals/households have unmet housing needs and are not currently served by HOPWA due to difficulties finding qualifying housing and availability of funds. All 20 of these clients are currently interested in participation in the TBRA program. The number of clients with unmet housing needs was stable this year but we were greatly challenged

by dropping FMR's and rising actual rental prices . New participant enrollment at NIHAC is administered by the Housing Director at Maui AIDS Foundation on Maui for all 5 of the islands. Clients are processed in order of date and needs. The final determination is made with synchronization of the housing coordinators of all three counties.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

- 1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

Funds were distributed equitably throughout the Neighbor Islands and monitored by the three Executive Directors of NIHAC. The distribution was based on geographic need, unmet needs and acuity levels of individuals/households.

TBRA provided assistance to 18 individuals/households, surpassing the goal of 16. Some participants were able to move onto the Section 8 program which allowed the HOPWA program to assist new participants. Jobs are still severely limited in Maui, Kauai and Hawaii counties. The number of dependents assisted with HOPWA funds has remained high at 19 . These cases require a larger, more expensive unit, yet many of these dependents do not have significant income to increase the client's rent responsibility. The actual number of household beneficiaries remained steady at 42.

STRMU helped 3 individuals/ households to be able to stay in their current housing situation which exceeded the projected goal of 2.

Supportive services delivering HOPWA housing financial assistance were provided to 23 individuals/households, surpassing the goal of 20. However, supportive services serving household who have other housing arrangements were provided to 438 individuals/household, less than the goal of 446.

Housing Placement Services were provided as security deposits to 3 individuals/households, surpassing the goal of 2.

- 2. Outcomes Assessed.** Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

NIHP has consistently surpassed the housing stability rate of 90%. The availability of funding and services provided through the grants as well as the dedication, commitment and hard work of the staff (including case managers and housing coordinators) contributed to the achievement and maintenance of the high stability rate. The Executive Directors of the ASOs met throughout the program year either face to face or by video conferencing for their Network Service Provider meetings and scheduled post-meeting sessions to discuss and review NIHP issues. These face-to-face and video meetings have been tremendously helpful for defining and resolving issues in the NIHAC collaboration. The NIHP Housing Director traveled to each island on a regular basis, and while this has been costly, it has increased the efficiency and effectiveness of the staff as well as streamlined the services provided to participants. MAF has absorbed much of that cost.

NIHP will continue to achieve and surpass the national outcome goal of the housing stability rate of 80%. NIHP commits and continues to assist households to establish or better maintain a stable living environment in housing that is safe, decent and sanitary, and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

- 3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

HOPWA/SPNS and leveraged funds (Ryan White Care Act and the State of Hawaii Department of Health) are well-coordinated and monitored. The NIHP staff at the Neighbor Island ASOs work with emergency, transitional and permanent housing agencies in their respective counties (see section B-1). This includes the Section 8 program, Gregory House Programs and other privately supported nonprofit agencies including and where possible, faith-based agencies.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

Most program assistance needs are already being met by a combination of the DHS contractor providing HMIS technical assistance, ONE CPD, and the HUD Honolulu field office representative. A common assessment tool (VI-SPDAT) was chosen to prioritize the homeless individuals with the highest needs for placement in permanent supportive housing and is being implemented widely on Oahu. While these advances in practice are being piloted on Oahu, the neighbor island providers, through their CoC, Bridging the Gap, have been kept informed. They have purposefully watched the process unfold with the knowledge that a coordinated entry system for their CoC must also be operationalized. Implementing and utilizing the VISPDAT is an unfamiliar area where technical assistance in the upcoming program year would be beneficial.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the

HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input checked="" type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

Geography/Rural Access:

One of the challenges to program management is the continued communication between clients, staff and collaborating agencies. HOPWA stakeholders are currently addressing communication issues with increased interaction among the three executive directors of the partnering agencies, increased site visits by the MAF Housing Director and the use of video conferencing.

Another geographic barrier is the lack of affordable transportation between islands. Inter-island travel to do housing inspections which can cost up to \$450.00 per trip. If the house did not pass inspection, another trip was required. NIHAC hopes to combine housing inspection trips with other services, such as case management, outreach and rapid HIV and Hep C testing. In some of our rural areas there are challenges dealing with "off grid" housing that doesn't meet the inspection qualifications.

Housing Affordability and Availability:

Major barriers in Hawaii include high unemployment, low wages and the high cost of living which includes the prohibitive cost of housing. Hawaii is one of the most expensive states in which to live, especially considering the expense of housing, either to purchase or to rent. These costs are out of reach for most middle income wage earners, more so for low income persons living with HIV/AIDS. The lack of public transport in the rural counties makes client access to services more challenging. Executive Directors and housing coordinators throughout the rural counties will continue to collaborate with other service providers to address the issues of high cost of housing and the severely limited public transportation.

The state of Hawaii and the counties continue to suffer the effects of a slowdown in the economy. In addition, most clients have very low incomes (SSI or SSDI) which limit their housing choices. Clients often have problems with substance abuse and mental health in addition to being diagnosed with HIV/AIDS, which severely impedes their ability to attain and maintain stable housing.

With Hawaii being a tourist economy, landlords are able to charge a higher rate for housing depending on the tourist season. As such, many of the rental units are only available for rent during certain times of the year and/or for a certain length of time. To aggravate the problem, the clients who are employed are usually working in the tourist industry. This means the clients have to travel a further distance from affordable housing to their place of work. Gas prices in the rural counties are some of the highest in the nation, which contributes to the unaffordability of housing. To combat this dilemma, some ASO's issue monthly bus passes or gas cards to help keep clients employed.

Rent Determination and Fair Market Rents:

The rent determination and fair market rents also need to better reflect the market rents experienced in the region. With the state of the economy and housing markets there have been big fluctuations in the market rents in certain areas of the islands. As a tourist economy in Hawaii our rents fluctuate by the time of season and the number of visitors. FMR's have dropped the last two consecutive program years, as utility allowances have been rising. This is making it very difficult for new participants to meet the rental limits and for those moving while on the program to find a new unit that qualifies.

HOPWA/HUD Regulations:

NIHAC directors report that another trend which negatively affects the ability to serve persons living with HIV/AIDS is the massive amount of paperwork required to help individuals. Reporting and the cost of administration are on the rise while the amount of funding to pay for the same is diminishing.

NIHAC directors would also like to see the preservation of HOPWA funding incorporated in overall HUD regulations in order to assure and maintain adequate housing for individuals and their families living with HIV/AIDS. The fast-changing HIV/AIDS environment means that clients now are living longer but have more long term needs, including mental health services, nutrition and socialization opportunities. Many in the wider community are not aware of the immense challenges of HIV/AIDS in today's environment.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

County governments, charitable and community foundations, and individual donors want to fund only programs and not organizational missions. The County of Maui and Maui United Way are two good examples of government and community foundations which are not interested in funding missions, only specific programs. The cost of doing business, while still rather low with nonprofit agencies, remains problematic. The infrastructures of the non-profit are being eroded away. In these difficult times, this trend is becoming a serious challenge that will affect the provision of adequate responses to the needs of persons living with HIV/AIDS. Without the structures of the nonprofits the local and state governments will have on its steps thousands of homeless people with HIV/AIDS, drug addiction and mental illness with no way to handle them.

The rental environment on the neighbor islands has always been and will continue to be very competitive, with multiple applicants for each available reasonably priced rental. HOPWA program participants often come to the table with credit problems, poor rental history and then the added paperwork of being on housing assistance and requiring a housing inspection. Many times this means other applicants are more desirable to landlords.

The lack of education about HIV/AIDS continues to be problematic, with the youth as well as with the heterosexual community at large. Because HIV/AIDS was presented as a "gay" disease early on, these groups did not perceive themselves as being at risk. On the other side of the coin, many young gay individuals believe that HIV/AIDS is no longer a problem and is curable, thus becoming less diligent about taking precautionary measures. The ones that do contract HIV/AIDS also need more education on how not to infect their partners.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

HUD Caper and APR

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*,

Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	20
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	20
a. Tenant-Based Rental Assistance (TBRA)	
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	0
• Assistance with rental costs	0
• Assistance with mortgage payments	0
• Assistance with utility costs.	0
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	0

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
= Data from client information provided in Homeless Management Information Systems (HMIS)
X = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
X = Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	9,600	Rental Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	286,694	Case Management, Medical, Dental, Medications, Medical Insurance Premiums, Counseling, Food, Utilities, Transportation	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program	46,000	TBRA	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOPWA HUD SPNS Grant	391,164	TBRA, STRMU	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOPWA HUD SPNS Grant	60,793	Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Hawaii Department of Health	783,246	Case Management, Supportive Services, Medical	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Private Funding			
Poot Memorial Fund	91,882	Restricted	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
United Way	28,000	Hawaiian Case Management, Supportive Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Gregory House:	15,720	Housing Assistance	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Grants	9,589		<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Ted Stafford	1,200		<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Dennis Dane Fund	7,846	Utilities, Medical, Dental	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support

Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash	361,000		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	179,224		
TOTAL (Sum of all Rows)	2,271,958		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	NA
2.	Resident Rent Payments made directly to HOPWA Program	NA
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	NA

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	NA
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	NA
3.	Total Program Income Expended (Sum of Rows 1 and 2)	NA

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

	HOPWA Performance Planned Goal and Actual	[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
	HOPWA Housing Subsidy Assistance	[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	16	18	0	0	117,711	110,011
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	0	0	0	0	0	0
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served)	0	0	0	0	0	0
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	0
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	0
4.	Short-Term Rent, Mortgage and Utility Assistance	2	3	0	0	1,387	8,387
5.	Permanent Housing Placement Services	2	3	0	0	1,000	1,700
6.	Adjustments for duplication (subtract)	0	1	0	0		
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	20	23	0	0	120,098	120,098
	Housing Development (Construction and Stewardship of facility based housing)	[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	0	0	0	0	0	0
9.	Stewardship Units subject to 3 or 10 year use agreements	0	0				
10.	Total Housing Developed (Sum of Rows 7 & 9)	0	0	0	0	0	0
	Supportive Services	[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	466	458			31,140	31,140
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	0	0			0	0
12.	Adjustment for duplication (subtract)	0	0			0	0
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	466	458			31,140	31,140
	Housing Information Services	[1] Output Households				[2] Output: Funding	
14.	Housing Information Services	0	0			0	0
15.	Total Housing Information Services	0	0	0	0	0	0

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					0	0
17.	Technical Assistance (if approved in grant agreement)					0	0
18.	Grantee Administration (maximum 3% of total HOPWA grant)					0	0
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					11,763	11,763
20.	Total Grant Administration and Other Activities (Sum of Rows 17 – 20)	0	0	0	0	11,763	11,763
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					163,001	163,001

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	0	0
2.	Alcohol and drug abuse services	0	0
3.	Case management	458	31,140.00
4.	Child care and other child services	0	0
5.	Education	0	0
6.	Employment assistance and training	0	0
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	0	0
8.	Legal services	0	0
9.	Life skills management (outside of case management)	0	0
10.	Meals/nutritional services	0	0
11.	Mental health services	0	0
12.	Outreach	0	0
13.	Transportation	0	0
14.	Other Activity (if approved in grant agreement). Specify:	0	0
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	458	
16.	Adjustment for Duplication (subtract)	0	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	458	31,140.00

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u> Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	3	8387
b.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage costs ONLY.	1	6000.81
c.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage and utility costs.	0	0
d.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental costs ONLY.	2	2,386.19
e.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental and utility costs.	0	0
f.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with utility costs ONLY.	0	0
g.	Direct program delivery costs (e.g., program operations staff time)		0

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	18	13	1 Emergency Shelter/Streets	0	Unstable Arrangements
			2 Temporary Housing	0	Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing	2	Stable/Permanent Housing (PH)
			4 Other HOPWA	0	
			5 Other Subsidy	1	
			6 Institution	0	
			7 Jail/Prison	0	Unstable Arrangements
			8 Disconnected/Unknown	1	
			9 Death	1	Life Event
Permanent Supportive Housing Facilities/ Units			1 Emergency Shelter/Streets	0	Unstable Arrangements
			2 Temporary Housing	0	Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing	0	Stable/Permanent Housing (PH)
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	
			7 Jail/Prison	0	Unstable Arrangements
			8 Disconnected/Unknown	0	
			9 Death	0	Life Event

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units			1 Emergency Shelter/Streets	0	Unstable Arrangements
			2 Temporary Housing	0	Temporarily Stable with Reduced Risk of Homelessness
			3 Private Housing	0	Stable/Permanent Housing (PH)
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	
			7 Jail/Prison	0	Unstable Arrangements
			8 Disconnected/unknown	0	
			9 Death	0	Life Event

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient's best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
3	Maintain Private Housing <u>without</u> subsidy (e.g. Assistance provided/completed and client is stable, not likely to seek additional support)	0	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy (e.g. client switched housing units and is now stable, not likely to seek additional support)	0	
	Other HOPWA Housing Subsidy Assistance		
	Other Housing Subsidy (PH)	0	
	Institution (e.g. residential and long-term care)	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	3	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term (e.g. temporary or transitional arrangement)	0	
	Temporary/Non-Permanent Housing arrangement (e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)	0	
	Emergency Shelter/street	0	<i>Unstable Arrangements</i>
	Jail/Prison	0	
	Disconnected	0	
	Death	0	<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			0
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			0

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	23
b. Case Management	458
c. Adjustment for duplication (subtraction)	23
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	458
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	458	0	Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	458	0	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	458	0	Access to Health Care
4. Accessed and maintained medical insurance/assistance	458	0	Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	458	0	Sources of Income

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> MEDICAID Health Insurance Program, or use local program name MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> Veterans Affairs Medical Services AIDS Drug Assistance Program (ADAP) State Children's Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> Ryan White-funded Medical or Dental Assistance
--	--	--

Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

- | | | |
|---|--|--|
| <ul style="list-style-type: none"> • Earned Income • Veteran's Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) | <ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran's Disability Payment • Retirement Income from Social Security • Worker's Compensation | <ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources |
|---|--|--|

1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

***Note:** This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.*

***Note:** Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.*

Categories of Services Accessed	[1 For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	2	0

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households

that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of *Stewardship Units*.

1. General information

HUD Grant Number(s) NA	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	23

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	14
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	1
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	0
4.	Transitional housing for homeless persons	1
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	2
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	0
7.	Psychiatric hospital or other psychiatric facility	0
8.	Substance abuse treatment facility or detox center	0
9.	Hospital (non-psychiatric facility)	0
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	0
12.	Rented room, apartment, or house	6
13.	House you own	1
14.	Staying or living in someone else's (family and friends) room, apartment, or house	0
15.	Hotel or motel paid for without emergency shelter voucher	0
16.	Other	0
17.	Don't Know or Refused	0
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	23

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	0

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	23
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	2
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefited from the HOPWA housing subsidy	17
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	42

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	0	0	0	0	0
3.	31 to 50 years	5	5	0	0	10
4.	51 years and Older	10	3	0	0	13
5.	Subtotal (Sum of Rows 1-4)	15	8	0	0	23
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	5	8	0	0	13
7.	18 to 30 years	0	0	0	0	0
8.	31 to 50 years	3	2	0	0	5
9.	51 years and Older	0	1	0	0	1
10.	Subtotal (Sum of Rows 6-9)	8	11	0	0	19
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	23	19		0	42

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	0	0	0	0
2.	Asian	1	0	4	0
3.	Black/African American	1	0	0	0
4.	Native Hawaiian/Other Pacific Islander	2	0	6	1
5.	White	14	0	6	0
6.	American Indian/Alaskan Native & White	0	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	0	0	0	0
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	5	3	3	3
11.	Column Totals (Sum of Rows 1-10)	23	3	19	4
Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.					

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households**Household Area Median Income**

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	19
2.	31-50% of area median income (very low)	4
3.	51-80% of area median income (low)	0
4.	Total (Sum of Rows 1-3)	23

Part 7: Summary Overview of Grant Activities**B. Facility-Based Housing Assistance**

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

NA

2. Capital Development**2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)**

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: Date Completed:
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy- Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without</u> rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- ☐ Permanent Supportive Housing Facility/Units
☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling						
b.	Community residence						
c.	Project-based rental assistance units or leased units						
d.	Other housing facility <u>Specify:</u>						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		

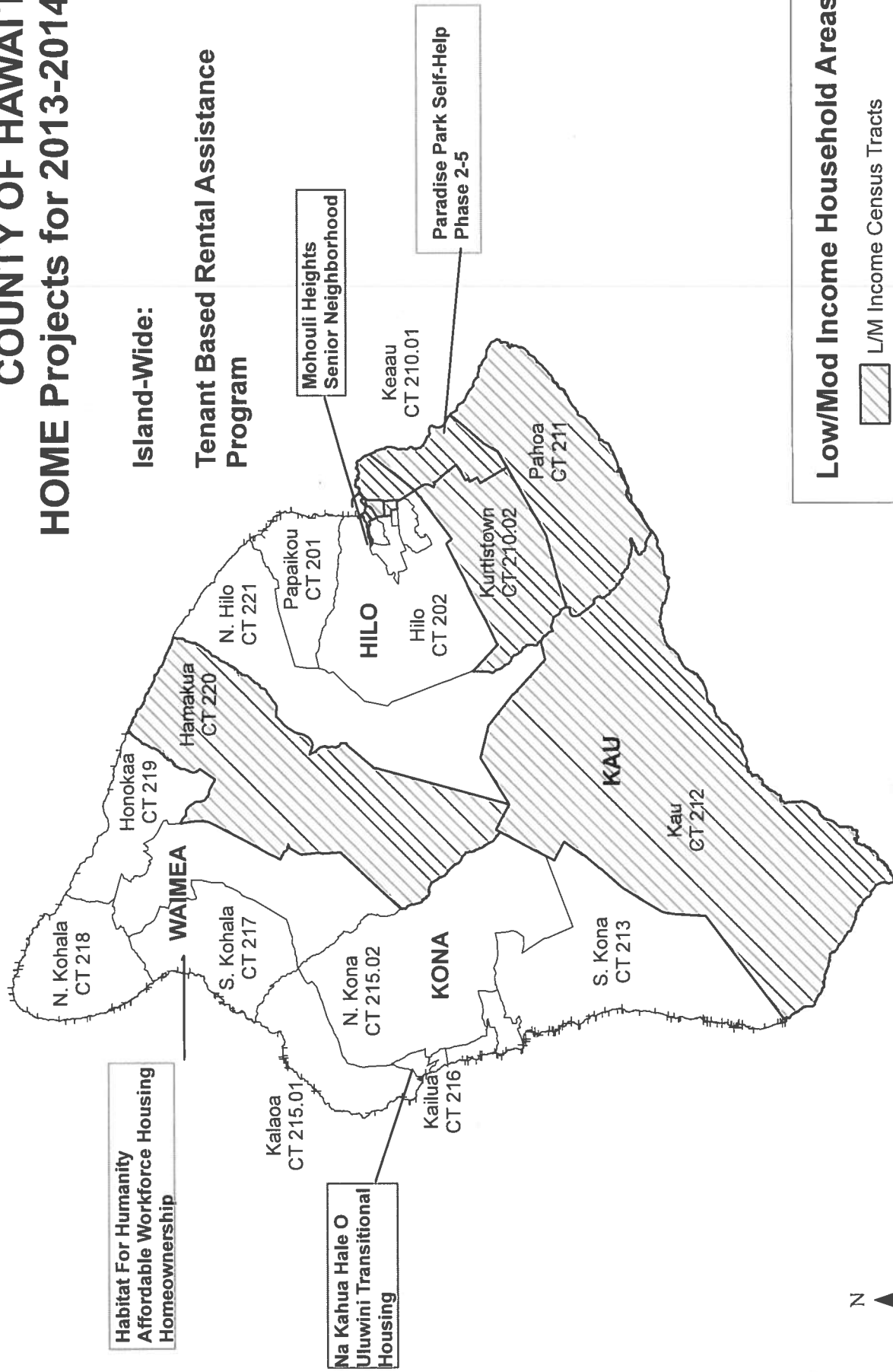
APPENDIX G

Maps

COUNTY OF HAWAII HOME Projects for 2013-2014

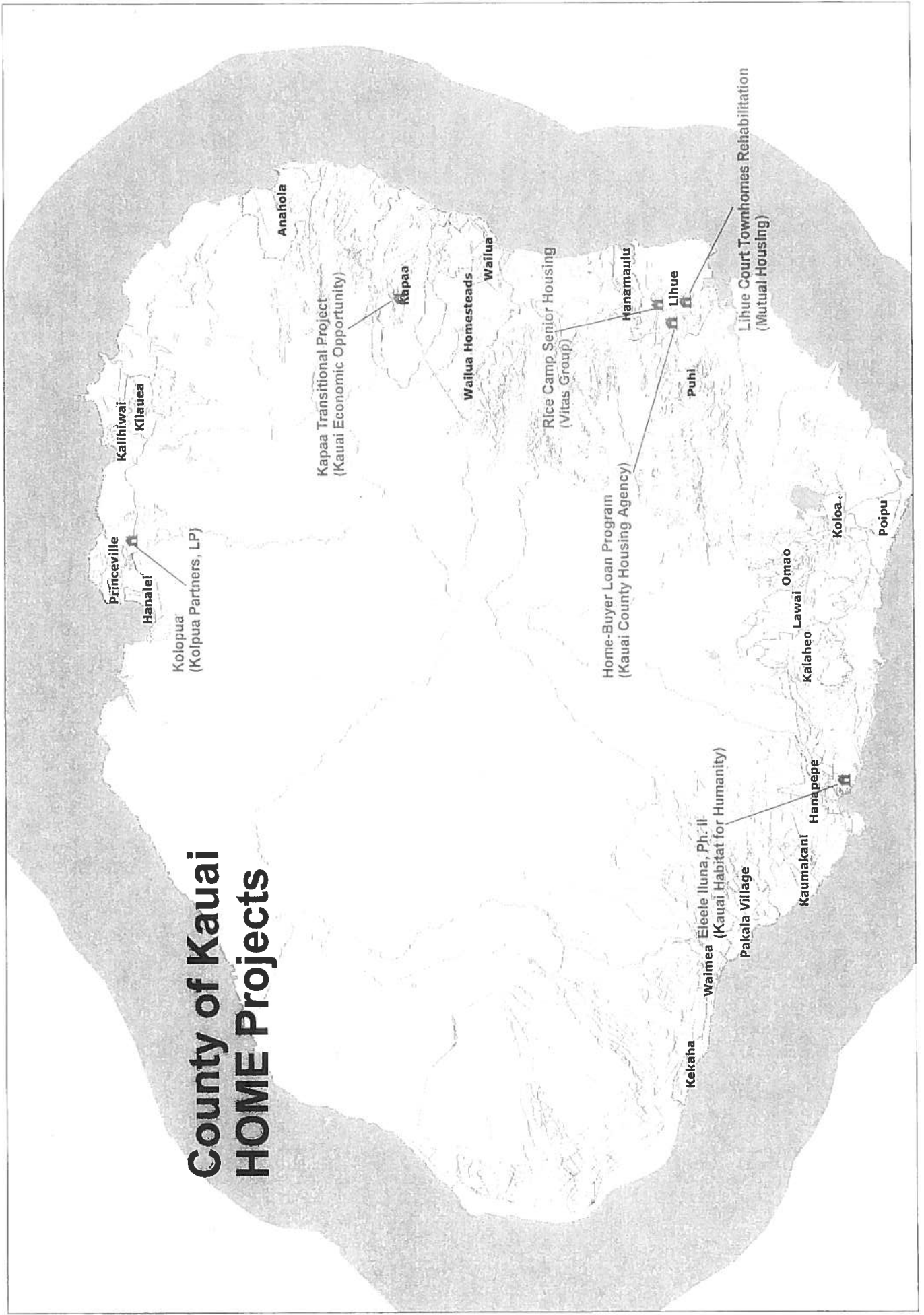
Island-Wide:

Tenant Based Rental Assistance Program

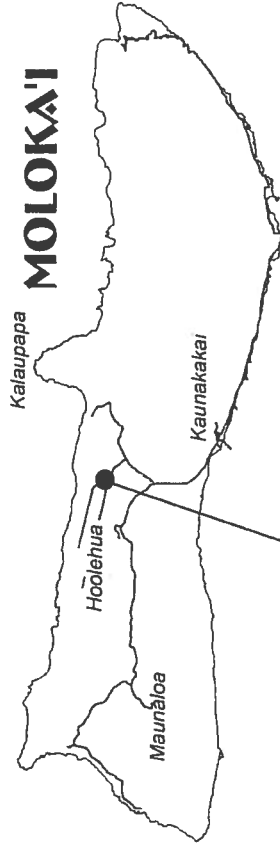


Information is based on the 2000 U.S. Census.

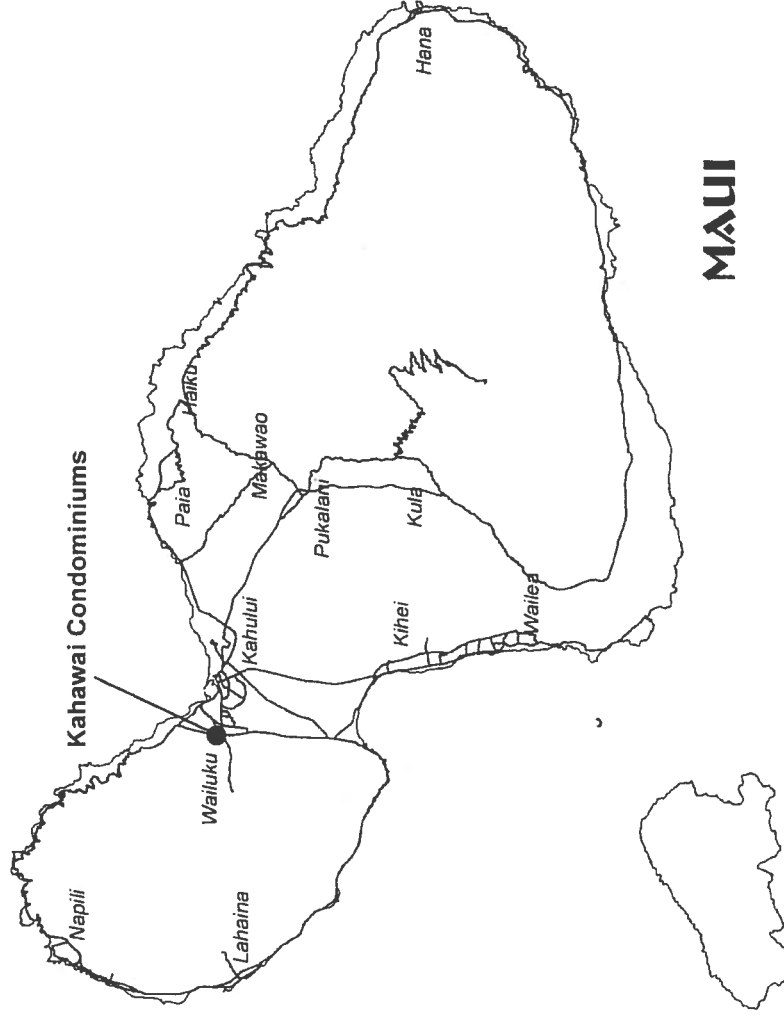
County of Kauai HOME Projects



HOME Program Projects for the County of Maui



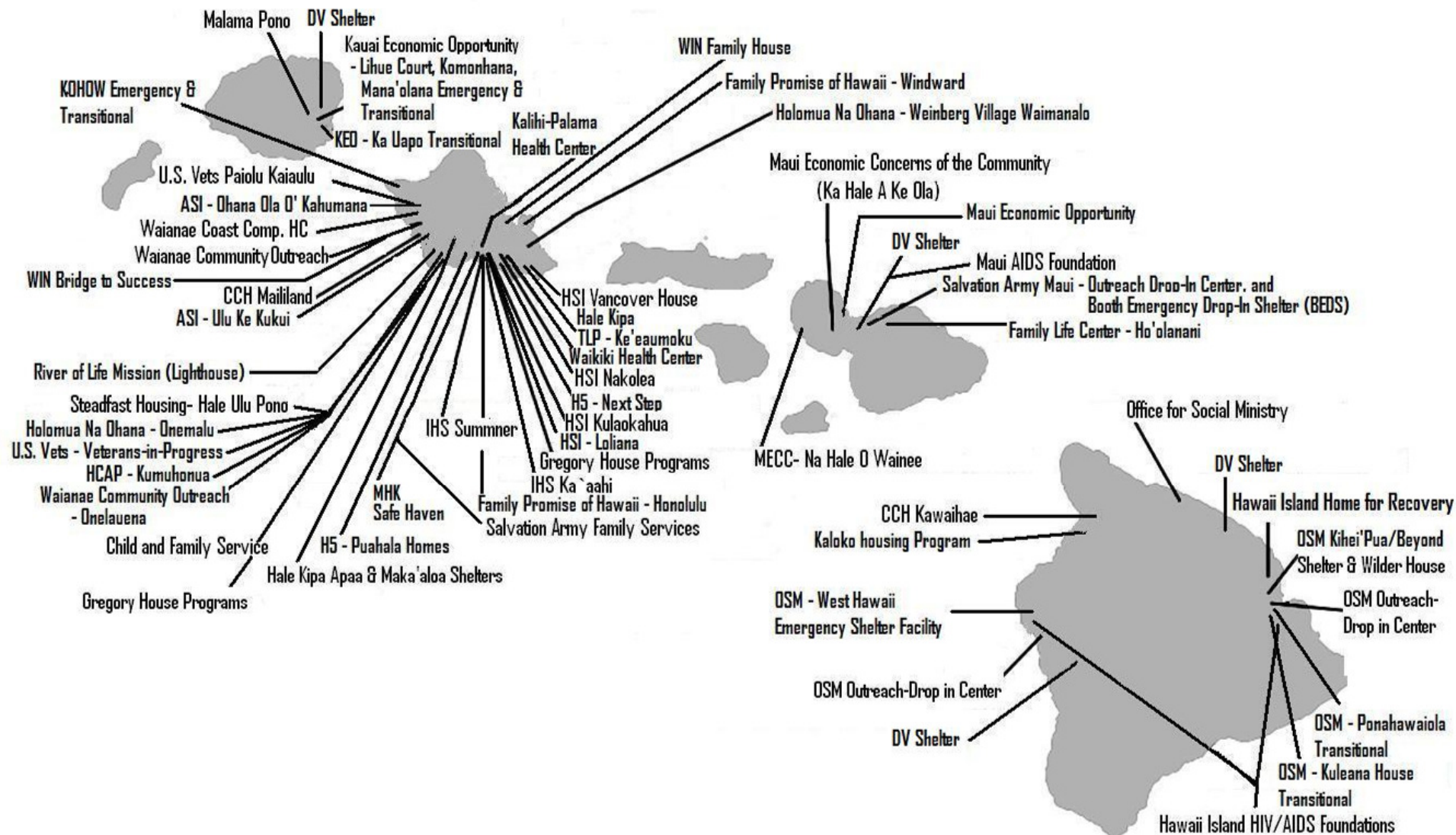
Moloka'i Mutual
Self-Help Housing 1



KAHO'OLAWÉ

MAUI

Homeless Programs Branch: Map of Statewide Homeless Services Agencies & Shelters



APPENDIX H

HUD's Annual Community Assessment for 2012-2013



U.S. Department of Housing and Urban Development

Honolulu Field Office – Region IX
1132 Bishop Street, Suite 1400
Honolulu, Hawaii 96813-4918
www.hud.gov
espanol.hud.gov

NOV 25 2013

Honorable Neil Abercrombie
Governor of the State of Hawaii
State Capitol
Honolulu, HI 96813

Dear Governor Abercrombie:

SUBJECT: Annual Community Assessment Report
Program Year 2012

RECEIVED
HAWAII HOUSING FINANCE
DEVELOPMENT CORP
2013 NOV 29 1:31

This letter is being sent to convey HUD's assessment of the State of Hawaii's (State's) performance in Program Year 2012, from July 1, 2012 to June 30, 2013, for its Community Planning and Development (CPD) programs. The report reflects the State's efforts to ensure compliance with HUD's HOME Investment Partnerships Program (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs.

The administration of these programs is divided between the Hawaii Housing Finance and Development Corporation (HHFDC) for the HOME program, and the Department of Human Services (DHS) for the ESG and HOPWA programs.

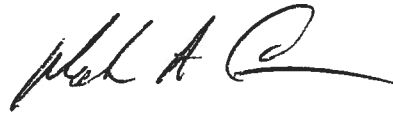
The largest CPD-funded program the State manages is the HOME program; therefore, our assessment has a greater focus on the State's HOME program management. Overall, the State has successfully implemented the HOME program and has met the commitments and expenditure deadlines in a timely manner. During the program year, the State constructed affordable rental housing, provided tenant-based rental assistance, acquired affordable for-sale housing which it sold to qualified homebuyers, provided financial assistance to first-time homebuyers, constructed affordable rental units for special needs populations, provided project development funds for self-help housing, and provided downpayment/closing costs and gap loans. The State also conducted monitoring of all state recipients and all active HOME projects/activities.

In closing, the enclosed Annual Community Assessment Report reflects HUD's assessment on the State's implementation of its CPD programs. The State will have 30 days from the date of this letter to comment on the report. Should the State not wish to comment or fails to

comment within the above time period, please consider the report final and make it available to the public. It is recommended that you make the report available in the same way you handle your regular program reports: by providing a notice in the newspaper, identifying the report contents and where it is available for review.

HUD looks forward to our continued partnership with the State in serving the needs of its citizens. Should you have questions regarding our comments, please call me at (808) 457-4678.

Sincerely,



Mark A. Chandler, Director
Office of Community Planning
and Development

Enclosure

cc:

✓ Ms. Janice Takahashi (w/enclosure)
Interim Executive Director
Hawaii Housing Finance and Development Corporation
677 Queen Street, Suite 300
Honolulu, HI 96813

Ms. Patricia McManaman (w/enclosure)
Director
Department of Human Services
P.O. Box 339
Honolulu, Hawaii 96809

ANNUAL COMMUNITY ASSESSMENT REPORT

State of Hawaii

2012 Program Year: July 1, 2012 to June 30, 2013

HUD Point of Contact:

Marsha Ito
Community Planning and
Development Representative
HUD Honolulu Field Office
1132 Bishop Street, Suite 1400
Honolulu, HI 96813
(808) 457-4679

State Point of Contact:

Ms. Janice Takahashi
Interim Executive Director
Hawaii Housing Finance and
Development Corporation
677 Queen Street, Suite 300
Honolulu, HI 96813
(808) 587-0680

Introduction

The Housing and Community Development Act of 1974, as amended, and the National Affordable Housing Act of 1990, require federal grant recipients receiving federal assistance to submit an annual performance report disclosing the status of grant activities. The Department of Housing and Urban Development (HUD) is required at 24 CFR 91.525 to determine whether the grant recipient is in compliance with the statutes and has the continuing capacity to implement and administer the programs for which assistance is received. In accordance with 24 CFR 91.525, the comments below incorporate HUD's assessment of the State of Hawaii's (State's) Program Year 2012 (PY2012) performance.

In assessing the State's performance, HUD relied primarily upon the State's 2012 Consolidated Annual Performance and Evaluation Report (CAPER), technical assistance provided, remote monitoring, and communications with the State's federal programs staff. During this period, HUD has determined that the State generally met the intent of the Community Planning and Development (CPD) programs. Based on the State's accomplishments through the HOME Investment Partnerships Program (HOME), the Emergency Solutions Grant (ESG) program, and the Housing Opportunities for Persons with AIDS (HOPWA) program, the State has demonstrated its ability to carry out various housing programs. HUD congratulates the State on its many accomplishments and its achievement of CPD program objectives.

Significant Performance Conclusions

The administration of the CPD programs is divided between the Hawaii Housing Finance and Development Corporation (HHFDC) for the HOME program, and the Department of Human Services' Benefits, Employment and Support Services Division (DHS-BESSD) for the ESG, HOPWA, and the Continuum of Care (CoC) programs. The largest CPD-funded program the State manages is the HOME program. As a result, our assessment has a greater focus on the State's HOME program management.

CPD Programs

HOME

The State received \$3,000,000 in HOME funds. PY2012 was the first year of the State's rotational allocation between the Counties of Hawaii, Kauai, and Maui. The County of Hawaii was first in the rotation and in PY2012 received a HOME allocation of \$2,925,000. The State retained \$75,000 for the general administration of the HOME program.

HOME funds are required to be committed within 24 months and expended within 60 months of the grant award. Failure to commit and expend funds within the required time period will result in the deobligation of the funds. During this period, the State met the commitment and expenditure requirements within the required time periods.

HUD is pleased with the State's performance as reflected on HUD's HOME performance SNAPSHOT report, as of June 30, 2013. This report is an important tool in helping to evaluate the performance of participating jurisdictions by providing a context for accomplishments. The State met the threshold standards for four out of the five factors on the SNAPSHOT report. Specifically, the State's performance is satisfactory in its percentage of completed rental disbursements to all rental commitments, percentage of completed CHDO disbursements to all CHDO reservations, percentage of renters below 50% of area median income, and percentage of occupied rental units to all rental units. The only threshold standard the State fell short of meeting was for allocation years not disbursed. As this factor is an indicator of program progress, HUD encourages the State to take affirmative steps to improve its performance in this area.

The State's PY2012 CAPER reported \$350,615 of program income/ recaptured funds for the HOME program, which was utilized by its state recipients for other eligible HOME activities. The State did not meet all its annual goals for constructing affordable rental housing and constructing affordable for-sale housing. The availability of funding sources, the high cost of housing in the state of Hawaii, delays in processing of building permits, inadequate infrastructure, and the sluggish economy are the impediments cited by the State. The State did, however, either meet or exceed its goals for providing affordable for-sale housing; funding the operations of emergency shelters on the islands of Hawaii, Kauai, and Maui, for unsheltered homeless and for victims of domestic violence; providing rental/deposit assistance and supportive services to persons with HIV/AIDS; preventing homelessness by providing financial assistance to persons and families at risk of homelessness; providing funding for housing stability, relocation and stabilization services to individuals and families; and conducting training to landlords, tenants, and the general public on federal and state fair housing laws.

During the PY2012 the State conducted on-site monitoring of all its state recipients and the HOME activities undertaken. For the County of Hawaii, the on-site monitoring revealed a delay with the Kaloko Housing Project and documentation issues with the Hawaiian Paradise Park, Phase I, project. The State noted the County of Kauai's expenditure difficulties due to the generation of substantial program income, issues with qualifying homebuyers in its Kamamalu

Condominium project, and the delay in issuing HOME loans under its homebuyer loan program. Issues with the County of Maui include difficulty with managing program funds, including commitment and expenditure deadlines for the Molokai Mutual Self-Help Housing Project, Phase II, and the Kahawai Apartments; and documenting program requirements at its Kenolio Leilani project. The State is working with the Counties to resolve the issues revealed during the on-site reviews.

Emergency Solutions Grant

The State received an allocation of \$421,470 in PY2012 for the Emergency Solutions Grant (ESG) program. The funds were allocated to activities located in the counties of Hawaii, Kauai, and Maui, as described in the Annual Action Plan. The State exceeded most of its projected goals, including: providing shelter for the unsheltered (goal: provide 1,1385 persons with a safe place to sleep; actual accomplishment: provided 2,090 persons with a safe refuge and place to sleep); providing shelter for victims of domestic violence by providing operations funding for emergency shelters (goal: provide 730 persons with a safe place to sleep; actual accomplishment: provided 770 persons with a safe refuge and place to sleep); and providing financial assistance to individuals and families to achieve stability in permanent housing (goal: provide 48 persons/families to achieve housing stability; actual accomplishment: provided 82 persons/families with financial assistance). HUD commends the State for its efforts to assist homeless persons.

During PY2012, the State successfully leveraged other resources to address its homeless issues, exceeded its ESG match requirement, and conducted remote and on-site monitoring reviews of its project sponsors. HUD notes, however, that the State has a grant balance remaining for its PY2010 ESG grant award. The regulation at 24 CFR 576.203(b) requires grantees to expend ESG funds within 24 months of grant award. HUD encourages the State to expend the remaining balance of its PY2010 allocation as soon as possible to comply with the expenditure requirement.

HOPWA

The State received \$176,906 in PY2012 for the HOPWA program. HOPWA funds continued to assist persons with rental assistance, supportive services, and short-term rental, mortgage, utilities (STRMU) activities. The program is administered by the Maui AIDS Foundation (MAF), the lead agency, and supported by the Neighbor Island HIV/AIDS Coalition (NIHAC), which is collaboration between MAF, the Hawaii Island HIV/AIDS Foundation, and Malama Pono Health Services on Kauai. For PY2012, the NIHAC did not meet its goal of providing rental assistance for homeless or homeless-at-risk persons with HIV/AIDS or its goal of providing housing information, rent/deposit assistance, and supportive services for persons with HIV/AIDS. The reasons cited for not being able to achieve its projected goals include limited resources and the high cost of living in Hawaii. Through its community collaborations, however, HIHAC has been able to provide ongoing housing stability and health care accessibility for its clients despite the decline of various funding sources.

Continuum of Care

The State continues to develop its Continuum of Care (CoC) program and is the lead entity in the CoC planning groups for the Counties of Hawaii, Kauai, and Maui. The State collaborated with each County to address the complete spectrum of housing and services for outreach, emergency shelter, and transitional shelter for the homeless. All the agencies contracted by the State work with clients toward permanent housing and independent living by assisting clients in achieving access to jobs, basic educational goals, access to mainstream resources, etc.. The State and County CoCs continue to address homeless prevention. Some of the actions undertaken in PY2012 include operating the housing placement services; maintaining funding for the Rental Assistance Program on Maui, which provides funding for rental subsidies through partner agencies; and initiation of the Housing Placement Program to assist homeless families transition to permanent housing. Also, during PY2012, the State competed and was awarded \$1,724,430 of new or renewal program funding from HUD's Continuum of Care (CoC) program. The State's Interagency Council of Homelessness continues to take an aggressive stand to address and end homelessness in Hawaii.

Community Empowerment

The State provided opportunities for citizen participation during the development of the CAPER. The CAPER was made available in libraries statewide and at the County Housing Offices on Hawaii, Kauai, and Maui. Despite the opportunities available for public participation, no comments were received. HUD encourages the State to continue its efforts to foster public participation and explore additional opportunities to involve the public in its planning process.

Management of Funds

Overall, the State's management of its HOME, ESG, or HOPWA funds is adequate. HUD notes that the State staff responsible for the CPD programs works diligently to ensure HUD funding is spent in accordance with program requirements. HUD encourages the State to continue its efforts to ensure future CPD funding will not be compromised.

Areas for Improvement and Recommendations

The State needs to continue to monitor its ongoing HOME, ESG, and HOPWA programs to ensure timely implementation and expenditures. HUD recommends that the State continue to identify project obstacles and develop plans to address these obstacles in order to strengthen its CPD programs.

Fair Housing & Equal Opportunity

During PY2012, the State and Counties worked on actions to overcome the effects of impediments identified in the AI, including:

- annual trainings to landlords, tenants and the general public on federal and state fair housing laws;
- training to non-English speaking or limited English-speaking groups on federal and state fair housing laws;
- reducing the structural impediments identified in the AI;
- annual training to State staff, both new and current employees.

HUD encourages the State to continue its efforts to further fair housing. The CAPER was made available to Mr. Jelani Madaraka, Lead Civil Rights Analyst, HUD Honolulu Field Office, for compliance with Fair Housing and Equal Opportunity (FHEO) requirements. He will forward any comments or questions he may have under separate cover.

Conclusion

Overall, the State is meeting its communities' needs by planning and executing a Consolidated Plan with a vision for the future, and by implementing this Plan through its Annual Action Plan. As a result, the State has assisted many communities by successfully implementing the CPD programs. The staffs at the HHFDC and the DHS-BESSD are a pleasure to work with and are a credit to the State and its CPD programs.

HUD looks forward to the State's continued success in implementing its housing programs.



EXECUTIVE CHAMBERS

NEIL ABERCROMBIE
GOVERNOR

HONOLULU

December 17, 2013

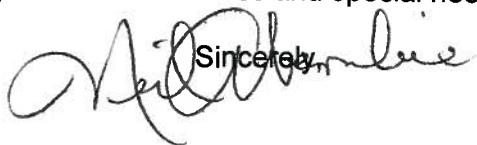
Mr. Mark A. Chandler, Director
Office of Community Planning and Development
U.S. Department of Housing and Urban Development
1132 Bishop Street, Suite 1400
Honolulu, Hawaii 96813

Dear Mr. Chandler:

This responds to your Annual Community Assessment Report dated November 25, 2013, which shows that the state is in compliance with and continues to have the capacity to implement and administer federal Community Planning and Development programs. I am pleased that the State has effectively leveraged federal funds to assist low- and moderate-income households with housing under the HOME program and provide housing assistance and support services to persons who are homeless or with HIV/AIDS under the ESG and HOPWA programs.

The State will continue to strive to more effectively utilize the relatively modest level of annual HOME fund allocations to increase the supply of affordable rental and for-sale housing. In PY2012, the Hawaii Housing Finance and Development Corporation began to award the entire annual allocation of HOME funds (rather than equally distributing the funds) to the Counties of Hawaii, Kauai and Maui on a rotating basis. The counties are now able to break ground on projects sooner as they no longer have to "save up" their HOME allocations over several years.

I look forward to the continued partnership between the State and HUD to produce more affordable housing and to help Hawaii's homeless and special needs populations.

Sincerely,


NEIL ABERCROMBIE
Governor, State of Hawaii

- c: Janice Takahashi, Hawaii Housing Finance and Development Corporation
Patricia McManaman, Department of Human Services, Benefits, Employment
and Support Services, Homeless Programs Office



U.S. Department of Housing and Urban Development

Honolulu Field Office – Region IX
500 Ala Moana Boulevard, Suite 3A
Honolulu, Hawaii 96813-4918
www.hud.gov
espanol.hud.gov

JAN 8 2014

Honorable Neil Abercrombie
Governor of the State of Hawaii
State Capitol
Honolulu, HI 96813

Dear Governor Abercrombie:

SUBJECT: Final Annual Community Assessment Report
Program Year 2012

This letter is in response to the State of Hawaii's (State's) letter of December 17, 2013, regarding its Annual Community Assessment (ACA) report. Thank you for providing comments to HUD's assessment. HUD is pleased that the State is taking steps to more effectively utilize its HOME grant allocations.

The State may consider the report final and make it available to the public using your standard notification process. Should you have further questions regarding our comments, please call me at 547-4678.

Sincerely,

Mark A. Chandler, Director
Office of Community Planning
and Development

cc:

✓ Ms. Janice Takahashi
Interim Executive Director
Hawaii Housing Finance and Development Corporation
677 Queen Street, Suite 300
Honolulu, HI 96813

Ms. Patricia McManaman
Director
Department of Human Services
P.O. Box 339
Honolulu, Hawaii 96809

RECEIVED
HAWAII HOUSING FINANCE
DEVELOPMENT CORP
2014 JAN -9 P 2:15

APPENDIX I

Public Notice and Comment

NOTICE OF PUBLIC COMMENT

Pursuant to 24 CFR Part 91, notice is given that a draft Consolidated Annual Performance and Evaluation Report (CAPER) for program year 2013-2014 is available for review and comment. The CAPER is an annual review of the progress made in carrying out the Strategic and Action Plan components of the State of Hawaii Consolidated Plan, which concentrates on the Counties of Hawaii, Kauai and Maui. It includes an assessment of the State's performance in meeting its affordable and supportive housing objectives under the HOME Investment Partnerships, Emergency Solutions Grant, and Housing Opportunities for Persons with AIDS programs.

Copies of the draft CAPER are available at regional libraries and on the Hawaii Housing Finance and Development Corporation's (HHFDC) website at <http://hawaii.gov/dbedt/hhfdc>. Copies may also be picked up at the agencies listed below between the hours of 7:45 a.m. and 4:30 p.m. To be mailed copies, interested parties may call Medy at 587-0634; Neighbor Island residents may call the toll free numbers below, ext. 70634:

From Kauai, 274-3141
From Maui, 984-2400

From Hawaii, 974-4000
From Molokai and Lanai, 1-800-468-4644.

AGENCIES:

Office of Housing & Community Development
50 Wailuku Drive, Hilo, Hawaii

Department of Housing & Human Concerns
35 Lunalilo Street, Suite 102, Wailuku, Maui

Kauai County Housing Agency
4444 Rice Street, Suite 330, Lihue, Kauai

Department of Human Services, Homeless Programs
Benefits, Employment & Support Services Division
820 Mililani Street, Suite 606, Honolulu, Oahu

Hawaii Housing Finance & Development Corporation
677 Queen Street, Suite 300, Honolulu, Oahu

Written comments on the draft CAPER may be submitted to HHFDC at the above address or faxed to (808) 587-0600 no later than 4:30 p.m. on August 30, 2014. All comments will be considered in preparing the final CAPER.

Persons with special needs (e.g., large print, taped materials, sign language interpreter, or translator) shall make all requests for assistance by contacting HHFDC's Personnel Office at 587-0610, by written request, or by email to Valerie.b.dionne@hawaii.gov. Prompt requests help to ensure the availability of appropriate accommodations. Persons on the Neighbor Islands may call the toll free numbers listed above, ext. 70610.

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Craig K. Hirai, HHFDC Executive Director
Department of Business, Economic Development & Tourism
State of Hawaii



August 15, 2014

Honolulu Star-Advertiser
Hawaii Tribune-Herald
West Hawaii Today
The Garden Island
The Maui News

AFFIDAVIT OF PUBLICATION

State of Hawaii)

) SS:

County of Hawaii)

LEILANI K. R. HIGAKI

_____, being first
duly sworn, deposes and says:

1. That she is the BUSINESS MANAGER of
HAWAII TRIBUNE-HERALD, a
newspaper published in the City of HILO,
State of Hawaii.

2. That the "NOTICE OF PUBLIC COMMENT...(CAPER) for program
year 2013-2014 is available for review...etc.

_____".
of which a clipping from the newspaper as published is attached hereto, was pub-
lished in said newspaper on the following date(s) _____

August 15, 2014, (etc.).
272517

Leilani K R Higaki

Subscribed and sworn to before me

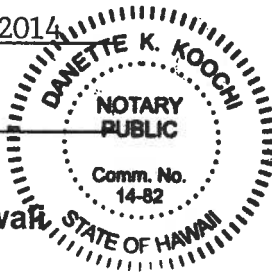
this 25th day of August, 2014

Danette K Koochi

DANETTE K. KOOCHI

Notary Public, Third Circuit, State of Hawaii

My commission expires March 23, 2018



NOTICE OF PUBLIC COMMENT

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AGENCIES:

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Department of Housing & Human Concerns
35 Lunalilo Street, Suite 102, Wailuku, Maui

Kauai County Housing Agency
4444 Rice Street, Suite 330,
Lihue, Kauai

Department of Human Services, Homeless Programs
Benefits, Employment & Support Services Division
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Craig K. Hirai, HHFDC Executive Director
Department of Business, Economic Development & Tourism
State of Hawaii

(272517 Hawaii Tribune-Herald: August 15, 2014)



AFFIDAVIT OF PUBLICATION

State of Hawaii)
) SS:
County of Hawaii)

M. R. Chavez, being first duly sworn, deposes and says:

1. That she is the Classified Accountant of WEST HAWAII TODAY, a newspaper published in the City of Kailua-Kona, State of Hawaii.

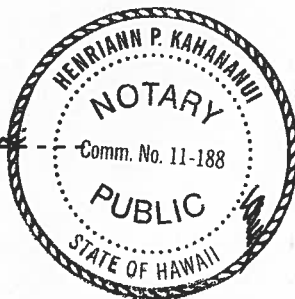
2. That **"NOTICE OF PUBLIC COMMENT** Pursuant to CFR Part 91, notice is given that a draft Consolidated Annual Performance and Evaluation Report (CAPER)..." of which a clipping from the newspaper is attached hereto, was published in said newspaper on the following date(s) August 15, 2014 (etc.)

M. R. Chavez

Subscribed and sworn to before me
This 18th day of August, 2014

Henriann P. Kahananui

Notary Public, Third Circuit,
State of Hawaii



Henriann P. Kahananui

My Commission expires: June 6, 2015

Page(s): 1

NOTICE OF PUBLIC COMMENT

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From Kauai, 274-3141 From Hawaii, 974-4000
From Maui, 984-2400 From Molokai and Lanai, 1-800-468-4644

AGENCIES:

Office of Housing & Community Development
50 Wailuku Drive, Hilo, Hawaii

Department of Housing & Human Concerns
35 Lunalilo Street, Suite 102, Wailuku, Maui

Kauai County Housing Agency
4444 Rice Street, Suite 330, Lihue, Kauai

Department of Human Services, Homeless Programs Benefits,
Employment & Support Services Division
820 Mililani Street, Suite 606, Honolulu, Oahu

Hawaii Housing Finance & Development Corporation
677 Queen Street, Suite 300, Honolulu, Oahu

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Craig K. Hirai, HHFDC Executive Director
Department of Business, Economic Development & Tourism
State of Hawaii

(No. 272525-West Hawaii Today: August 15, 2014)




AFFIDAVIT OF PUBLICATION

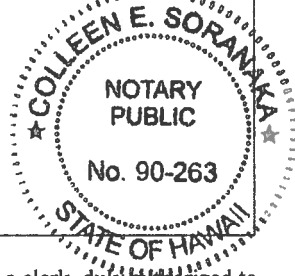
IN THE MATTER OF
NOTICE OF PUBLIC COMMENT

STATE OF HAWAII

}
} SS.
}

City and County of Honolulu

Doc. Date:	8/15/14	# Pages:	1
Notary Name:	COLLEEN E. SORANAKA	First Judicial Circuit	
Doc. Description:	Affidavit of Publication		
Notary Signature		Date	8/15/14



Lisa Kaukani being duly sworn, deposes and says that she is a clerk, duly authorized to execute this affidavit of Oahu Publications, Inc. publisher of The Honolulu Star-Advertiser and MidWeek, that said newspapers are newspapers of general circulation in the State of Hawaii, and that the attached notice is true notice as was published in the aforementioned newspapers as follows:

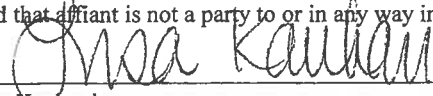
Honolulu Star-Advertiser 1 times on:

08/15/2014

Midweek Wed. 0 times on:

 times on:

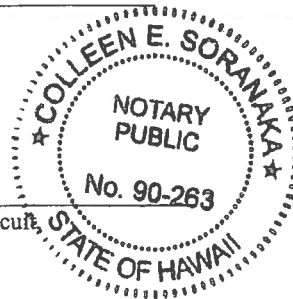
And that affiant is not a party to or in any way interested in the above entitled matter.


Lisa Kaukani

Subscribed to and sworn before me this 15th day

of Aug A.D. 2014


Colleen E. Soranaka, Notary Public of the First Judicial Circuit,
State of Hawaii



My commission expires: Jan 06 2016

Ad # 0000657604

NOTICE OF PUBLIC COMMENT

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From Kauai, 274-3141
From Maui, 984-2400

From Hawaii, 974-4000
From Molokai and Lanai, 1-800-468-4644

AGENCIES:

Office of Housing & Community Development
50 Walluku Drive, Hilo, Hawaii

Department of Housing & Human Concerns
35 Lunalilo Street, Suite 102, Walluku, Maui

Kauai County Housing Agency
4444 Rice Street, Suite 330, Lihue, Kauai

Department of Human Services, Homeless Programs
Benefits, Employment & Support Services Division
820 Milliani Street, Suite 606, Honolulu, Oahu

Hawaii Housing Finance & Development Corporation
677 Queen Street, Suite 300, Honolulu, Oahu

Written comments on the draft CAPER may be submitted to HHFDC at the above address or faxed to (808) 587-0600 no later than 4:30 p.m. on August 30, 2014. All comments will be considered in preparing the final CAPER.

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Craig K. Hirai, HHFDC Executive Director
Department of Business, Economic Development & Tourism
State of Hawaii
(SA657604 8/15/14)



SP.NO.: _____ L.N.

AFFIDAVIT OF PUBLICATION

**IN THE MATTER OF
NOTICE OF PUBLIC COMMENT**

STATE OF HAWAII

} SS.

City and County of Honolulu

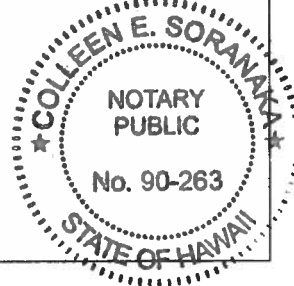
Doc. Date: 8/15/14 # Pages: 1

Notary Name: COLLEEN E. SORANAKA First Judicial Circuit

Doc. Description: Affidavit of
Publication

Notary Signature

Date



Lisa Kaukani being duly sworn, deposes and says that she is a clerk, duly authorized to execute this affidavit of Oahu Publications, Inc. publisher of The Honolulu Star-Advertiser and MidWeek, that said newspapers are newspapers of general circulation in the State of Hawaii, and that the attached notice is true notice as was published in the aforementioned newspapers as follows:

Honolulu Star-Advertiser 0 times on:

Midweek Wed. 0 times on:

The Garden Island

1 times on: 8/15/14

And that affiant is not a party to or in any way interested in the above entitled matter.

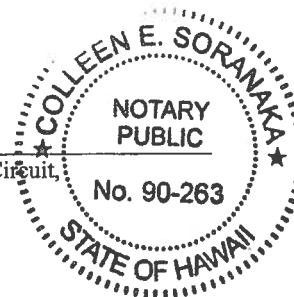
Lisa Kaukani

Lisa Kaukani

Subscribed to and sworn before me this 15th day

of Aug A.D. 2014

Colleen E. Soranaka, Notary Public of the First Judicial Circuit,
State of Hawaii



My commission expires: Jan 06 2016

Ad # 0000657612

NOTICE OF PUBLIC COMMENT

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Craig K. Hirai, HHFDC Executive Director
Department of Business, Economic Development & Tourism
State of Hawaii
(TGI)657612 8/15/14)



SP.NO.: _____ L.N.

AFFIDAVIT OF PUBLICATION

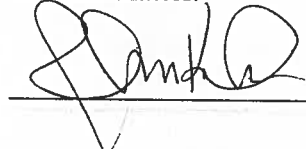
STATE OF HAWAII, } ss.
County of Maui.

Rhonda M. Kurohara being duly sworn
deposes and says, that she is in Advertising Sales of
the Maui Publishing Co., Ltd., publishers of THE MAUI NEWS, a
newspaper published in Wailuku, County of Maui, State of Hawaii;
that the ordered publication as to _____

NOTICE OF PUBLIC COMMENT

of which the annexed is a true and correct printed notice, was
published 1 times in THE MAUI NEWS, aforesaid, commencing
on the 15th day of August, 2014, and ending
on the 15th day of August, 2014, (both days
inclusive), to-wit: on _____
August 15, 2014

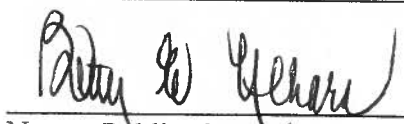
and that affiant is not a party to or in any way interested in the above
entitled matter.



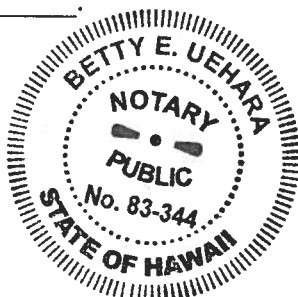
This 1 page Notice of Public Comment, dated
August 15, 2014,

was subscribed and sworn to before me this 15th day of
August, 2014, in the Second Circuit of the State of Hawaii,

by Rhonda M. Kurohara


Notary Public, Second Judicial
Circuit, State of Hawaii

BETTY E. UEHARA
My Commission expires 09-26-15



NOTICE OF PUBLIC COMMENT

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Craig K. Hirai, HHFDC Executive Director
Department of Business, Economic Development & Tourism
State of Hawaii

(MN: Aug. 15, 2014)



APPENDIX J

Section 3 Summary Reports

Section 3 Summary Report

Economic Opportunities for
Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 11/30/2010)

HUD Field Office: Honolulu, HI

Section back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip) Hawaii Housing Finance and Development Corporation 677 Queen Street, Suite 300 Honolulu, HI 96813	2. Federal Identification: (grant no.) M-13-SG-15-0100	3. Total Amount of Award: \$3,000,000
	4. Contact Person Glori Inafuku	5. Phone: (Include area code) 808-587-0538
	6. Length of Grant: 12 months	7. Reporting Period: 7/1/13 - 6/30/14
8. Date Report Submitted: 9/15/14	9. Program Code: (Use separate sheet for each program code) 6	10. Program Name: HOME

Part I: Employment and Training (** Columns B, C and F are mandatory fields. Include New Hires in E & F)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E % of Total Staff Hours for Section 3 Employees and Trainees	F Number of Section 3 Trainees
Professionals	0	0			0
Technicians	0	0			0
Office/Clerical	0	0			0
Construction by Trade (List Trade	0	0			0
Trade					
Trade					
Trade					
Trade					
Other (List)					
Laborer	6	6			0
Carpenter	8	6			0
Plumber	1	1			0
Total	15	13			0

* Program Codes
1 = Flexible Subsidy
2 = Section 202/811

3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization

4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
7 = CDBG Entitlement

8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs

Section 3 Summary Report

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Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 11/30/2010)

HUD Field Office: Honolulu, HI

Section back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip) Hawaii Housing Finance and Development Corporation 677 Queen Street, Suite 300 Honolulu, HI 96813	2. Federal Identification: (grant no.) B-08-DN-15-0001	3. Total Amount of Award: \$19,600,000
	4. Contact Person Glori Inafuku	5. Phone: (Include area code) 808-587-0538
	6. Length of Grant: 48 months	7. Reporting Period: 7/1/13 - 6/30/14
8. Date Report Submitted 9/15/14	9. Program Code: (Use separate sheet for each program code) 10	10. Program Name: NSP 1

Part I: Employment and Training (** Columns B, C and F are mandatory fields. Include New Hires in E & F)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E % of Total Staff Hours for Section 3 Employees and Trainees	F Number of Section 3 Trainees
Professionals	0	0			0
Technicians	0	0			0
Office/Clerical	0	0			0
Construction by Trade (List) Trade	0	0			0
Trade					
Trade					
Trade					
Trade					
Other (List)					
Total	0	0			0

* Program Codes
1 = Flexible Subsidy
2 = Section 202/811

3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization

4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
7 = CDBG Entitlement

8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs

Economic Opportunities for Low – and Very Low-Income Persons

OMB Approval No: 2529-0043
(exp. 11/30/2010)

Section back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip) Hawaii Housing Finance and Development Corporation 677 Queen Street, Suite 300 Honolulu, HI 96813	2. Federal Identification: (grant no.) B-11-DN-15-0001	3. Total Amount of Award: \$5,000,000
	4. Contact Person Glori Inafuku	5. Phone: (Include area code) 808-587-0538
	6. Length of Grant: 36 months	7. Reporting Period: 7/1/13 - 6/30/14
8. Date Report Submitted: 9/15/14	9. Program Code: (Use separate sheet for each program code) 10	10. Program Name: NSP 3

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E % of Total Staff Hours for Section 3 Employees and Trainees	F Number of Section 3 Trainees
Professionals	0	0			0
Technicians	0	0			0
Office/Clerical	0	0			0
Construction by Trade (List Trade)	0	0			0
Trade					
Trade					
Trade					
Trade					
Other (List)					
Total	0	0			0

8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 0
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving contracts	0

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- ☒ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- ☒ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- ☒ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- ☐ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- ☐ Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 0
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving contracts	0

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- ☒ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- ☒ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- ☒ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- ☐ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- ☐ Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

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Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 8,007,229.03
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 1,722,620.72
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	22 %
D. Total number of Section 3 businesses receiving contracts	3

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- ☒ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- ☒ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- ☒ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- ☐ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- ☐ Other; describe below.

Efforts to increase awareness of Section 3 opportunities have been and continue to be implemented. Includes discussions at preconstruction meetings and with the State of Hawaii's Work Force Developments Going Home Program.

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Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

**U.S. Department of Housing
and Urban Development**
Office of Fair Housing
and Equal Opportunity

OMB Approval No. 2529-0043
(exp. 8/31/2007)

HUD Field Office:
HONOLULU

See back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip) <p style="text-align: center;">State of Hawaii</p>		2. Federal Identification: (contract/award no.) <p style="text-align: center;">HI-H13-F999</p>	3. Dollar Amount of Award: <p style="text-align: center;">\$168,042.00</p>
		4. Contact Person: <p style="text-align: center;">Judy Ishida</p>	5. Phone: (include area code) <p style="text-align: center;">(808) 586-7064</p>
		6. Reporting Period: <p style="text-align: center;">July 1, 2013 - June 30, 2014</p>	7. Date Report Submitted: <p style="text-align: center;">8/6/2014</p>
8. Program Code: * <p style="text-align: center;">4</p>	(Use a separate sheet for each program code)	9. Program Name: <p style="text-align: center;">Housing Opportunities for Persons with AIDS</p>	

Part I: Employment and Training (** Include New Hires in columns E & F.)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E** % of Total Staff Hours for Section 3 Employees and Trainees	F** Number of Section 3 Employees and Trainees
Professionals Technicians	0	0	0	0	0
Office/Clerical	0	0	0	0	0
Construction by Trade (List)	0	0	0	0	0
Trade	0	0	0	0	0
Trade	0	0	0	0	0
Trade	0	0	0	0	0
Trade	0	0	0	0	0
Other (List)	0	0	0	0	0
Total	0				

*Program Codes

1 = Flexible Subsidy
2 = Section 202/811

3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization

4 = Homeless Assistance
5 = HOME
6 = HOME-State Administered
7 = CDBG-Entitlement

8 = CDBG-State Administered
9 = Other CD Programs
10= Other Housing Programs

Part II: Contracts Awarded

4. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$	N/A
B. Total dollar amount of contracts awarded to Section 3 businesses	\$	N/A
C. Percentage of the total dollar amount that was awarded to Section 3 businesses		%
D. Total number of Section 3 businesses receiving contracts		

5. Non-Construction Contracts:

A. Total dollar amount of all non-construction contracts awarded on the project/activity	\$	N/A
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$	N/A
C. Percentage of the total dollar amount that was awarded to Section 3 businesses		%
D. Total number of Section 3 businesses receiving non-construction contracts		

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- ☐ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- ☐ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- ☐ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- ☐ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- ☒ Other; describe below.

See attached

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u., mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as a self-monitoring tool. The data is entered into a data base and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

State of Hawaii
Section 3 Summary Report

Housing Opportunities for Persons with AIDS (HOPWA)
Grant #: HI-H13-F999

The HOPWA grant was awarded to Maui AIDS Foundation, lead agency and project sponsor for the Neighbor Island HIV/AIDS Coalition (NIHAC) in collaboration with Malama Pono Health Services and Hawaii Island HIV/AIDS Foundation. These funds were utilized for financial assistance and supportive services in Maui, Kauai and Hawaii counties. HOPWA provided long term tenant-based rental assistance, security deposits, short term rent, mortgage and utility. No grant funds were expended on activities involving housing construction, demolition, rehabilitation or other public construction.

Section 3 Summary Report

Economic Opportunities for Low- and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
and Equal Opportunity

OMB Approval No. 2529-0043
(exp. 8/31/2007)

HUD Field Office:
HONOLULU

See back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip) State of Hawaii		2. Federal Identification: (contract/award no.) E-13-DC-15-0001	3. Dollar Amount of Award: \$340,980.00
		4. Contact Person: Judy Ishida	5. Phone: (include area code) (808) 586-7064
		6. Reporting Period: July 1, 2013-June 30, 2014	7. Date Report Submitted: 8/15/2014
8. Program Code: * 4	(Use a separate sheet for each program code)	9. Program Name: Emergency Solutions Grant	

Part I: Employment and Training (** Include New Hires in columns E & F.)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E** % of Total Staff Hours for Section 3 Employees and Trainees	F** Number of Section 3 Employees and Trainees
Professionals Technicians	2	0	0	0	0
Office/Clerical	3	0	0	0	0
Construction by Trade (List)	0	0	0	0	0
Trade	0	0	0	0	0
Trade	0	0	0	0	0
Trade	0	0	0	0	0
Trade	0	0	0	0	0
Other (List)	0	0	0	0	0
Total	5				

*Program Codes

1 = Flexible Subsidy
2 = Section 202/811

3 = Public/Indian Housing

A = Development,
B = Operation
C = Modernization

4 = Homeless Assistance

5 = HOME
6 = HOME-State Administered
7 = CDBG-Entitlement

8 = CDBG-State Administered

9 = Other CD Programs
10 = Other Housing Programs

Part II: Contracts Awarded

4. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$	N/A
B. Total dollar amount of contracts awarded to Section 3 businesses	\$	N/A
C. Percentage of the total dollar amount that was awarded to Section 3 businesses		%
D. Total number of Section 3 businesses receiving contracts		

5. Non-Construction Contracts:

A. Total dollar amount of all non-construction contracts awarded on the project/activity	\$	N/A
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$	N/A
C. Percentage of the total dollar amount that was awarded to Section 3 businesses		%
D. Total number of Section 3 businesses receiving non-construction contracts		

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- ☐ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- ☐ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- ☐ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- ☐ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- ☒ Other; describe below.

See attached

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Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u., mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as a self-monitoring tool. The data is entered into a data base and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

State of Hawaii
Section 3 Summary Report

Emergency Solutions Grant
Grant #: E-13-DC-15-0001

The Emergency Solutions Grant (ESG) funds for Shelter Operations were awarded to six non-profit agencies providing emergency shelter to homeless persons in Maui, Kauai and Hawaii counties. These funds were utilized for shelter operating costs such as utilities, maintenance, food, hygiene supplies, etc. The five new hires listed in Form HUD-6002 were formerly homeless individuals who resided in a shelter which received ESG funds for operations.

Three agencies received ESG funds for homelessness prevention and rapid re-housing financial assistance and supportive services in each of the counties. Financial assistance included short/medium term rental payments, security deposits, utility deposits, rent and utility arrears. No ESG funds were expended on activities involving housing construction, demolition, rehabilitation or other public construction. Many of the homeless and at-risk participants of the ESG programs attend employment and/or training classes provided by the agencies or referred to partner service providers including State and County government, non-profit and private organizations.

Attachment

APPENDIX K

IDIS Report(s) Request Form

CAPER PY2013-2014
IDIS REPORTS
TABLE OF CONTENTS

The following CAPER IDIS Reports are submitted to HUD as part of the CAPER process. To satisfy annual performance report requirements for Consolidated Plan purposes under 24 CFR 91.520, the following reports are available for public review. If interested, please indicate which reports you wish to review and submit your request to the Hawaii Housing Finance and Development Corporation, 677 Queen Street, Suite 300, Honolulu, Hawaii, 96813.

	Report No.	Description
_____	PR 02	List of Activities by Program Year and Project
_____	PR 06	Summary of Consolidated Plan Projects for Report Year
_____	PR 22	Status of HOME Activities
_____	PR 23	HOME Summary of Accomplishments
_____	PR 25	HOME Subgranted and Not Subgranted
_____	PR 27	Status of HOME Grants
_____	PR 33	HOME Matching Liability Report
_____	PR 91	2013 ESG Financial Summary

