

State of Hawaii

CONSOLIDATED PLAN PY2022 ANNUAL ACTION PLAN

Third Program Year (July 1, 2022 - June 30, 2023)

June 2022

Hawaii Housing Finance and Development Corporation

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Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The Consolidated Plan (ConPlan) is a planning document that provides a five-year strategy, housing needs discussion, and market analysis to address the housing needs of Hawaii's citizens through the State's administration of the HOME Investment Partnerships (HOME), National Housing Trust Fund (HTF), Emergency Solutions Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA) programs. The ConPlan ensures that jurisdictions receiving federal assistance plan for the housing and related needs of low-income and moderate-income families to improve the availability and affordability of decent, safe and, sanitary housing in suitable living environments. The City and County of Honolulu (C&C of Honolulu) is an entitlement jurisdiction and is required to prepare its own ConPlan. The state's ConPlan focuses its HOME, ESG, and HOPWA funds in the Counties of Hawaii, Kauai, and Maui; HTF funds are used to serve those counties as well as the C&C of Honolulu.

The Annual Action Plan (AAP) identifies the objectives targeted to address priority needs for the program year. It is also an application for funds under HUD's HOME, HTF, ESG and HOPWA formula grant programs.

The HOME and HTF programs are administered by the state's Hawaii Housing Finance and Development Corporation (HHFDC) and the ESG and HOPWA programs are administered by the Homeless Programs Office (HPO) of the state's Department of Human Services' Benefits, Employment and Support Services Division (DHS-BESSD).

Development of the Program Year 2022 (PY2022) (July 1, 2022 through June 30, 2023) AAP involved consultation with government housing agencies, each jurisdiction's continuum of care planning groups, working group meetings with public and private service providers, public hearings to solicit input on housing needs and priorities, the publication of notices in a newspaper of general circulation, and on HHFDC's website to solicit public comments on the draft AAP.

2. Summarize the objectives and outcomes identified in the Plan

HHFDC and its neighbor island county housing partners may use the annual HOME allocations to promote decent, affordable housing, strengthen communities, and increase homeownership opportunities, via the construction of new or rehabilitation of existing affordable rental and for-sale housing, including affordable homeownership projects using a self-help building method, for tenant based rental assistance (TBRA), for the development of transitional housing for the homeless, various county homebuyer loan programs, and for homebuyer education and counseling sessions. For PY2022, the State will receive approximately \$3,000,039 in HOME funds. Ten percent will be reserved for administrative costs, and 15% will be set aside for Community Housing Development Organization (CHDO) activities. The County of Kauai will receive the PY2022 HOME allocation under HHFDC's annual rotation. The State allows the counties to retain HOME Program Income for additional eligible activities, including up to 10% for administration. The State will permit pre-awards of up to 25% of a county's current program year's allocation for HOME-eligible activities to avoid interruption of projects and/or services. Pre-awards must be authorized in writing by the State before costs are incurred. Pre-award costs greater than 25% will require approval by the local HUD Field Office before the costs are incurred.

HHFDC will focus its HTF activities on the development or preservation of affordable rental housing serving extremely low-income households. HHFDC will work with its county housing partners to use HTF

funds to promote decent, affordable rental housing, and strengthen communities. HHFDC's HTF allocation plan for PY2022 is attached as "**HTF Exhibit A**" and is subject to HUD's approval.

For PY2022, the State anticipates receiving \$452,605 in ESG and \$282,828 in HOPWA funds. DHS-BESSD shall request pre-award authority from HUD to continue the implementation of ESG and HOPWA projects without interruption. ESG will be used in Hawaii, Kauai, and Maui to meet the objectives of promoting decent affordable housing and strengthening communities.

In collaboration with Bridging The Gap (BTG), the State Homeless Programs Office (HPO) has determined that ESG funds will be allocated at approximately 1% for costs to manage and operate the Homeless Management Information System (HMIS), and 5% for administrative costs for DHS-BESSD, HPO. The remainder of the grant will be allocated to emergency shelter operations (60%) and homelessness prevention and rapid rehousing (HPRP) activities (40%). HPRP activities include financial assistance for rents, security deposits and utilities, and housing relocation and stabilization services. Agencies awarded funding will be allowed a maximum of 2.5% for administrative costs. Under the ESG program, obtaining permanent housing for the homeless and retaining housing for at-risk individuals and families still feature prominently in BTG's decision to select Homeless Prevention and Rapid Re-housing (HPRP) as a crucial part of continuum of care services. However, recent Rapid Re-housing program awards from State and individual counties have augmented HPRP resources. Considering the availability of HPRP funds from other sources, BTG elected to increase the proportion of emergency shelter funding starting in PY2020. HPRP funds are allocated to one agency each in Maui, Kauai, and Hawaii counties.

For PY2022, the State will use its HOPWA funds in the Counties of Hawaii, Kauai, and Maui to promote decent, affordable housing and suitable living environments for persons with HIV/AIDS. Its focus will be on providing housing information, rent or deposit assistance, and other supportive services to persons with HIV/AIDS who are homeless or at risk of homelessness.

3. Evaluation of past performance

HHFDC and DHS-BESSD, through their administration of the State's HOME, HTF, ESG, and HOPWA programs, have assisted many communities and families through implementation of these programs. HHFDC acts as the pass-through agency of HOME funds to the Counties of Hawaii, Kauai, and Maui on an annual rotating basis; HHFDC provides oversight to the counties to ensure appropriate use of HOME funds and compliance with HOME regulations. The rotation has provided the counties with two years for planning and has alleviated some of the timeliness issues in expending funds.

HHFDC also administers HTF funds and, after retention of 5% of funds for administration, allocates onehalf of its annual HTF funding to the Counties of Hawaii, Kauai, and Maui on the same rotation as its HOME funds. Prior to PY2020, the other half was allocated annually to the City and County of Honolulu. Since HTF's implementation in PY2016, the City has experienced challenges in selecting eligible projects and has not effectively or timely administered the HTF program. To provide the City time to build capacity and develop plans to effectively administer the HTF program, HHFDC will not award PY2022 HTF funds to the City; instead, the entire allocation of PY2022 HTF funds will be awarded to the County of Kauai, which is scheduled to receive the neighbor island portion of PY2022 HTF funds. In taking this action, HHFDC's goal is to improve the overall performance of its HTF program and protect HTF funds from recapture by HUD or the U.S. Treasury. HHFDC's evaluation of the program will continue, to resolve issues and improve administration of the program.

DHS-BESSD administers the ESG and HOPWA programs and awards funds to service providers in the neighbor island counties through a competitive selection process. It oversees the use of ESG and HOPWA to ensure the appropriate, efficient, and timely use of funds.

Through its Annual Community Assessment report, HUD has evaluated the State as having met its communities' needs and the goals of the HOME, HTF, ESG and HOPWA programs through the administration of the Consolidated Plan and Annual Action Plans. A copy of HUD's Annual Community Assessment Report for PY2020 and the State's response are attached as "**State of Hawaii Exhibit A**."

4. Summary of Citizen Participation Process and consultation process

In developing the draft AAP, a series of public hearings were held by HHFDC and each of the counties to solicit input on the State's housing needs and priorities. Due to the 2019 Coronavirus (COVID-19) pandemic, public hearings were held virtually using platforms such as Zoom, Teams, WebEx, or BlueJeans. Public hearing notices were published in August, September, and October 2021 in regional newspapers and in the Honolulu Star Advertiser (a newspaper of general circulation). Virtual public hearings were held from August through October 2021 by county housing and HHFDC staff in Hilo and Kona, County of Hawaii; in Wailuku, County of Maui; in Honolulu, City and County of Honolulu; and in Lihue, County of Kauai.

On March 16, 2022, HHFDC published a public notice to solicit comments on the draft PY2022 AAP; comments were accepted through April 15, 2022. The public notice and copies of the draft AAP were available for review at depository libraries throughout the state, the housing offices in the counties of Hawaii, Honolulu, Kauai, and Maui, at the Homeless Programs Office of the Department of Human Services, HHFDC, and on HHFDC's website at www.hawaii.gov/dbedt/hhfdc. The public notice also provided phone numbers as an option for interested parties to call HHFDC staff to request a mailed copy of the draft AAP.

A summary of the public hearings and copies of publication affidavits are shown in the attached "State of Hawaii Exhibit B."

5. Summary of public comments

Public comments submitted at public hearings across all counties evidenced the need for more affordable housing, both rental and homeownership. Comments were also received, emphasizing the need for shelter resources addressing unsheltered homelessness and permanent supportive housing units for chronically homeless individuals.

6. Summary of comments or views not accepted and the reasons for not accepting them

Comments received on the need for more rental housing and the need for more affordable homeownership opportunities were accepted. Comments voicing the need for increased shelter resources to address unsheltered homelessness and need for permanent supportive housing for chronically homeless individuals were also accepted.

7. Summary

PR-05 Lead & Responsible Agencies - 91.300(b)

Agency Role	Name	Department/Agency
Lead	Hawaii Housing Finance and Development Corporation (HHFDC)	Department of Business, Economic Development and Tourism (DBEDT)
HOPWA Administrator	Homeless Programs Office (HPO)	Department of Human Services – Benefit, Employment and Supportive Services Division (DHS-BESSD)
HOME Administrator	HHFDC	DBEDT
ESG Administrator	НРО	DHS-BESSD
HTF Administrator	HHFDC	DBEDT

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Table 1 – Responsible Agencies

Narrative

HHFDC administers HUD's HOME investment Partnerships and National Housing Trust Fund programs and is the lead on the Consolidated Plan for the State of Hawaii. HPO administers HUD's Emergency Solutions Grant and Housing Opportunities for Persons with AIDS programs.

Consolidated Plan Public Contact Information

Denise Iseri-Matsubara is the Executive Director of HHFDC and contact person for the Consolidated Plan, HOME and HTF programs. Her contact phone number is (808) 587-0641 and email address is <u>denise.iseri-matsubara@hawaii.gov</u>.

Harold Brackeen, III, is the Administrator for the Homeless Programs Office of DHS-BESSD and contact person for the ESG and HOPWA programs. His contact phone number is (808) 586-7072 and email address is <u>hbrackeeniii@dhs.hawaii.gov</u>.

AP-10 Consultation - 91.110, 91.300(b); 91.315(l)

1. Introduction

HHFDC, DHS-BESSD, and the county housing agencies formed a core working group to coordinate the development and implementation of the Consolidated Plan. The core group utilized the 2020 Homeless Point-in-Time Count Report, the 2019 Hawaii Housing Planning Study, and the 2020 Analysis of Impediments to Fair Housing Choice to aid in the development of the Consolidated Plan Annual Action Plan.

The State's HHFDC oversees the affordable housing finance and development in Hawaii, for sale or rent to qualified residents. The State's DHS-BESSD administers federal and state programs that provide housing and services for the homeless and other persons with special needs. Together, the HHFDC and DHS-BESSD have established an extensive network of public and private organizations involved with the provision of housing or supportive services.

Provide a concise summary of the state's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies

HHFDC consulted with its network of organizations including HUD, DHS-BESSD, and county housing agencies, state health and human services departments, and nonprofit entities involved with financing, developing and managing housing, and providing supportive services. DHS and the county housing agencies expanded the state's network by outreaching to stakeholders at the local level (e.g., nonprofit housing advocacy groups, shelter and service providers, local government agencies).

The Hawaii Interagency Council on Homelessness (HICH) includes 27 members representing the two Continua of Care (CoCs); state health, human services, public safety, and housing agencies; the four counties; federal representatives; veteran organizations; the faith-based community; the business sector; and organizations representing Hawaii's indigenous people. (See current HICH membership at: http://homelessness.hawaii.gov/hich/.) In 2019, the legislature passed Act 81, which formally established the Governor's Coordinator on Homelessness (GCH) in statute to chair the HICH and properly administer the activities of the council.

The HICH also works with a diverse group of stakeholders who are not formal members of the council. This group includes the Hawaii Department of Transportation, Department of Land & Natural Resources, Hawaii Judiciary, American Civil Liberties Union, county police departments, acute care hospitals, federally qualified health centers, homeless service providers, advocacy organizations, and animal rescue organizations.

In 2021, HICH members implemented a comprehensive communications plan formally adopted by the council in December 2020. The communications plan includes the facilitation of 19 monthly webinars for government partners and homeless service providers, and the development of videos, infographics, and interactive dashboards to promote programs and share data related to homelessness. Monthly webinars feature at least one member organization from each CoC to share information about homeless programs operating in its jurisdiction. The average audience for each webinar ranges between 120 to 150 participants. To reach a broader audience, the webinars have also been converted into video format and shared on local public access television, such as E Olelo. A complete list of webinar topics and recordings of each webinar is posted online at: https://homelessness.hawaii.gov/monthlywebinars. In addition, GCH staff partnered with HICH members from the two CoCs to conduct targeted presentations on HICH policy priorities, such as the source of income "discrimination" related to rental housing. The presentations shared information about specific policies and the role of the CoCs. In addition to providing education and increased awareness, the presentations were a way to support the CoCs in expanding their membership to include faith-based and business groups.

Another component of the HICH communications plan is continued education for homeless service providers, including frontline staff. GCH staff and other HICH members convene frontline provider meetings twice per month to ensure training and information-sharing opportunities. They included both homeless outreach and shelter providers. Examples of training provided at the meetings include training on landlord-tenant code and eviction prevention resources, new processes for isolation and quarantine intake and triage, strategies related to individuals with pets and service animals, an overview of Adult Protective Services (APS), and services for individuals lacking decisional capacity.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness

DHS/HPO, the ESG program administrator, works closely with Bridging the Gap (BTG). This consortium is the union of all three rural county chapters which comprise the Hawaii balance-of-state CoC representing the counties of Hawaii, Kauai, and Maui. BTG is a geographically based group of relevant stakeholder representatives that carries out the planning responsibilities of the CoC programs. Community Alliance Partners (Hawaii County), Kauai Community Alliance, and the Maui Homeless Alliance are the neighbor island chapters which strive to end homelessness in their geographic areas. Neighbor islanders are well-represented on the Hawaii Interagency Council on Homelessness and have adopted its strategic plan as its standard.

DHS/HPO consults with BTG to ensure that the Consolidated Plan and Annual Action Plan are completed accurately and represent local efforts in each rural county jurisdiction. As the responsible party for the homeless and special needs sections of the ConPlan, this planning consortium discusses subrecipient resource utilization, funding prioritization, and performance standards. The CoC consistently partners with HPO to assist all jurisdictions with the ConPlan development process. Annual PIT data is used to supplement and add context to the reporting along with performance, demographic, and subpopulation characteristics of specific counties and over the entire CoC. Prior to the PIT count, the HMIS Administrator provides training to participating agencies in Maui, Kauai, Hilo, and Kona to ensure that a consistent, accurate methodology is used in each geographic location.

The fight to prevent and end homelessness involves numerous partnerships and alignment of funding resources such as federal, state, local, and philanthropic efforts. BTG's website, which is vital to the transparency of CoC activities, provides the public with access to information including reports, interactive dashboards, forms, HMIS support, funding opportunities, homeless service partner resources, and BTG organizational information and performance outcomes.

BTG continues to coordinate homeless services through the Coordinated Entry System (CES) and case conferencing (CC) process. BTG periodically reviews the By Name List (BNL) prioritization scheme and eligibility requirements for its housing resources to improve the overall effectiveness of the CES and reduce barriers to housing. BTG refines CES automation in the HMIS database to match clients more effectively to vacancies in housing resources. This has enabled clients to be referred to transitional housing, RRH and PSH programs in real-time, decreased the length of time from referral to housing placement, and reduced subjectivity in the matching and referral processes. HMIS "Help Files" include step-by-step instructions regarding system functionality. Enhancements are included in new-user training activities and emphasized to all HMIS users responsible for CES processes. BTG's HMIS administrators utilize communication, technology, and data analysis to enhance neighbor islands CoC leadership; support cost-effective human services solutions; and maximize homeless services resources. The BTG website can be accessed through this direct link: Bridging the GAP Hawaii, or at https://www.btghawaii.org/.

Describe consultation with the Continuum(s) of Care that serves the State in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

Consultation begins at the local level. All interested stakeholders are welcome to attend and participate in chapter meetings and are encouraged to become members through its local chapter. The monthly meeting schedules for Kauai Community Alliance (KCA), Maui Homeless Alliance (MHA), and Community Alliance Partners (CAP) are posted on the BTG website at: <u>https://www.btghawaii.org/</u>.

Annual Action Plan 2022 A minimum of nine individuals comprises the BTG Board of Directors (BOD). Three individuals from the respective membership of each county are selected at least every other year. One out of the three is a representative of its corresponding county government. The second delegate is the chair of the local chapter, or a designated representative. The remaining member of the counties' delegation is chosen from each chapter's general voting membership. The HPO Administrator and the GCH (and/or designees from each office) are active participants in monthly BTG BOD meetings.

The four counties (Oahu, Maui, Hawaii, and Kauai) are represented on the HICH, and coordinators for homeless services at the state and county levels meet on a regular monthly basis to align efforts. The State also directly contracts with all four counties for the delivery of homeless services, including emergency shelter, law enforcement assisted diversion, and low-income housing targeted for homeless individuals and families. In addition, staff from the counties of Maui, Kauai, and Hawaii serve as Coordinated Entry System (CES) administrators and case conferencing conveners for their respective counties, assisting in facilitating the connection of homeless individuals to state, federal, and local homeless resources.

In November 2019, HICH members established a Homeless Funders Group, which meets monthly and includes representation from DHS, DOH, HUD, the U.S. Department of Veterans Affairs (VA), the two CoCs, and the four counties. Following up on the work of the Homeless Funders Group, GCH staff presented a Homeless Fiscal Map to the HICH in December 2020, which mapped out funding sources from federal, state, and local government. Throughout 2021, GCH staff worked with HICH members to further refine the fiscal map, including breaking down funding information in more detail by county geographic area and by program type. As a result, the GCH presented a revised fiscal map to the HICH in December 2021, and an interactive dashboard is posted online at

<u>https://homelessness.hawaii.gov/data</u>. In addition, GCH facilitated discussions between HICH members to share information about payment structure and methodology for each funder, including whether payments are provided in advance, based on a capitated rate, or reimbursed. The HICH will utilize the revised fiscal map and information regarding payment structures to recommend priority areas for funding to the CoCs and the counties administering direct federal funding for homeless programs.

GCH and the HICH identified policy priorities for 2022 after feedback and ongoing discussion with advocates from the two CoCs – Partners in Care and Bridging the Gap. The priorities aim to address the needs of individuals currently experiencing homelessness and provide housing support for unstably housed individuals who may lose housing due to the current economic recession. The policies are also broad and include specific legislation for introduction during the 2022 legislative session and at the county level. In 2022, the HICH will continue to facilitate training and develop educational materials for homeless service providers and other stakeholders to support policy priorities. Specifically, GCH staff will work with HICH members to develop a series of educational materials and training on how to navigate county legislative processes in support of policy priorities and how to navigate the state legislative process.

2. Agencies, groups, organizations and others who participated in the process and consultations

Sort	Agency/ Group/ Organ- ization	Agency/Group/Organization Type	What Section of the Plan was addressed by Consultation?	Action
		⊠ Housing	Housing Need Assessment	
		🖾 PHA	Public Housing Need	
		Services - Housing	☑ Homeless Needs – Chronically Homeless	
		Services – Children	Homeless Needs – Veterans	

Sort	Agency/ Group/ Organ- ization	Agency/Group/Organization Type	What Section of the Plan was addressed by Consultation?	Action
		Services – Elderly Persons	☑ Homeless Needs – Families with Children	
		Services – Persons with Disabilities	☑ Homeless Needs – Unaccompanied Youth	
		Services – Victims of Domestic Violence	☑ Homelessness Strategy	
		🛛 Services – Homeless	Non-Homeless Special Needs	
		🛛 Services – Health	🛛 HOPWA Strategy	
		Services – Education	Market Analysis	
		Services – Employment	🗵 Economic Development	
		Services – Fair Housing	🗵 Lead Based Paint Strategy	
		Services – Victims	☑ Anti-Poverty Strategy	
		Services – Health Agency	🗆 Other	
		Services – Child Welfare Agency		
		Services – Publicly Funded Institution / System of Care		
		🛛 Other Government – Federal		
		🛛 Other Government – State		
		🛛 Other Government – County		
		Other Government – Local		
		Regional Organization		
		Planning Organization		
		🛛 Business Leaders		
		🛛 Civic Leaders		
		Business & Civic Leaders		
		🗆 Other		
		Optional Designation(s)		
		Community Dev. Fin. Institution		
		Foundation		
		Grantee Development		
		🗌 Major Employer		
		Neighborhood Commission		
		Private Sector Banking/Financing		

Table 2 – Agencies, groups, organizations who participated

Identify any Agency Types not consulted and provide rationale for not consulting

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care		

Table 3 - Other local / regional	/ federal planning efforts
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Narrative

County agencies, in collaboration with the local CoC chapters and service providers, contribute greatly to the plan to end homelessness in their jurisdictions. Collaborating agencies are listed by county in "**ESG Exhibit A**", Partner Agencies (Facilities and Services).

AP-12 Participation - 91.115, 91.300(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

In developing the PY2022 AAP, a series of virtual public hearings were held by each of the counties and HHFDC to solicit input on housing and homeless needs and priorities. Public hearing notices were published in regional newspapers and in the Honolulu Star-Advertiser (a newspaper of general circulation) in August, September, and October 2021 and virtual public hearings were held from August 2021 through October 2021. A summary of comments received at the hearings is shown in the attached "**State of Hawaii Exhibit B**" and in Table 4, below.

On March 16, 2022, HHFDC published a public notice to solicit comments on the draft PY2022 Annual Action Plan. The public notice and copies of the draft AAP were available for review at depository libraries, the housing offices of the counties of Hawaii, Honolulu, Kauai, and Maui, HHFDC, and on HHFDC's website at www.hawaii.gov/dbedt/hhfdc. Interested parties were able to request that a copy be mailed to them by contacting HHFDC staff. Public comments were accepted through April 15, 2022.

Sort Order	Mode of Out- reach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Meeting	Non- targeted/ broad community	Virtual public hearings were attended by members of the public, nonprofits, government agencies, council representatives, and interested parties.	Attendees provided comments that more affordable housing in all counties are needed, both rental and homeownership. Comments were also received, emphasizing the need for shelter resources addressing unsheltered homelessness and need for permanent supportive housing units for chronically homeless individuals.	N/A	
2	News- paper Ad	Non- targeted/ broad community	Public comments were accepted through April 15, 2022.	No comments were received during the 30-day comment period.	N/A	

Citizen Participation Outreach

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.320(c)(1,2)

Introduction

HHFDC and its neighbor island partners use the annual HOME allocations to promote decent, affordable housing, strengthen communities, and increase homeownership opportunities. HOME funds may be used for the construction of new or rehabilitation of existing affordable rental and for-sale housing, for Tenant Based Rental Assistance (TBRA), for the development of transitional housing for the homeless, provide development funds for affordable homeownership projects, including projects using a self-help building method, provide down payment/closing costs assistance and gap loans through various county homebuyer loan programs, and provide homebuyer education and counseling sessions. HOME program income may be used for HOME-eligible activities. In PY2022, the County of Kauai anticipates receiving approximately \$60,000 and the County of Hawaii anticipates receiving an estimated \$250,000 of program income. Both counties will determine eligible activities in their counties for funds to be utilized in the next program year. The County of Maui does not anticipate receiving program income for PY2022, but any received will be used in a previously approved HOME activity in the county.

HHFDC anticipates receiving an HTF allocation of approximately \$3,744,423 for PY2022. HHFDC will focus its HTF solely on rental housing activities for households with incomes at or below 30% of the Area Median Income (AMI). After retaining 5% of the PY2022 allocation for administration, HHFDC will allocate the balance to the County of Kauai. In past years, HHFDC allocated half of the balance to the City and County of Honolulu (City) and the remaining 50% was rotated between the neighbor island counties, on the same rotation as the HOME program. However, the City continues to be challenged with the administration of the program. HHFDC has therefore chosen to award the entire PY2022 HTF balance to the County of Kauai, which was scheduled to receive the neighbor island portion of the PY2022 allocation. This action is intended to provide the City with more time to build its capacity to administer the HTF program and will additionally help the overall performance of HHFDC's HTF program.

DHS-BESSD/HPO and its partners will strengthen communities through its use of annual ESG and HOPWA funds. ESG will be used for operations funding for emergency shelters, and for homelessness prevention and rapid re-housing activities. HOPWA funds will be used to provide housing information and rent/deposit assistance services and support services, and to fund a portion of the market rental unit costs for homeless and homeless-at-risk persons with HIV/AIDS.

Anticipated Resources

			Expe	cted Amount	Available Y	ear 1	Expected	
Program	Source of Funds	Uses of Funds	Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$	Amount Available Remainder of ConPlan \$	Narrative Description
HOME	public - federal	Acquisition; Homebuyer assistance; Homeowner rehab; Multifamily rental new construction; Multifamily rental rehab; New construction for ownership; TBRA	3,000,039	215,118	0	3,215,157	6,000,000	HOME funds may be used for the construction of new or rehabilitation of existing affordable rental and for-sale housing, for TBRA, for the development of transitional housing for the homeless, development funds for affordable homeownership projects using a self-help building method, provide down payment/closing cost assistance and gap loans through various county homebuyer loan programs, and provide homebuyer education and counseling sessions.
HOPWA	public - federal	Perm. hsg. in facilities; Perm. hsg. placement; Short term or trans. hsg. facilities; STRMU; Supp. Scvs; TBRA	282,828	0	0	282,828	539,052	HOPWA funds will be utilized for tenant based rental assistance, supportive services, short term rent, mortgage and utility assistance, permanent housing assistance, and administrative costs.
ESG	public - federal	Conversion and rehab for trans. hsg.; Fin. Asst.;Over- night shelter Rapid re- housing (rental assistance); Rental Assistance Services; Transitional housing	452,605	0	0	452,605	911,800	The prevention and rapid rehousing funding may be used for activities including short- and medium-term rental assistance, security deposits, utility payments, housing relocation and stabilization services. ESG Shelter funding will be utilized for shelter operational costs. In addition, ESG funds will be utilized for administrative and Homeless Management Information System costs.
HTF	public - federal	Acq.; Admin & Planning Homebuyer assistance; Multifamily rental new construction; Multifamily rental rehab; New constr- uction for ownership	3,744,423	0	0	3,744,423	6,000,000	HTF will be used for the development or preservation of affordable rental housing for extremely low-income households earning at or below 30% AMI.

			Expe	cted Amount	Available Y	ear 1	Expected		
Program	Source of Funds	Uses of Funds	Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$	Amount Available Remainder of ConPlan \$	Narrative Description	
Other	public - state	Financial Assistance Permanent housing placement Rental Assistance Supportive services	1,050,000	0	0	1,050,000	1,950,000	The State will provide funding to contracted agencies in Hawaii, Kauai, and Maui for housing related financial assistance, housing search and placement assistance, case management and supportive services. Financial assistance will include rents, security deposits and utility payments.	
Other	public - state	Financial Assistance Permanent housing placement Supportive services	1,100,000	0	0	1,100,000	2,900,000	The State will provide funding to contracted agencies in Hawaii, Kauai, and Maui to assist at risk and homeless families with financial support to retain or obtain permanent housing.	
Other	public - state	Overnight shelter Short term or transitional housing facilities	4,892,689	0	0	4,892,689	11,042,971	The State will provide funds to contracted agencies in Hawaii, Kauai, and Maui for emergency and transitional shelters and associated services.	
Other	public - state	Permanent housing placement Rental Assistance Services	175,000	0	0	175,000	1,825,000	The State will provide funding to contracted agency(ies)in Hawaii, Kauai, and Maui to assist at risk and homeless households with financial and emergency needs which are directly related to impending eviction or a direct barrier to obtaining permanent housing. Financial assistance includes security deposits, rents, utility assistance, and/or other assistance essential to resolving the immediate housing crisis.	

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

HOME program funds may be used to support the development of affordable rental housing and affordable homeownership housing. Projects utilizing HOME funds have historically leveraged HOME to secure other state and federal funding such as USDA, Low Income Housing Tax Credits, and Rental Housing Revolving Funds, in addition to foregone taxes, fees and charges. It is anticipated that projects will continue to seek other local, state, and federal funding to satisfy the anticipated matching funds requirement of the HOME program; additionally, the State Recipients have banked more than \$27M in match as of 09/30/2020.

HHFDC's HTF program funds will be used to assist in the production or preservation of affordable rental housing serving extremely low-income households earning 30% AMI or below. The state's Subgrantees have been combining their HTF with their HOME funds or provided other county resources in projects that also leverage other state and federal funds, such as HHFDC's Low Income Housing Tax Credit program or Rental Housing Revolving Funds. The HTF program has no match requirement. To satisfy the anticipated matching funds requirement under the ESG program, DHS/BESSD will provide state funds to agencies in the Counties of Hawaii, Kauai, and Maui by contracting for services under the state's Homeless Shelter Program and the state's Rapid Re-housing.

If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Discussion N/A

> Annual Action Plan 2022

Annual Goals and Objectives

AP-20 Annual Goals and Objectives – 91.320(c)(3)&(e)

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	HP-1 Emergency Shelter Operations	2020	2025	Homeless	Rural Counties	Unsheltered Homeless Victims of Dom. Viol.; Transition to Perm. Hsg.; CES	ESG: \$211,555	Homeless Person Overnight Shelter: 2000 Persons Assisted
2	HP-2 Domestic Violence Emergency Shelter	2020	2025	Non- Homeless Special Needs	Rural Counties	Victims of Dom. Viol.; Trans. to Perm. Hsg.; CES	ESG: \$37,333	Homeless Person Overnight Shelter: 280 Persons Assisted
3	HP-3 Transition to Perm. Hsg	2020	2025	Homeless	Rural Counties	Unsheltered Homeless Victims of Dom. Viol.; Transition to Perm. Hsg.; CES	ESG: \$0	Other: 122 Other
4	HP-4 Rapid Rehousing Financial Assistance	2020	2025	Homeless	Rural Counties	Transition to Permanent Housing Rapid Re- housing Coordinated Entry System	ESG: \$99,555 State Homeless Emergency Grants Programs: \$50,000 State Housing Placement Program: \$200,000	Tenant Based Rental Assistance/ Rapid Rehousing: 175 Households Assisted
5	HP-5 Rapid Rehousing Support Services	2020	2025	Homeless	Rural Counties	Trans. to Perm. Hsg.; Rapid Re-hsg; CES	ESG: \$0	TBRA / Rapid Rehousing: 175 Households Assisted
6	HP-6 Homeless- ness Prev. Financial Assistance	2020	2025	At-risk of homeless- ness	Rural Counties	Homelessness Prevention	ESG: \$66,370	Homeless- ness Prevention: 70 Persons Assisted
7	HP-7 Homeless- ness Prev. Support Services	2020	2025	At-risk of homeless- ness	Rural Counties	Homelessness Prevention	ESG: \$0	Homeless- ness Prevention: 70 Persons Assisted

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
8	HP-8 HOPWA Support Services	2020	2025	Non- Homeless Special Needs	Rural Counties	Services for Persons with HIV/AIDS; HIV/AIDS Housing Assistance; Coordinated Entry System	HOPWA: \$63,868	Public service activities other than Low/Mod. Income Hsg. Benefit: 469 Persons Assisted
9	HP-9 HOPWA Financial Assistance	2020	2025	Non- Homeless Special Needs	Rural Counties	HIV/AIDS Housing Assistance	HOPWA: \$191,271	TBRA / Rapid Rehousing: 29 Households Assisted
10	HP-10 Coordinated Entry System	2020	2025	Homeless Non-Home- less SpN	Rural Counties	Coordinated Entry System	ESG: \$0	Other: 323 Other
11	HP-11 Adminis- tration	2020	2025	Homeless Non-Home- less SpN	Rural Counties	Unsheltered Homeless; Victims of Domestic Violence; Services for Persons with HIV/AIDS; Transition to Permanent Housing; Rapid Re- housing; Homelessness Prevention; HIV/AIDS Housing Assistance; Coordinated Entry System	HOPWA: \$27,689 ESG: \$33,266	Other: 0 Other
12	HP-12 Homeless Mgt Info. System	2020	2025	Homeless Non- Homeless SpN	Rural Counties	Unsheltered Homeless; Victims of Domestic Violence; Services for Persons with HIV/AIDS; Transition to Permanent Housing; Rapid Re- housing; Homelessness Prevention; HIV/AIDS Housing Assistance; CES	ESG: \$4,526	Other: 0 Other

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
13	HR-1 Rental Hsg – New Construc- tion / Rehab	2020	2025	Affordable Hsg	Rural Counties	Rental Housing	HOME: \$2,250,029	Rental units constructed: 10 Household Housing Unit
14	HO-1 For Sale Hsg – New Construc- tion /Rehab.	2020	2025	Affordable Hsg	Rural Counties Statewide	Affordable Homeowner- ship Opportunities	HOME: \$450,006	Homeowner Housing Added: 2 Household Housing Unit
15	HR-4 HTF Rental Hsg New Construc- tion/Acq/ Rehab	2020	2025	Affordable Hsg	Statewide	Rental Housing	HTF: \$3,369,981	Rental units constructed: 9 Household Housing Unit
16	HA-1 Adminis- tration	2020	2025	Adminis- tration	Rural Counties Statewide	Planning and Administration	HOME: \$300,004 HTF: \$374,442	Other: 0 Other
17	HR-2 Tenant Based Rental Assistance	2020	2025	Affordable Hsg	Rural Counties	Rental Housing	HOME (Program Income): \$215,118	TBRA / Rapid Rehousing: 40 Households Assisted
18	HO-2 For Sale Housing – Self Help Building Method	2020	2025	Affordable Hsg	Rural Counties	Affordable Homeowner- ship Opportunities	HOME: \$0	Homeowner Housing Added: 0 Household Housing Unit
19	HO-3 Homebuyer Financing	2020	2025	Affordable Hsg	Rural Counties	Affordable Homeowner- ship Opportunities	HOME: \$0	Direct Financial Assistance to Homebuyers: O Households Assisted

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	HP-1 Emergency Shelter Operations
	Goal	Unsheltered homeless need a safe place to sleep. Shelters provide a secure
	Description	environment where homeless individuals and families can stabilize their lives,
		address their needs, and strengthen their economic situation toward the goal of
		permanent housing. ESG funds will be utilized for shelter operational costs,
		anticipating service to 2,000 persons annually.
2	Goal Name	HP-2 Domestic Violence Emergency Shelter
	Goal	Persons who are fleeing or attempting to flee domestic violence need a safe place
	Description	to sleep. Provide operations funding to emergency shelters for victims of domestic
		violence, anticipating services to approximately 280 survivors of domestic violence.
3	Goal Name	HP-3 Transition to Permanent Housing
	Goal	The homeless are not able to find affordable rentals. Agencies funded by ESG
	Description	Shelter Operations will include transitioning homeless persons to permanent
	-	housing as an integral activity.
4	Goal Name	HP-4 Rapid Re-housing Financial Assistance
	Goal	Provide financial assistance to approximately 175 persons annually who lack a
	Description	fixed, regular and adequate nighttime residence to achieve housing stability in
	•	permanent housing. Financial assistance includes short/medium term rents,
		security deposits, and utility payments.
5	Goal Name	HP-5 Rapid Re-housing Support Services
	Goal	Rapid Re-housing (RRH) funds will provide housing relocation and stabilization
	Description	services (case management, housing search and placement, legal services, landlord
	•	mediation, etc.) as integral components to achieving housing stability in permanent
		housing. The State anticipates providing these services to approximately 175
		persons annually.
6	Goal Name	HP-6 Homelessness Prevention Financial Assistance
	Caal	
	Goal	ESG HPRP funding helps prevent nomelessness by providing eligible housing-
		ESG HPRP funding helps prevent homelessness by providing eligible housing- related financial assistance to persons and families at risk of homelessness.
	Goal Description	related financial assistance to persons and families at risk of homelessness.
		related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and
7		related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually.
7	Description	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services
7	Description Goal Name Goal	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal
7	Description Goal Name	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal services, mediations, etc.) as integral components to prevent homelessness. The
	Description Goal Name Goal Description	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal services, mediations, etc.) as integral components to prevent homelessness. The State anticipates serving approximately 70 persons annually.
7	Description Goal Name Goal Description Goal Name	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal services, mediations, etc.) as integral components to prevent homelessness. The State anticipates serving approximately 70 persons annually. HP-8 HOPWA Support Services
	Description Goal Name Goal Description Goal Name Goal	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal services, mediations, etc.) as integral components to prevent homelessness. The State anticipates serving approximately 70 persons annually. HP-8 HOPWA Support Services Persons with HIV/AIDS need services to achieve housing stability. Provide housing
	Description Goal Name Goal Description Goal Name	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal services, mediations, etc.) as integral components to prevent homelessness. The State anticipates serving approximately 70 persons annually. HP-8 HOPWA Support Services Persons with HIV/AIDS need services to achieve housing stability. Provide housing information (Support Services) and rent deposit assistance (Permanent Housing
8	Description Goal Name Goal Description Goal Name Goal Description	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal services, mediations, etc.) as integral components to prevent homelessness. The State anticipates serving approximately 70 persons annually. HP-8 HOPWA Support Services Persons with HIV/AIDS need services to achieve housing stability. Provide housing information (Support Services) and rent deposit assistance (Permanent Housing Placement) in Hawaii, Kauai and Maui.
	Description Goal Name Goal Description Goal Name Goal Description Goal Name	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal services, mediations, etc.) as integral components to prevent homelessness. The State anticipates serving approximately 70 persons annually. HP-8 HOPWA Support Services Persons with HIV/AIDS need services to achieve housing stability. Provide housing information (Support Services) and rent deposit assistance (Permanent Housing Placement) in Hawaii, Kauai and Maui. HP-9 HOPWA Financial Assistance
8	Description Goal Name Goal Description Goal Name Goal Description Goal Name Goal	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal services, mediations, etc.) as integral components to prevent homelessness. The State anticipates serving approximately 70 persons annually. HP-8 HOPWA Support Services Persons with HIV/AIDS need services to achieve housing stability. Provide housing information (Support Services) and rent deposit assistance (Permanent Housing Placement) in Hawaii, Kauai and Maui. HP-9 HOPWA Financial Assistance Provide funds to pay a portion of the market rental or mortgage unit costs of
8	Description Goal Name Goal Description Goal Name Goal Description Goal Name	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal services, mediations, etc.) as integral components to prevent homelessness. The State anticipates serving approximately 70 persons annually. HP-8 HOPWA Support Services Persons with HIV/AIDS need services to achieve housing stability. Provide housing information (Support Services) and rent deposit assistance (Permanent Housing Placement) in Hawaii, Kauai and Maui. HP-9 HOPWA Financial Assistance Provide funds to pay a portion of the market rental or mortgage unit costs of homeless and persons at risk of homelessness with HIV/AIDS through Tenant based
8	Description Goal Name Goal Description Goal Name Goal Description Goal Name Goal Description	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal services, mediations, etc.) as integral components to prevent homelessness. The State anticipates serving approximately 70 persons annually. HP-8 HOPWA Support Services Persons with HIV/AIDS need services to achieve housing stability. Provide housing information (Support Services) and rent deposit assistance (Permanent Housing Placement) in Hawaii, Kauai and Maui. HP-9 HOPWA Financial Assistance Provide funds to pay a portion of the market rental or mortgage unit costs of homeless and persons at risk of homelessness with HIV/AIDS through Tenant based rental assistance (TBRA) and short-term rent, mortgage, utility (STRMU).
8	Description Goal Name Goal Description Goal Name Goal Description Goal Name Goal Description	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal services, mediations, etc.) as integral components to prevent homelessness. The State anticipates serving approximately 70 persons annually. HP-8 HOPWA Support Services Persons with HIV/AIDS need services to achieve housing stability. Provide housing information (Support Services) and rent deposit assistance (Permanent Housing Placement) in Hawaii, Kauai and Maui. HP-9 HOPWA Financial Assistance Provide funds to pay a portion of the market rental or mortgage unit costs of homeless and persons at risk of homelessness with HIV/AIDS through Tenant based rental assistance (TBRA) and short-term rent, mortgage, utility (STRMU). HP-10 Coordinated Entry System
8	Description Goal Name Goal Description Goal Name Goal Description Goal Name Goal Description	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal services, mediations, etc.) as integral components to prevent homelessness. The State anticipates serving approximately 70 persons annually. HP-8 HOPWA Support Services Persons with HIV/AIDS need services to achieve housing stability. Provide housing information (Support Services) and rent deposit assistance (Permanent Housing Placement) in Hawaii, Kauai and Maui. HP-9 HOPWA Financial Assistance Provide funds to pay a portion of the market rental or mortgage unit costs of homeless and persons at risk of homelessness with HIV/AIDS through Tenant based rental assistance (TBRA) and short-term rent, mortgage, utility (STRMU). HP-10 Coordinated Entry System Coordinated entry system (CES) is a fair, immediate, low barrier, person-centered
8	Description Goal Name Goal Description Goal Name Goal Description Goal Name Goal Description	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal services, mediations, etc.) as integral components to prevent homelessness. The State anticipates serving approximately 70 persons annually. HP-8 HOPWA Support Services Persons with HIV/AIDS need services to achieve housing stability. Provide housing information (Support Services) and rent deposit assistance (Permanent Housing Placement) in Hawaii, Kauai and Maui. HP-9 HOPWA Financial Assistance Provide funds to pay a portion of the market rental or mortgage unit costs of homeless and persons at risk of homelessness with HIV/AIDS through Tenant based rental assistance (TBRA) and short-term rent, mortgage, utility (STRMU). HP-10 Coordinated Entry System Coordinated entry system (CES) is a fair, immediate, low barrier, person-centered process that helps communities prioritize housing assistance based on vulnerability
8	Description Goal Name Goal Description Goal Name Goal Description Goal Name Goal Description	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal services, mediations, etc.) as integral components to prevent homelessness. The State anticipates serving approximately 70 persons annually. HP-8 HOPWA Support Services Persons with HIV/AIDS need services to achieve housing stability. Provide housing information (Support Services) and rent deposit assistance (Permanent Housing Placement) in Hawaii, Kauai and Maui. HP-9 HOPWA Financial Assistance Provide funds to pay a portion of the market rental or mortgage unit costs of homeless and persons at risk of homelessness with HIV/AIDS through Tenant based rental assistance (TBRA) and short-term rent, mortgage, utility (STRMU). HP-10 Coordinated Entry System Coordinated entry system (CES) is a fair, immediate, low barrier, person-centered process that helps communities prioritize housing assistance based on vulnerability and severity of service needs to ensure that people who need assistance the most
8	Description Goal Name Goal Description Goal Name Goal Description Goal Name Goal Description	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal services, mediations, etc.) as integral components to prevent homelessness. The State anticipates serving approximately 70 persons annually. HP-8 HOPWA Support Services Persons with HIV/AIDS need services to achieve housing stability. Provide housing information (Support Services) and rent deposit assistance (Permanent Housing Placement) in Hawaii, Kauai and Maui. HP-9 HOPWA Financial Assistance Provide funds to pay a portion of the market rental or mortgage unit costs of homeless and persons at risk of homelessness with HIV/AIDS through Tenant based rental assistance (TBRA) and short-term rent, mortgage, utility (STRMU). HP-10 Coordinated Entry System Coordinated entry system (CES) is a fair, immediate, low barrier, person-centered process that helps communities prioritize housing assistance based on vulnerability and severity of service needs to ensure that people who need assistance the most can receive it in a timely manner. Participation in the CES process is integral to both
8	Description Goal Name Goal Description Goal Name Goal Description Goal Name Goal Description	related financial assistance to persons and families at risk of homelessness. Financial assistance includes short/medium term rents, rent/utility arrears, and utility payments. The anticipates serving approximately 70 persons annually. HP-7 Homelessness Prevention Support Services Provide assistance through stabilization services (i.e., case management, legal services, mediations, etc.) as integral components to prevent homelessness. The State anticipates serving approximately 70 persons annually. HP-8 HOPWA Support Services Persons with HIV/AIDS need services to achieve housing stability. Provide housing information (Support Services) and rent deposit assistance (Permanent Housing Placement) in Hawaii, Kauai and Maui. HP-9 HOPWA Financial Assistance Provide funds to pay a portion of the market rental or mortgage unit costs of homeless and persons at risk of homelessness with HIV/AIDS through Tenant based rental assistance (TBRA) and short-term rent, mortgage, utility (STRMU). HP-10 Coordinated Entry System Coordinated entry system (CES) is a fair, immediate, low barrier, person-centered process that helps communities prioritize housing assistance based on vulnerability and severity of service needs to ensure that people who need assistance the most

11	Goal Name	HP-11 Administration				
	Goal	Administrative funds will be utilized to ensure the appropriate, effective and				
	Description	efficient use of ESG and HOPWA funds by service providers and State				
		Administrators.				
12	Goal Name	HP-12 Homeless Management Information System				
	Goal	Funding for the administration and operation of the Homeless Management				
	Description	Information System (HMIS).				
13	Goal Name	HR-1 Rental Housing - New Construction / Rehab				
	Goal	Rental housing for low-income households is a high priority need. HOME funds will				
	Description	be used with other rental housing development resources to increase the				
		inventory of rentals for this target population.				
14	Goal Name	HO-1 For Sale Housing - New Construction / Rehab.				
	Goal	Affordable for-sale units are a high priority need. HOME funds may be used to				
	Description	construct new, affordable for-sale housing units.				
15	Goal Name	HR-4 HTF Rental Housing New Construction/Acq/Rehab				
	Goal	Rental housing for extremely low-income households is a high priority need.				
	Description	National Housing Trust Funds will be used with other resources to increase the				
		inventory of affordable rentals for this target population.				
16	Goal Name	HA-1 Administration				
	Goal	Funds will be used for the efficient and effective administration of federal				
	Description	programs, including affirmatively furthering fair housing.				
	Goal Name	HR-2 Tenant Based Rental Assistance				
17	Goal	Tenant Based Rental Assistance helps low- and very low-income households with				
	Description	rent, making housing more affordable to those who qualify.				
	Goal Name	HO-2 For Sale Housing – Self Help Building Method				
18	Goal	Affordable for-sale units are a high priority need. Home funds may be used to				
10	Description	construct new or rehabilitate existing affordable for-sale housing units using a self-				
		help building method.				
	Goal Name	HO-3 Homebuyer Financing				
19	Goal	HOME funds may be used to provide down payment/closing cost assistance and				
	Description	gap loans through various county homebuyer loan programs and provide				
		homebuyer education and counseling sessions.				

Table 7 – Goal Descriptions

AP-25 Allocation Priorities - 91.320(d)

Introduction:

The State's HOME, ESG, and HOPWA program funds are used primarily in the neighbor island counties of Hawaii, Kauai, and Maui to address the priority needs and objectives identified in the Consolidated Plan. Although most projects and activities will benefit areas of minority concentration, funds will not be specifically targeted for that sole purpose.

HHFDC annually rotates its HOME allocation between the three counties. The County of Kauai will receive HOME funds for PY2022. HHFDC's HOME funds may be used to promote decent affordable housing and strengthen communities through the production of new or rehabilitation of existing rental and homeownership housing, for TBRA, and for the administration of the HOME program. State Recipients do not allocate their HOME funds based on geographic areas; in general, HOME funds are allocated through a Request for Proposal or other application process, which ranks and rates projects based on program eligibility, need, and meeting the ConPlan priorities, objectives, and performance measures.

Should a State Recipient choose not to (or, based on HHFDC's determination, is unable to) administer their HOME funds, HHFDC may directly administer the funds or reallocate funds to other State Recipients. Any HOME funds returned to HHFDC, whether declined, released or recaptured, will be placed in eligible projects which best meet the HOME priority needs and objectives in the following order of priority: 1. Re-allocated to a State Recipient other than the one from which the funds are being released or recaptured; 2. Awarded through a competitive selection process, which preference given to projects located outside of the City and County of Honolulu; or 3. Invested in a HHFDC project located throughout the State.

For PY2022, HHFDC anticipates the receipt of \$3,000,039 in HOME funds, which will be awarded to the County of Kauai, in accordance with HHFDC's rotation schedule. The County of Kauai's planned HOME funding allocation for PY2022 is shown in the attached "**HOME Exhibit A**." DHS-BESSD's Homeless Programs Office (HPO) receives annual formula allocations of the ESG and HOPWA grants, and administers both programs for the counties of Hawaii, Kauai, and Maui. In PY2022, the State anticipates receiving \$452,605 in ESG funds, to meet the Consolidated Plan objectives of strengthening communities and promoting decent affordable housing. In addition, the State anticipates receiving \$282,828 in HOPWA funds which will be utilized to promote decent, affordable housing and suitable living environments for persons with HIV/AIDS.

HHFDC anticipates receiving \$3,744,423 in HTF funds, which may be used in all four counties to promote decent, affordable housing and strengthen communities through the production or preservation of affordable rental housing serving extremely low-income households. For PY2022, HHFDC has elected not to award HTF funds to the City and County of Honolulu, to provide time for the City to build capacity to administer the HTF program. The County of Kauai, which is scheduled to receive the neighbor island allocation, will receive the City's portion of PY2022 HTF. The County of Kauai's planned HTF funding allocation for PY2022 is shown in the attached "**HTF Exhibit B**." Should the County of Kauai be unable to identify an eligible HTF activity or complete tasks to meet the commitment requirements, HHFDC, in its sole discretion, shall seek alternate activities from the remaining Subgrantees. HHFDC may also seek alternate HTF activities from eligible recipients.

Funding Allocation Priorities

	HP-1 Emergency Shelter Operations (%)	HP-2 Domestic Violence Emergency Shelter (%)	HP-3 Transition to Permanent Housing (%)	HP-4 Rapid Re-housing Financial Assistance (%)	HP-5 Rapid Re-housing Support Services (%)	HP-6 Homelessness Prevention Financial Assistance (%)	HP-7 Homelessness Prevention Support Services (%)	HP-8 HOPWA Support Services (%)	HP-9 HOPWA Financial Assistance (%)	HP-10 Coordinated Entry System (%)	HP-11 Administration (%)	HP-12 Homeless Management Information System (%)	HR-1 Rental Housing - New Construction / Rehab (%)	HO-1 For Sale Housing - New Construction / Rehab. (%)	HR-4 HTF Rental Housing New Construction/Acq/Rehab (%)	HA-1 Administration (%)	HR-2 Tenant Based Rental Assistance (%)	HO-2 For Sale Housing – Self Help Building Method (%)	HO-3 Homebuyer Financing (%)	Total (%)
HOME	0	0	0	0	0	0	0	0	0	0	0	0	70	15	0	10	5	0	0	100
HOPWA	0	0	0	0	0	0	0	20	70	0	10	0	0	0	0	0	0	0	0	100
ESG	40	6	10	20	4	10	4	0	0	0	5	1	0	0	0	0	0	0	0	100
HTF	0	0	0	0	0	0	0	0	0	0	0	0	0	0	90	10	0	0	0	100
Other State Homeless Emergency Grants Programs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other State Housing Placement Program	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other State Rapid Re- housing Program	0	0	0	50	10	30	10	0	0	0	0	0	0	0	0	0	0	0	0	100
Other State Shelter Program	75	5	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100

Table 8 – Funding Allocation Priorities

Reason for Allocation Priorities

Testimony at public hearings supported the need for affordable housing, both rental and homeownership, statewide. HHFDC's 2019 Hawaii Housing Planning Study cited the need for more than 50,000 housing units by 2025; of this amount, more than 26,000 are for families earning 80% AMI or below. The HOME program will fund affordable rental units in the neighbor island counties of Hawaii, Kauai, and Maui for families and special needs populations, tenant based rental assistance, affordable homeownership opportunities, county homebuyer loan programs, and homebuyer education, all serving families with incomes of 80% AMI or below. The HTF program will be used in all four counties for the development or preservation of affordable rental units for extremely low-income households with incomes at 30% AMI or below.

The Continuum of Care (CoC) for the rural counties, Bridging the Gap (BTG), and the DHS/HPO have determined that Emergency Solutions Grant funds for PY2022 will be allocated at approximately one percent (1%) for Homeless Management Information System (HMIS) costs and allowable five percent for administrative fees for DHS/HPO. Agencies that are awarded funding will be allowed a maximum of two and a half percent (2.5%) in administrative fees. The remaining ESG funds will be apportioned as follows: sixty percent (60%) to ESG shelter operations, and forty percent (40%) to homelessness prevention and rapid re-housing (HPRP) activities. This reflects a direct reversal of the BTG's traditional apportionment strategy, in large part due to increased funding levels for prevention and rapid re-housing from other

federal, state, and county resources. The CoC remains deeply committed to preventing at-risk households from entering the homeless system in the first place, and to assisting homeless households quickly obtain permanent housing. ESG HPRP activities include financial assistance for rents, security deposit and utilities, and housing relocation and stabilization services.

One provider will serve as a centralized administrative agency to provide HOPWA-funded activities, such as tenant-based rental assistance (TBRA), short-term rental, mortgage and utility (STRMU) payments, permanent housing placement, and supportive services, for persons living with HIV/AIDS in the Counties of Hawaii, Kauai, and Maui. Maui AIDS Foundation has served as the lead agency and sponsor in collaboration with Malama Pono and Hawaii Island HIV/AIDS Foundation. The State will retain three percent (3%) of the grant for administration. Of the remainder, approximately seventy-three percent (73%) of the funds will be allocated to financial assistance for housing through TBRA, STRMU, and permanent housing placement support. Roughly twenty percent (20%) of the funds will be allocated to supportive services, and seven percent (7%) for the agency's administrative costs. This apportionment reflects the priority of permanent housing through prevention and rapid re-housing assistance.

How will the proposed distribution of funds will address the priority needs and specific objectives described in the Consolidated Plan?

In accordance with HHFDC's annual rotation of HOME funds, its PY2022 HOME allocation will be distributed to the County of Kauai, which anticipates use of its HOME funds for the development of affordable rental and homeownership units. In accordance with HHFDC's HTF Allocation Plan, the County of Kauai is also scheduled to receive the neighbor island portion of PY2022 HTF funds. For PY2022, HHFDC has elected not to award HTF funds to the City and County of Honolulu, so the County of Kauai will receive these HTF funds as well, for the development of affordable rental units.

One of the State's objectives in expending ESG funds is to strengthen communities through homelessness prevention, at risk of homelessness, and rapid re-housing activities targeted to individuals and families living on the streets or in homeless shelters. Under a Request for Interest (RFI) process, the DHS-BESSD/HPO is anticipated to award approximately \$165,925 in ESG funds to agencies to provide homelessness prevention and rapid re-housing activities in the neighbor island counties of Hawaii, Kauai, and Maui. The anticipated ESG funds of approximately \$248,888 will be used for operations of emergency shelters for the unsheltered homeless and victims of domestic violence. Approximately 2.5% (\$10,636) will be available for provider administrative costs. To achieve DHS-BESSD/HPO's second objective of promoting decent, affordable housing, providers will include transitioning homeless persons to permanent housing as an integral activity. The State will retain one percent (approximately \$4,526) for HMIS, and five percent (\$22,630) for its administrative costs.

The State anticipates receiving \$282,828 in HOPWA funds for PY2022. HOPWA funds will both strengthen communities and promote decent, affordable housing by providing housing information, rent or deposit assistance, and other supportive services to persons with HIV/AIDS who are homeless or at risk of homelessness. In collaboration with BTG, DHS-BESSD/HPO issues an RFI for one provider to serve as a centralized administrative agency to provide HOPWA-funded activities, such as TBRA, short-term rental, mortgage, and utility payments (STRMU), permanent housing placement, and supportive services, for persons living with HIV/AIDS in the Counties of Hawaii, Kauai, and Maui.

AP-30 Methods of Distribution – 91.320(d)&(k)

Introduction:

The State's HHFDC administers the HOME and HTF programs. The State's Homeless Programs Office of the Department of Human Services - Benefit, Employment and Support Services Division (HPO) administers the ESG and HOPWA programs. Since the City & County of Honolulu receives its own allocation of HOME, ESG, and HOPWA funds, HHFDC and HPO distribute the State's HOME, ESG, and HOPWA funds to the neighbor island counties of Hawaii, Kauai, and Maui.

HHFDC rotates its HOME funds on an annual basis between the Counties of Hawaii, Kauai, and Maui. Ten percent of the annual allocation is reserved for administrative costs, and 15% is set aside for CHDO activities. Under HHFDC's rotation, the County of Kauai is scheduled to receive the PY2022 HOME allocation. HHFDC permits the counties to use other HOME funds, such as program income and/or recaptured funds, for eligible activities or projects. To encourage the counties to invest more of their HOME funds into activities that generate program income, such as loan programs, HHFDC allows the counties to use up to 10 percent of program income received to help cover administrative costs associated with increased activities funded with program income, subject to HHFDC's approval. HHFDC will permit pre-awards of up to 25% of a county's current program year's allocation for HOME-eligible activities to avoid interruption of projects and /or services. Pre-awards must be authorized in writing by HHFDC before costs are incurred. Pre-award costs greater than 25% will require approval by the local HUD Field Office before the costs are incurred.

Should a State Recipient choose not to (or, based on HHFDC's determination, is unable to) administer their HOME funds, HHFDC may directly administer the funds or reallocate funds to other State Recipients. Any HOME funds returned to HHFDC, whether declined, released or recaptured, will be placed in eligible projects which best meet the HOME priority needs and objectives in the following order of priority: 1. Re-allocated to a State Recipient other than the one from which the funds are being released or recaptured; 2. Awarded through a competitive selection process, with preference given to projects located outside of the City and County of Honolulu; or 3. Invested in a HHFDC project located throughout the State.

HHFDC has distributed its HTF funds statewide to all four counties as Subgrantees. More than one-half of the state's population reside in the City and County of Honolulu, so HHFDC initially elected to annually award one-half of its allocation (after retention of 5% for allocation costs) to the City and County of Honolulu, and the other one-half rotated between the neighbor island counties, on the same rotation as HHFDC's HOME funds. However, for PY2022, HHFDC has elected not to award HTF funds to the City and County of Honolulu, to allow time for the City to increase its capacity to administer the HTF program and funds. Since the County of Kauai is scheduled to receive the neighbor island portion of the PY2022 HTF, HHFDC will award the entire PY2022 HTF allocation to the County of Kauai. HHFDC will allow pre-award costs for planning activities and preparation of the HTF allocation plan of up to 5% of the state's allocation amount.

Should a Subgrantee be unable to identify an eligible HTF project(s) and/or complete the tasks to meet the commitment requirements within a specified timeframe, HHFDC, in its sole discretion, shall first seek alternate activities from the remaining Subgrantees and, finding none, from eligible recipients.

Distribution Methods

1	State Program Name	Hawaii
1	State Program Name:	
	Funding Sources:	HOPWA
		HOME
		ESG
		HTF
	Describe the state	HHFDC distributes its HOME allocation annually on a rotating basis
	program addressed by	between the Counties of Hawaii, Kauai, and Maui. In prior years,
	the Method of	the three neighbor island counties annually received a pro-rated
	Distribution.	share of the annual HOME allocation, requiring them to "save up"
		before enough funds were available to proceed. This resulted in
		slow or delayed spending of HOME funds, resulting in red flags
		from HUD. To address the red flags, HHFDC instituted the annual
		rotation of the full annual allocation to one neighbor island county.
		The County of Kauai will receive the PY2022 HOME allocation, the
		County of Maui will receive it in PY2023, and the County of Hawaii
		will receive it in PY2024. The counties use their respective
		solicitation processes to identify eligible activities, and use their
		HOME funds for affordable rental housing, TBRA, affordable
		homeownership opportunities, and administration of the program.
		HHFDC initially distributed its HTF allocation to all four counties in
		the State of Hawaii: after retaining 5% for program administration,
		HHFDC allocated one-half of the balance to the City & County of
		Honolulu and the remaining one-half to a neighbor island county,
		on the same rotation as HHFDC's HOME funds. For PY2022, HHFDC
		has elected not to allocate HTF funds to the City and County of
		Honolulu, to allow the City time to increase its capacity to
		administer the HTF program. Since the County of Kauai is
		scheduled to receive the neighbor island portion of the PY2022 HTF
		allocation, HHFDC has elected to award the entire PY2022 HTF
		allocation to the County of Kauai.
		In 2020, the State's DHS/HPO issued a Request for Information (RFI)
1		for its ESG and HOPWA program funds, soliciting providers to serve
1		the Counties of Hawaii, Kauai, and Maui. The RFI was posted on the
1		publicly accessible State Procurement Office (SPO) website. In
		accordance with the RFI, selected service providers will be able to
1		renew their agreements for four (4) additional consecutive one (1)
1		year terms, for a period of performance period total of five (5)
1		years. The RFI required ESG and HOPWA applicants to submit work
		plans that identify clear goals, objectives, and outcomes for the
		respective programs.

	HUEDC door not coloct applications for the HOME or HTE are grown but
Describe all of the	HHFDC does not select applications for the HOME or HTF programs but distributes funds to its HOME State Recipients and HTF Subgrantees (as
criteria that will be used	described above), who do. In general, State Recipients and Subgrantees issue
to select applications and	Requests for Proposals or other county-approved application process in their
the relative importance	respective counties to solicit activities that can help meet its goals and
of these criteria.	objectives. The state's and counties' two main objectives are the
	development or preservation of affordable rental housing and affordable
	homeownership housing. Criteria generally used to select applicants include
	the housing need being met by the proposal; the applicant's specialized
	experience, capacity, and budget reasonableness; the project's readiness to
	proceed; and a project's leveraging of other resources. DHS/HPO and the CoC provides assistance to ESG recipients across all
	entitlement jurisdictions. DHS/HPO administers ESG funding procured through
	an objective RFI process on behalf of all rural chapters. Each local chapter is
	represented in the decision-making process through designated chairs and
	county government personnel on the BTG leadership team. Embedded in the
	RFI evaluation process is a number of performance criteria that aid the CoC in
	maximizing the ESG funding allocation, including BTG's commitment to
	prevention activities for households to retain their housing, and obtaining
	permanent housing and helping people quickly regain stability after
	experiencing a housing crisis. Additionally, ESG applicants are evaluated based on completeness of the application, experience, expenditure rates, monitoring
	findings, performance data, financial feasibility, CoC participation, and clear
	goals/objectives/outcomes. HMIS data and national best practices are being
	examined more frequently by the CoC to determine appropriate ESG funding
	allocations.
	The RFI stated that providers shall follow a Housing First model, including a
	low barrier approach to program entry and ongoing program participation by
	offering households immediate access to outreach, shelter, and permanent housing as appropriate without unnecessary prerequisites (i.e., sobriety,
	participation in services, etc.). In addition, providers are required to offer
	households ongoing access to services until they secure permanent housing by
	not establishing arbitrary time limits on length of program participation. RFI
	applicants were also required to provide information that demonstrate its
	history of coordinating with the DHS and other agencies/community resources
	to meet the needs of the target population. Other RFI conditions included
	coordination of services through applicants' participation in their local
	Coordinated Entry System (CES), CoC, Point-in-Time Count, assisting in maintaining a current by-name list that includes all sheltered and unsheltered
	Homeless people, using the VI-SPDAT as determined by their CoC, and
	compliance with all CoC and CES final written standards, policies, and
	procedures.
If only summary criteria were described, how can potential applicants access	
application manuals or other	N/A.
state publications describing the application criteria? (CDBG only)	
Describe the process for	ESG applications are competitive and evaluated by a team consisting of
awarding funds to state	individuals with no conflict of interest, representing each of the three CoC
recipients and how the state	county chapters and the State. In general, applications are scored based
will make its allocation	on the criteria listed above. The evaluation committee selects the
available	awardees with satisfactory scores of 70% or higher. HPO submits a
to units of general local	combined proposal on behalf of the ESG/HOPWA awardees through the
government, and non-profit	related sections of the Annual Action Plan to the HHFDC. After a grant
organizations, including	agreement is executed with HUD, DHS/HPO executes agreements with the
community and faith-based	selected provider agencies. The award list is posted on the SPO website as
organizations. (ESG only)	agreements are executed.

Identify the method of colocting	The LIODWA DELie simultaneously nested with the ECC DEL and follows the
Identify the method of selecting project sponsors (including	The HOPWA RFI is simultaneously posted with the ESG RFI, and follows the
providing full access to grassroots	same process. Eligible applicants (provider agencies) submit their project
faith-based and other	information in strict accordance to the RFI requirements within the specified
community-based organizations).	deadline. Maui AIDS Foundation has been selected as the project sponsor and administrative lead for the counties of Hawaii, Maui and Kauai to provide
(HOPWA only)	services and assistance to persons with HIV/AIDS.
Describe how resources	HOME and HTF funds will be allocated by HOME State Recipients and HTF
	Subgrantees to eligible activities in all categories.
will be allocated among	BTG, in collaboration with DHS/HPO, elected to allocate the ESG grant by
funding categories.	dedicating one percent (1%) to HMIS, five percent (5%) for State
	administrative costs, and two and a half percent (2.5%) for provider
	administration costs. BTG will apportion the remaining ESG funds as follows:
	sixty percent (60%) to ESG shelter operations, and forty percent (40%) to
	homelessness prevention and rapid re-housing (HPRP) activities. HOPWA
	funds will be dispersed in the counties of Hawaii, Kauai and Maui.
	Approximately 73% will be used for market rental unit costs for homeless and
	at-risk persons with HIV/AIDS, and roughly 20% for housing information and
	rent/deposit assistance services and other supportive services to persons
	with HIV/AIDS. The project sponsor receives 7%, and the State 3%, for
	administration.
Describe threshold	For the HOME and HTF programs, HHFDC's HOME State Recipients and HTF Subgrantees issue Requests for Proposals or another application process, to
factors and grant size	solicit eligible activities. Selected activities are limited to the aggregate total
limits.	that does not exceed the annual HOME or HTF allocations. Under the HOME
	program, fifteen percent of the allocation is set aside for Community Housing
	Development Organizations. Under the HOME and HTF programs, ten
	percent is set aside for program administration. Each HOME State Recipient
	or HTF Subgrantee establishes its threshold factors. Generally, proposals
	competing for HOME or HTF funds are evaluated on the extent to which it
	meets the needs and objectives of the HOME or HTF program and
	community's needs, experience and capacity of the applicant, and leveraging.
	Limited, existing ESG and HOPWA awards are insufficient to meet demand.
	BTG benefitted from a large influx of CARES Act funding in PY20, however,
	non-COVID related at-risk and homeless populations continue to require
	access to emergency shelters, financial and support services assistance. DHS
	distributes funds throughout the neighbor island counties, generally based
	on need and population size. HOPWA funds are allocated based on
	geographic need, unmet housing needs, and the acuity levels of program participants. The HOPWA Consolidated Annual Performance and Evaluation
	Report (CAPER) identifies multiple eligible households that have unmet
	housing subsidy assistance needs.
What are the outcome	As a result of allocating HOME and HTF funds to its State Recipients and
	Subgrantees, HHFDC expects to increase the State's inventory of affordable
measures expected as a	rental units and expand affordable homeownership opportunities.
result of the method of	DHS/HPO anticipates the following outcomes:
distribution?	 Decreased length of time persons remain homeless
	Decreased returns to homelessness of those exiting to permanent housing
	Decreased number of homeless persons
	• Employment and income growth for homeless persons CoC-funded projects
	 Decreased number persons homeless for the first time within the last 2
	years
	• Increased number of exits to permanent housing or retention of permanent
	housing

Table 9 - Distribution Methods by State Program

Discussion:

AP-35 Projects – (Optional)

Introduction:

HOME funds will provide decent, affordable housing and strengthen communities through the production and development of affordable rental housing, and affordable homeownership opportunities.

HTF funds will provide decent, affordable housing and strengthen communities through the production or preservation of affordable rental housing, targeting extremely low-income households with incomes at 30% AMI or below.

For information on specific projects utilizing PY2022 HOME and HTF funds, refer to the County of Kauai's PY2022 Annual Action Plan.

The Emergency Solutions Grant was authorized by Section 1503 of the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act, signed into law on May 20, 2009. Formerly known as the Emergency Shelter Grant program, the program was re-named to signify a shift to permanent housing goals by funding homelessness prevention and rapid re-housing activities, in addition to emergency shelters. HOPWA funds will both strengthen communities and promote decent affordable housing by providing housing information, rent or deposit assistance, and other supportive services to persons with HIV/AIDS who are homeless or at risk of homelessness.

#	Project Name
1	HOME 2022 – HHFDC Admin
2	HOME 2022 – State Recipient Admin
3	HOME 2022 – Rental Housing Development
4	HOME 2022 – CHDO
5	HOME 2022 – Tenant Based Rental Assistance
6	HOME 2022 – For Sale Housing Development (Alternate Activity)
7	HOME 2022 – Homebuyer Financing (Alternate Activity)
8	HTF 2022 – HHFDC Admin
9	HTF 2022 – State Recipient Admin
10	HTF 2022 – Rental Housing Development
11	ESG22 Hawaii
12	HOPWA22
13	HOPWA22 Hawaii DHS Admin

Table 10 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Since the City and County of Honolulu receives its own HOME allocation, HHFDC has elected to distribute the State's HOME funds in the Counties of Hawaii, Kauai, and Maui on an annual rotating basis. HOME funds will be utilized to address priority needs and objectives identified in the State's Consolidated Plan. The Counties of Hawaii, Kauai, and Maui have assigned a high priority to housing for all types of households with incomes at or below 80% AMI. Such priorities include activities that expand or preserve the supply of safe, decent, and affordable rental housing, particularly rental housing for very low- and low-income families and special needs populations, including tenant based rental assistance. Priorities also include the provision of homeownership opportunities through the new construction or acquisition and/or rehabilitation of housing for first-time homebuyers, county homebuyer loan programs, and homebuyer education.

In past years, HHFDC distributed its HTF funds to the three neighbor island counties and the City and County of Honolulu. The use of HTF funds were focused on affordable rental housing for extremely lowincome families earning incomes at or below 30% of the Area Median Income. After retention of administrative funds, HHFDC designated one-half of the annual HTF allocation for the City and County of Honolulu, where the greatest need existed and continues to exist for affordable rental housing. The other half was rotated between the Counties of Hawaii, Kauai, and Maui, on the same rotation as the HOME funds. Since the City continues to be challenged with capacity issues and timely administration of the HTF program, in PY2022, the County of Kauai will receive the entire allocation of HTF. This action will allow the City to build capacity and develop plans to effectively administer the HTF program.

Under the ESG program, obtaining permanent housing for the homeless and retaining housing for at-risk individual and families still feature prominently in BTG's decision to select Homeless Prevention and Rapid Re-housing (HPRP) as a crucial part of continuum of care services. However, recent Rapid Re-housing Program awards from State and County have augmented the rural counties' HPRP resources. In light of the availability of HPRP funds from other sources, BTG elected to increase the proportion of emergency shelter funding starting in PY20. After allocating 1% to HMIS and 7.5% to Administration, the remaining funds will be allotted as follows: 60% to Shelter Operations and 40% to HPRP. ESG funds for each component are distributed based on population ratios: Hawaii (50%); Maui (34%); and Kauai (16%). These ratios are followed as closely as possible, but the allocation is ultimately determined by the number and local needs of applicants who are selected from each county through the State's procurement process.

HPRP activities include financial assistance for rents, security deposits and utilities, and housing relocation and stabilization services. HPRP funds are allocated to one agency each in Maui, Kauai and Hawaii counties.

Maui AIDS Foundation (MAF) is the HOPWA project sponsor/lead agency and will provide administrative management and accountability for the agencies which comprise the Neighbor Island HIV/AIDS Coalition (NIHAC): Maui AIDS Foundation, Malama Pono (MP), Health Services (Kauai), and Hawaii Island HIV/AIDS Foundation (HIHAF). HOPWA funds were distributed equitably throughout the counties based on geographic need, unmet needs, and acuity levels of individuals/households.

AP-38 Project Summary

	roject Summary mormation							
	Project Name	HOME 2022 – HHFDC Admin						
	Target Area	Statewide						
	Goals Supported	HA-1 Administration						
	Needs Addressed	Planning and Administration						
	Funding	HOME: \$150,002						
1	Description	HHFDC will utilize HOME funds to administer and coordinate the State's HOME program to ensure effective and timely project implementation in accordance with all applicable HUD rules and regulations. For PY2022, HHFDC will retain 5% of the annual HOME allocation for its administration of the HOME program.						
	Target Date	06/30/2023						
	Estimate the number and type of families that will benefit from the proposed activities	n/a						

Project Summary Information

	Location	
	Description	HHFDC, 677 Queen Street, Suite 300, Honolulu, Hawaii 96813
	Planned Activities	Planning and administration
	Project Name	HOME 2022 – State Recipient Admin
		Rural Counties
	Target Area	
	Goals Supported	HA-1 Administration
	Needs Addressed	Planning and Administration
	Funding	\$150,002
		State Recipient will utilize HOME funds to administer its HOME allocation to
	Description	ensure effective and timely activity/program implementation in accordance
•		with all applicable regulations.
2	Target Date	06/30/2023
	Estimate the	
	number and type	,
	of families that will	n/a
	benefit from the	
	proposed activities	Counties of Housil Kousil and An Maril Anti-insta County of Kouri for
	Location	Counties of Hawaii, Kauai, and/or Maui (anticipate County of Kauai for
	Description	Program Year 2022).
	Planned Activities	Planning and administration
	Project Name	HOME 2022 – Rental Housing Development
	Target Area	Rural Counties
	Goals Supported	HR-1 Rental Housing – New Construction / Rehab
	Needs Addressed	Rental Housing
	Funding	\$2,250,029
		Develop approximately 10 HOME-assisted units with a 20-year affordability
	Description	period in the Counties of Hawaii, Kauai, and/or Maui (anticipate County of
		Kauai for Program Year 2022).
-	Target Date	12/31/2024
3	Estimate the	
	number and type	
	of families that	The Activity will provide approximately 10 HOME-assisted affordable rental
	will benefit from	units to households earning 80% AMI or below.
	the proposed	
	activities	
	Location	Counties of Hawaii, Kauai, and/or Maui (anticipate County of Kauai for
	Description	Program Year 2022).
	Planned Activities	Development of rental housing development, consisting of 1-, 2-, and 3-
		bedroom units.
	Project Name	HOME 2022 – CHDO
	Target Area	Rural Counties
	Goals Supported	HO-1 For Sale Housing – New Construction/Rehab.
	Needs Addressed	Affordable Homeownership Opportunities
4	Funding	\$450,006
		Development of homeownership housing to include, but not limited to,
	Description	leasehold or fee simple ownership in the counties of Hawaii, Kauai, and/or
		Maui (anticipate County of Kauai for Program Year 2022).
	Target Date	06/30/2024

	[
	Estimate the	
	number and type	
	of families that	Two households earning 80% AMI or below will have homeownership
	will benefit from	•
	the proposed	opportunities from the development of two single-family homes.
	activities	
	activities	
	Location	Counties of Hawaii, Kauai, and/or Maui (anticipate County of Kauai for
	Description	Program Year 2022).
	-	Development of homeownership housing for leasehold or fee simple
	Planned Activities	ownership.
	Project Name	HOME 2022 – Tenant Based Rental Assistance
	Target Area	Rural Counties
	Goals Supported	HR-2 Tenant Based Rental Assistance
	Needs Addressed	
		Rental Housing
	Funding	HOME (Program Income): \$215,118
	Description	TBRA program will provide rental assistance to qualifying low and very low-
		income households.
5	Target Date	06/30/2023
	Estimate the	
	number and type of	TBRA program will provide rental assistance to approximately 40 qualifying
	families that will	low- and very low-income households.
	benefit from the	low- and very low-income nousenolus.
	proposed activities	
	Location	Counties of Hawaii, Kauai, and/or Maui.
	Description	
	Planned Activities	Tenant Based Rental Assistance
	Project Name	HOME 2022 – Homeownership Housing Development (Alternate Activity)
	Target Area	Rural Counties
	Coole Commonte d	HO-1 For Sale Housing – New Construction/Rehab
	Goals Supported	HO-2 For Sale Housing – Self Help Building Method
	Needs Addressed	Affordable Homeownership Opportunities
	Funding	HOME: \$0
		If selected as an alternate activity, funds may be used to develop affordable
	Description	homeownership units in the counties of Hawaii, Kauai, and/or Maui.
6	Target Date	n/a
	Estimate the number and	
	type of families that will	If selected as an alternate activity, funds may be used to develop
	benefit from the	affordable homeownerships units in the counties of Hawaii, Kauai
	proposed activities	and/or Maui for qualifying households earning below 80% AMI.
	Location	Counties of Hawaii, Kauai, and/or Maui.
	Description	
1	Planned Activities	Alternate activity - Development of affordable homeownership units in
	Ducient Nouse	the counties of Hawaii, Kauai, and/or Maui.
	Project Name	HOME 2022 – Homebuyer Financing (Alternate Activity)
	Target Area	Rural Counties
	Goals Supported	HO-3 Homebuyer Financing
	Needs Addressed	Affordable Homeownership Opportunities
7	Funding	HOME: \$0
1		If selected as an alternate activity, HOME funds may be used to provide
	Description	down payment/closing cost assistance and gap loans through various
	Description	county homebuyer loan programs, and provide homebuyer education and
		counseling sessions.
	Target Date	n/a
L	U	· ·

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	Estimate the number and type of families that will benefit from the proposed activities	If selected as an alternate activity, an estimated number and type of families that will benefit from a Homebuyer Financing Program will be determined by the county utilizing the funds.
	Location Description	Counties of Hawaii, Kauai, and/or Maui.
	Planned Activities	Homebuyer activities may include: down payment/closing cost assistance and gap loans through various county homebuyer loan programs, and provide homebuyer education and counseling sessions.
	Project Name	HTF 2022 – HHFDC Admin
	Target Area	Statewide
	Goals Supported	HA-1 Administration
	Needs Addressed	Planning and Administration
	Funding	\$187,221
8	Description	HHFDC will use PY2022 HTF to administer and coordinate the State's HTF program to ensure the production or preservation of affordable housing in accordance with HHFDC's HTF Allocation Plan and regulations provided in 24 CFR Part 93.
_	Target Date	06/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	n/a
	Location Description	HHFDC, 677 Queen Street, Suite 300, Honolulu, Hawaii 96813
	Planned Activities	Planning and administration
	Project Name	HTF 2022 – State Recipient Admin
	Target Area	Rural Counties
	Goals Supported	HA-1 Administration
	Needs Addressed	Planning and Administration
	Funding	\$187,221
9	Description	State Recipient will use HTF to administer and coordinate the HTF program and ensure effective, timely project implementation in accordance with all applicable HUD rules and regulations.
	Target Date	06/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	n/a
	Location	Counties of Hawaii, Kauai, and/or Maui (anticipate County of Kauai for
	Description	Program Year 2022).
	Planned Activities	Planning and administration
	Project Name	HTF 2022 – Rental Housing Development
	Target Area	Rural Counties
	Goals Supported	HR-1
10	Needs Addressed	Rental Housing
10	Funding	\$3,369,981
	Description	Develop approximately 9 HTF-assisted units with a 30-year affordability period in the Counties of Hawaii, Kauai, and/or Maui (anticipate County of Kauai for Program Year 2022).

	Target Date	12/31/2024
	Estimate the	
	number and type of families that will benefit from the proposed activities	Approximately 9 HTF-assisted rental units will be provided for households earning 30% AMI or below.
	Location Description	Counties of Hawaii, Kauai, and/or Maui (anticipate County of Kauai for Program Year 2022).
	Planned Activities	Development of rental housing development, consisting of 1-, 2-, and 3- bedroom units.
	Project Name	ESG22 Hawaii
	Target Area	Rural Counties
	Goals Supported	HP-1 Emergency Shelter Operations HP-2 Domestic Violence Emergency Shelter HP-3 Transition to Permanent Housing HP-4 Rapid Re-housing Financial Assistance HP-5 Rapid Re-housing Support Services HP-6 Homelessness Prevention Financial Assistance HP-7 Homelessness Prevention Support Services HP-10 Coordinated Entry System HP-11 Administration HP-12 Homeless Management Information System Unsheltered Homeless
	Needs Addressed	Victims of Domestic Violence Transition to Permanent Housing Rapid Re-housing Homelessness Prevention Coordinated Entry System Planning and Administration
11	Funding	ESG: \$452,605 State Homeless Emergency Grants Programs: \$175,000 State Housing Placement Program: \$1,100,000 State Rapid Re-housing Program: \$1,050,000 State Shelter Program: \$4,892,689
	Description	Emergency Solutions Grant funds for PY2022 is projected to be allocated at approximately one percent (1%) for Homeless Management Information System (HMIS) costs and allowable five percent for administrative fees for DHS /HPO. Agencies that are awarded funding will be allowed a maximum of two and a half percent (2.5%) in administrative fees. The remaining ESG funds will be apportioned as follows: sixty percent (60%) to ESG shelter operations, and forty percent (40%) to homelessness prevention and rapid re-housing (HPRP) activities.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	Projected numbers to be served: Unsheltered homeless: 2,000 persons assisted in emergency shelters; Domestic Violence emergency shelters: 280 persons assisted; Transition to permanent housing from shelters: 122 homeless and DV households; Rapid Re-housing: 175 households will receive financial and support services to obtain permanent housing; Homeless Prevention: 70 persons will receive financial and support services to sustain their current housing situation.

	Location Description	Hawaii: Child and Family Services - (Administrative Office) 91-1841 Fort Weaver Road, Ewa Beach, HI, 96706; HOPE Services Hawaii - 357 Waianuenue Ave., Hilo, HI, 96720 Kauai: Family Life Center Kauai (Administrative Office) 95 South Kane St., Kahului, HI 96732 Maui: Family Life Center Maui (Administrative Office) 95 South Kane St., Kahului, HI 96732
	Planned Activities	ESG Shelter funds will provide for operational costs including maintenance, utilities, security, food, supplies and insurance; and transitioning homeless people into permanent housing. Homelessness Prevention and Rapid Re- housing activities include financial assistance for short- and medium-term rents, security deposits and utilities; and housing relocation and stabilization services, including case management, outreach, housing search and placement, legal services, mediation, and credit repair. Provider agencies are allowed up to 2.5% for administrative costs. The State will retain 5% for costs related to the effective administration of the ESG program, including overall program management, sub-recipient monitoring, fiscal oversight, and preparing reports and other documents for HUD, and retain 1% for the Homeless Management Information System.
12	Project Name	HOPWA22
	Target Area	Rural Counties
	Goals Supported	HP-8 HOPWA Support Services HP-9 HOPWA Financial Assistance HP-10 Coordinated Entry System HA-1 Administration
	Needs Addressed	Services for Persons with HIV/AIDS HIV/AIDS Housing Assistance Coordinated Entry System
	Funding	HOPWA: \$274,343
	Description	Provide tenant based rental assistance (TBRA); short term rental, mortgage and utility (STRMU); permanent housing assistance; and supportive services to those living with Human Immunodeficiency virus (HIV)/Acquired Immunodeficiency syndrome (AIDS).
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	Projected number of households to be served. Tenant based rental assistance: 19 Short term rent, mortgage and utility assistance: 10 Support Services: 29 with housing assistance; 429 without housing assistance; 11 Permanent Housing Placement Assistance
	Location Description	HOPWA funds will be utilized to serve the Counties of Hawaii, Kauai and Maui. Maui AIDS Foundation will serve as the lead agency and project sponsor: 1935 Main St., Suite 101, Wailuku, HI 96793. Sub-recipient agencies: Malama Pono Health Services, Kauai: 4366 Kukui Grove Street, Suite 207/PO Box 1950, Lihue, HI 96766 Hawaii Island HIV/AIDS Foundation: 74-5620 Palani Road, Ste 101, Kailua- Kona, HI 96740

Planned Activitiesfinancial assistance, including security deposits which may be used to help eligible persons establish a new residence where ongoing occupancy is expected to continue. Supportive Services: Provide supportive services such as health care accessibility, transportation, housing information, with or without housing activities, to those living with HIV/AIDS. Other services include counseling and referrals for physical and mental health; assessment; drug and alcohol abuse treatment and counseling; personal assistance; nutritional services; intensive care, when required; and assistance in gaining access to local, State, and Federal government benefits and services. Health services shall only be provided to individuals with HIV/AIDS or related diseases. Administration: Project Sponsor will utilize administrative funds for overall program management, coordination, evaluation, record-keeping and reporting by the staff assigned to the HOPWA Program. Program administration activities include monitoring program compliance, preparing HUD reports and documents, program budget, and evaluating program results.
Project Name HOPWA22 Hawaii DHS Admin
Target Area Rural Counties
Goals Supported HA-1 Administration
Needs Addressed Planning and Administration
Funding HOPWA: \$8,485
DescriptionFunds will be utilized for administrative services by the Department of Human Services, Homeless Programs Office.
Target Date 6/30/2023
Estimate the number and type of families that will n/a benefit from the proposed activities
Location State administrative activities will be undertaken at: Department of Human Services Benefit, Employment and Support Services Division, Homeless Programs Office 1010 Richard Street, Suite 312 Honolulu, Hawaii 96813
Planned Activities General management, oversight, and coordination HOPWA grant. Table 11 – Project Summary Information

Table 11 – Project Summary Information

AP-40 Section 108 Loan Guarantee – 91.320(k)(1)(ii)

Will the state help non-entitlement units of general local government to apply for Section 108 loan funds?

INO

Available Grant Amounts N/A

Acceptance process of applications $N/{\rm A}$

AP-45 Community Revitalization Strategies – 91.320(k)(1)(ii)

Will the state allow units of general local government to carry out community revitalization strategies? No

State's Process and Criteria for approving local government revitalization strategies $N/{\rm A}$

AP-50 Geographic Distribution – 91.320(f)

Description of the geographic areas of the state (including areas of low-income and minority concentration) where assistance will be directed

HOME, ESG and HOPWA funds will be distributed throughout the counties of Hawaii, Kauai, and Maui. HTF funds may be distributed in all four counties. Although projects and activities could benefit areas of minority concentration, funds will not be specifically targeted for that sole purpose.

Geographic Distribution

Target Area	Percentage of Funds		
Rural Counties	100		
Statewide	100		
Table 12 Geographic Distribution			

Table 12 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The City and County of Honolulu receives its own allocation of HOME, ESG and HOPWA funds from HUD. HHFDC and DHS-BESSD have therefore elected to focus the State's HOME, ESG and HOPWA funds in the neighbor island counties of Hawaii, Kauai, and Maui. HHFDC's annual HOME fund allocations are rotated between the three counties of Hawaii, Kauai, and Maui.

ESG funds for each component (Shelter Operations and Homelessness Prevention and Rapid Rehousing) are distributed based on population ratios: Hawaii (50%); Maui (34%); and Kauai (16%). These ratios are followed as closely as possible, but the allocation is ultimately determined by the number of applicants who are selected from each county through the State's procurement process.

Maui AIDS Foundation (MAF) is the HOPWA project sponsor/lead agency and will provide administrative management and accountability for the agencies which comprise the Neighbor Island HIV/AIDS Coalition (NIHAC): Maui AIDS Foundation, Malama Pono (MP) Health Services (Kauai), and Hawaii Island HIV/AIDS Foundation (HIHAF). HOPWA funds were distributed equitably throughout the counties based on geographic need, unmet needs, and acuity levels of individuals/households.

HHFDC's HTF program has served all four counties in the state, including the City and County of Honolulu where more than half of the state's population reside. In the past, fifty percent of HHFDC's annual allocation is awarded to the City and County of Honolulu (City) and fifty percent is awarded to one of the neighbor island counties of Hawaii, Kauai, or Maui, on the same rotation as HHFDC's HOME program allocation. For PY2022, the City will not receive an HTF allocation and the County of Kauai will receive an HTF allocation of approximately \$3,744,423.

Discussion

HHFDC distributes its annual fund allocations to the counties as State Recipients under the HOME program and as Subgrantees under the HTF program. State Recipients/Subgrantees do not allocate their HOME/HTF funds based on geographic areas. Generally, HOME and HTF funds are allocated through a Request for Proposal process, or another county-approved application process, which ranks and rates projects for program eligibility, the extent to which a proposal meets the needs of the community, and meeting the Consolidated Plan priorities, objectives, and performance measures.

Affordable Housing

AP-55 Affordable Housing – 24 CFR 91.320(g)

Introduction:

The State of Hawaii has a severe shortage of affordable housing. Statewide, it is projected that approximately 50,156 housing units will be needed from 2020 to 2025. This includes an estimated backlog of 28,459 housing units and the projected demand for approximately 18,078 new housing units to accommodate household growth. Households with incomes at or below 80% AMI are targeted for rentals and rental assistance; households with incomes from 80% to 140% AMI are targeted for homeownership assistance. Approximately 26,238 affordable rental housing units and 10,066 affordable for-sale units are estimated to be needed by 2025.

During the 5-year ConPlan period (PY2020 - PY2024), funds will support the production or preservation of approximately 127 affordable, HOME-assisted rental units and 61 affordable HTF-assisted rental units. Another 145 households will be assisted with HOME TBRA, and approximately 35 households will receive affordable homeownership opportunities under the HOME program.

One Year Goals for the Number of Households to be Supported			
Homeless	2,000		
Non-Homeless	21		
Special-Needs	280		
Total	2,301		
Table 12 One Veen Cools for Affendable Usuains by Cum	a sub Da su du sus sub		

Table 13 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through				
Rental Assistance	0			
The Production of New Units	21			
Rehab of Existing Units	0			
Acquisition of Existing Units	0			
Total	21			

Table 14 - One Year Goals for Affordable Housing by Support Type

Discussion:

For PY2022, the County of Kauai, as HHFDC's State Recipient of HOME and HTF funds, intends to use these funds toward the production of approximately 21 new rental and for-sale homeownership units.

AP-60 Public Housing - 24 CFR 91.320(j)

Introduction:

Demand for public housing remains high in Hawaii. As of July 1, 2021, the HPHA's waitlist for federal public housing units totaled approximately 1,860. Of these, more than 92% were families with extremely low incomes at or below 30% AMI. Waitlisted families with children totaled 412, while elderly families and families with disabilities totaled nearly 566 and 542 respectively. Families on HPHA's waitlist for Section 8 as of July 1, 2021 totaled more than 2,372; of these, families with extremely low incomes totaled 2,204. More support and assistance are clearly needed for this segment of the population.

Actions planned during the next year to address the needs to public housing

HPHA will continue to seek partnerships with development partners in going forward with its Public Housing property redevelopment strategy. In addition, HPHA will continue to collaborate with the Resident Advisory Board, resident associations, service providers, legislators, businesses, and various community organizations and members to improve the quantity and quality of HPHA's public housing communities.

HPHA intends to utilize \$10 million in General Obligation bonds appropriated by the Hawaii State Legislature to assist with the capital repairs of health and safety issues at public housing properties.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

HPHA uses various strategies to promote public housing resident involvement with management. More specifically, HPHA encourages and assists Resident Associations in their duties of addressing general and property-specific issues.

HPHA also works with the Resident Advisory Board, made up of public housing property leaders, in reviewing and revising management processes in the HPHA 5-Year and Annual Plan. Meetings include discussion topics that affect all public housing residents, and cover issues related to redevelopment plans, safety and security, and legislative endeavors.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

HPHA is not a troubled agency.

Discussion:

AP-65 Homeless and Other Special Needs Activities – 91.320(h)

Introduction

The Hawaii Interagency Council on Homelessness has drafted the "Framework for Ten-Year Strategic Plan (2022-2032)" to end homelessness in Hawaii. This plan advances the vision of ending homelessness in Hawaii by implementing and sustaining a housing-focused system that draws upon the efforts of multiple partners and creates a clear pathway to stable housing for individuals and families experiencing homelessness. The plan and vision recognize that the work to end homelessness cannot be addressed by any one government entity or provider alone and requires a shared community effort and 'all hands on deck' approach. (See "Framework for Ten-Year Strategic Plan (2022-2032)" draft at: https://homelessness.hawaii.gov/hich/.)

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The State Homeless Outreach Program provides comprehensive geographic coverage of the State's four counties: Oahu, Maui, Kauai, and Hawaii. Outreach efforts cover 100 percent of BTG's geography in alignment with established PIT regions. Outreach begins with the use of the VI-SPDAT, the common triage tool. The CoC continues to utilize its county-specific by-name lists with corresponding VI-SPDAT scores so that, based on vulnerability and other prioritization factors, all homeless individuals can be matched with the resources most appropriate to their needs. Assertive, coordinated outreach efforts continue in the rural counties to ensure that the individuals and families assessed via VI-SPDAT with the highest acuity are prioritized for a permanent supportive housing level of care. Partnerships with a broad range of organizations helps the CES to reach people least likely to apply for homeless assistance. These organizations include local and state government, law enforcement, Dept. of Land and Natural Resources, Office of Hawaiian Affairs, local DOE liaisons, and mental health organizations. Outreach teams are interconnected with these agencies and are often the first point of contact due to their nurtured experience and rapport with the unsheltered. Outreach teams frequently coordinate with local county housing agencies and Mayors' offices to support relocation efforts or encampment sweeps.

State contracted agencies are required to conduct outreach at times and in locations sufficient to identify and engage all unsheltered homeless. Experienced staff helps to engage unsheltered homeless in more obscure locations or who are least likely to request assistance. BTG has aligned outreach services with shelter and housing programs to ensure that homeless verifications and triage assessments are immediately completed, documents needed for housing are prioritized, eligible benefits are obtained, and providers maintain contact with unsheltered households to facilitate a "warm hand off" with housing providers until housed.

Recent State requirements necessitated a multidisciplinary canvassing team which minimally consist of outreach staff, case manager, licensed social worker, licensed medical and mental health professionals. Activities such as engagement, case management, and medical and mental health services are robust and focus on increasing opportunities to assess the need of each homeless person to improve and stabilize their physical and mental health. BTG providers frequently partner with licensed medical and mental health professionals to help diagnose disabilities or treat wounds. Teams may also include multilingual staff to communicate and improve access for those with limited English proficiency. Legal services through the Outreach program assist in procuring documents in preparation for permanent housing.

In early 2020, an amendment to the 2019 Action Plan was executed to include Outreach as an eligible component to BTG's ESG COVID (CV) programs. The objective was to provide essential services necessary to reach out and assist unsheltered persons and provide supplies and equipment to reduce the spread of COVID19. ESG-CV funding has enabled BTG to expand outreach coverage on all rural counties through new provider CARE Hawaii. The YWCA has recently been contracted to expand shelter coverage on Kauai to survivors of Domestic Violence. HOPE Services on the Big Island subcontracts services to the West Hawaii Community Health Center and the Bay Clinic to increase coverage to homeless with chronic health conditions. Akua Treatment was recently added as an access point agency on the Big Island.

Addressing the emergency shelter and transitional housing needs of homeless persons

The ESG Shelter Operations and the State Homeless Shelter Program will fund emergency and/or transitional shelters with the intent to achieve broad geographic accessibility throughout the state. Hawaii's shelters provide a secure environment where individuals and families can stabilize lives, address needs, and strengthen economic situations. These facilities provide a broad spectrum of services, or referral to services, to address the multiple needs of homeless clients, including substance abuse, mental health, and educational services; job and life skills training; and family support. All shelters provide access to basic needs, such as a safe and decent place to sleep, prepared meals and/or food/kitchen facilities, hygiene essentials, and clothing. Shelter services are required be provided in a manner that is consistent with the Housing First approach, with the goal of permanent housing placement. The State's emergency shelters are considered access points and are contractually required to utilize the VI-SPDAT and participate in each county's CES.

Diversion and prevention strategies will continue to be offered at the point households are seeking shelter or emergency housing options. Diversion is a flexible short-term intervention that assists households experiencing homelessness with innovative solutions to overcome their housing crisis and avoid entering the shelter system in the first place. Prevention strategies aim to reduce homelessness through financial assistance and case management supports to keep people in housing from experiencing homelessness. Prevention referrals target resources which are primarily short-term or one-time in nature, such rental assistance, rental/utility arrears and/or utility assistance.

State transitional shelter providers are allowed to utilize beds or units for bridge housing and are required to follow its CoC CES process and obtain prior written authorization by HPO. The providers are also required to work closely with a permanent supportive housing program to assist in obtaining permanent housing for the participant. Bridge housing will be used for a short-term stay, generally for up to 90 days, when an individual or family has been offered and accepted a permanent housing intervention (e.g., section 8 voucher, permanent supportive housing voucher, rent to work voucher), but is not able to immediately enter the permanent housing.

The Family Assessment Center (FAC) program continues to be managed by the Neighborhood Place of Puna in Hawaii County. The target population of the FAC are unsheltered homeless families transitioning to permanent housing. FAC services focus on helping families access permanent housing as rapidly as possible by removing barriers to program entry, assisting with quickly locating and accessing housing options, assisting to access income and benefits, and connecting to community services and supports to promote stability and prevent evictions and returns to Homelessness.

Congregate emergency shelter providers maintain its enforcement of COVID protocols which include: tightly regulating shelter entry during outbreaks and community spread events; reducing bed capacity to minimize spread of COVID as necessary; providing PPE; hand washing and hand sanitizing supplies to staff and participants; securing COVID testing services; implementing anti-contagion measures at shelter and housing facilities; installing air purifiers; implementing daily temperature checks; promoting vaccinations; distributing COVID informational flyers; conducting non face to face case management; requiring participants and staff to wear masks; requiring isolation before entry; and modifying food prep and distribution in accordance with State Department of Health protocols.

Annual Action Plan 2022 Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The State's Rapid Re-Housing Program (RRH) clearly defines and delineates its two components: RRH which targets homeless households, and Homeless Prevention (HP) which provides financial assistance and support services for those imminently at risk of losing their home. Homeless and at-risk households earning at or below 50% of the AMI are eligible for this State program. RRH providers are required to focus on permanent housing placement, providing clear identification of activities to ensure compliance with Housing First (HF) approach, and working with households with income to contribute towards their rent. DHS-HPO will continue to strategically use state funds, which are less restrictive than federal funds, to create a system of care for needy individuals and families at a broad range of AMI.

BTG aligns with State and Federal requirements by using RRH resources without preconditions to help households obtain housing quickly, increase self-sufficiency, and remain housed. BTG employs essential activities to achieve these goals including landlord recruitment, rental/financial assistance, housing stabilization services, connections with community resources, and acquisition of underutilized properties for housing conversion. BTG also recognizes that increasing housing inventory for the homeless is critical. In Hilo, the Keolahou housing project is operational and provides 18 PH units. HOPE Services has developed dozens of micro units in Pahoa over the last two years that are used to house the homeless. In Kona the Kukuiola project is being developed to provide 32 PH units and is expected to be operational in 2022. In Maui, the Huliau project came online in 2020 and provides 12 PH units for families, all filled through BTG's CES. In Kauai, the 54-unit Pua Loke affordable rental project came online in 2021. Kauai County partnered with local chapter KCA to reserve five of these units for homeless referrals through CES. Since July 2020, Maui County has helped to develop over 340 units of affordable housing in Central, West, and South Maui. Although only a small percentage have been designated for the homeless, these units increase the supply of workforce housing, which generates flow in market rentals and promotes housing opportunities for the homeless.

The State acknowledged that over a period of time, mental health, physical health, or other challenges may decrease and so emphasized a "move-on strategy" in its HF program requirements. Thus, the supportive service needs of PSH participants may be adjusted from intensive supportive services to less intensive mainstream services. However, in many cases, the need for financial housing assistance remains, so case managers must continue to work to identify other housing subsidies. Moving on to less service intensive permanent housing assistance is a cost-effective strategy for the community and supports participant choice.

BTG has integrated PHA-funded units in its CES processes through the prioritization scheme outlined in its CES policies and procedures. Additionally, BTG modified existing CES referral and prioritization eligibility criteria to handle the influx of Emergency Housing Voucher (EHV) vouchers allocated through the American Rescue Plan. The EHV eligibility and referral process is objective, transparent, and aligns with CES policies and procedures as approved by the BTG BOD. BTG's CES has gained traction and confidence with local PHAs, leading to additional unit allocations for new projects. An example of this includes the Pua Loke affordable housing project on Kauai, where five of the 54 units were filled through the CES.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The State, largely through the efforts of the Hawaii Interagency Council on Homelessness (HICH) and its partners, continues to make progress on addressing discharge planning to address persons leaving mental and physical health institutions. These efforts include executed agreements with some of the State's largest hospital systems to aggressively pursue data sharing to address those persons who use emergency services at a disproportionate rate. Cost savings and improved health outcomes can be achieved through better partnerships and a sharing of resources. The HICH has also begun working closely with the State Hospital on possible strategies to provide supportive housing to forensic patients who no longer need a hospital level of behavioral health care. For example, the Department of Health (DOH) recently opened an eight-bed behavioral health stabilization facility in Kona on Hawaii island stepping down from a higher level of care and is also looking to establish a similar facility on Maui. Such projects can and will be pursued as "pilot projects" to further develop cost benefit data. The HICH has been successful in demonstrating that supportive housing is a critical need that stretches across various populations, including the homeless, the mentally ill, reintegrated prisoners. Creating the means to pay for the supportive services that are often required for these specialized populations (whether homeless or not) is being pursued actively with the State's Medicaid program. During the COVID-19 pandemic, the HICH worked together with the Hawaii Department of Health (DOH) and the Continua of Care (CoCs) to establish isolation and quarantine facilities for individuals who were confirmed positive or had close exposure to someone who was confirmed positive.

Hospitals and federally qualified health centers (FQHCs) across the State are being actively engaged with the HICH's efforts to end homelessness. As part of the State's strategies, chronic homeless that have the highest acuity have been prioritized for placement and services in permanent supportive housing. This does not mean, however, that other vulnerable populations have been forgotten. The Affordable Care Act (ACA) has prompted hospitals and FQHCs to become more engaged with the homeless system of care. These partnerships have launched additional discussions about how homeless resources may be utilized to prevent exiting patients from becoming homeless again. On Maui, for example, the Maui Memorial Medical Center routinely contacts homeless shelters as a possibly discharge resource for their patients. While homeless providers do their best to meet community needs, it is clear that other community-based resources must be developed. On Oahu, the Queen's Medical Center has an agreement in place with a local provider to rent a home to temporarily house post-surgical patients who do not require hospitalization, but have no safe, sanitary home to return to. Patients can remain at the home for a few weeks and receive meals and medical follow up care in that setting. Similar programs were recently launched on Maui and Hawaii island and are being considered for Kauai as a pilot project.

The VISPDAT continues to be used as a common triage tool by partners and providers across the State of Hawaii. VISPDAT data suggests that approximately 30-34% of individuals require permanent supportive housing level of care. As more and more partners, including hospitals and jails, administer the VISPDAT and add to the aggregate data, the better our system will know our needs and the gaps that exist in the resources for permanent supportive housing.

Discussion

The State will continue to work in coordination with the HICH to further develop working partnerships and collaborations with hospitals and hospital systems, and with the State's Department of Public Safety (DPS) to address discharge planning for special needs populations. For example, the HICH recently worked together with DPS, DOH, and the Hawaii Department of Labor and Industrial Relations (DLIR) to apply for a federal grant to incentivize employers to hire ex-offenders exiting jail/prison to increase opportunities for employment and housing stability for this population. HICH has also worked with the Hawaii Public Housing Authority (HPHA) to support recent applications to expand federal mainstream housing choice vouchers, and specific vouchers for youth at risk of homelessness.

HICH is also coordinating closely with the DHS Med-QUEST Division and managed care organizations (MCOs) regarding the ability for Medicaid to cover supportive services to assist with housing placement and housing retention for individuals who are homeless or at risk of homelessness. Over the past year, the MCOs have established Housing Coordinator positions to coordinate these supportive services. In July 2021, DHS launched its Community Integration Services (CIS) pilot program to have MCOs contract homeless service providers to offer pre-tenancy and tenancy services for at-risk and homeless individuals with a functional need. Information regarding CIS, including a Medicaid 101 training video, are posted online at: https://homelessness.hawaii.gov/cis. DHS Med-QUEST Division and HPO staff have met regularly with the Governor's Coordinator on Homelessness to troubleshoot issues related to implementation of the CIS pilot.

HICH is also facilitating ongoing discussion with the Department of Hawaiian Home Lands (DHHL) regarding the use of Native American Housing Assistance and Self-Determination Act (NAHASDA) funds to provide rental subsidies and eviction prevention for native Hawaiians who are homeless or at risk of homelessness. DHHL recently executed a data sharing agreement with the Oahu CoC to identify DHHL beneficiaries in the HMIS or on the By Name List (BNL), and over the next year will explore the possibility of entering into a similar agreement with the CoC for the Balance of State. DHHL is also exploring the possibility of partnership with the MCOs to pair Medicaid supportive services with rental subsidies available through NAHASDA.

In 2020, DOH fully implemented its 24-hour Hawaii CARES line, which streamlines access to behavioral health services for individuals with severe mental illness or substance use disorders. The HICH is working with DOH to ensure the Hawaii CARES line assists homeless service providers to connect individuals with behavioral health needs to DOH resources, such as stabilization beds, residential substance use treatment, or intensive case management services. In addition, DOH and HICH have established a process for individuals to be discharged from behavioral health treatment to permanent housing or bridge housing. In 2021, the partnership between DOH and the HICH included training for forensic peer specialists, expansion of stabilization beds to the neighbor islands, expansion of statewide intensive case management, and the implementation of behavioral health diversion programs in partnership with the Judiciary.

The Hawaii Department of Human Services (DHS) is continuing its Imua Kakou program, which allows young adults who turn 18 years old in foster care, or those youth who were adopted or placed in a guardianship after age 16, to participate in the voluntary foster care program until age 21. Imua Kakou provides extended foster board payments, case management support, housing opportunities, training in independent living, assistance in securing jobs or job training, and support to continue education.

AP-70 HOPWA Goals – 91.320(k)(4)

One-year goals for the number of households to be provided housing through the use of HOPWA f	or:
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or	
family	10
Tenant-based rental assistance	19
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0
Units provided in transitional short-term housing facilities developed, leased, or operated with	
HOPWA funds	0
Total	29

Table 15 – HOPWA Goals

AP-75 Barriers to affordable housing - 91.320(i)

Introduction:

Many factors contribute to the shortage of housing and, consequently, the lack of affordability in the State. Included are demographic changes, market forces, changes in federal housing policy, changes in federal tax policy, and development constraints (including the lack of "reasonably priced," developable land, lack of infrastructure, high development costs, governmental regulations, community opposition, and growing environmental requirements).

Geographic Limitations: Hawaii lacks sufficient land near its major population centers. As an island state, Hawaii's percentage of land suitable for development is the lowest among the 50 states. Furthermore, as more area is developed, fewer acres of undeveloped land remain. The value of undeveloped land increases and the political power of owners of developed land grows. Supply is attenuated, which causes prices to rise. Geographic constraints reduce housing supply by limiting housing investment.

Lack of Major Off-Site Infrastructure: The lack of off-site infrastructure, such as roads, sewers, water, drainage, and schools, increases the cost of housing. Historically, public infrastructure was developed by local government. However, as the cost of infrastructure increased and development requirements grew, the responsibility for off-site infrastructure was passed to developers, increasing the cost of housing, which is passed to the beneficiaries of the housing units developed: owners and renters of a new development.

Construction Costs: Compared to construction costs across the mainland U.S., Hawaii's construction costs are high. Significant contributions to building costs are unionization, local wages, local topography, and the regulatory environment. Construction costs can rise sharply in construction boom periods and makes tight-margin projects like workforce housing challenging to complete. The cost of construction is impacted by the high cost of litigation and insurance that are factored into the price of goods and services. Insurance coverages include property, general liability, professional liability, excess liability, unemployment, health, auto, workers compensation, business interruption, and even terrorism.

Government Regulations: The purpose of housing planning and regulation is to bring order to the development of cities and towns, protect people against arbitrary development practices and, more recently, to protect the character of neighborhoods as they exist. They are intended to promote public health and safety, control or direct growth, target resources, and prioritize areas of importance. However, these policies and regulations unintentionally add to the cost of housing.

Tax Policy - Taxes add to the cost of housing production. The 4% general excise tax (and additional 0.5% county surcharge tax in the City and County of Honolulu) is levied on rental receipts, construction materials, and personal services, such as architectural and engineering fees. A conveyance tax of 10 cents per \$100 of purchase price for residential properties valued at \$600,000 or less, 20 cents per \$100 of purchase price for residential properties valued at \$600,000 or more but less than \$1 million, and escalates for properties valued at more than \$1,000,000. County and real property taxes are levied based upon the assessed valuation of the property.

Mini Davis-Bacon requirements - Act 294, SLH 1990, requires HHFDC and the counties to comply with the prevailing wage requirements of the State Labor Department for any project receiving tax credits or other assistance under the provisions of Chapter 201H, HRS. This requirement may add substantially to the cost of a housing project, sometimes by as much as 20%.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Integral to any strategy to remove or ameliorate barriers to affordable housing is collaboration through public-private partnerships. Partnerships are needed to educate decision-makers, be at the table to champion affordable housing, and find ways to incentivize the development of affordable housing. HHFDC will take the following actions to remove or ameliorate the development of affordable housing:

- Infrastructure strategies: Implement alternative financing mechanisms to fund infrastructure improvements including community facilities districts and tax increment financing. Explore mixed-use developments that incorporate affordable housing and other community services near high quality public transit.

- Resource strategies: Continue to make government land and financing resources (e.g., Rental Housing Revolving Fund, LIHTCs) available for affordable housing developments. Explore alternative financing sources including a Transit Oriented Affordable Housing (TOAH) funds which is a public-private financing resource funded by government, philanthropic and financial entities for the development of affordable housing and community facilities near transit lines. Continue to advocate for funding for the Rental Housing Revolving Fund and capital improvement project funds for affordable rental housing projects. Explore additional incentives for private development participation in the development of affordable housing. Also explore opportunities to collaborate with new public partners in the mixed-use residential development of government land.

- Government regulations strategies: Continue to utilize Section 201H-38, Hawaii Revised Statutes (HRS), which provides eligible affordable housing projects with an expedited process to obtain land use and zoning approvals. It also provides greater flexibility in the design of housing projects. Continue to work to accelerate regulatory and building permits by supporting third party reviews, eliminating duplicative reviews at the state and county levels, and creating design standards for workforce housing, as well as transit-oriented development. Participation in pilot projects, such as micro-apartment units or container housing, to find ways to reduce development costs.

- Tax Policy strategies: Continue to utilize Section 201H-36, HRS, which authorizes HHFDC and the counties to approve and certify for exemption from general excise taxes any qualified person or firm involved with a newly constructed or moderately or substantially rehabilitated affordable housing project. Provide lawmakers with information on the success of housing bond and Low Income Housing Tax Credit programs and request increased credit resources to help increase the production of affordable housing.

Discussion:

AP-85 Other Actions - 91.320(j)

Introduction:

As a condition to receiving HUD Community Planning & Development funds such as HOME, HTF or ESG, grantees like HHFDC and DHS-BESSD are required to affirmatively further the purposes of the Fair Housing Act. To help identify impediments to fair housing choice, grantees conduct an Analysis of Impediments to Fair Housing Choice (AI) that analyzes existing conditions affecting Fair Housing, identifies impediments to fair housing, and proposes an agency action plan outlining steps to reduce the impediments. Generally, HHFDC has updated its AI every five years to coincide with the Consolidated Plan.

In 2019, HHFDC and its housing partners (Hawaii Public Housing Authority (Lead), HHFDC, DHHL, DHS-BESSD, and the housing agencies of all four counties) pooled their resources and contracted with the University of Hawaii (UH) to conduct an AI that focused on each agency's internal policies and procedures that could impede efforts to affirmatively further fair housing and identified actions to improve each agency's fair housing efforts. This focus on internal policies and procedures was a result of agency staff providing incorrect or conflicting information to the public and a desire to improve the delivery of fair housing information to the public. UH reviewed internal policies and procedures relating to fair housing and conducted a staff survey of each agency. The survey was focused on determining the level of staff's fair housing knowledge and agency procedures in providing fair housing information to the public.

In HHFDC's AI, UH recommended several actions to improve the delivery of fair housing information to the public. First and foremost, the AI recommended that agency staff receive fair housing education. It also recommended that HHFDC's policies and procedures be updated to reflect current fair housing requirements, and that the updated policies and procedures be disseminated to the staff and public through its programs. The AI encouraged the continuance of providing fair housing education for landlords, tenants, agencies, and the public, and finally, recommended that HHFDC documents its efforts to overcome patterns of segregation in favor of integrated and balanced areas of living and opportunity. The AI can be viewed on HHFDC's website at https://dbedt.hawaii.gov/hhfdc.

Actions planned to address obstacles to meeting underserved needs

Major obstacles to meeting underserved needs are the severe shortage of affordable housing and the lack of funding. To address these obstacles, the State will pursue, or continue to pursue, the following:

- advocate for increases in State funding to support the development of affordable housing, homelessness prevention and rapid re-housing activities, and for homeless/shelter services and improvements;

- consolidate CoC SuperNOFA applications for funding to meet underserved needs and provide technical assistance to improve outcomes;

- work with State Recipients and Subgrantees to improve the administration of the HOME and HTF programs;

- leverage federal resources such as HOME, HTF and ESG funds to provide low-cost rental units for transitional housing and supportive services to homeless populations, and to sheltered families who are at risk of becoming homeless;

- apply for competitive federal funds to acquire/build supportive housing to meet the housing and service needs of the chronically homeless and homeless families;

- continue programs to help homeless, Section 8 voucher holders, and at-risk families in securing private sector permanent housing with assistance in first month's rent, rental deposit, landlord intervention to foster good tenants, landlord solicitation to increase the number of available units, budgeting classes, and other support services.

Actions planned to foster and maintain affordable housing

Part of the plans to foster and maintain affordable housing is to remove or ameliorate barriers to affordable housing. HHFDC plans to collaborate through public-private partnerships to educate decision-makers, be at the table to champion affordable housing, and find ways to incentivize the development of affordable housing.

HHFDC will continue to make government land and financing resources (e.g., Rental Housing Revolving Funds, Low Income Housing Tax Credits) available for affordable housing development and explore alternative financing sources including a Transit Oriented Affordable Housing (TOAH) Fund which is a public-private financing resource funded by government, philanthropic and financial entities for the development of affordable housing and community facilities near transit lines.

HHFDC will continue to advocate for funding for the Rental Housing Revolving Fund and capital improvement project funds for affordable rental housing project, explore additional incentives for private development participation in the development of affordable housing; and explore opportunities to collaborate with new public partners in the mixed-use residential development of government land.

Actions planned to reduce lead-based paint hazards

While almost all of HPHA's family projects have been tested and either encapsulated or abated, the State Department of Health requires that with each modernization, updated test results must be provided that is no more than two years old. Therefore, when doing modernization, HPHA will include LBP testing as part of the scope and abate/encapsulate as required or treat the entire project as under the assumption that it is lead-containing and monitor and abate/encapsulate as required in lieu of obtaining new test reports.

Actions planned to reduce the number of poverty-level families

The mission of the State Department of Human Services (DHS) is to direct resources toward protecting and helping those least able to care for themselves and to provide services designed towards achieving self-sufficiency for clients as quickly as possible. The production and preservation of affordable housing provide housing stability that assists families in their efforts to attain economic self-sufficiency. HHFDC, DHS-BESSD, HPHA and the counties will continue to consult with DHS to coordinate and maximize program benefits to poverty-level families. The affordable housing strategy will assist to reduce the number of poverty-level families.

Actions planned to develop institutional structure

HHFDC, DHS-BESSD, and the counties will continue to take the lead in implementing the state Consolidated Plan, in consultation with an extensive network of public and private organizations involved with providing affordable housing, special needs housing, and supportive services. The strengths of the delivery system in the State are derived from the many different entities striving toward one common goal: to provide for more affordable and supportive housing in the State. State, federal, and county agencies, private nonprofits, private social service providers, private lenders, and private forprofit developers are partnering to respond to the urgency of the housing situation in Hawaii. Each stakeholder in the delivery system contributes resources and expertise from its specific area of operation. In overcoming gaps in the delivery system, an integrated approach will be undertaken to achieve a common vision for housing. Commitment, coordination, and collaboration among and within all levels of government, private sector, nonprofits, and consumers are necessary. In the homeless arena, the Hawaii Interagency Council on Homelessness is achieving this integrated approach to increase the state's capacity to end homelessness, through collaborative efforts across government agencies and service providers.

Actions planned to enhance coordination between public and private housing and social service agencies

HHFDC, DHS-BESSD, and the counties will solicit nonprofit developers and service providers to continue to provide affordable rental and for-sale housing, self-help housing, and housing for special needs groups. Private industry, including for-profit developers and financial institutions, will play a major role in expanding affordable housing opportunities for Hawaii's low- and moderate-income households. Private foundations will be a source of grants and other resources. Faith-based groups will provide grants, manpower, support services, and other resources, primarily for the most vulnerable populations, such as the homeless and persons with special housing needs. Government agencies involved with the "bricks and mortar" of housing and related infrastructure, including HHFDC, the Department of Hawaiian Home Lands, county housing agencies, HUD, and USDA-Rural Development, will facilitate the development of affordable housing by providing financing tools and other resources. Governmental agencies involved with the provision of human services and health, including the Departments of Health and Human Services, will provide resources either directly to clients or through nonprofit health and service providers to support low- and moderate-income households.

Discussion:

Program Specific Requirements

AP-90 Program Specific Requirements – 91.320(k)(1,2,3)

Introduction:

HOME Investment Partnership Program (HOME) Reference 24 CFR 91.320(k)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

The forms of assistance provided under the State's HOME program will be consistent with 24 CFR 92.205. No other forms of investments will be provided.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

When HOME funds are used for homebuyer assistance or the development of homeownership housing, the HHFDC requires State Recipients to establish and impose resale or recapture provisions in accordance with HOME regulations to ensure affordability in subsequent sales during the affordability period.

The County of Hawaii will recapture the total amount of HOME funds expended for a project, from the net proceeds of the sale, when the recipient fails to comply with the terms of its agreement with the County, or refuses to accept conditions imposed by the County, HHFDC, or HUD, when the recipient sells or otherwise transfers any real or personal property purchased or constructed with HOME funds within the affordability period, and when the recipient ceases to use the property constructed, rehabilitated and/or renovated with HOME funds for the applicable period of affordability, following the issuance of final payment for the project by the County. Net proceeds are defined as the sales price, minus superior loan payments (other than HOME funds) and any closing costs. Under no circumstances can the PJ capture more than is available from the net proceeds of the sales.

The County of Kauai will use either the resale or recapture provision depending on land tenure:

- 1) For fee-simple sales, the County will utilize a recapture provision. If a homeowner elects to transfer or sell the HOME-assisted property prior to the end of the HOME affordability period, the total amount of HOME funds provided shall be due to the County from available net proceeds.
- 2) For leasehold sales, the County will utilize a resale provision. If a homeowner elects to transfer or sell the HOME-assisted property prior to the end of the HOME affordability period, the property must be sold to another HOME-eligible purchaser. The fair return for resale will be established by an objective standard using appraised value performed by a duly licensed appraiser at initial purchase ("the base price") and appraised value at time of resale. The seller's share will be equal to twenty-five percent (25%) of the increase in appraised value. To ensure that housing under the resale provision remains affordable, the County has defined the reasonable range of low-income homebuyers targeted for subsequent purchase as those with incomes between 70 and 80 percent of the area median income.

If a HOME-assisted unit is sold, conveyed, or transferred during the affordability period, the County of Maui requires recapture of the total amount of the HOME investment for the unit, less the HOME investment amount prorated for the length of time the homeowner owned and occupied the unit. The homeowner may recover the homeowner's entire investment (down payment and capital improvements made since purchase) before any of the Direct Subsidy is recaptured. The County's recapture provision allows for the assumption of recapture obligations by a subsequent homebuyer, provided the subsequent homebuyer is HOME eligible and no additional HOME assistance is provided to the subsequent homebuyer. If the subsequent homebuyer does not assume the HOME recapture obligations, the homeowner must repay the prorated HOME investment from any net proceeds.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds 24 CFR 92.254(a)(4) as follows:

HHFDC requires all HOME-assisted housing meet the HOME affordability requirements for homeownership housing units and requires State Recipients to repay HOME funds to HHFDC if affordability requirements are not met. Each State Recipient must require its recipients to maintain all affordability requirements through the execution of deed restrictions and lien recordation or through the filing of restrictive covenants running with the land.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

HHFDC does not plan to use HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds.

Emergency Solutions Grant (ESG) Reference 91.320(k)(3)

1. Include written standards for providing ESG assistance (may include as attachment)

Subrecipient agencies providing ESG assistance are contractually required by the State, detailed in the Scope of Service, to follow standards in accordance with 24CFR Part 576 Part 576. Eligibility standards are written in DHS/HPO's ESG Policies and Procedures (P&P) Manual, Section 1.0 (attached as "ESG Exhibit B.")

BTG-has approved written standards for providing ESG Rapid Re-housing assistance and for the implementation of the Coordinated Entry System. General information about to BTG, including existing, approved P&Ps can be found at the BTG website: https://www.btghawaii.org/about/. BTG's Coordinated Entry System (CES) Manual establishes written standards for consistent, transparent, and impartial access to housing and support services interventions. The written standards describe who will be prioritized for assistance and define specific roles and responsibilities of access points and county conveners. CES Manual can be found at: https://www.btghawaii.org/about/.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

BTG's CES process begins with assertive community outreach to identify and engage the homeless; then utilizing the VI-SPDAT to assign a vulnerability score; and adding the names to the by-name list by county with corresponding VI-SPDAT scores so that, based on vulnerability and other prioritization factors, all homeless individuals can be matched with the resources most appropriate to their needs. When necessary, local representatives will convene case conferencing (CC) meetings throughout all rural county jurisdictions to discuss client needs and offer services to meet those needs. CC also provides the opportunity for communities to assess the needs of the homeless and begin to document the gaps that exist in the service system.

A fully automated the CES referral process continues to be utilized. These enhancements in HMIS created efficiencies in the system in terms of placing/housing clients, expand autonomy for providers, and allow the CoCs to use system data more confidently to drive policy and funding decisions.

Adherence to Housing First is paramount in developing CES processes, including ongoing evaluation of projects through the activities described below.

(1) Establishing acceptable reasons for unassigning referrals made to housing projects. HMIS Lead and CES conveners monitor "unassignments" to ensure that this classification is applied for legitimate reasons only. Projects that are not in alignment with this policy are brought to the attention of the BTG BOD for review and resolution. HPO has implemented "unassignment" thresholds for their State-funded HF PSH projects.

(2) Aligning BTG's CES P&Ps with HF principles so that automated referrals occur without preconditions and with minimal requirements. This expedites the housing placement process and helps to ensure that access point and housing provider responsibilities are clearly delineated.

(3) Incorporating metrics in BNL and monthly monitoring reports to track length of time from referral for open referrals. These reports are monitored by HMIS Lead KMNH and CES conveners for open referrals exceeding 90 days. KMNH follows up with housing providers that exceed these standards.

(4) Incorporating criteria in the annual NOFO project evaluation process regarding alignment with HUD and BTG HF principles. For example, projects are penalized if they are not filling vacancies quickly, or referrals are unassigned for reasons which are not acceptable.

(5) Developing a "Housed Through CES" HMIS report that tracks placements in housing programs to ensure fidelity to HF commitments and the automated referral process.

2022 will continue to include virtual training activities targeted to neighbor island providers. Topics include:

- Overview of the CES processes;
- Data quality fundamentals best practices for effective referrals, and updates to referral and assessment records;
- Methods for updating referrals and assessments as households are placed in housing resources;
- Review of HMIS Referral Reports (Main and sub-reports), and using system contact and case note functionality to enhance communication among providers;
- New summary reports;
- An overview of upcoming changes to the referral process based on system automation;
- Review of current CES related reports in HMIS;
- Review of client document readiness;
- Review of updated VI SPDAT and BNL prioritization and policies;
- Step by step instructions on requesting referrals to TH, RRH & PSH programs in HMIS; and
- Discussion regarding re-assignments and manual referrals.
- 3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The Department of Human Services first posts a Request for Information (RFI) on the State Procurement Office (SPO) and BTG websites. Publication on public websites allow the State to reach a wide variety of interested stakeholders including non-profit, private community and faith-based organizations. Additionally, the notice of publication is delivered through each local chapter listserv. The RFI is created in such a way that does not preclude providers from applying that previously had not received ESG funding.

The RFI requires work plan forms to identify clear goals, objectives, and outcomes for two distinct programs: ESG Shelter Operations and ESG Homelessness Prevention and Rapid Re-Housing (HPRP). The Housing Opportunities for Persons with AIDS (HOPWA) RFI is simultaneously posted and follows the same processes and procedures as ESG. Eligible applicants (provider agencies) submit their project information in strict accordance with the RFI requirements within the specified deadline.

DHS/HPO reviews the applications and may request additional information from the applicants, if necessary. Applications are competitive and evaluated by a team consisting of individuals with no conflict of interest, representing each of the three CoC county chapters and the State. In general, applications are scored according to completeness of the application; agency's qualifications; financial feasibility; past performance; and agency's participation in CoC activities. The evaluation committee selects the awardees (usually agencies scoring 70% or higher). HPO verifies that the awardee agencies and its principals are not suspended or disbarred or otherwise excluded from participating in the transaction per 2 CFR section 180.995. This verification is accomplished by running a report for each agency through the System for Award Management (SAM) website. Awardees are notified through written notices.

HPO submits a combined proposal on behalf of the ESG/HOPWA awardees through the related sections of the Annual Action Plan to the Hawaii Housing Finance and Development Corporation (HHFDC). HHFDC consolidates the HOME, ESG and HOPWA information and publishes a draft Annual Action Plan for a 30-day comment period. After the 30-day comment period, HHFDC seeks consideration and approval from the HHFDC Board. When approved, HHFDC submits the plan to HUD by the established deadline. After review by HUD, HHFDC is notified of the award and a grant agreement is executed. The grant agreement names the Department of Human Services as the department designated to administer the grant. (DHS and HHFDC maintain a memorandum of agreement for the administration of the ESG and HOPWA programs.) DHS executes contracts with the selected provider agencies. Award list is posted on the SPO website as contracts are executed.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The Bridging the Gap governance charter stipulates that a minimum of nine individuals shall comprise the BTG Board. Each of the three county chapters (Maui, Kauai, and Hawaii) shall name three individuals from their membership to participate on the BTG board. One out of the three selected people shall be a representative of the respective county government. The second representative shall be the chair of the local chapter or their designated representative. The remaining member of the counties' delegation shall be chosen from the chapters' general voting membership. If one of the nine does not meet the homeless or formerly homeless criteria, then an individual meeting those criteria will be selected from the general membership.

5. Describe performance standards for evaluating ESG.

The HEARTH Act of 2009 amended and reauthorized the McKinney-Vento Homeless Assistance Act with substantial changes, including an increase in emphasis on system performance. These performance criteria encourage CoCs in coordination with ESG Program recipients and all other homeless assistance stakeholders in the community, to regularly measure their progress in meeting the needs of people experiencing homelessness in their community and to report this progress to HUD. Specifically, BTG's System Performance Measures (SPM) assess the CoC's performance relative to the following:

- Measure 1: Length of Time Persons Remain Homeless (LOT)
- Measure 2: The Extent to which Persons who Exit Homelessness to Permanent Housing Destinations Return to Homelessness
- Measure 3: Number of Homeless Persons served:
- (a) in the most Recent Point-In-Time Count; (b) Annually
- Measure 4: Employment and Income Growth for Homeless Persons in CoC-funded Projects
- Measure 5: Persons Homeless for the First Time within the Last 2 Years
- Measure 7: Successful Placement from Street Outreach and Successful Placement in/or Retention of Permanent Housing
- SPM Data Quality thresholds for Exit Destination Error Rates

Monthly monitoring reports are provided on the BTG website (<u>https://www.btghawaii.org/reports/periodic-monitoring-reports/</u>) to align with the above performance standards. These reports include ESG-funded projects and help organizations in the second se

performance standards. These reports include ESG-funded projects and help organizations track progress in related performance areas.

Housing Trust Fund (HTF) Reference 24 CFR 91.320(k)(5)

1. How will the grantee distribute its HTF funds? Select all that apply:

☑ Subgrantees that are HUD-CPD entitlement grantees

2. If distributing HTF funds through grants to subgrantees, describe the method for distributing HTF funds through grants to subgrantees and how those funds will be made available to state agencies and/or units of general local government. If not distributing funds through grants to subgrantees, enter "N/A".

See HHFDC's PY2022 HTF Allocation Plan (page 1, section II. Distribution of Funds), attached as "HTF Exhibit A."

3. If distributing HTF funds by selecting applications submitted by eligible recipients,

a. Describe the eligibility requirements for recipients of HTF funds (as defined in 24 CFR § 93.2). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

N/A

b. Describe the grantee's application requirements for eligible recipients to apply for HTF funds. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

N/A

c. Describe the selection criteria that the grantee will use to select applications submitted by eligible recipients. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

N/A

d. Describe the grantee's required priority for funding based on geographic diversity (as defined by the grantee in the consolidated plan). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

N/A

e. Describe the grantee's required priority for funding based on the applicant's ability to obligate HTF funds and undertake eligible activities in a timely manner. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

N/A

f. Describe the grantee's required priority for funding based on the extent to which the rental project has Federal, State, or local project-based rental assistance so that rents are affordable to extremely low-income families. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

N/A

g. Describe the grantee's required priority for funding based on the financial feasibility of the project beyond the required 30-year period. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

N/A

h. Describe the grantee's required priority for funding based on the merits of the application in meeting the priority housing needs of the grantee (such as housing that is accessible to transit or employment centers, housing that includes green building and sustainable development features, or housing that serves special needs populations). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

N/A

i. Describe the grantee's required priority for funding based on the extent to which the application makes use of non-federal funding sources. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

N/A

4. Does the grantee's application require the applicant to include a description of the eligible activities to be conducted with HTF funds? If not distributing funds by selecting applications submitted by eligible recipients, select "N/A".

N/A

5. Does the grantee's application require that each eligible recipient certify that housing units assisted with HTF funds will comply with HTF requirements? If not distributing funds by selecting applications submitted by eligible recipients, select "N/A".

N/A

6. **Performance Goals and Benchmarks.** The grantee has met the requirement to provide for performance goals and benchmarks against which the grantee will measure its progress, consistent with the grantee's goals established under 24 CFR 91.315(b)(2), by including HTF in its housing goals in the housing table on the SP-45 Goals and AP-20 Annual Goals and Objectives screens.

Yes

7. **Maximum Per-unit Development Subsidy Amount for Housing Assisted with HTF Funds.** Enter or attach the grantee's maximum per-unit development subsidy limits for housing assisted with HTF funds.

The limits must be adjusted for the number of bedrooms and the geographic location of the project. The limits must also be reasonable and based on actual costs of developing non-luxury housing in the area.

If the grantee will use existing limits developed for other federal programs such as the Low Income Housing Tax Credit (LIHTC) per unit cost limits, HOME's maximum per-unit subsidy amounts, and/or Public Housing Development Cost Limits (TDCs), it must include a description of how the HTF maximum per-unit development subsidy limits were established or a description of how existing limits developed for another program and being adopted for HTF meet the HTF requirements specified above.

See attached Exhibit "HTF Exhibit A," pages 6-7.

8. **Rehabilitation Standards.** The grantee must establish rehabilitation standards for all HTFassisted housing rehabilitation activities that set forth the requirements that the housing must meet upon project completion. The grantee's description of its standards must be in sufficient detail to determine the required rehabilitation work including methods and materials. The standards may refer to applicable codes or they may establish requirements that exceed the minimum requirements of the codes. The grantee must attach its rehabilitation standards below.

In addition, the rehabilitation standards must address each of the following: health and safety; major systems; lead-based paint; accessibility; disaster mitigation (where relevant); state and local codes, ordinances, and zoning requirements; Uniform Physical Condition Standards; Capital Needs Assessments (if applicable); and broadband infrastructure (if applicable).

See attached Exhibit "HTF Exhibit A," starting at page 7.

9. **Resale or Recapture Guidelines.** Below, the grantee must enter (or attach) a description of the guidelines that will be used for resale or recapture of HTF funds when used to assist first-time homebuyers. If the grantee will not use HTF funds to assist first-time homebuyers, enter "N/A".

N/A

10. **HTF Affordable Homeownership Limits.** If the grantee intends to use HTF funds for homebuyer assistance and does not use the HTF affordable homeownership limits for the area provided by HUD, it must determine 95 percent of the median area purchase price and set forth the information in accordance with §93.305. If the grantee will not use HTF funds to assist first-time homebuyers, enter "N/A".

Any limitation or preference must not violate nondiscrimination requirements in § 93.350, and the grantee must not limit or give preferences to students. The grantee may permit rental housing owners to limit tenants or give a preference in accordance with § 93.303(d)(3) only if such limitation or preference is described in the action plan.

N/A

12. **Refinancing of Existing Debt.** Enter or attach the grantee's refinancing guidelines below. The guidelines describe the conditions under which the grantee will refinance existing debt. The grantee's refinancing guidelines must, at minimum, demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing. If the grantee will not refinance existing debt, enter "N/A."

N/A

Discussion:

The State's DHS-BESSD, Homeless Programs Office, administers the HOPWA program. They select project sponsors through a competitive Request for Information (RFI) process. The RFI is issued under the provisions of Chapter 103F, Hawaii Revised Statutes, and its Administrative Rules.

PY2022 HOME Investment Partnerships Program SF-424 OMB Number: 4040-0004 Expiration Date: 12/31/2022

Application for Federal Assistance SF-424						
* 1. Type of Submis		Nev	w [Revision, select appropriate letter(s): ther (Specify):	
* 3. Date Received:		4. Applic	ant Identifier:			
5a. Federal Entity Id	lentifier:			5	5b. Federal Award Identifier:	
State Use Only:						
6. Date Received by	v State:		7. State Application I	der	ntifier:	
8. APPLICANT INF	ORMATION:					
* a. Legal Name:	Hawaii Housing F	inance	and Developme	nt	Corporation	
* b. Employer/Taxpa	ayer Identification Num	ber (EIN/	TIN):		c. UEI: M3BRJ2STMTE4	
d. Address:						
* Street1: Street2: * City:	677 Queen Street, Suite 300					
County/Parish:	HONOLULU	Honolulu				
* State:	HI: Hawaii					
Province:						
* Country:	USA: UNITED STATES					
* Zip / Postal Code: 96813-5176						
e. Organizational l	Jnit:					
Department Name:				D	Division Name:	
DBEDT				Н	HHFDC	
f. Name and contact information of person to be contacted on matters involving this application:						
Prefix: Ms	•]	* First Name	:	Denise	
Middle Name:						
* Last Name: Ise	Last Name: Iseri-Matsubara					
Suffix:						
Title: Executive Director						
Organizational Affiliation:						
* Telephone Number: (808) 587-0641 Fax Number: (808) 587-0600						
* Email: denise.iseri-matsubara@hawaii.gov						

Application for Federal Assistance SF-424
* 9. Type of Applicant 1: Select Applicant Type:
A: State Government
Type of Applicant 2: Select Applicant Type:
Type of Applicant 3: Select Applicant Type:
* Other (specify):
* 10. Name of Federal Agency:
U.S. Department of Housing and Urban Development
11. Catalog of Federal Domestic Assistance Number:
14.239
CFDA Title:
HOME Investment Partnerships Program
* 12. Funding Opportunity Number:
n/a
* Title:
PY2022 HOME Investment Partnerships
13. Competition Identification Number:
Title:
14. Areas Affected by Project (Cities, Counties, States, etc.):
Add Attachment Delete Attachment View Attachment
* 15. Descriptive Title of Applicant's Project:
County of Kauai: Affordable Rental Housing, For-Sale Housing, Administration.
Attach supporting documents as specified in agency instructions.
Add Attachments Delete Attachments View Attachments

Application for Federal Assistance SF-424						
16. Congress	16. Congressional Districts Of:					
* a. Applicant	1			* b. Program/Project 2		
Attach an addi	ional list of Program/Project (Congressional Distric	cts if needed.			
			Add Attachment	Delete Attachment Vie	ew Attachment	
17. Proposed	Project:	2	n			
* a. Start Date:	07/01/2022	2		* b. End Date: 06/30	0/2023	
18. Estimated	Funding (\$):					
* a. Federal		3,000,039.00				
* b. Applicant		430,685.00				
* c. State		12,947,033.00				
* d. Local						
* e. Other		9,896,981.00				
* f. Program In	come	215,118.00				
* g. TOTAL	du	2 6,274,738.00	26,489,856.00			
* 19. Is Applic	ation Subject to Review B	/ State Under Exec	cutive Order 12372 Pr	ocess?		
📋 a. This ap	plication was made availab	le to the State und	er the Executive Order	12372 Process for review on	,	
🗌 b. Prograr	n is subject to E.O. 12372 b	out has not been se	elected by the State fo	r review.		
🔀 c. Prograr	n is not covered by E.O. 12	372.				
* 20. Is the Ap	plicant Delinquent On Any	Federal Debt? (If	"Yes," provide expla	nation in attachment.)		
Yes	No No					
If "Yes", provi	If "Yes", provide explanation and attach					
			Add Attachment	Delete Attachment Vie	w Attachment	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)						
X ** I AGREE						
	** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.					
Authorized Representative:						
Prefix:	Ms.	* Firs	t Name: Denise			
Middle Name:						
* Last Name:	Iseri-Matsubara			3		
Suffix:]				
* Title: Executive Director						
* Telephone Number: (808) 587-0641 Fax Number: (808) 587-0600						
* Email: denise.iseri-matsubara@hawaii.gov						
* Signature of A	uthorized Representative:	Ou			* Date Signed: 6/30 /2022	

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PY2022 National Housing Trust Fund Program SF-424 OMB Number: 4040-0004

SF-424 Expiration Date: 12/31/2022

Application for Federal Assistance SF-424					
* 1. Type of Submission: * 2. Type of Application: Preapplication New Application Continuation Changed/Corrected Application Revision		If Revision, select appropriate letter(s): Other (Specify):			
* 3. Date Received: 4. Applicant Identifier: 159999					
5a. Federal Entity Identifier: 5b. Federal Award Identifier:					
State Use Only:					
6. Date Received by State: 7. State Application 7.	ion Ide	lentifier:			
8. APPLICANT INFORMATION:					
*a.Legal Name: Hawaii Housing Finance and Develop	oment	t Corporation			
* b. Employer/Taxpayer Identification Number (EIN/TIN):		* c. UEI:			
14-1967871		M3BRJ2STMTE4			
d. Address:	A.,				
* Street1: 677 Queen Street, Suite 300					
Street2:					
* City: Honolulu					
County/Parish:					
* State: HI: Hawaii					
Province:					
* Country: USA: UNITED STATES					
* Zip / Postal Code: 96813-5176					
e. Organizational Unit:					
Department Name:		Division Name:			
DBEDT		HHFDC			
f. Name and contact information of person to be contacted on matters involving this application:					
Prefix: Ms. * First Na	ame:	Denise			
Middle Name:					
*LastName: Iseri-Matsubara	Iseri-Matsubara				
Suffix:					
Title: Executive Director					
Organizational Affiliation:					
````					
* Telephone Number: (808) 587-0641	* Telephone Number: (808) 587-0641 Fax Number: (808) 587-0600				
* Email: denise.iseri-matsubara@hawaii.gov					

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Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type:	
A: State Government	
Type of Applicant 2: Select Applicant Type:	
Type of Applicant 3: Select Applicant Type:	
* Other (specify):	
* 10. Name of Federal Agency:	
U.S. Department of Housing and Urban Development	
11. Catalog of Federal Domestic Assistance Number:	
14.275	
CFDA Title:	
Housing Trust Fund	
* 12. Funding Opportunity Number:	
n/a	
* Title:	
PY2022 National Housing Trust Fund	
13. Competition Identification Number:	
Title:	
14. Areas Affected by Project (Cities, Counties, States, etc.):	
Add Attachment         Delete Attachment         View Attachment	
* 15. Descriptive Title of Applicant's Project:	
County of Kauai: Affordable Rental Housing, Administration.	
Attach supporting documents as specified in agency instructions.	
Add Attachments         Delete Attachments         View Attachments	
· · · · · · · · · · · · · · · · · · ·	

Application for Federal Assistance SF-424						
16. Congressional Districts Of:						
* a. Applicant	1			* b. Program/Project 2		
Attach an addit	ional list of Program/Project C	Congressional Distric	cts if needed.			
			Add Attachment	Delete Attachment View	w Attachment	
17. Proposed	Project:					
* a. Start Date:	07/01/2022			* b. End Date: 06/30	/2023	
18. Estimated	Funding (\$):			N		
* a. Federal		3,744,423.00				
* b. Applicant		430,685.00				
* c. State		12,947,033.00				
* d. Local						
* e. Other		8,050,029.00				
* f. Program In	come					
* g. TOTAL		25,172,170.00			,	
* 19. Is Applic	ation Subject to Review By	v State Under Exec	cutive Order 12372 Pro	ocess?		
🔲 a. This ap	plication was made availab	e to the State und	er the Executive Order	12372 Process for review on		
🔲 b. Prograr	n is subject to E.O. 12372 b	out has not been se	elected by the State for	review.		
🔀 c. Progran	n is not covered by E.O. 12	372.		*	s	
* 20. Is the Ap	plicant Delinquent On Any	Federal Debt? (If	"Yes," provide expla	nation in attachment.)		
Yes	Yes No					
If "Yes", provide explanation and attach						
			Add Attachment	Delete Attachment View	N Attachment	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)						
X ** I AGREE						
** The list of c specific instruct	** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency					
	Authorized Representative:					
Prefix:	Ms.	* Firs	st Name: Denise			
Middle Name:				·		
* Last Name:	Iseri-Matsubara					
Suffix:		]		······		
* Title: Executive Director						
* Telephone Number: (808) 587-0641 Fax Number: (808) 587-0600						
* Email: denise.iseri-matsubara@hawaii.gov						
* Signature of A	uthorized Representative:	Q	elro	->	* Date Signed: 6 / 30 /2022	

PY2022 ESG SF-424

> OMB Number: 4040-0004 Expiration Date: 12/31/2022

Application for Federal Assistance SF-424					
* 1. Type of Submiss	ion:	* 2. Type of Application:	* If Revision, select appropriate letter(s):		
Preapplication		New			
Application		Continuation	* Other (Specify):		
Changed/Corre	ected Application	Revision			
* 3. Date Received:		4. Applicant Identifier:			
5a. Federal Entity Ide	entifier:		5b. Federal Award Identifier:		
State Use Only:					
6. Date Received by	State:	7. State Application I	Identifier:		
8. APPLICANT INFO	DRMATION:				
* a. Legal Name: St	tate of Hawaii				
* b. Employer/Taxpay	er Identification Nur	nber (EIN/TIN):	* c. UEI:		
14-1967871			M3BRJ2STMTE4		
d. Address:					
* Street1:	Street1: 1010 Richards Street, Suite 312				
Street2:					
* City:	Honolulu				
County/Parish:					
* State:	HI: Hawaii				
Province:					
* Country:	USA: UNITED STATES				
* Zip / Postal Code:	Zip / Postal Code: 96813-2940				
e. Organizational U	nit:				
Department Name:			Division Name:		
Department of H	Human Services		Benefit,Employment&Support Svc		
f. Name and contact information of person to be contacted on matters involving this application:					
Prefix: Mr.		* First Name	Harold		
Middle Name:					
* Last Name: Brackeen					
Suffix: III					
Title: Homeless Programs Administrator					
Organizational Affiliation:					
* Telephone Number: (808) 586-7072 Fax Number: (808) 586-5239					
* Email: hbrackeeniii@dhs.hawaii.gov					

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type:	
A: State Government	
Type of Applicant 2: Select Applicant Type:	
Type of Applicant 3: Select Applicant Type:	
* Other (specify):	
* 10. Name of Federal Agency:	
U.S. Department of Housing and Urban Development	
11. Catalog of Federal Domestic Assistance Number:	
14.231	
CFDA Title:	
Emergency Solutions Grant Program	
* 12. Funding Opportunity Number:	
* Title:	
Program Year 2022 Emergency Solutions Grant	
13. Competition Identification Number:	
Title:	
14. Areas Affected by Project (Cities, Counties, States, etc.):	
Add Attachment Delete Attachment View Attachment	
* 15. Descriptive Title of Applicant's Project:	
Areas Affected: Kauai, Hawaii, and Maui Counties Family Life Center (Maui and Kauai)	
Child and Family Services (Hawaii)	
Hope Services Hawaii (Hawaii)	
Attach supporting documents as specified in agency instructions.	
Add Attachments         Delete Attachments         View Attachments	

Application	n for Federal Assistan	Ce 3F-424	
16. Congress	sional Districts Of:		
* a. Applicant	1		* b. Program/Project 2
Attach an addi	itional list of Program/Project	Congressional Districts if ne	eeded.
		Add	d Attachment Delete Attachment View Attachment
17. Proposed	l Project:		
* a. Start Date:	:		* b. End Date:
18. Estimated	d Funding (\$):		
* a. Federal	[ [ [ [ [ [ [ [ [ [ [ [ [ [ [ [ [ [ [	450 605 00	
* b. Applicant		452,605.00	
* c. State		6,167,689.00	
* d. Local		0,107,009.00	
* e. Other			
* f. Program Ir	ncome		
* g. TOTAL		6,620,294.00	
* 40 la Annik	cation Subject to Review I	De Stata Undan Francistica	Outlaw 40070 Decases 0
a. This ap	oplication was made availa	ble to the State under the	Executive Order 12372 Process for review on
b. Progra	m is subject to E.O. 12372	but has not been selected	d by the State for review.
📈 c. Prograi	m is not covered by E.O. 1	0370	
		2372.	
20. Is the Ar	policant Delinquent On An	v Federal Debt? (If "Yes."	," provide explanation in attachment.)
			, provide explanation in attaonment.)
Yes	🔀 No		
	ide explanation and attach		
		-defect whether	d Attachment Delete Attachment View Attachment
		-defect whether	d Attachment Delete Attachment View Attachment
 If "Yes", provi	ide explanation and attach	Add	
 If "Yes", provi 21. *By signir	ide explanation and attach	Add	d Attachment Delete Attachment View Attachment contained in the list of certifications** and (2) that the statements owledge. I also provide the required assurances** and agree to
If "Yes", provi 21. *By signin herein are tru comply with a	ide explanation and attach ng this application, I certi ue, complete and accura any resulting terms if I acc	fy (1) to the statements c te to the best of my kno cept an award. I am aware	contained in the list of certifications ^{**} and (2) that the statements owledge. I also provide the required assurances ^{**} and agree to e that any false, fictitious, or fraudulent statements or claims may
If "Yes", provi 21. *By signin herein are tru comply with a subject me to	ide explanation and attach ng this application, I certi ue, complete and accura any resulting terms if I acc o criminal, civil, or adminis	fy (1) to the statements c te to the best of my kno cept an award. I am aware	contained in the list of certifications** and (2) that the statements owledge. I also provide the required assurances** and agree to
If "Yes", provi 21. *By signin herein are tru comply with a	ide explanation and attach ng this application, I certi ue, complete and accura any resulting terms if I acc o criminal, civil, or adminis	fy (1) to the statements c te to the best of my kno cept an award. I am aware	contained in the list of certifications ^{**} and (2) that the statements owledge. I also provide the required assurances ^{**} and agree to e that any false, fictitious, or fraudulent statements or claims may
If "Yes", provi 21. *By signin herein are tru comply with a subject me to	ide explanation and attach ng this application, I certi ue, complete and accura any resulting terms if I acc o criminal, civil, or adminis	fy (1) to the statements c te to the best of my kno cept an award. I am aware strative penalties. (U.S. Co	contained in the list of certifications ^{**} and (2) that the statements owledge. I also provide the required assurances ^{**} and agree to e that any false, fictitious, or fraudulent statements or claims may
If "Yes", provi 21. *By signin herein are tru comply with a subject me to x ** I AGRE	ide explanation and attach ng this application, I certi ue, complete and accura any resulting terms if I acc o criminal, civil, or adminis	fy (1) to the statements c te to the best of my kno cept an award. I am aware strative penalties. (U.S. Co	contained in the list of certifications ^{**} and (2) that the statements owledge. I also provide the required assurances ^{**} and agree to e that any false, fictitious, or fraudulent statements or claims may ode, Title 218, Section 1001)
If "Yes", provi 21. *By signin herein are tru comply with a subject me to x ** I AGRE * The list of c specific instruct	ide explanation and attach ng this application, I certi ue, complete and accura any resulting terms if I acc o criminal, civil, or adminis E certifications and assurances tions.	fy (1) to the statements c te to the best of my kno cept an award. I am aware strative penalties. (U.S. Co	contained in the list of certifications ^{**} and (2) that the statements owledge. I also provide the required assurances ^{**} and agree to e that any false, fictitious, or fraudulent statements or claims may ode, Title 218, Section 1001)
If "Yes", provi 21. *By signin herein are tru comply with a subject me to * 1 AGRE * The list of cospecific instruct	ide explanation and attach ng this application, I certi ue, complete and accura any resulting terms if I acc o criminal, civil, or adminis E certifications and assurances tions.	fy (1) to the statements c te to the best of my kno cept an award. I am aware strative penalties. (U.S. Co s, or an internet site where	contained in the list of certifications** and (2) that the statements owledge. I also provide the required assurances** and agree to e that any false, fictitious, or fraudulent statements or claims may ode, Title 218, Section 1001) e you may obtain this list, is contained in the announcement or agency
If "Yes", provi 21. *By signin herein are tru comply with a subject me to x ** I AGRE * The list of c specific instruct Authorized Re Prefix:	ide explanation and attach ng this application, I certi ue, complete and accura any resulting terms if I acc o criminal, civil, or adminis E certifications and assurances tions.	fy (1) to the statements c te to the best of my kno cept an award. I am aware strative penalties. (U.S. Co	contained in the list of certifications ^{**} and (2) that the statements owledge. I also provide the required assurances ^{**} and agree to e that any false, fictitious, or fraudulent statements or claims may ode, Title 218, Section 1001) e you may obtain this list, is contained in the announcement or agency
If "Yes", provi 21. *By signin herein are tru comply with a subject me to ** I AGRE ** The list of c specific instruct Authorized Re Prefix: Middle Name:	ide explanation and attach ing this application, I certi ue, complete and accura any resulting terms if I acc o criminal, civil, or adminis E certifications and assurances tions. epresentative: Ms.	fy (1) to the statements c te to the best of my kno cept an award. I am aware strative penalties. (U.S. Co s, or an internet site where	contained in the list of certifications** and (2) that the statements owledge. I also provide the required assurances** and agree to e that any false, fictitious, or fraudulent statements or claims may ode, Title 218, Section 1001) e you may obtain this list, is contained in the announcement or agency
If "Yes", provi 21. *By signin perein are tru comply with a subject me to aubject me to authorized Re Prefix: Middle Name: Last Name:	ide explanation and attach ng this application, I certi ue, complete and accura any resulting terms if I acc o criminal, civil, or adminis E certifications and assurances tions.	fy (1) to the statements c te to the best of my kno cept an award. I am aware strative penalties. (U.S. Co s, or an internet site where	contained in the list of certifications** and (2) that the statements owledge. I also provide the required assurances** and agree to e that any false, fictitious, or fraudulent statements or claims may ode, Title 218, Section 1001) e you may obtain this list, is contained in the announcement or agency
If "Yes", provi 21. *By signin perein are tru comply with a subject me to aubject me to authorized Re Prefix: Middle Name: Last Name:	ide explanation and attach ing this application, I certi ue, complete and accura any resulting terms if I acc o criminal, civil, or adminis E certifications and assurances tions. epresentative: Ms.	fy (1) to the statements c te to the best of my kno cept an award. I am aware strative penalties. (U.S. Co s, or an internet site where	contained in the list of certifications** and (2) that the statements owledge. I also provide the required assurances** and agree to e that any false, fictitious, or fraudulent statements or claims may ode, Title 218, Section 1001) e you may obtain this list, is contained in the announcement or agency
If "Yes", provi 21. *By signin herein are tru comply with a subject me to ** I AGRE ** I AGRE ** The list of c specific instruct Authorized Re Prefix: ** Last Name: Suffix:	ide explanation and attach ing this application, I certi ue, complete and accura any resulting terms if I acc o criminal, civil, or adminis E certifications and assurances tions. epresentative: Ms.	fy (1) to the statements c te to the best of my kno cept an award. I am aware strative penalties. (U.S. Co s, or an internet site where * First Name	contained in the list of certifications** and (2) that the statements owledge. I also provide the required assurances** and agree to e that any false, fictitious, or fraudulent statements or claims may ode, Title 218, Section 1001) e you may obtain this list, is contained in the announcement or agency
If "Yes", provi 21. *By signin herein are tru- comply with a subject me to x ** I AGRE ** The list of c specific instruct Authorized Ro Prefix: Middle Name: ** Last Name: Suffix: D	ide explanation and attach ing this application, I certi- ue, complete and accura any resulting terms if I acco- criminal, civil, or adminis E certifications and assurances tions. epresentative: Ms. Betts L Betts I I I I I I I I I I I I I	fy (1) to the statements c te to the best of my kno cept an award. I am aware strative penalties. (U.S. Co s, or an internet site where * First Name	contained in the list of certifications** and (2) that the statements owledge. I also provide the required assurances** and agree to e that any false, fictitious, or fraudulent statements or claims may ode, Title 218, Section 1001) e you may obtain this list, is contained in the announcement or agency
If "Yes", provi 21. *By signin herein are tru- comply with a subject me to * 1 AGRE * The list of c specific instruct Authorized Ro Prefix: Middle Name: * Last Name: Suffix: * Title:	ide explanation and attach ing this application, I certi ue, complete and accura any resulting terms if I acc corriminal, civil, or adminis E certifications and assurances tions.  epresentative:  Ms. Betts Betts	fy (1) to the statements c te to the best of my kno cept an award. I am aware strative penalties. (U.S. Co s, or an internet site where * First Name	contained in the list of certifications** and (2) that the statements owledge. I also provide the required assurances** and agree to e that any false, fictitious, or fraudulent statements or claims may ode, Title 218, Section 1001) e you may obtain this list, is contained in the announcement or agency e: Cathy
If "Yes", provi 21. *By signin herein are tru- comply with a subject me to ** I AGRE ** I AGRE ** The list of c specific instruct Authorized Ra Prefix: Middle Name: * Last Name: Suffix: * Title: * Titl	ide explanation and attach ing this application, I certi- ue, complete and accura any resulting terms if I acc o criminal, civil, or adminis E certifications and assurances tions. epresentative: Ms. Betts irector, Department umber: (808) 586-4997 ts@dhs.hawaii.gov	fy (1) to the statements c te to the best of my kno cept an award. I am aware strative penalties. (U.S. Co s, or an internet site where * First Name	contained in the list of certifications** and (2) that the statements over the sources are and agree to be that any false, fictitious, or fraudulent statements or claims may ode, Title 218, Section 1001)         e you may obtain this list, is contained in the announcement or agency         ne:       Cathy
If "Yes", provi 21. *By signin herein are tru- comply with a subject me to ** I AGRE ** I AGRE ** The list of c specific instruct Authorized Ra Prefix: Middle Name: * Last Name: Suffix: * Title: * Titl	ide explanation and attach ing this application, I certi- ue, complete and accura any resulting terms if I acc o criminal, civil, or adminis E certifications and assurances tions. epresentative: Ms. Betts irector, Department Jumber: (808) 586-4997	fy (1) to the statements c te to the best of my kno cept an award. I am aware strative penalties. (U.S. Co s, or an internet site where * First Name	contained in the list of certifications** and (2) that the statements owledge. I also provide the required assurances** and agree to e that any false, fictitious, or fraudulent statements or claims may ode, Title 218, Section 1001) e you may obtain this list, is contained in the announcement or agency e: Cathy

PY2022 HOPWA

SF-424D

Application for Federal Assistance SF-424					
* 1. Type of Submissio	on:		* 11	Revision, select appropriate letter(s):	
Preapplication		New	L		
Application		Continuation	* C	Other (Specify):	
Changed/Corre	cted Application	Revision	L		
* 3. Date Received: 4. Applicant Identifier:					
5a. Federal Entity Identifier:     5b. Federal Award Identifier:					
State Use Only:					
6. Date Received by S	State:	7. State Application	lde	entifier:	]
8. APPLICANT INFO	RMATION:				
* a. Legal Name: St	ate of Hawaii				
* b. Employer/Taxpaye	er Identification Num	nber (EIN/TIN):		* c. UEI:	
14-1967871				M3BRJ2STMTE4	
d. Address:					
* Street1:	1010 Richards	Street, Suite 312			
Street2:					
* City:	Honolulu				
County/Parish: [					
* State:	HI: Hawaii				
Province:					
* Country:	USA: UNITED ST	TATES			
* Zip / Postal Code:	96813-2940				
e. Organizational Un	iit:				
Department Name:			ŀ	Division Name:	
Department of H	uman Services			Benefit,Employment&Support Svc	
f. Name and contact	information of pe	rson to be contacted on ma	atto	ers involving this application:	
Prefix: Mr.		* First Name	e:	Harold	
Middle Name:					
* Last Name: Brac	keen				
Suffix:		]			
Title: Homeless Programs Administrator					
Organizational Affiliation:					
* Telephone Number: (808) 586-7072 Fax Number: (808) 586-5239					
* Email: hbrackeeniii@dhs.hawaii.gov					

9. Type of Applicant 1: Select Applicant Type:	
A: State Government	]
ype of Applicant 2: Select Applicant Type:	
ype of Applicant 3: Select Applicant Type:	
Other (specify):	
10. Name of Federal Agency:	
J.S. Department of Housing and Urban Development	
1. Catalog of Federal Domestic Assistance Number:	
4.241	
FDA Title:	
lousing Opportunities for Persons With AIDS	
12. Funding Opportunity Number:	
MS-224-20-03-HPO	
Title:	
rogram Year 2022 Housing Opportunities for Persons With AIDS	
3. Competition Identification Number:	
3. Competition Identification Number:	
3. Competition Identification Number:	
itle:	
itle: 4. Areas Affected by Project (Cities, Counties, States, etc.):	t
itle: 4. Areas Affected by Project (Cities, Counties, States, etc.): Add Attachment Delete Attachment View Attachmen 15. Descriptive Title of Applicant's Project:	
itle: A Areas Affected by Project (Cities, Counties, States, etc.): Add Attachment Delete Attachment View Attachmen 15. Descriptive Title of Applicant's Project: reas Affected: Kauai, Maui & Hawaii Counties	t
itle:         4. Areas Affected by Project (Cities, Counties, States, etc.):         Add Attachment       Delete Attachment         View Attachment         15. Descriptive Title of Applicant's Project:         reas Affected:       Kauai, Maui & Hawaii Counties         aui AIDS Foundation - Lead Agency and Project Sponsor (Maui County)         alama Pono (Kauai County)	t
ille: A Areas Affected by Project (Cities, Counties, States, etc.): Add Attachment Delete Attachment View Attachmen 15. Descriptive Title of Applicant's Project: reas Affected: Kauai, Maui & Hawaii Counties aui AIDS Foundation – Lead Agency and Project Sponsor (Maui County)	t
itle:         4. Areas Affected by Project (Cities, Counties, States, etc.):         Add Attachment       Delete Attachment         View Attachment         15. Descriptive Title of Applicant's Project:         reas Affected:       Kauai, Maui & Hawaii Counties         aui AIDS Foundation - Lead Agency and Project Sponsor (Maui County)         alama Pono (Kauai County)	t

Application for Federal Assistance SF-424					
16. Congressional Districts Of:					
* a. Applicant 1 * b. Program/Project 2					
Attach an additional list of Program/Project Congressional Districts if needed.					
Add Attachment         Delete Attachment         View Attachment					
17. Proposed Project:					
* a. Start Date: 07/01/2022 * b. End Date: 06/30/2023					
18. Estimated Funding (\$):					
* a. Federal 282,828.00					
* b. Applicant					
* c. State					
* d. Local					
* e. Other					
* f. Program Income					
* g. TOTAL 282,828.00					
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?					
a. This application was made available to the State under the Executive Order 12372 Process for review on					
b. Program is subject to E.O. 12372 but has not been selected by the State for review.					
C. Program is not covered by E.O. 12372.					
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)					
If "Yes", provide explanation and attach					
Add Attachment Delete Attachment View Attachment					
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)					
X ** I AGREE					
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.					
Authorized Representative:					
Prefix: Ms. * First Name: Cathy					
Middle Name:					
* Last Name: Betts					
Suffix:					
*Title: Director, Department of Human Services					
* Telephone Number: (808) 586-4997 Fax Number: (808) 586-4890					
*Email: cbetts@dhs.hawaii.gov					
* Signature of Authorized Representative: * Date Signed: 6/30	0/2022				

PY2022 - HOME SF-424D

### ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009 Expiration Date: 02/28/2025

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- Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
- 2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- 3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
- 4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
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- 7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

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- 19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
- 20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Executive Director
alan	
APPLICANT ORGANIZATION	DATE SUBMITTED
State of Hawaii - Hawaii Housing Finance & Development Corp.	6 / <b>30</b> /2022

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### ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009 Expiration Date: 02/28/2025

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SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Executive Director
<u>xon</u>	
APPLICANT ORGANIZATION	DATE SUBMITTED
State of Hawaii - Hawaii Housing Finance & Development Corp	6 / 30 /2022

SF-424D (Rev. 7-97) Back

### ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009 Expiration Date: 02/28/2025

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SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
Cagn	Director
APPLICANT ORGANIZATION	DATE SUBMITTED
State of Hawaii, Department of Human Services	6 /30/2022

SF-424D (Rev. 7-97) Back

### ASSURANCES - CONSTRUCTION PROGRAMS

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- Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
- 14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of

Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

- Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
- Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- 19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
- 20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE	
Ca	Director	
APPLICANT ORGANIZATION	DATE SUBMITTED	
State of Hawaii, Department of Human Services	6 / 30/2022	

SF-424D (Rev. 7-97) Back

### STATE CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the State certifies that:

Affirmatively Further Fair Housing -- The State will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying -- To the best of the State's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of State -- The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the State's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

Signature of Authorized Official

<u>6</u> / **30** / 2022 Date

Executive Director Title

### **Specific HOME Certifications**

The State certifies that:

**Tenant Based Rental Assistance** -- If it plans to use HOME funds for tenant-based rental assistance, tenant-based rental assistance is an essential element of the State's consolidated plan.

**Eligible Activities and Costs** -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §92.205 through §92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Subsidy Layering** -- Before committing any funds to a project, the State or its recipients will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.

6 /30/2022

Signature of Authorized Official

Date

Executive Director Title

### APPENDIX TO CERTIFICATIONS

### INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

### **Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

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2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

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**Consistency with plan** -- The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the State's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

Signature of Authorized Official

6 / 30 / 2022 Date

Director

Title

### **Emergency Solutions Grant Certifications**

Each State that seeks funding under the Emergency Solutions Grants Program must provide the following certifications:

**Matching Funds** – The State will obtain any matching amounts required under 24 CFR 576.201 in a manner so that its subrecipients that are least capable of providing matching amounts receive the benefit of the exception under 24 CFR 576.201(a)(2).

**Discharge Policy** – The State will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

**Confidentiality** – The State will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

The State will ensure that its subrecipients comply with the following criteria:

**Major rehabilitation/conversion/renovation** – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the building will be maintained as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the building will be maintained as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the building will be maintained as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – If ESG funds are used for shelter operations or essential services related to street outreach or emergency shelter, the subrecipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the applicant serves the same type of persons (e.g., families with children, unaccompanied youth, veterans, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The subrecipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

**Homeless Persons Involvement** – To the maximum extent practicable, the subrecipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under ESG, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted ESG.

**Consolidated Plan** – All activities the subrecipient undertakes with assistance under ESG are consistent with the State's current HUD-approved consolidated plan.

Signature of Authorized Official

<u>6/30/2022</u> Date

Director

Title

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Signature of Authorized Official

<u>6 / 30 / 2022</u> Date

Director

Title

### Housing Opportunities for Persons With AIDS Certifications

The State HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under the program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years, in the case of any building or structure purchased, leased, rehabilitated, renovated, or converted with HOPWA assistance,

2. For a period of not less than 3 years, in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature of Authorized Official

 $\frac{6 / 30 / 2022}{\text{Date}}$ 

Director Title

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# **STATE OF HAWAII**

# **EXHIBIT A**

# Evaluation of Past Performance – HUD's Annual Community Assessment and Governor's Response

**Referenced in** 

AP-05 – Executive Summary



OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT 9CD-21397e U.S. Department of Housing and Urban Development

Honolulu Field Office – Region IX 1132 Bishop Street, Suite 1400 Honolulu, Hawaii 96813 http://www.hud.gov http://www.espanol.hud.gov

February 3, 2022

Honorable David Y. Ige Governor of the State of Hawaii State Capitol Honolulu, HI 96813

Dear Governor Ige:

### SUBJECT: Final Response to Annual Community Assessment Report Program Year 2020

The U.S. Department of Housing and Urban Development (HUD) received the State of Hawaii's (State's) comments provided by letter, dated November 26, 2021, responding to HUD's assessment of the State's 2020 Annual Community Assessment (ACA) Report.

HUD acknowledges the additional information provided by the State. The ACA is considered final. This letter should be included as part of the State's ACA process for review of Program Year 2020. Please consider the report final and make it available to the public. It is recommended that you make the report and correspondence available in the same way you handle your regular program reports: by providing a notice in the newspaper, identifying the report contents and where it is available for review.

If you have any questions, please call me at 808-457-4678.

Sincerely,

Hel ACKL Digitally signed by MARK CHANDLER

Mark A. Chandler, Director Office of Community Planning and Development

cc:

Ms. Denise Iseri-Matsubara, Executive Director, HHFDC Ms. Cathy Betts, Director, Department of Human Services 9CD Official Files, State of Hawaii



EXECUTIVE CHAMBERS HONOLULU

DAVID Y. IGE GOVERNOR

November 26, 2021

# VIA ELECTRONIC MAIL

Mr. Mark A. Chandler, Director Office of Community Planning and Development U.S. Department of Housing and Urban Development (HUD) 1132 Bishop Street, Suite 1400 Honolulu, Hawai'i 96813-2879

Dear Mr. Chandler:

This responds to your Annual Community Assessment Report dated October 22, 2021, which reports that the State has met the intent of HUD's Community Planning and Development (CPD) programs and continues to have the capacity to implement and administer federal CPD programs. I am pleased that the State's HHFDC and DHS' Homeless Programs Office have effectively leveraged federal funds to assist Hawai'i's residents with housing under the HOME and HTF programs and assisted our most vulnerable homeless populations with support services and shelter options under the ESG and HOPWA programs.

The State will continue its diligence to ensure that activities are compliant with HUD requirements, and that HUD funds are spent timely to support the needs of its residents and communities.

I look forward to the continued partnership between the State and HUD to produce more affordable housing and to help Hawai'i's homeless and special needs populations.

With warmest regards,

David Y. Ige

Governor, State of Hawai'i

c: Denise Iseri-Matsubara, Executive Director, HHFDC, Attn: Keri Higa Catherine Betts, Director, DHS/BESSD-HPO, Attn: Harold Brackeen III CPDHonolulu@HUD.gov

#### U.S. Department of Housing and Urban Development

Honolulu Field Office – Region IX 1132 Bishop Street, Suite 1400 Honolulu, Hawaii 96813-4918 www.hud.gov espanol.hud.gov

October 22, 2021

OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT 9CD-21397c

Honorable David Y. Ige Governor of the State of Hawaii State Capitol Honolulu, HI 96813

Dear Governor Ige:

SUBJECT: Annual Community Assessment Report Program Year 2020

This letter is being sent to convey HUD's assessment of the State of Hawaii's (State's) performance in Program Year 2020, from July 1, 2020 to June 30, 2021, for its Community Planning and Development (CPD) programs. The enclosed Annual Community Assessment Report provides HUD's assessment on the State's implementation of its CPD programs. The report reflects the State's efforts to ensure compliance with HUD's HOME Investment Partnerships Program (HOME), Housing Trust Fund (HTF), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs. The administration of these programs is divided between the Hawaii Housing Finance and Development Corporation (HHFDC) for the HOME and HTF programs, and the Department of Human Services (DHS) for the ESG and HOPWA programs.

The State will have 30 days from the date of this letter to comment on the report. Should the State not wish to comment or fails to comment within the above period, please consider the report final and make it available to the public. It is recommended that you make the report available in the same way you handle your regular program reports: by providing a notice in the newspaper, identifying the report contents and where it is available for review.

HUD looks forward to our continued partnership with the State in serving the needs of its citizens. If you have any questions or need assistance, please contact Stephanie Kaimana On, Senior CPD Representative, at (808) 457-4680 or <u>stephanie.w.kaimanaon@hud.gov</u>. If responding in writing to this letter, please provide your response as a PDF document to <u>CPDHonolulu@hud.gov</u>.

Sincerely, Digitally signed by Hel ACL MARK CHANDLER

Mark A. Chandler Director Office of Community Planning and Development

Enclosure

cc:

Ms. Denise Iseri-Matsubara, Executive Director, HHFDC (w/enclosure) Ms. Cathy Betts, Director, Department of Human Services (w/enclosure) 9CES: Madaraka (w/enclosure) File: 9CD Official Files, State of Hawaii

### ANNUAL COMMUNITY ASSESSMENT REPORT

### State of Hawaii

### 2020 Program Year: July 1, 2020 to June 30, 2021

### **HUD Point of Contact:**

Stephanie Kaimana On
Sr. Community Planning and Development Representative
HUD Honolulu Field Office
1132 Bishop Street, Suite 1400
Honolulu, HI 96813
(808) 457-4680

### **State Point of Contact:**

Ms. Denise Iseri-Matsubara Executive Director Hawaii Housing Finance and Development Corporation 677 Queen Street, Suite 300 Honolulu, HI 96813 (808) 587-0680

### Introduction

The Housing and Community Development Act of 1974, as amended, and the National Affordable Housing Act of 1990, require federal grant recipients receiving federal assistance to submit an annual performance report disclosing the status of grant activities. The Department of Housing and Urban Development (HUD) is required at 24 CFR 91.525 to determine whether the grant recipient is in compliance with the statutes and has the continuing capacity to implement and administer the programs for which assistance is received. In accordance with 24 CFR 91.525, the comments below incorporate HUD's assessment of the State of Hawaii's (State's) Program Year 2020 (PY 2020) performance.

In assessing the State's performance, HUD relied primarily on the State's 2020 Consolidated Annual Performance and Evaluation Report (CAPER), technical assistance provided, on-site and remote monitoring, and communications with the State's federal programs staff. During this period, HUD has determined that the State generally met the intent of the Community Planning and Development (CPD) programs. Based on the State's accomplishments through the HOME Investment Partnerships Program (HOME), the Housing Trust Fund (HTF), the Emergency Solutions Grant (ESG), and the Housing Opportunities for Persons with AIDS (HOPWA) programs, the State has demonstrated its ability to carry out various housing programs. HUD congratulates the State on its many accomplishments and its achievement of CPD program objectives.

### **Significant Performance Conclusions**

The administration of the CPD programs is divided between the Hawaii Housing Finance and Development Corporation (HHFDC) for the HOME and HTF programs, and the Department of Human Services' Benefits, Employment and Support Services Division, Homeless Programs Office (DHS-BESSD-HPO) for the ESG, HOPWA, and the Continuum of Care (CoC) programs.

### **CPD** Programs

### HOME

The State received \$3,000,000 in HOME funds. Based on the State's rotational allocation between the Counties of Hawaii, Kauai, and Maui, the County of Maui received the HOME allocation in PY 2020. The State retained \$150,000 from the PY 2020 allocation for the general administration of the HOME program.

The State and its state recipients of HOME funds continued to make progress towards its housing goals despite challenges it continues to face, such as the limited number of CHDOs statewide, dealing with a complex and prolonged development process for the HOME activities, and new or inexperienced County staff who administer HOME program. To assist the Counties, the State has procured a consultant to provide Hawaii-specific training for HOME and HTF training to new staff. The consultant will also be providing technical assistance to the State on specific HOME and HTF issues. HUD commends the State for its progress towards its major goals despite the challenges it encounters.

During PY2020, the State reported receiving \$182,495 in program income. The State also contributed \$139,837 of HOME matching funds during PY 2020 and will carry over an excess match balance of \$27,108,607 to its next program year.

For PY2020, the State completed the following HOME projects:

- The County of Maui completed the Kaiwahine Village Phase I development containing 64 units, 12 of which were HOME-assisted;
- The County of Kauai completed Waimea Huakai (35 rental units, 6 HOMEassisted),
- The County of Kauai completed Koa'e Workforce Housing (134 rental units, 11 HOME-assisted);
- The County of Kauai completed Ele'ele Iluna Self-Help Housing, Phase II-B (59 homeownership units, 12 HOME-assisted); and
- The County of Kauai provided TBRA to 33 households.

The following HOME projects are under construction:

- The County of Hawaii: Habitat for Humanity's Puna Self-Help Housing affordable homeownership project in the Puna District and Kaloko Heights Affordable Rentals in Kailua-Kona; and
- The County of Kauai: Kauai's Pua Loke Affordable Housing Development is in the construction phase, nearing completion and will be reported in a future CAPER.

In addition, the following projects are in the planning stages:

• The County of Maui: Planning phase of two affordable rental housing projects in Kihei.

During PY2020, the State contracted with Spectrum Seminars, Inc. to conduct on-site inspections and tenant file reviews of HOME- and NSP-assisted rental housing. Due to COVID-19, Spectrum completed tenant file reviews and postponed on-site inspections for fifteen projects. Final Reports were issued with no findings in all fifteen properties and unit inspections are scheduled for October 2021.

HUD noted that the State requested the HOME COVID-19 Waiver for the CHDO setaside. The \$450,000 originally set aside for CHDO activities was used as regular HOME funds by the County of Maui to establish a COVID-19 temporary Tenant Based Rental Assistance program for lower-income households experiencing significant income loss.

### **Housing Trust Fund**

The State received \$3,000,000 in HTF funds. As with the HOME Program, the distribution of HTF funds is on a rotational basis among the Counties of Hawaii, Kauai, and Maui, with the County of Maui receiving the PY 2020 allocation. The State retained 5% of the funds for program administration.

For PY2020, the State completed the following HTF projects:

- The County of Maui completed Kaiwahine Village, Phase II (56 total units, 6 HTF-assisted);
- The County of Kauai completed Koa'e Workforce Housing (134 total units, 13 HTF-assisted); and
- The City and County of Honolulu completed the acquisition of the Mahani Hale project (5 HTF-assisted units).

The following HTF projects are under construction:

- The City and County of Honolulu: Hale Makana O Maili is near completion and expected to produce 52 units, with five HTF-assisted units;
- The County of Kauai: Pua Loke is near completion and is expected to produce a total of 55 units, with nine HTF-assisted units;
- The County of Hawaii: Kaloko Heights Affordable Housing is expected to begin construction in October 2021, with a total of 100 units, six HTF-assisted units; and

• The County of Maui: PY2020 HTF funds were committed to the Kaiaulu O Halelea 64 Project in Kihei, which is expected to produce 64-units, with seven HTF-assisted units.

The State's main concern is with City and County of Honolulu's (City's) administration of the HTF program. Due to concerns with the City's past performance and to improve the overall performance of the HTF program, the State decided not to award PY2020 or PY2021 funds to the City and will evaluate the City for future HTF allocations.

### **Emergency Solutions Grant**

The State received an allocation of \$454,632 in PY2020 for the Emergency Solutions Grant (ESG) Program to support efforts to address homelessness on the Counties of Hawaii, Kauai, and Maui. The State used ESG funds to support shelters, homeless prevention, rapid rehousing, the Homeless Management Information System (HMIS), and administration.

The 2021 Neighbor Islands' Point-In-Time Unsheltered Count of persons experiencing homelessness on the counties of Hawaii, Kauai and Maui was cancelled due to COVID-19. The Balance of State Continuum of Care utilized a HUD waiver to forego conducting an unsheltered count. The Sheltered Count was conducted on January 24, 2021.

Under the ESG Program, the State reported assisting 662 persons with emergency shelter, homeless prevention and rapid re-housing. The State also matched the ESG Program with State resources, such as the State Homeless Shelter Program, Outreach, Emergency Grants Programs, Housing First, and State TANF-funded Housing Placement Program.

The regulation at 24 CFR 576.203(b) requires grantees to expend ESG funds within 24 months of grant award. The State did not meet the expenditure deadline for its FY 2019 ESG allocation. HUD issued a finding to the State for not meeting ESG timeliness standards, as part of its remote monitoring of the State's ESG Program conducted during July 2021. In addition, HUD issued findings to the State for deficiencies with ESG written intake procedures; written standards for ESG assistance; provision of monthly case management; and lack of ESG subrecipient monitoring. A total of five ESG monitoring findings were issued. As of this writing, the State implemented corrective actions and all findings are closed.

The State received a total of \$10,034,673 in ESG-CV funds, as authorized by the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Public Law 116-136. These special ESG-CV funds are to be used to prevent, prepare for, and respond to the coronavirus pandemic (COVID-19) among individuals and families who are homeless or receiving homeless assistance; and to support additional homeless assistance. The State allocated ESG-CV funds for homeless prevention, rapid re-housing, street outreach, and shelter essential services. The State met HUD's 20% ESG-CV expenditure deadline on September 30, 2021.

### HOPWA

The State received \$268,035 in PY2020 for the Housing Opportunities for Persons with AIDS (HOPWA) program. The Neighbor Island HIV/AIDS Coalition (NIHAC) is a cooperative effort between Maui AIDS Foundation (MAF), the Hawaii Island HIV/AIDS Foundation (HIHAF), and Malama Pono Health Services (MP) on Kauai. It serves three counties in the State which comprises 5 separate islands.

The primary focus of the NIHAC is to increase the housing stability for people living with HIV/AIDS. HOPWA funds assist persons with rental assistance; supportive services and case management; housing placement; and short-term rental, mortgage, and utilities (STRMU) activities. For the HOPWA agencies implementing the program, challenges include high unemployment, low wages, limited public transportation, and the high cost of living and the high cost of housing.

The State received \$39,007 in HOPWA-CV funds, as authorized by the CARES Act. The supplemental funds provided under the CARES Act are to be used by HOPWA grantees as additional funding to maintain operations and for rental assistance, supportive services, and other necessary actions, to prevent, prepare for, and respond to coronavirus.

In PY2020, the State and the NIHAC have accomplished the following with HOPWA and HOPWA-CV funds:

- Provided Tenant Based Rental Assistance (TBRA) to 21 individuals and their families;
- Assisted 10 persons and their families with STRMU assistance to stay in their current housing situations;
- Provided permanent housing placement services to 8 persons and their families; and
- Assisted 457 persons with supportive services.

### **Continuum of Care**

The State is the lead entity in the homeless planning groups for the counties of Hawaii, Kauai, and Maui. Bridging the Gap (BTG) is the Continuum of Care entity that addresses the homeless needs of the neighbor islands. The State continues to collaborate with non-profits in each county to address the complete spectrum of housing and services for the homeless.

During the program year, BTG continued working with service providers on the neighbor islands to participate in the Coordinated Entry System (CES). The CES has been maintained in each county to organize and prioritize available housing resources and to match resources with the most vulnerable households in the community. Ka Mana O Na Helu, BTG's Homeless Management Information System (HMIS) Lead and Collaborative Applicant, also worked on refining BTG's governance and CES policies and tracking System Performance Measures.

### **Community Empowerment**

The State provided opportunities for citizen participation during the development of the CAPER. A Notice of Public Comment (Notice) was published in the Honolulu Star Advertiser newspaper The Notice and draft CAPER were also posted on the Hawaii Housing Finance and Development Corporation's website. HUD encourages the State to continue its efforts to foster public participation and explore additional opportunities to involve the public in its planning process.

## **Management of Funds**

Overall, the State's management of its HOME, HTF, ESG, HOPWA, and CoC funds is adequate. HUD notes that the State staff responsible for the CPD programs works diligently to ensure HUD funding is spent in accordance with program requirements. HUD encourages the State to continue its efforts to ensure future CPD funding will not be compromised.

### **Areas for Improvement and Recommendations**

The State needs to continue to monitor its ongoing HOME, HTF, ESG, HOPWA, and CoC programs to ensure timely implementation and expenditures. The State continues to explore ways to improve administration of its CPD programs. HUD recommends that the State continue to identify project obstacles and develop plans to address these obstacles to strengthen its CPD programs.

Timely expenditure of ESG funds continues to be an issue for the last several program years. Since the State also received a significant amount of ESG-CV funds, it is recommended that the State carefully track project progress and fund expenditures, to ensure that funds are timely utilized to serve persons and families experiencing homelessness.

### Fair Housing & Equal Opportunity

During PY 2020, fair housing education and training was provided to State and County staff, as well as to the general public, landlords, property managers, and Section 8 participants through various trainings in all counties. In addition, the Hawaii Public Housing Authority's (HPHA's) contractor performed a Section 504 Needs Assessment to assess its units for Uniform Federal Accessibility Standards (UFAS). Based on the assessment, HPHA will work to increase its UFAS-mobility accessible units to 6.8% and increase its UFAS-audio visual accessible units to 4.1%.

HUD encourages the State to continue its efforts to further fair housing. The CAPER was made available to Mr. Jelani Madaraka, Lead Civil Rights Analyst, HUD Honolulu Field Office, for compliance with Fair Housing and Equal Opportunity (FHEO) requirements. He will forward any comments or questions he may have under separate cover.

## Conclusion

Overall, the State is meeting its communities' needs by planning and executing a Consolidated Plan with a vision for the future, and by implementing this Plan through its Annual Action Plan. As a result, the State has assisted many communities by successfully implementing the CPD programs. The staffs at the HHFDC and the DHS-BESSD-HPO are a pleasure to work with and are a credit to the State and its CPD programs.

HUD looks forward to the State's continued success in implementing its housing programs.

# **STATE OF HAWAII**

# **EXHIBIT B**

# Summary of Public Hearings And Publication Affidavits

**Referenced in** 

AP-05 – Executive Summary and AP-12 - Citizen Participation

## SUMMARY OF 2021 JOINT PUBLIC HEARINGS For the PY2022 Annual Action Plan and PY2021 HOME-ARP Allocation Plan

## State of Hawaii, Hawaii Housing Finance and Development Corporation and

### County of Hawaii, City & County of Honolulu, County of Kauai, and County of Maui

Tuesday, August 17, 2021 - Hilo Wednesday, August 25, 2021 - Kona Friday, September 10, 2021 - Honolulu Friday, October 11, 2021 - Kauai Friday, October 22, 2021 - Maui

### I. ATTENDEES:

#### BIG ISLAND - 08/17/2021:

Susan Kunz, Alisa Hanselman, Beryl Manlinguis, Brian Ishimoto, Royce Shiroma, Sharon Hirota, Kelci Wandell, Timothy Oesterling – County of Hawaii, OHCD
Janice Takahashi, Glori Inafuku, Lisa Wond, Keri Higa – State of Hawaii, HHFDC
Ana Piloton – State of Hawaii, DHS-BESSD, Homeless Programs Office
John Andoh – County of Hawaii, Mass Transit Agency
Shauna Falgout – Habitat for Humanity

### BIG ISLAND - 08/25/2021:

Susan Kunz, Alisa Hanselman, Beryl Manlinguis, Brian Ishimoto, Cristina Pineda, Marvin Esperon, Shelly Ogata – County of Hawaii, OHCD

Glori Inafuku, Lisa Wond, Keri Higa – State of Hawaii, HHFDC Ana Piloton – State of Hawaii, DHS-BESSD, Homeless Programs Office Shauna Falgout – Habitat for Humanity Taylor Quanon- Family Assessment Center at Na Kahua Hale O Uluwini Kathleen McGilvray, YWCA Hawaii Island

### **HONOLULU** – 09/10/2021:

Darrell Young, City & County of Honolulu, Dept of Community Services Holly Kawano, Randall Goto, Cynthia Emoto, City & County of Honolulu, BFS Lisa Wond, Glori Inafuku, Keri Higa, HHFDC Anamarie Piloton, Dept of Human Services, Homeless Programs Office

#### **KAUAI** – 10/11/2021

Kerri Barros, Steve Franco, Jocelyn Marie – Kauai County Housing Agency Lisa Wond, Keri Higa, Glori Inafuku – State of Hawaii, HHFDC Ana Piloton – State of Hawaii, DHS-BESSD Kamealoha Smith, Hanalei River Heritage Foundation Larry Graff – Permanently Affordable Living Kauai Maybel Fujiuchi – Kauai Economic Opportunity

### **MAUI** – 10/22/2021

Joe Pontanilla, Dayna Baz, Caryan Hao – County of Maui, Mayor's Office Buddy Almeida, Lori Tsuhako – County of Maui, DHHC, Housing Division Lisa Wond, Keri Higa, Glori Inafuku, Samson Aiona – HHFDC Jeeyun Lee – Hale Makua Health Services

## II. SUMMARY and PUBLIC TESTIMONY:

State and County housing staff summarized priorities, goals and objectives and the required Consolidated Plan Reports of HUD's Community Planning and Development programs, including the new HOME-ARP program. When the floor was opened to accept public testimony on the housing and homeless needs in the state, attendees made the following comments:

## County of Hawaii - 08/17/2021:

There were no public comments offered by attendees.

## County of Hawaii – 08/25/2021:

-keep homeownership affordable and make opportunities available to low-income individuals and families.

-there is need for increase in affordable housing.

-there is need for a designated safe parking zone, a form of intervention that provides a designated safe place for those living in their vehicles to gain access to resources, specifically on the west side of Hawaii Island.

-there is need for an emergency family shelter for the west side of Hawaii Island -there is need for pairing of workforce housing and economic development, childcare Resources (through use of CDBG Funds), and emergency services, prioritizing victims of Domestic violence and sexual assault.

## City & County of Honolulu – 09/10/2021:

There were no attendees from the public.

## <u>County of Kauai – 10/11/2021:</u>

-there is need for increased funding toward production of affordable homes -provide simplified examples of income limits used to determine what "affordable" means. K.Barros suggested visiting KCHA's website regarding HUD income limits -there is need for focus on increasing economic development opportunity -an attendee asked if application process for programs covered in presentation is similar to regular Federal grant process which is long and arduous; K. Barros mentioned that KCHA uses a simple (online) application and doesn't do an RFP, which allows them to work with organizations and sub-recipients throughout the application process and answer questions until the application is due. L.Wond acknowledged that there are many requirements when using Federal Funds, which is why it's important to understand what is being applied for and recommended contacting the KCHA for specific information, and HHFDC staff can also assist.

-enthused about the County of Kauai receiving HOME-ARP funds

-common issue is need for affordable housing, specifically for houseless clients who have no credit, bad credit, or no landlord references

## County of Maui – 10/22/2021:

-County of Maui is struggling with unsheltered homeless

-there is need for additional units to house the chronically homeless in permanent supportive housing

-Federal funds present opportunity to leverage other CoC funds toward paying for housing costs and county support for supportive services

#### IN THE MATTER OF NOTICE OF VIRTUAL PUBLIC HEARING 3 STATE OF HAWAII 3 } SS. City and County of Honolulu } AUG 02 2021 # Pages: 1 Doc. Date: Notary Name: COLLEEN E. SORANAKA First Judicial Circuit SORENAKA annin, Affidavit of **Doc. Description:** E. Publication NOTARY 0 2 2021 IG PUBLIC Notary Signature Date No. 90-263 ŝ

Lisa Sakakida being duly sworn, deposes and says that she is a clerk, duly authorized to execute this affidavit of Oahu Publications, Inc. publisher of The Honolulu Star-Advertiser, MidWeek, The Garden Island, West Hawaii Today, and Hawaii Tribune-Herald, that said newspapers are newspapers of general circulation in the State of Hawaii, and that the attached notice is true notice as was published in the

Honolulu Star-Advertiser	1	times on:
08/02/2021 MidWeek	0	_times on:
The Garden Island	0	_times on:
Hawaii Tribune-Herald	0	_times on:
West Hawaii Today	0	_times on:
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No. 90-263

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And that affiant is not a party to or in any way interested in the above entitled matter.

Lisa Sakakida U

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Colleen E. Soranaka, Notary Public of the First Judicial Circuit, State of Hawaii NUMBER OF My commission expires: Jan 06 2024 SOPAN E.

Ad# 0001336126

#### NOTICE OF VIRTUAL PUBLIC HEARINGS (for consultation on housing needs)

Pursuant to 24 CFR Part 91, notice is hereby given that the Hawaii Housing Finance and Development Corporation (HHFDC), Department of Business, Economic Development and Tourism, State of Hawaii, will prepare an Annual Action Plan (AAP) for Program Year 2022-2023 (PY2022), as part of the Consolidated Plan (CP) process. In preparation for this AAP, HHFDC will consult with local governments and residents at public hearings to be held in each county. In support of ongoing efforts to curb the spread of the COVID-19 pandemic, hearings will be held via electronic means. In the County of Hawaii, HHFDC will conduct hearings jointly with the County of Hawaii's Office of Housing and Community Development (OHCD) as follows:

Tuesday, August 17, 2021 at 1:00 p.m.; and Wednesday, August 25, 2021 at 10:00 a.m.

Connectivity information on attending the virtual public hearings can be found at https://www.hawaiicounty.gov/office-of-housing

The CP's purpose is to ensure that jurisdictions receiving federal assistance plan for the housing and related needs of low- and moderate-income families in a way that improves the availability and affordability of decent, safe, and sanitary housing in suitable living environments. To receive funding under certain U.S. Department of Housing and Urban Development (HUD) programs, the State must have a housing strategy that has been approved by HUD.

The AAP describes the State's funding plans for the coming program year and certifies program compliance with the CP, HOME Investment Partnerships (HOME), National Housing Trust Fund (HTF), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs.

For PY2022, the State anticipates receiving approximately \$3,000,000 in HOME funds, \$450,000 in ESG funds, and \$250,000 in HOPWA funds, for use primarily in the Counties of Hawaii, Kauai and Maui. Approximately \$3,000,000 is anticipated under the HTF program, which may be used in the Counties of Hawaii, Kauai, Maui, and the City and County of Honolulu. These HUD programs provide funding to expand the supply of affordable housing for low- and moderate-income families, restrict increases in homelessness, and provide preventative programs and activities for the homeless in the State.

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To receive a copy by mail, interested persons may call (808) 587-0634; neighbor island county residents may call toll free, extension 70634:

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Interested persons are invited to attend the hearings and state their views, orally or in writing, related to the housing and homeless needs to be considered in developing the State's AAP.

Please submit written testimony via email to hhfdc.consolidatedplan@hawail.gov, by U. S. mail to HHFDC, 677 Queen Street, Suite 300, Honolulu, Hawali, 96813, or by fax to (808) 587-0600 by 4:30 p.m. on the day of the hearing. Comments and testimony received will be considered in preparing the final State AAP.

If access or communication assistance and/or services (e.g., large print, taped materials, sign language interpreter, or translator) are required to participate in this public hearing, please contact the HHFDC's Human Resources Office at 587-0501, by email at hhfdchr@hawaii.gov, by fax at 587-0600, or by written request at least ten business days before the hearing. Prompt requests help to ensure the availability of appropriate accommodations.

The HHFDC does not discriminate against any person because of race, color, religion, sex, including gender identity or expression, sexual orientation, disability, familial status, ancestry, age, marital status, or HIV infection.



Denise Iseri-Matsubara, HHFDC Executive Director 8/2/21) (SA1336126

#### IN THE MATTER OF NOTICE OF VIRTUAL PUBLIC HEARING

STATE OF HAWAII

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City and County of Honolulu

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Notary Signature	Date	
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Lisa Sakakida being duly sworn, deposes and says that she is a clerk, duly authorized to execute this affidavit of Oahu Publications, Inc. publisher of The Honolulu Star-Advertiser, MidWeek, The Garden Island, West Hawaii Today, and Hawaii Tribune-Herald, that said newspapers are newspapers of general circulation in the State of Hawaii, and that the attached notice is true notice as was published in the

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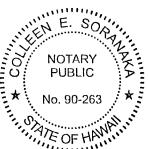
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And that affiant is not a party to or in any way interested in the above entitled matter.

Lisa Sakakida 2nd day of Audust A.D. 20 Z bed to and sworp before me this

Colleen E. Soranaka, Notary Public of the First Judicial Circuit, State of Hawaii My commission expires: Jan 06 2024

Ad # 0001336194



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Denise Iseri-Matsubara, HHFDC Executive Director (HTH1336194 8/2/21)



#### IN THE MATTER OF NOTICE OF VIRTUAL PUBLIC HEARING

STATE OF HAWAII

City and County of Honolulu

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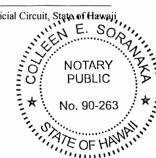
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Denise Iseri-Matsubara, HHFDC Executive Director (WHT1336195 8/2/21)





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0 times on:

And that affiant is not a party to or in any way interested in the above entitled matter.

Ham Calaka Lisa Sakakida Subscribed to and sworn before me this 26th day of ANGUST A.D. 20 21 

 Colleen E. Soranaka, Notary Public of the First Judicial Circuit, State of Hawaii

 My commission expires: Jan 06 2024

 Ad # 0001339249

 N. P. P. Ó PUBLIC **STATE OF HAWAII EXHIBIT B** No. 90-263 AP-05 - Executive Summary and **AP-12** - Citizen Participation EOFHAMA ATE OF HANNA

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Connectivity information on attending the virtual public hearing follows:

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Join on your computer or mobile app: See Notice of Public Hearing on HHFDC's website at <u>www.dbedt.hawaii.gov/hhfdc</u> Or call in (audio only):

+1 808-829-4853, Phone Conference ID: 144831643#

The CP's purpose is to ensure that jurisdictions receiving federal assistance plan for the housing and related needs of low- and moderate-income families in a way that improves the availability and affordability of decent, safe, and sanitary housing in suitable living environments. To receive funding under certain U.S. Department of Housing and Urban Development (HUD) programs, the State must have a housing strategy that has been approved by HUD.

The State and City's AAP will describe funding plans for the coming program year and certifies program compliance with the CP, HOME Investment Partnerships (HOME), National Housing Trust Fund (HTF), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs. The City's AAP will also include its Community Development Block Grant (CDBG) program.

The City's draft CAPER describes its accomplishments during PY2021. A copy of the draft CAPER will be posted to the City's website, on or before.September 9, 2021, at <a href="http://www.honolulu.gov/cdbg">http://www.honolulu.gov/cdbg</a>. A hard copy of the draft CAPER will be made available upon request by emailing bfscdbgmail@honolulu.gov. Interested parties may submit written comments on the City's draft CAPER by September 24, 2021 to the City and County of Honolulu, Department of Budget and Fiscal Services, Federal Grants Unit, 925 Dillingham Boulevard, Room 240, Honolulu, Hawaii, 96817 or by email to bfscdbgmail@honolulu.gov. The final CAPER will be submitted to HUD on or before September 30, 2021.

For PY2022, the State anticipates receiving approximately \$3,000,000 in HOME funds, \$450,000 in ESG funds, and \$250,000 in HOPWA funds, for use primarily in the Counties of Hawaii, Kauai and Maui. An additional \$6,413,733 in PY2021 HOME funds under the American Rescue Plan Act is also anticipated. Approximately \$3,000,000 is anticipated under the HTF program, which may be used in the Counties of Hawaii, Kauai, Maui, and the City and County of Honolulu. These HUD programs provide funding to expand the supply of affordable housing for low- and moderate-income families, restrict increases in homelessnes, and provide preventative programs and activities for the homeless in the State. Information on the City's programs can be found on its website at <a href="http://www.honolulu.gov/cdbg">http://www.honolulu.gov/cdbg</a>.

An Informational Packet and an Addendum to the Informational Packet, describing HHFDC's HOME, HTF, ESG and HOPWA programs, are posted on HHFDC's website at http://hawaii.gov/dbedt/hhfdc and are available for public viewing at the following Public Libraries: Hilo, Island of Hawaii; Lihue, Island of Kauai; Kahului, Island of Maui; Kahunuki, Kaneohe, Pearl City, Hawaii State Library's Documents Center, and UH Library's Serials Receiving, Island of Oahu.

To receive a copy by mail, interested persons may call (808) 587-0634; neighbor island county residents may call toll free, extension 70634:

om Kaua				4-2400	
				74-400	
om Molo					

Interested persons are invited to attend the virtual hearing and state their views, orally or in writing, related to the housing and homeless needs to be considered in developing the State's and/or the City's AAPs, and on the City's CAPER.

Please submit written testimony via email to <u>hhfdc.consolidatedplan@hawaii.gov</u>, by U. S. mall to HHEDC, 677 Queen Street, Suite 300, Honolulu, Hawaii, 96813, or by fax to (808) 587-0600 by 4:30 p.m. on or before the day of the hearing. Written testimony on the City's AAP or CAPER may be sent to the City and County of Honolulu, Department of Budget and Fiscal Services, Federal Grants Unit, 925 Dillingham Boulevard, Room 240, Honolulu, Hawaii, 96817 or by email to bfscdbgmail@honolulu.gov. Comments and testimony received will be considered in preparing the State's and City's final AAPs and the City's final CAPER. Written testimony and comments on the City's draft CAPER must be received by September 24, 2021.

If access or communication assistance and/or services (e.g., large print, taped materials, sign language interpreter, or translator) are required to participate in this public hearing, please contact the HHFDC's Human Resources Office at 587-0501, by email at hhfdchr@havail.gov, by fax at 587-0600, or by written request by September 3, 2021. Requests for access or communication assistance may also be made by contacting the City's Department of Budget and Fiscal Services by email at <u>bfscdbgmail@honolulu.gov</u>. Prompt requests help to ensure the availability of appropriate accommodations.

The HHFDC and the City do not discriminate against any person because of race, color, religion, sex, including gender identity or expression, sexual orientation, disability, familial status, ancestry, age, marital status, or HIV infection.

Denise Iseri-Matsubara, HHFDC Executive Director (SA1339249 8/26/21)



#### IN THE MATTER OF NOTICE OF VIRTUAL PUBLIC HEARING

STATE OF HAWAII	}
	} SS.
City and County of Honolulu	}

Doc. Date:	SEP 2 0 2021	# Pages:1
Notary Name: COLLEEN I	E. SORANAKA	First Judicial Circuit
Doc. Description:	Affidavit of	(Station or Seally
alle	SEP 2 0 2021	Norrarseal)
Notary Signature	Date	* No. 90-263

Lisa Sakakida being duly sworn, deposes and says that she is a clerk, duly authorized to execute this affidavit of Oahu Publications, Inc. publisher of The Honolilling the Star-Advertiser, MidWeek, The Garden Island, West Hawaii Today, and Hawaii Tribune-Herald, that said newspapers are newspapers of general circulation in the State of Hawaii, and that the attached notice is true notice as was published in the

Honolulu Star-Advertiser	0	times on: -
MidWeek	0	times on:
The Garden Island	1	_times on:
09/20/2021 Hawaii Tribune-Herald	0	times on:
West Hawaii Today	0	times on:

Other Publications:

0 times on:

And that affiant is not a party to or in any way interested in the above entitled matter.

Fron Alkna

Lisa Sakakida

Subscribed to and swoundefore me this 20th ay of September A.D. 20 2

102 Colleen E. Soranaka, Notary Public of the First Judicial Circuit, State of Hawaii *'''* My commission expires: Jan 06 2024 E. SOP

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NOTARY PUBLIC

No. 90-263

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0001341852 Ad#

#### NOTICE OF VIRTUAL PUBLIC HEARING (for consultation on housing needs)

Pursuant to 24 CFR Part 91, notice is hereby given that the Hawaii Housing Finance and Development Corporation (HHFDC), Department of Business, Economic Development and Tourism, State of Hawaii, will prepare an Annual Action Plan (AAP) for Program Year 2022-2023 (PY2022), as part of the Consolidated Plan (CP) process. In preparation for this AAP, HHFDC will consult with local governments and residents at public hearings to be held in each county. In support of ongoing efforts to curb the spread of the COVID-19 pandemic, hearings will be held use alternations in the COVID-19 pandemic, hearings will be held via electronic means. In the County of Kaual (County), HHFDC will conduct a virtual hearing with the County's Kaual County Housing Agency on Monday, October 11, 2021 at 2:00 pm.

Connectivity information on attending the virtual public hearing as follows:

- Join on your computer or mobile app: See Notice of Public Hearing on HHFDC's website at <u>www.dbedt.hawaii.gov/hhfdc</u> Or call in (audio only): <u>+1 469-848-0234, Phone Conference ID: 572058695#</u>

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> The AAP describes the State's funding plans for the coming program year and certifies program compliance with the CP, HOME Investment Partnerships (HOME), National Housing Trust Fund (HTF), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs.

For PY2022, the State anticipates receiving approximately \$3,000,000 in HOME funds, \$450,000 in ESG funds, and \$250,000 in HOPWA funds, for use primarily in the Counties of Hawaii, Kauai and Maul. An additional \$6,413,733 in PY2021 HOME funds under the American Rescue Plan Act (HOME-ARP) is also anticipated. Approximately \$3,000,000 is anticipated under the HTF program, which may be used in the Counties of Hawali, Kaual, Maui, and the City and County of Honolulu. These HUD programs provide funding to expand the supply of affordable housing for low- and moderate-income families, restrict increases in homelessness, and provide preventative programs and activities for the homeless in the State.

An Informational Packet and an Addendum to the Informational Packet, describing the HOME, HOME-ARP, HTF, ESG and HOPWA programs, are posted on HHFDC's website at <a href="http://hawaii.gov/dbedt/hhfdc">http://hawaii.gov/dbedt/hhfdc</a> and are available for public viewing at the following Public Libraries: Hilo, Island of Hawaii; Lihue, Island of Kauai; Kahului, Island of Maui; Kahukui, Kaneohe, Pearl City, Hawaii State Library's Documents Center, and UH Library's Serials Receiving, Island of Oahu.

To receive a copy by mail, interested persons may call (808) 587-0634; neighbor island county residents may call toll free, extension 70634: From Kauai: 274-3141 From

From Maui: 984-2400 From Molokai/Lanai: 1-800-468-4644 From Hawaii: 974-4000

Interested persons are invited to attend the hearing and state their views, orally or in writing, related to the housing and homeless needs to be considered in developing the State's AAP.

Please submit written testimony via email to hhfdc.consolidatedplan@hawail.gov, by U.S. small to HHFDC, 677 Queen Street, Suite 300, Honolulu, Hawaii, 96813, or by fax to (808) 587-0600 by 4:30 p.m. on the day of the hearing. Comments and testimony received will be considered in preparing the final State AAP.

If access or communication assistance and/or services (e.g., large print, taped materials, sign language interpreter, or translator) are required to participate in this public hearing, please contact the HHFDC's Human Resources Office at 587-0501, by email at hhfdchr@hawaii.gov, by fax at 587-0600, or by written request at least ten business days before the hearing. Prompt requests help to ensure the availability of appropriate accommodations.

The HHFDC does not discriminate against any person because of race, color, religion, sex, including gender identity or expression, sexual orientation, disability, familial status, ancestry, age, marital status, or HIV infection.

Denise Iseri-Matsubara, HHFDC Executive Director

(TGI1341852 9/20/21)

**ICSP NO.:** 

#### IN THE MATTER OF NOTICE OF VIRTUAL PUBLIC HEARING

STATE OF HAWAII	
City and County of Honolulu	

Doc. Date:	SEP 2 4 2021	# Pages:1
Notary Name: COLLEEN	I E. SORANAKA	First Judicial Circuit
Doc. Description:	Affidavit of	NE SOD
Publication		
mille	SEP 2 4 2021	O PUBLIC
Notary Signature	Date	No. 90-263
		10 A HILL

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Lisa Sakakida being duly sworn, deposes and says that she is a clerk, duly authonized to execute this affidavit of Oahu Publications, Inc. publisher of The Honolulu Star-Advertiser, MidWeek, The Garden Island, West Hawaii Today, and Hawaii Tribune-Herald, that said newspapers are newspapers of general circulation in the State of Hawaii, and that the attached notice is true notice as was published in the

Honolulu Star-Advertiser	1	times on:
09/20/2021 MidWeek	0	_times on:
The Garden Island	0	_times on:
Hawaii Tribune-Herald	0	_times on:
West Hawaii Today	0	_times on:

Other Publications:

0 times on:

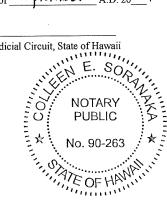
And that affiant is not a party to or in any way interested in the above entitled matter.

Lisa Sakakida

before me this 24th day of September A.D. 20 21 Subscribed to and sworn

Colleen E. Soranaka, Notary Public of the First Judicial Circuit, State of Hawaii My commission expires: Jan 06 2024

0001341849 Ad#



NOTICE OF VIRTUAL PUBLIC HEARING (for consultation on housing needs)

Pursuant to 24 CFR Part 91, notice is hereby given that the Hawaii Housing Finance and Development Corporation (HHFDC), Department of Business, Economic Development and Tourism, State of Hawali, will prepare an Annual Action Plan (AAP) for Program Year 2022-2023 (PY2022), as part of the Consolidated Plan (CP) process. In preparation for this AAP, HHFDC will consult with local governments and residents at public hearings to be held in each county. In support of ongoing efforts to curb the spread of the COVID-19 pandemic, hearings will be held via electronic means. In the County of Kauai (County), HHFDC will conduct a virtual hearing with the County's Kauai County Housing Agency on Monday, October 11, 2021 at 2:00 pm.

Connectivity information on attending the virtual public hearing as follows:

- Join on your computer or mobile app: See Notice of Public Hearing on HHFDC's website at <u>www.dbedt.hawaii.gov/hhfdc</u> Or call in (audio only): <u>+1 469-848-0234, Phone Conference ID: 572058695#</u>

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> The AAP describes the State's funding plans for the coming program year and certifies program compliance with the CP, HOME Investment Partnerships (HOME), National Housing Trust Fund (HTF), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs.

For PY2022, the State anticipates receiving approximately \$3,000,000 in HOME funds, \$450,000 in ESG funds, and \$250,000 in HOPWA funds, for use primarily in the Counties of Hawaii, Kauai and Maui. An additional \$6,413,733 in PY2021 HOME funds under the American Rescue Plan Act (HOME-ARP) is also anticipated. Approximately \$3,000,000 is anticipated under the HTF program, which may be used in the Counties of Hawaii, Kauai, Maui, and the City and County of Honolulu. These HIMD programs provided funding the sunnal we affordable housing for These HUD programs provide funding to expand the supply of affordable housing for low- and moderate-income families, restrict increases in homelessness, and provide preventative programs and activities for the homeless in the State.

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To receive a copy by mail, interested persons may call (808) 587-0634; neighbor Island county residents may call toll free, extension 70634:

From Maul: 984-2400 From Kauai: 274-3141 From Molokai/Lanai: 1-800-468-4644 From Hawaii: 974-4000

Interested persons are invited to attend the hearing and state their views, orally or in writing, related to the housing and homeless needs to be considered in developing the State's AAP.

Please submit written testimony via email to hhfdc.consolidatedplan@hawail.gov, by U. S. mail to HHFDC, 677 Queen Street, Suite 300, Honolulu, Hawaii, 968133, or by fax to (808) 587-0600 by 4:30 p.m. on the day of the hearing. Comments and testimony received will be considered in preparing the final State AAP.

If access or communication assistance and/or services (e.g., large print, taped in access or communication assistance and/or services (e.g., large print, lapled materials, sign language interpreter, or translator) are required to participate in this public hearing, please contact the HHFDC's Human Resources Office at 587-0501, by email at hhfdchr@havail.gov, by fax at 587-0600, or by written request at least ten business days before the hearing. Prompt requests help to ensure the availability of appropriate accommodations.

The HHFDC does not discriminate against any person because of race, color, religion, sex, including gender identify or expression, sexual orientation, disability, familial status, ancestry, age, marital status, or HIV infection.

Denise Iseri-Matsubara, HHFDC Executive Director 9/20/21)



ICSP NO .:

(SA1341849

#### IN THE MATTER OF NOTICE OF VIRTUAL PUBLIC HEARING

STATE OF HAWAII } } SS. City and County of Honolulu }

OCT 0 7 2021 # Pages:_ 1 Doc. Date: Notary Name: COLLEEN E. SORANAKA First Judicial Circuit E. SOP UN THEN Affidavit of Doc. Description: Publication NOTARY OCT 0 7 2021 PUBLIC ☆ Date Notary Signature No. 90-263

Lisa Sakakida being duly sworn, deposes and says that she is a clerk, duly authorized, to execute this affidavit of Oahu Publications, Inc. publisher of The Honolulu Star-Advertiser, MidWeek, The Garden Island, West Hawaii Today, and Hawaii Tribune-Herald, that said newspapers are newspapers of general circulation in the State of Hawaii, and that the attached notice is true notice as was published in the

Honolulu Star-Advertiser	1 times on:
10/07/2021 MidWeek	times on:
The Garden Island	0 times on:
Hawaii Tribune-Herald	times on:
West Hawaii Today	times on:

Other Publications:

times on:

And that affiant is not a party to or in any way interested in the above entitled matter.

XIKAK TAM)

Lisa Sakakida

Subscribed to and system before me this 7th day of October A.D. 20 2

Colleen E. Soranaka, Notary Public of the First Judicial Circuit, State of Hawaii, My commission expires: Jan 06 2024

Ad # 0001344812 NOTICE OF VIRTUAL PUBLIC HEARING (for consultation on housing needs)

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} } } } Pursuant to 24 CFR Part 91, notice is hereby given that the Hawaii Housing Finance and Development Corporation (HHFDC), Department of Business, Economic Development and Tourism, State of Hawaii, will prepare an Annual Action Plan (AAP) for Program Year 2022-2023 (PY2022), as part of the Consolidated Plan (CP) process. In preparation for this AAP, HHFDC will consult with local governments and residents at public hearings to be held in each county. In support of ongoing efforts to curb the spread of the COVID-19 pandemic, hearings will be held via efforted to many HUEDC will conduct a without hearing will be held via electronic means. HHFDC will conduct a virtual hearing with the County of Maui's Office of the Mayor's CDBG Program Office and the Department of Housing and Human Concerns' Housing Division on Friday, October 22, 2021 at 9:00 a.m.

Connectivity information on attending the virtual public hearing can be found at: https://bluejeans.com/105281438. Meeting ID 105 281 438.

The CP's purpose is to ensure that jurisdictions receiving federal assistance plan for the housing and related needs of low- and moderate-income families in a way that improves the availability and affordability of decent, safe, and sanitary housing in suitable living environments. To receive funding under certain U.S. Department of Housing and Urban Development (HUD) programs, the State must have a housing strategy that has been approved by HUD.

The AAP describes the State's funding plans for the coming program year and certifies program compliance with the CP, HOME Investment Partnerships (HOME), National Housing Trust Fund (HTF), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs.

For PY2022, the State anticipates receiving approximately \$3,000,000 in HOME funds, \$450,000 in ESG funds, and \$250,000 in HOPWA funds, for use primarily in the Counties of Hawaii, Kauai and Maui. An additional \$6,413,733 in PY2021 HOME funds under the American Rescue Plan Act (HOME-ARP) is also anticipated. Approximately \$3,000,000 is anticipated under the HTF program, which may be used in the Counties of Hawaii, Kauai, Maui, and the City and County of Honolulu. These HUD programs provide funding to expand the supply of affordable housing for low- and moderate-income families, restrict increases in homelessness, and provide preventative programs and activities for the homeless in the State.

An Informational Packet and an Addendum to the Informational Packet, describing An mioninational packet and an Addendum to the mioninational packet, descripting the HOME, HOME-ARP, HTF, ESG and HOPWA programs, are posted on HHFDC's website at http://hawaii.gov/dbedt/hhfdc and are available for public viewing at the following Public Libraries: Hilo, Island of Hawaii; Lihue, Island of Kauai; Kahului, Island of Maui; Kaimuki, Kaneohe, Pearl City, Hawaii State Library's Documents Center, and UH Library's Serials Receiving, Island of Oahu.

To receive a copy by mail, interested persons may call (808) 587-0634; neighbor Island county residents may call toll free, extension 70634: From Kauai: 274-3141 From I From Molokai/Lanai: 1-800-468-4644 From I From Maui: 984-2400

From Hawaii: 974-4000

Interested persons are invited to attend the hearing and state their views, orally or in writing, related to the housing and homeless needs to be considered in developing the State's AAP.

Please submit written testimony via email to hhfdc.consolidatedplan@hawaii.gov, by U. S. mail to HHFDC, 677 Queen Street, Suite 300, Honolulu, Hawail, 96813, or by fax to (808) 587-0600 by 4:30 p.m. on the day of the hearing. Comments and testimony received will be considered in preparing the final State AAP.

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The HHFDC does not discriminate against any person because of race, color, religion, sex, including gender identity or expression, sexual orientation, disability, familial status, ancestry, age, marital status, or **HIV** infection.

Denise Iseri-Matsubara, HHFDC Executive Director

(SA1344812 10/7/21)

State o. SOR? EN E. NOTARY PUBLIC AVE OF HANNA in or nnin

ICSP NO .:

# STATE OF HAWAII, County of Maui.

Kara Durr	being duly sw	vorn
deposes and says, that she is in	Advertising Sales	_of
the Maui Publishing Co., Ltd., publishers of THE MAUI NEWS, a		
newspaper published in Wailuku, County of Maui, State of Hawaii;		
that the ordered publication as to		

## NOTICE OF VIRTUAL PUBLIC HEARING

of which	the anne	xed is a t	rue and correct	printed notice, was
published	1tim	e in THE N	AUI NEWS, af	oresaid, commencing
on the	7th	_day of	October	, 2021, and ending
on the	7th	day of _	October	, 2021, one day
inclusive),	, to-wit: o	n		

October 7, 2021

and that affiant is not a party to or in any way interested in the above

entitled matter NOTICE OF VIRTUAL PUBLIC 1 This page dated October 7, 2021. was subscribed and sworn to before me this day of October , 2021, in the Second Circuit of the State of Hawaii, 06-397 06-397 06-397 Kara Durr by talono Notary Public, Second Judicial Circuit, State of Hawaii

**Kimberly Uradomo** 

Commission exp: 07/02/2022

#### NOTICE OF VIRTUAL PUBLIC HEARING (for consultation on housing needs)

Pursuant to 24 CFR Part 91, notice is hereby given that the Hawaii Housing Finance and Development Corporation (HHFDC), Department of Business, Economic Development and Tourism, State of Hawaii, will prepare an Annual Action Plan (AAP) for Program Year 2022-2023 (PY2022), as the Consolidated Plan (CP) process. In preparation for this AAP, HHFDC will consult with local governments and residents at public hearings to be held in each county. In support of ongoing efforts to curb the spread of the COVID-19 pandemic, hearings will be held via electronic means. HHFDC will conduct a virtual hearing with the County of Maui's Office of the Mayor's CDBG Program Office and the Department of Housing and Human Concerns' Housing Division on Friday, October 22, 2021 at 9:00 a.m.

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From Maui: 984-2400 From Hawaii: 974-4000

Interested persons are invited to attend the hearing and state their views, orally or in writing, related to the housing and homeless needs to be considered in developing the State's AAP.

Please submit written testimony via email to <u>hhfdc.consolidatedplan</u> <u>@hawaii.gov</u>, by U.S. mail to HHFDC, 677 Queen Street, Suite 300, Honolulu, Hawaii, 96813, or by fax to (808) 587-0600 by 4:30 p.m. on the day of the hearing. Comments and testimony received will be considered in preparing the final State AAP.

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Denise Iseri-Matsubara HHFDC Executive Director (MN: Oct. 7, 2021)

#### IN THE MATTER OF NOTICE OF PUBLIC COMMENT

STATE OF HAWAII
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City and County of Honolulu

} } SS. }

Doc. Date:	MAR 1 8 2022	# Pages:1
Notary Name: COLLEEN	N E. SORANAKA	First Judicial Circuit
Doc. Description:	Affidavit of	WE SOD
Publication		
and	MAR 1 6 2022	
Notary Signature	Date	* No. 90-263 *
		EOFHAN

<u>Lisa Sakakida</u> being duly sworn, deposes and says that she is a clerk, **dtry authorized** to execute this affidavit of Oahu Publications, Inc. publisher of The Honolulu Star-Advertiser, MidWeek, The Garden Island, West Hawaii Today, and Hawaii Tribune-Herald, that said newspapers are newspapers of general circulation in the State of Hawaii, and that the attached notice is true notice as was published in the

Honolulu Star-Advertiser	1 times on:
03/16/2022 MidWeek	times on:
The Garden Island	times on:
Hawaii Tribune-Herald	times on:
West Hawaii Today	0 times on:

Other Publications:

0 times on:

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And that affiant is not a party to or in any way interested in the above entitled matter.

Lisa Sakakida Subscribed to and swombefore me this 16th day of March A.D. 20 22 ·····, Notary Public of the First Judicial Circuit, State of Hagar ires: Jan 06 2024 1195 VOTARY PUBLIC No. 90-263 Colleen F Sofanat My commission expires: Jan 06 2024 Ad # 0001364195 TEOFHAN

# Opportunities for Persons with AIDS (HOPWA) programs. These HUD programs provide funding to expand the supply of affordable housing for low- and moderate-income families, restrict increases in homelessness, and provide preventative programs and activities for the homeless in the State. The AAP's purpose is to ensure that jurisdictions receiving federal assistance plan for the housing and related needs of low- and moderate-income families in a way that improves the availability and affordability of decent, safe, and sanitary housing in suitable living environments. To receive funding under certain U.S. Department of In suitable hyling environments, to receive funding under certain 0.5, obpartment of Housing and Urban Development (HUD) programs, the State must have a housing strategy that has been approved by HUD, for PY2022, the State of Hawaii anticipates receiving approximately \$3,000,000 in HOME funds, \$3,000,000 in HTF funds, \$444,100 in ESG funds, and \$270,474 in HOPWA funds. HHFDC and DHS-BESSD completed the draft PY2022 AAP to prepare for proposed activities and programs supported with HOME, HTF, ESG and HOPWA funds. The draft PY202 AAP includes information on available resources and a description of the State's method for disbursing funds to local governments and nonprofit organizations to carry out activities to address the priority of needs. Copies of the draft AAP are available for public viewing at depository libraries, the housing offices of the counties of Hawaii, Honolulu, Kauai, and Maui, at the Homeless Programs Office of the Department of Human Services, HHFDC, and on the HHFDC's website at <a href="http://hawaii.gov/dbedt/hhfdc">http://hawaii.gov/dbedt/hhfdc</a>. You may also call (808) 587-0577 to be mailed a copy of the draft AAP. Neighbor island residents may call toll free at the numbers listed below: From Kaual: (808) 274-3141, ext. 70634 From Hawaii Island: (808) 974-4000, ext. 70634 From Maui: (808) 984-2400, ext. 70634 From Molokai/Lanai: 1-800-468-4644, ext. 70634 Interested persons are invited to state their views on the draft AAP in writing no later than April 15, 2022, at 4:00 p.m. to HHFDC at 677 Queen Street, Suite 300, Honolulu, Havail, 96813. Writen comments may also be submitted via email to hhfdc.consolidatedplan@havail.gov or by fax to (808) 587-0600 by April 15, 2022. All comments received by the deadline will be considered in preparing the final PY2022 AAP. Persons with special needs (e.g., needing a copy of the proposed AAP in large print or taped) may contact HHFDC's Human Resources Office at 587-0501, by written request, or by email to hhfdchr@hawail.gov by April 8, 2022. Prompt requests help to ensure the availability of appropriate accommodations. Persons on the neighbor islands may call the following toll-free numbers: From Hawaii: (808) 974-4000, From Kauai: (808) 274-3141, ext. 70501 ext. 70501 From Maui: (808) 984-2400, ext. 70501 From Molokal & Lanal, 1-800-468-4644, ext. 70501

NOTICE OF PUBLIC COMMENT

Pursuant to 24 CFR Part 91, notice is given that a draft Annual Action Plan (AAP)

for Program Year 2022 (PY2022), covering the period from July 1, 2022, through June 30, 2023, has been prepared by the Hawali Housing Finance and Development Corporation (HHFDC), Department of Business, Economic

Development and Tourism, State of Hawali. The HHFDC is making copies of the

HHFDC administers HUD's HOME Investment Partnerships (HOME) and National Housing Trust Fund (HTF) programs. The State's Homeless Programs Office of the

Department of Human Services' Benefit, Employment and Support Services Division

draft AAP available for review and comment.

HHFDC does not discriminate against any person because of race, color, religion, sex, including gender identity or expression, sexual orientation, disability, familial status, ancestry, age, marital status, or HIV infection.

Denise Iseri-Matsubara, Executive Director

(SA1364195 3/16/22)

EQUAL HOUSING

ICSP NO.:

# HOME EXHIBIT A

# PY2022 Planned HOME Funding Allocation

**Referenced in** 

AP-05 – Executive Summary and AP-25 – Allocation Priorities

# **PY2022 HOME FUNDING ALLOCATION**

# **PY2022 HOME Allocation:**

HOME Resources	County of	Country of Kousi	County		Total
FY2022 HOME	Hawaii	County of Kauai	of Maui	HHFDC	Total
Regular Project	\$0	\$2,250,029	\$0	\$0	\$2,250,029
CHDO Set-Aside	\$0	\$450,006	\$0	\$0	\$450,006
Administration	\$0	\$150,002	\$0	\$150,002	\$300,004
Subtotal – 2022 HOME Funds	\$0	\$2,850,037	\$0	\$150,002	\$3,000,039
Other HOME Funds					
2021 Program Income		\$215,118			\$215,118
Subtotal – Other HOME Funds	\$215,118			\$0	\$215,118
Total Available	\$3,065,155			\$150,002	\$3,215,157

# **HTF EXHIBIT A**

# **PY2022 HTF Allocation Plan**

**Referenced in** 

AP-05 – Executive Summary and AP-90 – Program Specific Requirements

# STATE OF HAWAII HAWAII HOUSING FINANCE AND DEVELOPMENT CORPORATION PROGRAM YEAR 2022 HOUSING TRUST FUND PROGRAM ALLOCATION PLAN

# I. INTRODUCTION

The Housing Trust Fund (HTF) program was created by Title I of the Housing and Economic Recovery Act of 2008, Section 1131 (Public Law 110-289) and is administered by the U.S. Department of Housing and Urban Development. The regulations which govern the HTF are contained in 24 CFR Part 93, Housing Trust Fund. The purpose of the HTF is to provide grants to State governments to increase and preserve the supply of decent, safe, and sanitary affordable housing for primarily extremely low-income (30% AMI) households, including homeless families. The Hawaii Housing Finance and Development Corporation (HHFDC) is designated as the HTF Grantee for the State of Hawaii.

# II. DISTRIBUTION OF FUNDS

The Consolidated Plan identifies a substantial need for affordable housing throughout the State of Hawaii. Consequently, the HHFDC will make its allocation of HTF funds available on a statewide basis. HHFDC will fund projects through subgrantees (a unit of general local government that is selected to administer all or a portion of the state HTF program). The annual distribution is described below:

- a. HHFDC will retain 5% of the HTF annual allocation for allowable administrative and planning expenses.
- b. The balance of the HTF annual allocation will be provided to the County of Kauai, as a Subgrantee.
- c. The HTF program requires HHFDC to commit funds within 24 months of HUD's execution of the HTF grant agreement. Should a Subgrantee be unable to identify an eligible HTF project(s) and/or complete the tasks to meet the commitment requirements within a specified timeframe, HHFDC, in its sole discretion, shall seek alternate activities from the remaining Subgrantees.
- d. Should the remaining Subgrantees be unable to identify an eligible HTF project(s) and/or complete the tasks to meet the commitment requirements within a specified timeframe, HHFDC, in its sole discretion, shall seek alternate activities from eligible recipients.
- e. The HHFDC anticipates receiving \$3,744,423 in HTF funds for the Program Year 2022 2023, to be distributed as follows:

Fund Type	County of Kauai	HHFDC	Total
HTF Project Funds	\$3,369,981	\$0	\$3,369,981
Administration	\$187,221	\$187,221	\$374,442
Total HTF Funds	\$3,557,202	\$187,221	\$3,744,423

# III. ELIGIBLE ACTIVITIES AND EXPENSES

Rental Housing - HHFDC will focus its HTF funds on providing affordable rental housing to 30% AMI households. HTF funds may be used for the production, preservation, and rehabilitation of affordable rental housing through the acquisition, new construction, reconstruction, or rehabilitation of nonluxury housing with suitable amenities.

Eligible activities and expenses include: real property acquisition, site improvements and development hard costs, related soft costs, conversion, demolition, financing costs, relocation assistance, operating cost assistance and reasonable administrative and planning costs for HTF program administration.

HHFDC does not intend to use HTF funds for refinancing of existing debt.

# IV. ELIGIBLE RECIPIENTS

An organization, agency or other entity (including a public housing agency, or a for-profit entity or a nonprofit entity) is eligible to apply for HTF assistance as an owner or developer to carry out an HTF-assisted project. A recipient must:

- a. Make acceptable assurances to the Subgrantee/HHFDC that it will comply with the requirements of the HTF program during the entire period that begins upon selection of the recipient to receive HTF funds, and ending upon the conclusion of all HTF-funded activities;
- b. Demonstrate the ability and financial capacity to undertake, comply, and manage the eligible activity;
- c. Demonstrate its familiarity with the requirements of other Federal, State, or local housing programs that may be used in conjunction with HTF funds to ensure compliance with all applicable requirements and regulations of such programs; and

d. Have demonstrated experience and capacity to conduct an eligible HTF activity as evidenced by its ability to own, construct, or rehabilitate, and manage and operate an affordable multifamily rental housing development.

# V. APPLICATION AND AWARD PROCESS

Applications for the HTF are issued, accepted and selected by the applicable county housing agencies (Subgrantees) annually for funding consideration, subject to availability of funds. Should Subgrantees be unable to identify an eligible HTF project(s) within a specified timeframe, HHFDC shall seek alternate activities from eligible recipients. Applications are reviewed for eligibility and rated using the selection criteria. Each application must describe the eligible activity, in accordance with 24 CFR 93.200, to be conducted with HTF funds and contain a certification by each eligible recipient that housing units assisted with HTF will comply with the HTF requirements.

In addition, applications will include performance goals and bench marks that the Subgrantees/HHFDC will use to monitor efforts to accomplish the rental housing objectives.

City and County of Honolulu	County of Kauai
Department of Community Services	Kauai County Housing Agency
Kapalama Hale, Suite 200	Pi`ikoi Building
925 Dillingham Boulevard	4444 Rice Street, Suite 330
Honolulu, Hawaii 96817	Lihue, Hawaii 96766
County of Hawai`i	County of Maui
Office of Housing and Community	Department of Housing and Human Concerns
Development	Department of Housing and Human Concerns
1990 Kinoole Street, Suite 102	2065 Main Street, Suite 108
Hilo, Hawaii 96720	Wailuku, Hawaii 96793

# STATE OF HAWAII SUBGRANTEES

For PY 2022, the applicable Subgrantee to issue the HTF applications is the County of Kauai.

Minimum Thresholds:

Applicants <u>must meet all</u> of the following Minimum Threshold requirements to receive consideration for an allocation or award of HTF.

Failure to meet any Minimum Threshold shall result in the immediate rejection of the application.

# 1. Market Assessment

A market assessment of the housing needs of extremely low income individuals to be served by the project must be submitted as a part of the application. The assessment should review the neighborhood and other relevant market data to determine that there is current demand for the type and number of housing units being developed.

2. Site Control

Evidence of site control shall be submitted with the application for HTF funds. Site control shall be substantiated by providing evidence in the form of an executed lease or sales option agreement, fee simple deed, executed land lease, or any other documentation acceptable to the County. Evidence of site control must be provided for all proposed sites.

- 3. Capital Needs Assessment (For projects acquiring an existing property.) To ensure that the proposed rehabilitation of the project is adequate and that the property will have a useful life that exceeds the affordability period, a capital needs assessment of the property by a competent third party shall be submitted with the application. A capital needs assessment is a qualified professional's opinion of a property's current physical condition. It identifies deferred maintenance, physical needs and deficiencies, and material building code violations that affect the property's use, structural and mechanical integrity, and future physical and financial needs. The Capital Needs Assessment shall identify any work that must be completed immediately to address health and safety issues, violation of Federal or State law, violation of local code, or any work necessary to ensure that the building can continue to operate as affordable housing.
- 4. Debt Service Ratio
  - a. Projects <u>with hard debt service</u> requirements:
    - i. The Project is required to evidence a Debt Service Ratio of no less than 1.15x on all hard debt service requirements for the first 15 years.
  - b. Projects with <u>no</u> hard debt service requirements:
    - i. The Project <u>is required</u> to evidence positive Net Operating Income throughout the 30-year proforma period.
  - c. Hard Debt Service:
    - i. Defined as scheduled regular and periodic principal and/or interest payments of project loan obligations made for its direct benefit, as evidenced by a note and loan agreement.
    - ii. The Applicant is required to support all hard debt service loans and terms with executed lenders' commitment letters, letters of interest, or term sheets.
  - d. Underwriting Criteria and Requirements:
    - i. Applicants are required to use the following parameters and assumptions in the preparation of the project proforma:
      - 1. Annual Income Inflation Rate of 2.0% and Annual Expense

Inflation Rate of 3.0% for the first 15 years or term of the first mortgage, whichever is greater.

- 2. Annual Income Inflation Rate of 2.0% and Annual Expenses Inflation Rate of 2.0% for the remaining term of affordability.
- 3. Vacancy Rate of no less than 5.0%
- 4. Annual Replacement Reserve Allocation of no less than \$300 per unit per year.
- Phase I Environmental Site Assessment All proposed multifamily (more than four housing units) HTF projects require a Phase I Environmental Site Assessment.

For acquisition/rehabilitation projects, the Phase I Environmental Assessment should address lead based paint and asbestos.

- 6. Developer Fee
  - i. New Construction maximum developer fee of 15% of the total development costs or \$3,750,000 (whichever is less)
  - ii. Acquisition/Rehabilitation maximum developer fee of 10% of the acquisition costs and 15% of the rehabilitation costs or \$3,750,000 (whichever is less).

# VI. SELECTION CRITERIA

- a. Timeliness and Readiness to Proceed (Max. 30 pts.) The proposed project is feasible and will meet the required timelines to commit and expend HTF funds. Applicants need to demonstrate project "readiness." Factors to be considered are site control, financial commitments, environmental factors, zoning, utilities and site and neighborhood standards;
- b. Consolidated Plan Priorities (Max. 10 pts.) The extent to which the project proposes accomplishments that will meet the rental housing objectives for both the HHFDC and the County. The HTF is primarily a production program meant to add units to the supply of affordable housing for extremely low-income households. Merits of the application in meeting the priority housing needs of the County where the project is located such as accessible to transit or employment centers, housing that includes green building and sustainable development features or housing that serves special needs populations;
- c. Developer Experience an Financial Capacity (Max. 25 pts.) Applicant's ability to obligate HTF dollars and undertake funded activities in a timely manner. Need to review evidence of experience in developing and managing projects of similar type and scope, staff qualifications, and fiscal soundness. In addition, applicants who have received federal funds in the past will be evaluated on the basis of their

past performance. Qualifications of the proposed project team, personnel and /or contractors to carry out the proposed project including proven record of experience with comparable projects;

- d. Financially Feasible Project (Max. 25 pts.) Project pro forma to cover a minimum 30 year HTF affordability period and include rents that are affordable to extremely low-income households. Priority to be given for projects with extended affordability periods and project based rental assistance; and
- e. Use of Non-Federal Funding Sources (Max. 10 pts.) Given the 30% AMI income targeting requirements, viable projects will require other funding sources compatible with HTF. Priority consideration to the extent project will use non-federal funding sources.

A minimum score of 50 points (out of the 100 total points) must be scored in order to be recommended for the award of HTF funds.

Successful Recipient(s) will receive a Notice of Award, which will state that the Subgrantee's intent to award HTF funds is subject to approval of the applicable HTF Allocation Plan/Action Plan by the County Council/Approving Authority, HHFDC and HUD.

# VII. MAXIMUM PER-UNIT DEVELOPMENT SUBSIDY LIMITS

Each year, HHFDC must establish maximum limitations on the total amount of HTF funds that may be invested per-unit for development of non-luxury rental housing projects. The Public Housing Total Development Cost Limits (TDCs) for elevator structures, as annually published by HUD, have been adopted for the HTF program. HUD's data is based on construction cost indices for the area and is published annually by HUD's Office of Capital Improvements. The development costs of affordable rental housing across the state are generally higher in comparison but the TDCs provide a reliable maximum subsidy limit which will produce a greater number of HTF assisted units throughout the state. The limits are applicable statewide but, in the future, should HUD's data indicate that costs vary significantly across the state, the limits may be adjusted accordingly.

To allow greater flexibility, the maximum per-unit subsidy limits for HTF will be set at HUD's Public Housing Total Development Cost Limits (TDCs) for elevator structures, in effect at the time of commitment of HTF funds.

Bedrooms	Maximum Per-Unit Subsidy
	Limit
	As of November, 2021
0	\$190,041
1	\$266,057
2	\$342,073
3	\$456,097
4+	\$570,122

The current HTF limits are shown in the chart below:

## VIII. REHABILITATION STANDARDS

Rehabilitation of multi-family projects that utilize HTF funds must comply with all applicable federal, state and local codes, ordinances, requirements, County HTF rehabilitation standards and the requirements of 24 CFR 93.301(b). At a minimum, the following property standards and requirements shall apply:

1) Health and Safety – If the housing is occupied at the time of initial inspection, all Life-Threatening Deficiencies must be identified and addressed immediately. See Appendix A which identifies all life-threatening deficiencies (Highlighted in yellow and bold) for the property site, building exterior, building systems, common areas and unit components.

2) Major Systems – All projects with 26 or more units are required to have the useful remaining life of the major systems determined with a capital needs assessment. Major systems include: structural support, roofing; cladding and weatherproofing (e.g., windows, doors, siding, gutters); plumbing; electrical; heating, ventilation, and air conditioning. If the useful life of one or more major system is less than the affordability period, it must be replaced or rehabilitated or a replacement reserve must be established and monthly deposits made to the reserve account to adequately repair or replace the systems as needed.

3) Lead Safe Housing Rule – All HTF-funded rehabilitation must meet the requirements of the Lead Safe Housing Rule at 24 CFR Part 35.

4) Accessibility – Assisted housing must meet the accessibility requirements at 24 CFR part 8 (implementing section 504 of the Rehabilitation Act of 1973) and Titles II and III of the Americans with Disabilities Act implemented at 28 CFR parts 35 and 36. For "covered multifamily dwellings," as defined at 24 CFR 100.205, standards must require that the housing meets the design and construction requirements at 24 CFR 100.205. (Note that grantees may use HTF funds for other improvements that permit use by a person with disabilities, even if they are not required by statute or regulation.)

5) Disaster Mitigation – Housing must meet state and local requirements for disaster mitigation, or requirements established by HUD, where they are needed to mitigate the risk of potential natural disasters.

6) Local/state or national codes - All rehabilitation projects must meet all applicable federal, state and local codes, standards, ordinances, rules, regulations and requirements by project completion. In cases where standards differ, the most restrictive standard will apply. The State of Hawaii has adopted the 2006 International Building Code (2006 IBC) and the 2006 International Energy Conservation Code (2006 IECC). Should the adopted codes be modified or updated, the newly adopted code standard will apply. See local government agencies for additionally adopted codes. In the absence of local building codes, the housing must meet the International Existing Building Code of the International Code Council.

7) Uniform Physical Condition Standards (UPCS) – Upon completion, the project and units must be decent, safe, sanitary, and in good repair as described in 24 CFR 5.703. Appendix A: Uniform Physical Condition Standards for Multifamily Housing Rehabilitation ("Appendix A") identifies the type and degree of deficiencies that shall be addressed.

# Uniform Physical Condition Standards (UPCS) for Multifamily Housing Rehabilitation

All projects funded with HTF will be required to meet HUD's UPCS to ensure housing will be decent, safe, sanitary, and in good repair as described in 24 CFR 5.703. Standards include the UPCS inspectable items and observable deficiencies for the site, building exterior, building systems, common areas, and units.

Inspectable Item	Observable Deficiency	Type and Degree of Deficiency That Must Be
		Addressed
NOTE: Deficiencies hig housing is occupied.	hlighted in yellow are life-threatening	g and must be addressed immediately if the
Requirements for Site:		
Fencing and Gates	Damaged/Falling/Leaning	An exterior fence, security fence, or gate is damaged and does not function as it should or
		could threaten safety or security.
	Holes	Hole in fence or gate is larger than 6 inches by 6 inches
	Missing Sections	An exterior fence, security fence or gate is missing a section which could threaten safety or security
Grounds	Erosion/Rutting Areas	Runoff has extensively displaced soils which has caused visible damage or potential failure to adjoining structures or threatens the safety of pedestrians or makes the grounds unusable or there is a rut larger than 8 inches wide by 5 inches deep.
	Overgrown/Penetrating Vegetation	Plants have visibly damaged a component, area or system of the property or has made them unusable or unpassable
	Ponding/Site Drainage	There is an accumulation of more than 5 inches deep and/or a large section of the grounds-more than 20%-is unusable for its intended purpose due to poor drainage or ponding
Health & Safety	Air Quality - Sewer Odor Detected	Sewer odors that could pose a health risk if inhaled for prolonged periods
	Air Quality - Propane/Natural Gas/Methane Gas Detected	Strong propane, natural gas or methane odors that could pose a risk of explosion/ fire and/or pose a health risk if inhaled
	Electrical Hazards - Exposed Wires/Open Panels	Any exposed bare wires or openings in electrical panels (capped wires do not pose a risk)

	Electrical Hazards - Water Leaks on/near Electrical Equipment	Water leaking, puddling, or ponding on or immediately near any electrical apparatus that could pose a risk of fire, electrocution or explosion
	Flammable Materials - Improperly Stored	Flammable materials are improperly stored, causing the potential risk of fire or explosion
	Garbage and Debris - Outdoors	Too much garbage has gathered-more than the planned storage capacity or garbage has gathered in an area not sanctioned for staging or storing garbage or debris
	Hazards - Other	Any general defects or hazards that pose risk of bodily injury
	Hazards - Sharp Edges	Any physical defect that could cause cutting or breaking of human skin or other bodily harm
	Hazards - Tripping	Any physical defect in walkways or other travelled area that poses a tripping risk
	Infestation - Insects	Evidence of infestation of insects-including roaches and ants-throughout a unit or room, food preparation or storage area or other area of building substantial enough to present a health and safety risk
	Infestation - Rats/Mice/Vermin	Evidence of rats or micesightings, rat or mouse holes, or droppings substantial enough to present a health and safety risk
Mailboxes/Project Signs	Mailbox Missing/Damaged	Mailbox cannot be locked or is missing
	Signs Damaged	The project sign is not legible or readable because of deterioration or damage
Parking Lots/ Driveways/Roads	Cracks	Cracks that are large enough to affect traffic ability over more than 5% of the property's parking lots/driveways/roads or pose a safety hazard

	Ponding	3 inches or more of water has accumulated making 5% or more of a parking lot/driveway unusable or unsafe
	Potholes/Loose Material	Potholes or loose material that have made a parking lot/driveway unusable/unpassable for vehicles and/or pedestrians or could cause tripping or falling
	Settlement/Heaving	Settlement/heaving has made a parking lot/driveway unusable/unpassable or creates unsafe conditions for pedestrians and vehicles
Play Areas and Equipment	Damaged/Broken Equipment	Equipment poses a threat to safety and could cause injury

Fire Escapes	Blocked Egress/Ladders	Stored items or other barriers restrict or block people from exiting
	Missing Door	Any exterior door that is missing
	Deteriorated/Missing Caulking/Seals	The seals/caulking is missing on any entry door, or they are so damaged that they do not function as they should
	Screen/Storm/Security Door	
	Damaged/Missing	A security door that is not functioning or is "missing" (Security door should be there but isn't there)
	(Holes/Paint/Rusting/Glass)	paint or rust that affects the integrity of the door surface, or broken/missing glass
	Damaged Surface	Any door that has a hole or holes greater than 1 inch in diameter, significant peeling/cracking/no
	Damaged Hardware/Locks	Any door that does not function as it should or cannot be locked because of damage to the door's hardware
Doors	Damaged Frames/Threshold/Lintels/Trim	Any door that is not functioning or cannot be locked because of damage to the frame, threshold, lintel or trim
Requirements for B		
	Spalling/Exposed rebar	More than 5% of walkways have large areas of spalling-larger than 4 inches by 4 inchesthat affects traffic ability
	Cracks/Settlement/Heaving	Cracks greater than ¾", hinging/tilting, or missing section(s) that affect traffic ability over more than 5% of the property's walkways/steps
Walkways/Steps	Broken/Missing Hand Railing	The hand rail for four or more stairs is missing, damaged, loose or otherwise unusable
Storm Drainage	Damaged/Obstructed	The system is partially or fully blocked by a large quantity of debris, causing backup into adjacent areas or runoffs into areas where runoff is not intended
Retaining Walls	Damaged/Falling/Leaning	A retaining wall is damaged and does not function as it should or is a safety risk
Refuse Disposal	Broken/Damaged Enclosure- Inadequate Outside Storage Space	A single wall or gate of the enclosure has collapsed or is leaning and in danger of falling or trash cannot be stored in the designated area because it is too small to store refuse until disposal
	Deteriorated Play Area Surface	More than 50% of the play surface area shows deterioration or the play surface area could cause tripping or falling and thus poses a safety risk

	Visibly Missing Components	Any of the functional components that affect the function of the fire escapeone section of a ladder or railing, for exampleare missing
Foundations	Cracks/Gaps	Large cracks in foundation more than 3/8 inches wide by 3/8 inches deep by 6 inches long that present a possible sign of a serious structural problem, or opportunity for water penetration or sections of wall or floor that are broken apart
	Spalling/Exposed Rebar	Significant spalled areas affecting more than 10% of any foundation wall or any exposed reinforcing material—rebar or other

Health and Safety	Electrical Hazards - Exposed Wires/Open Panels	Any exposed bare wires or openings in electrical panels (capped wires do not pose a risk)
	Electrical Hazards - Water Leaks on/near Electrical Equipment	Water leaking, puddling or ponding on or immediately near any electrical apparatus that could pose a risk of fire, electrocution or explosion
	Emergency Fire Exits - Emergency/Fire Exits Blocked/Unusable	The exit cannot be used or exit is limited because a door or window is nailed shut, a lock is broken, panic hardware is chained, debris, storage, or other conditions block exit
	Emergency Fire Exits - Missing Exit Signs	Exit signs that clearly identify all emergency exits are missing or there is no illumination in the area of the sign
	Flammable/Combustible Materials - Improperly Stored	Flammable materials are improperly stored, causing the potential risk of fire or explosion
	Garbage and Debris - Outdoors	Too much garbage has gathered-more than the planned storage capacity or garbage has gathered in an area not sanctioned for staging or storing garbage or debris
	Hazards - Other	Any general defects or hazards that pose risk of bodily injury
	Hazards - Sharp Edges	Any physical defect that could cause cutting or breaking of human skin or other bodily harm
	Hazards - Tripping	Any physical defect in walkways or other travelled area that poses a tripping risk
	Infestation - Insects	Evidence of infestation of insects-including roaches and ants-throughout a unit or room, food preparation or storage area or other area of building substantial enough to present a health and safety risk
	Infestation - Rats/Mice/Vermin	Evidence of rats or micesightings, rat or mouse holes, or droppings substantial enough to present a health and safety risk

Lighting	Broken Fixtures/Bulbs	20% or more of the lighting fixtures and bulbs surveyed are broken or missing
Roofs	Damaged Soffits/Fascia	Soffits or fascia that should be there are missing or so damaged that water penetration is visibly possible
	Damaged Vents	Vents are missing or so visibly damaged that further roof damage is possible
	Damaged/Clogged Drains	The drain is so damaged or clogged with debris that the drain no longer functions—as shown by ponding
	Damaged/Torn Membrane/Missing Ballast	Ballast has shifted and no longer functions as it should or there is damage to the roof membrane that may result in water penetration
	Missing/Damaged Components from Downspout/Gutter	Drainage system components are missing or damaged causing visible damage to the roof, structure, exterior wall surface, or interior
	Missing/Damaged Shingles	Roofing shingles are missing or damaged enough to create a risk of water penetration
	Ponding	Evidence of standing water on roof, causing potential or visible damage to roof surface or underlying materials
Walls	Cracks/Gaps	Any large crack or gap that is more than 3/8 inches wide or deep and 6 inches long that presents a possible sign of serious structural problem or opportunity for water penetration
	Damaged Chimneys	Part or all of the chimney has visibly separated from the adjacent wall or there are cracked or missing pieces large enough to present a sign of chimney failure or there is a risk of falling pieces that could create a safety hazard
	Missing/Damaged Caulking/Mortar	Any exterior wall caulking or mortar deterioration that presents a risk of water penetration or risk of structural damage

	Missing Pieces/Holes/Spalling	Any exterior wall deterioration or holes of any size that present a risk of water penetration or risk of structural damage
	Stained/Peeling/Needs Paint	More than 50% of the exterior paint is cracking, flaking, or otherwise deteriorated. Water damage or related problems have stained the paint.
Windows	Broken/Missing/Cracked Panes	Any missing panes of glass or cracked panes of glass where the crack is either greater than 4" and/or substantial enough to impact the structural integrity of the window pane

	Damaged Sills/Frames/Lintels/Trim	Sills, frames, lintels, or trim are missing or damaged, exposing the inside of the surrounding walls and compromising its weather tightness
	Damaged/Missing Screens	Missing screens or screens with holes greater than 1 inch by 1 inch or tears greater than 2 inches in length
	Missing/Deteriorated Caulking/Seals/Glazing Compound	There are missing or deteriorated caulk or seals with evidence of leaks or damage to the window or surrounding structure
	Peeling/Needs Paint	More than 20% of the exterior window paint is peeling or paint is missing and window frame surface is exposed thereby exposing window frame to water penetration and deterioration
	Security Bars Prevent Egress	The ability to exit through egress window is limited by security bars that do not function properly and, therefore, pose safety risks
Requirements for Bu	uilding Systems	
Domestic Water	Leaking Central Water Supply	Leaking water from water supply line is observed
	Missing Pressure Relief Valve	There is no pressure relief valve or pressure relief valve does not drain down to the floor
	Rust/Corrosion on Heater Chimney	The water heater chimney shows evidence of flaking, discoloration, pitting, or crevices that may create holes that could allow toxic gases to leak from the chimney
	Water Supply Inoperable	There is no running water in any area of the building where there should be
Electrical System	Blocked Access/Improper Storage	One or more fixed items or items of sufficient size and weight impede access to the building system's electrical panel during an emergency
	Burnt Breakers	Carbon residue, melted breakers or arcing scars are evident
	Evidence of Leaks/Corrosion	Any corrosion that affects the condition of the components that carry current or any stains or rust on the interior of electrical enclosures, or any evidence of water leaks in the enclosure or hardware
	Frayed Wiring	Any nicks, abrasion, or fraying of the insulation that exposes any conducting wire
	Missing Breakers/Fuses	Any open and/or exposed breaker port
	Missing Outlet Covers	A cover is missing, which results in exposed visible electrical connections

Elevators	Not Operable	The elevator does not function at all or the elevator doors open when the cab is not there
Emergency Power	Auxiliary Lighting Inoperable (if applicable)	Auxiliary lighting does not function
Fire Protection	Missing Sprinkler Head	Any sprinkler head is missing, visibly disabled, painted over, blocked, or capped
	Missing/Damaged/Expired Extinguishers	There is missing, damaged or expired fire extinguisher an any area of the building where a fire extinguisher is required

Health & Safety	Air Quality - Mold and/or Mildew Observed	Evidence of mold or mildew is observed that is substantial enough to pose a health risk
	Air Quality - Propane/Natural Gas/Methane Gas Detected	Strong propane, natural gas or methane odors that could pose a risk of explosion/ fire and/or pose a health risk if inhaled
	Air Quality - Sewer Odor Detected	Sewer odors that could pose a health risk if inhaled for prolonged periods
	Electrical Hazards - Exposed Wires/Open Panels	Any exposed bare wires or openings in electrical panels (capped wires do not pose a risk)
	Electrical Hazards - Water Leaks on/near Electrical Equipment	Water leaking, puddling, or ponding on or immediately near any electrical apparatus that could pose a risk of fire, electrocution or explosion
	Elevator - Tripping	An elevator is misaligned with the floor by more than 3/4 of an inch. The elevator does not level as it should, which causes a tripping hazard
	Emergency Fire Exits - Emergency/Fire Exits Blocked/Unusable	The exit cannot be used or exit is limited because a door or window is nailed shut, a lock is broken, panic hardware is chained, debris, storage, or other conditions block exit
	Emergency Fire Exits - Missing Exit Signs	Exit signs that clearly identify all emergency exits are missing or there is no illumination in the area of the sign
	Flammable Materials - Improperly Stored	Flammable materials are improperly stored, causing the potential risk of fire or explosion
	Garbage and Debris - Indoors	Too much garbage has gathered-more than the planned storage capacity or garbage has gathered in an area not sanctioned for staging or storing garbage or debris
	Hazards - Other	Any general defects or hazards that pose risk of bodily injury

	Hazards - Sharp Edges	Any physical defect that could cause cutting or breaking of human skin or other bodily harm
	Hazards – Tripping Hazards	Any physical defect in walkways or other travelled area that poses a tripping risk
	Infestation - Insects	Evidence of infestation of insects-including roaches and ants-throughout a unit or room, food preparation or storage area or other area of building substantial enough to present a health and safety risk
	Infestation - Rats/Mice/Vermin	Evidence of rats or micesightings, rat or mouse holes, or droppings substantial enough to present a health and safety risk
HVAC	Boiler/Pump Leaks	Evidence of water or steam leaking in piping or pump packing to the point that the system or pumps should be shut down.
	Fuel Supply Leaks	Evidence of any amount of fuel leaking from the supply tank or piping
	General Rust/Corrosion	Significant formations of metal oxides, significant flaking, discoloration, or the development of a noticeable pit or crevice
	Misaligned Chimney/Ventilation System	A misalignment of an exhaust system on a combustion fuel-fired unit (oil, natural gas, propane, wood pellets etc.) that causes improper or dangerous venting of gases
Roof Exhaust System	Roof Exhaust Fan(s) Inoperable	The roof exhaust fan unit does not function
Sanitary System	Broken/Leaking/Clogged Pipes or Drains	Evidence of active leaks in or around the system components or evidence of standing water, puddles or pondinga sign of leaks or clogged drains
	Missing Drain/Cleanout/Manhole Covers	A protective cover is missing
Requirements for Commo	on Areas	1
Basement/Garage/Carport	Baluster/Side Railings - Damaged	Any damaged or missing balusters or side rails that limit the safe use of an area

Closet/Utility/Mechanical	Cabinets - Missing/Damaged	More than 10% of cabinet, doors, or shelves are missing or the laminate is separating
Community Room	Call for Aid - Inoperable	The system does not function as it should
Halls/Corridors/Stairs	Ceiling - Holes/Missing Tiles/Panels/Cracks	Any holes in ceiling, missing tiles or large cracks wider than 1/4 of an inch and greater than 11 inches long

Kitchen	Ceiling - Peeling/Needs Paint	More than 10% of ceiling has peeling paint or is missing paint
Laundry Room	Ceiling - Water Stains/Water Damage/Mold/Mildew	Evidence of a leak, mold or mildewsuch as a darkened areaover a ceiling area greater than 1 square foot
Lobby	Countertops - Missing/Damaged	10% or more of the countertop working surface is missing, deteriorated, or damaged below the laminate-not a sanitary surface to prepare food
Office	Dishwasher/Garbage Disposal - Inoperable	The dishwasher or garbage disposal does not operate as it should
Other Community Spaces	Doors - Damaged Frames/Threshold/Lintels/Trim	Any door that is not functioning or cannot be locked because of damage to the frame, threshold, lintel, or trim.
Patio/Porch/Balcony	Doors - Damaged Hardware/Locks	A restroom door, entry door, or fire door that does not function as it should or cannot be locked because of damage to the door's hardware
Restrooms	Doors - Damaged Surface (Holes/Paint/Rust/Glass)	Any door that has a hole or holes greater than 1 inch in diameter, significant peeling/cracking/no paint or rust that affects the integrity of the door surface, or broken/missing glass
Storage	Doors - Damaged/Missing Screen/Storm/Security Door	Any security door that is not functioning or is missing
	Doors - Deteriorated/Missing Seals (Entry Only)	The seals/caulking is missing on any entry door, or they are so damaged that they do not function as they should
	Doors - Missing Door	Any door that is missing that is required for the functional use of the space
	Dryer Vent - Missing/Damaged/Inoperable	The dryer vent is missing or it is not functioning because it is blocked. Dryer exhaust is not effectively vented to the outside
	Electrical - Blocked Access to Electrical Panel	One or more fixed items or items of sufficient size and weight impede access to the building system's electrical panel during an emergency
	Electrical - Burnt Breakers	Carbon residue, melted breakers or arcing scars are evident
	Electrical - Evidence of Leaks/Corrosion	Any corrosion that affects the condition of the components that carry current or any stains or rust on the interior of electrical enclosures or any evidence of water leaks in the enclosure or hardware
	Electrical - Frayed Wiring	Any nicks, abrasion, or fraying of the insulation that exposes any conducting wire

Electrical - Missing Breakers	Any open and/or exposed breaker port
Electrical - Missing Covers	A cover is missing, which results in exposed visible electrical connections
Floors - Bulging/Buckling	Any flooring that is bulging, buckling or sagging or a problem with alignment between flooring types
Floors - Floor Covering Damaged	More than 50% of floor covering has stains, surface burns, shallow cuts, small holes, tears, loose areas or exposed seams.
Floors - Missing Floor/Tiles	More than 50% of the flooring or tile flooring is missing
Floors - Peeling/Needs Paint	Any painted flooring that has peeling, cracking, flaking, or missing paint if the affected area is more than 4 square feet
Floors - Rot/Deteriorated Subfloor	Large areas of rotmore than 4 square feet and applying weight causes noticeable deflection.
Floors - Water Stains/Water Damage/Mold/Mildew	Evidence of a leak, mold or mildewsuch as a darkened areacovering a flooring area greater than 1 square foot

GFI - Inoperable	The GFI does not function
Graffiti	Graffiti in 6 or more places
HVAC - Convection/Radiant Heat System Covers Missing/Damaged	Cover is missing or substantially damaged, allowing contact with heating/surface elements or associated fans
HVAC - General Rust/Corrosion	Significant formations of metal oxides, flaking, or discolorationor a pit or crevice
HVAC - Inoperable	HVAC does not function. It does not provide the heating and cooling it should. The system does not respond when the controls are engaged
HVAC - Misaligned Chimney/Ventilation System	Any misalignment that may cause improper or dangerous venting of gases
HVAC - Noisy/Vibrating/Leaking	HVAC system shows signs of abnormal vibrations, other noise, or leaks when engaged
Lavatory Sink - Damaged/Missing	The sink or associated hardware have failed or are missing. The sink cannot be used
Lighting - Missing/Damaged/Inoperable Fixture	In more than two rooms, permanent lighting fixtures are missing or not functioning and no other switched light source is functioning in the room

Mailbox - Missing/Damaged	The U.S Postal Service mailbox cannot be locked or is missing
Outlets/Switches/Cover Plates - Missing/Broken	Outlet or switch is missing or a cover plate is missing or broken, resulting in exposed wiring
Pedestrian/Wheelchair Ramp	A walkway or ramp is damaged and cannot be used by people on foot, in wheelchairs, or using walkers
Plumbing - Clogged Drains	Drain is substantially or completely clogged or has suffered extensive deterioration
Plumbing - Leaking Faucet/Pipes	A steady leak that is adversely affecting the surrounding area
Range Hood /Exhaust Fans - Excessive Grease/Inoperable	The exhaust fan does not function
Range/Stove - Missing/Damaged/Inoperable	Two or more burners are not functioning or oven is not functioning
Refrigerator - Damaged/Inoperable	The refrigerator does not cool adequately for the safe storage of food
Restroom Cabinet - Damaged/Missing	Damaged or missing shelves, vanity top, drawers, or doors that are not functioning as they should for storage or their intended purpose
Shower/Tub - Damaged/Missing	The shower or tub cannot be used for any reason. The shower, tub, faucets, drains, or associated hardware is missing or has failed.
Sink - Missing/Damaged	The sink or hardware is either missing or not functioning
Smoke Detector - Missing/Inoperable	Smoke detector is missing or does not function as it should
Stairs - Broken/Damaged/Missing Steps	A step is missing or broken
Stairs - Broken/Missing Hand Railing	The hand rail for 4 or more stairs is missing, damaged, loose or otherwise unusable
Ventilation/Exhaust System - Inoperable	Exhaust fan is not functioning or window designed for ventilation does not open
Walls - Bulging/Buckling	Bulging, buckling or sagging walls or a lack of horizontal alignment
Walls - Damaged	Any hole in the wall greater than 2 inches by 2 inches
Walls - Damaged/Deteriorated Trim	More than 50% of the wall trim has significant areas of deterioration

	Walls - Peeling/Needs Paint	Peeling, cracking, flaking, or otherwise deteriorated paint over more than 4 square feet on any wall
	Walls - Water Stains/Water Damage/Mold/Mildew	Evidence of a leak, mold or mildewsuch as a common areacovering a wall area greater than 1 square foot
	Water Closet/Toilet - Damaged/Clogged/Missing	The bowl is fractured or broken and cannot take in water, water closet/toilet cannot be flushed, because of obstruction or another defect or there is a hazardous condition
	Windows - Cracked/Broken/Missing Panes	Missing or cracked panes of glass
	Windows - Damaged Window Sill	The sill is damaged enough to expose the inside of the surrounding walls and compromise its weather tightness
	Windows - Inoperable/Not Lockable	Any window that is not functioning or cannot be secured because lock is broken
	Windows - Missing/Deteriorated Caulking/Seals/Glazing Compound	There are missing or deteriorated caulk or seals with evidence of leaks or damage to the window or surrounding structure
	Windows - Peeling/Needs Paint	More than 10% of interior window paint is cracking, flaking or otherwise failing
	Windows - Security Bars Prevent Egress	The ability to exit through the window is limited by security bars that do not function properly and, therefore, pose safety risks
Health & Safety	Air Quality - Mold and/or Mildew Observed	Evidence of mold or mildew is observed that is substantial enough to pose a health risk
	Air Quality - Propane/Natural Gas/Methane Gas Detected	Strong propane, natural gas or methane odors that could pose a risk of explosion/ fire and/or pose a health risk if inhaled
	Air Quality - Sewer Odor Detected	Sewer odors that could pose a health risk if inhaled for prolonged periods
	Electrical Hazards - Exposed Wires/Open Panels	Any exposed bare wires or openings in electrical panels (capped wires do not pose a risk)
	Electrical Hazards - Water Leaks on/near Electrical Equipment	Water leaking, puddling or ponding on or immediately near any electrical apparatus that could pose a risk of fire, electrocution or explosion
	Emergency Fire Exits - Emergency/Fire Exits Blocked/Unusable	The exit cannot be used or exit is limited because a door or window is nailed shut, a lock is broken, panic hardware is chained, debris, storage, or other conditions block exit

Emergency Fire Exits - Missing Exit Signs	Exit signs that clearly identify all emergency exits are missing or there is no illumination in the area of the sign
Flammable/Combustible Materials - Improperly Stored	Flammable or combustible materials are improperly stored, causing the potential risk of fire or explosion
Garbage and Debris - Indoors	Too much garbage has gathered-more than the planned storage capacity or garbage has gathered in an area not sanctioned for staging or storing garbage or debris
Garbage and Debris - Outdoors	Too much garbage has gathered-more than the planned storage capacity or garbage has gathered in an area not sanctioned for staging or storing garbage or debris
Hazards - Other	Any general defects or hazards that pose risk of bodily injury
Hazards - Sharp Edges	Any physical defect that could cause cutting or breaking of human skin or other bodily harm
Hazards - Tripping	Any physical defect in walkways or other travelled area that poses a tripping risk
Infestation - Insects	Evidence of infestation of insects-including roaches and ants-throughout a unit or room, food preparation or storage area or other area of building substantial enough to present a health and safety risk
Infestation - Rats/Mice/Vermin	Evidence of rats or micesightings, rat or mouse holes, or droppings substantial enough to present a health and safety risk

Pools and Related Structures	Fencing - Damaged/Not Intact	Any damage that could compromise the integrity of the fence
Trash Collection Areas	Chutes - Damaged/Missing Components	Garbage has backed up into chutes, because the collection structure is missing or broken or compactors or componentschute, chute door, and other componentshave failed
Requirements for Units	:	
Bathroom	Bathroom Cabinets - Damaged/Missing	Damaged or missing shelves, vanity tops, drawers, or doors that are not functioning as they should for storage or their intended purpose
	Lavatory Sink - Damaged/Missing	The sink cannot be used, because the sink or associated hardware is missing or has failed.
	Plumbing - Clogged Drains, Faucets	Drain or faucet is substantially or completely clogged or has suffered extensive deterioration

	Plumbing - Leaking Faucet/Pipes	A steady leak that is adversely affecting the surrounding area
	Shower/Tub - Damaged/Missing	The shower, tub, faucets, drains, or associated hardware is missing or has failed.
	Ventilation/Exhaust System – Absent/Inoperable	Exhaust fan is not functioning or window designed for ventilation does not open
	Water Closet/Toilet - Damaged/Clogged/Missing	The bowl is fractured or broken or the water closet/toilet is missing, hazardous or cannot be flushed
Call-for-Aid (if applicable)	Inoperable	The system does not function as it should
Ceiling	Bulging/Buckling/Leaking	Bulging, buckling or sagging ceiling or problem with alignment
	Holes/Missing Tiles/Panels/Cracks	Any holes in ceiling, missing tiles or large cracks wider than 1/4 of an inch and greater than 6 inches long
	Peeling/Needs Paint	More than 10% of ceiling has peeling paint or is missing paint
	Water Stains/Water	Evidence of a leak, mold or mildewsuch as a
	Damage/Mold/Mildew	darkened areaover a ceiling area greater than 1 square foot
	Damaged	Any door that is not functioning or cannot be locked
Doors	Frames/Threshold/Lintels/Trim	because of damage to the frame, threshold, lintel or trim
	Damaged Hardware/Locks	Any door that does not function as it should or cannot be locked because of damage to the door's hardware
	Damaged/Missing	Any screen door or storm door that is damaged or is missing screens or glassshown by an empty frame
	Screen/Storm/Security Door	or frames or any security door that is not functioning or is missing
	Damaged Surface -	Any door that has a hole or holes greater than 1 inch in diameter, significant peeling/cracking/no paint or
	Holes/Paint/Rusting/Glass/Rotting	rust that affects the integrity of the door surface, or broken/missing glass
	Deteriorated/Missing Seals (Entry Only)	The seals/caulking is missing on any entry door, or they are so damaged that they do not function as they should
	Missing Door	Any door that is required for security (entry) or privacy (Bathroom) that is missing or any other unit door that is missing and is required for proper unit functionality
Electrical System	Blocked Access to Electrical Panel	One or more fixed items or items of sufficient size and weight impede access to the building system's electrical panel during an emergency

Burnt Breakers	Carbon residue, melted breakers or arcing scars are evident
Evidence of Leaks/Corrosion	Any corrosion that affects the condition of the components

		that carry current or any stains or rust on the interior of electrical enclosures or any evidence of water leaks in the enclosure or hardware
	Frayed Wiring	Any nicks, abrasion, or fraying of the insulation that exposes any conducting wire
	GFI - Inoperable	The GFI does not function
	Missing Breakers/Fuses	Any open and/or exposed breaker port
	Missing Covers	A cover is missing, which results in exposed visible electrical connections
Floors	Bulging/Buckling	Any flooring that is bulging, buckling or sagging or a problem with alignment between flooring types
	Floor Covering Damage	More than 10% of floor covering has stains, surface burns, shallow cuts, small holes, tears, loose areas or exposed seams.
	Missing Flooring Tiles	Missing or broken flooring causes a single safety problem
	Peeling/Needs Paint	Any painted flooring that has peeling, cracking, flaking, or missing paint if the affected area is more than 4 square feet
	Rot/Deteriorated Subfloor	Any rotted or deteriorated subflooring greater than 6 inches by 6 inches
	Water Stains/Water Damage/Mold/Mildew	Evidence of a leak, mold or mildewsuch as a darkened areacovering a flooring area greater than 1 square foot
Health & Safety	Air Quality - Mold and/or Mildew Observed	Evidence of mold or mildew is observed that is substantial enough to pose a health risk
	Air Quality - Sewer Odor Detected	Sewer odors that could pose a health risk if inhaled for prolonged periods
	Air Quality - Propane/Natural Gas/Methane Gas Detected	Strong propane, natural gas or methane odors that could pose a risk of explosion/ fire and/or pose a health risk if inhaled
	Electrical Hazards - Exposed Wires/Open Panels	Any exposed bare wires or openings in electrical panels (capped wires do not pose a risk)

Electrical Hazards - Water Leaks on/near Electrical Equipment	Water leaking, puddling or ponding on or immediately near any electrical apparatus that could pose a risk of fire, electrocution or explosion
Emergency Fire Exits - Emergency/Fire Exits Blocked/Unusable	The exit cannot be used or exit is limited because a door or window is nailed shut, a lock is broken, panic hardware is chained, debris, storage, or other conditions block exit
Emergency Fire Exits - Missing Exit Signs	Exit signs that clearly identify all emergency exits are missing or there is no illumination in the area of the sign
Flammable Materials - Improperly Stored	Flammable materials are improperly stored, causing the potential risk of fire or explosion
Garbage and Debris - Indoors	Too much garbage has gathered-more than the planned storage capacity or garbage has gathered in an area not sanctioned for staging or storing garbage or debris
Garbage and Debris - Outdoors	Too much garbage has gathered-more than the planned storage capacity or garbage has gathered in an area not sanctioned for staging or storing garbage or debris
Hazards - Other	Any general defects or hazards that pose risk of bodily injury
Hazards - Sharp Edges	Any physical defect that could cause cutting or breaking of human skin or other bodily harm
Hazards - Tripping	Any physical defect in walkways or other travelled area that poses a tripping risk
Infestation - Insects	Evidence of infestation of insects-including roaches and ants-throughout a unit or room, food preparation or storage area or other area of building substantial enough to present a health and safety risk
Infestation - Rats/Mice/Vermin	Evidence of rats or micesightings, rat or mouse holes, or droppings substantial enough to present a health and safety risk

Hot Water Heater Misaligned Chimney/Ventilation System		Any misalignment that may cause improper or dangerous venting of gases	
	Inoperable Unit/Components	Hot water from hot water taps is no warmer than room temperature indicating hot water heater is not functioning properly	
	Leaking Valves/Tanks/Pipes	There is evidence of active water leaks from hot water heater or related components	
	Pressure Relief Valve Missing	There is no pressure relief valve or pressure relief valve does not drain down to the floor	

	Rust/Corrosion	Significant formations of metal oxides, flaking, or discolorationor a pit or crevice	
HVAC System	Convection/Radiant Heat System Covers Missing/Damaged	Cover is missing or substantially damaged, allowing contact with heating/surface elements or associated fans	
	Inoperable	HVAC does not function. It does not provide the heating and cooling it should. The system does not respond when the controls are engaged	
	Misaligned Chimney/Ventilation System	Any misalignment that may cause improper or dangerous venting of gases	
	Noisy/Vibrating/Leaking	The HVAC system shows signs of abnormal vibrations, other noise, or leaks when engaged	
	Rust/Corrosion	Deterioration from rust or corrosion on the HVAC system in the dwelling unit	
Kitchen	Cabinets - Missing/Damaged	10% or more of cabinet, doors, or shelves are missing or the laminate is separating	
	Countertops - Missing/Damaged	10% or more of the countertop working surface is missing, deteriorated, or damaged below the laminate not a sanitary surface to prepare food	
	Dishwasher/Garbage Disposal - Inoperable	The dishwasher or garbage disposal does not operate as it should	
	Plumbing - Clogged Drains	Drain is substantially or completely clogged or has suffered extensive deterioration	
	Plumbing - Leaking Faucet/Pipes	A steady leak that is adversely affecting the surrounding area	
	Range Hood/Exhaust Fans -	The exhaust fan does not function or you	
	Excessive Grease/Inoperable	estimate that the flue may be completely blocked	
	Range/Stove -	The unit is missing or 2 or more burners or the oven is not functioning	
	Missing/Damaged/Inoperable		
	Refrigerator-	The refrigerator is missing or it does not cool	
	Missing/Damaged/Inoperable	adequately for the safe storage of food	
	Sink - Damaged/Missing	The sink or hardware is either missing or not functioning.	
Laundry Area (Room)	Dryer Vent - Missing/Damaged/Inoperable	The dryer vent is missing or it is not functioning because it is blocked. Dryer exhaust is not effectively vented to the outside	
Lighting	Missing/Inoperable Fixture	A permanent light fixture is missing or not functioning, and no other switched light source is functioning in the room	

Outlets/Switches	Missing	An outlet or switch is missing
	Missing/Broken Cover Plates	A cover plate is missing, which causes wires to be exposed
Patio/Porch/Balcony	Baluster/Side Railings Damaged	Any damaged or missing balusters or side rails that limit the safe use of an area
Smoke Detector	Missing/Inoperable	Smoke detector is missing or does not function as it should
Stairs	Broken/Damaged/Missing Steps	A step is missing or broken
	Broken/Missing Hand Railing	The hand rail for four or more stairs is missing, damaged, loose or otherwise unusable
Walls	Bulging/Buckling	Bulging, buckling or sagging walls or a lack of vertical alignment
	Damaged	Any hole in wall greater than 2 inches by 2 inches
	Damaged/Deteriorated Trim	10% or more of the wall trim is damaged
	Peeling/Needs Paint	10% or more of interior wall paint is peeling or missing
	Water Stains/Water Damage/Mold/Mildew	Evidence of a leak, mold or mildew covering a wall area greater than 1 square foot
Windows	Cracked/Broken/Missing Panes	Missing or cracked panes of glass
	Damaged Window Sill	The sill is damaged enough to expose the inside of the surrounding walls and compromise its weather tightness
	Missing/Deteriorated Caulking/Seals/Glazing Compound	There are missing or deteriorated caulk or sealswith evidence of leaks or damage to the window or surrounding structure
	Inoperable/Not Lockable	Any window that is not functioning or cannot be secured because lock is broken
	Peeling/Needs Paint	More than 10% of interior window paint is peeling or missing
	Security Bars Prevent Egress	The ability to exit through the window is limited by security bars that do not function properly and, therefore, pose safety risks

# **HTF EXHIBIT B**

# PY2022 Planned HTF Funding Allocation

**Referenced in** 

AP-05 – Executive Summary and AP-25 – Allocation Priorities

# **PY2022 HTF FUNDING ALLOCATION**

# \$3,744,423

HTF activities are rental housing projects. HTF-assisted units will serve households with incomes at or below 30% AMI.

# *PY2022 HTF Allocation:

HTF Activity	County of Kauai	HHFDC	Total
New Construction/Acquisition/Rehabilitation of Affordable Rental Housing	\$3,369,981	\$0	\$3,369,981
Administration	\$187,221	\$187,221	\$374,442
Total PY2022 HTF Funds	\$3,557,202	\$187,221	\$3,744,423

*For PY2022, the City and County of Honolulu will not receive an allocation of HTF funds from HHFDC and will use the time to build capacity to administer the HTF program. Since the County of Kauai is the designated county to receive the neighbor island portion of the PY2022 HTF allocation, it will receive Honolulu's portion as well.

# ESG EXHIBIT A Emergency Solutions Grant PARTNER AGENCIES (FACILITIES AND SERVICES)

**Referenced in** 

**AP-10** Consultation

# PARTNER AGENCIES (FACILITIES AND SERVICES)

### <u>HEALTH</u>

### Hawaii:

- Care Hawaii
- Bay Clinic, Inc.
- Hui Malama Ola Na Oiwi
- Lokahi Treatment Centers
- Big Island Substance Abuse Council
- Hawaii County Fire Department, Emergency Medical Services Division
- Mental Health Kokua
- Hawaii State Department of Health
- Department of Health Public Health Nursing
- Aloha Toxicology
- CHOW Project
- West Hawaii Community Health Center
- Project Vision Hie Hie
- Kumukahi Health & Wellness
- ADRC- Office of Aging
- Family Support Hawaii Early Head Start
- Hawaii County Economic Opportunity Council (HCEOC)

#### Kauai:

- Kaua'i Community Health Center
- Malama Pono Health Services
- Department Of Health
- Ho'ola Lahui Hawaii Health Centers
- Aloha Care
- Project Vision Hawaii
- Wilcox Hospital
- VA Clinic
- Mental Health Kōkua
- Hale 'Opio Kauai

### Maui:

- Aloha House
- Behavioral Health Services of Maui
- CHOW Program
- Community Clinic of Maui
- Department of Health, Dr. Lorrin Pang
- Family Health Services Division, Maui DHO
- Hana Health Center
- Hui No Ke Ola Pono
- Maui AIDS Foundation
- MCC Health Center

- Maui Public Health Nurse
- Mental Health Kokua
- Maui Memorial Medical Center
- Mental Health of America Maui Branch

### LAW ENFORCEMENT / LEGAL AID

### Hawaii

- Hawaii County Community Police Officers
- Hawaii County Prosecutor's Office
- Hawaii County Parks and Recreation
- Legal Aid Society Hawaii Island
- Catholic Charities Immigration Services

### Kauai

- Legal Aid Society
- Child & Family Services
- Hawai'i State Judiciary Fifth Circuit self-help center
- County of Kauai Parks Dept.
- Dept of Land & Natural Resources
- Dept of Hawaiian Homelands
- SOH Dept of Transportation
- Kauai Police Dept.

### Maui

- Child and Family Services
- County of Maui Parks and Recreations/Rangers
- Department of Public Safety
- Hawaii Paroling Authority
- Legal Aid Society
- Maui County Community Police Officers

### **EDUCATION SERVICES**

#### Hawaii

- University of Hawaii, Hilo
- Department of Education McKinney-Vento Liasions
- Child & Family Services

### Kauai

- Kaua'i Community College
- SOH Dept of Education McKinney-Vento Program

### Maui

- Maui Economic Opportunities, Inc. (MEO)
- Mental Health of America Maui Branch
- University of Hawaii, Maui College

#### **EMPLOYMENT SERVICES**

#### Hawaii

- Hawaii County Research and Development
- Hawaii County Economic Opportunity Council
- Alu Like: Employment and Training
- American Job Center Hawaii Island
- Department of Labor
- Kona-Kohala Chamber of Commerce

### Kauai

- American Job Center-Kauai Branch.
- Alu Like: Employment & Training
- Department of Vocational Rehabilitation
- Disability Resource Center
- DHS-First to Work
- Goodwill Industries

### Maui

- Goodwill Job Connections
- Maui Economic Opportunity, Inc
- Maui Job Corp
- People Ready (formerly Labor Ready)
- Vocational Rehabilitation

### **YOUTH SERVICES**

### Hawaii

- Salvation Army Family Intervention Services
- Child & Family Services
- Paying It Forward

### Kauai:

- Hale Opio Kauai
- Alu Like
- Child & Family Services
- Catholic Charities Hawaii
- Liliuokalani Trust
- SOH Dept of Education McKinney-Vento

### Maui

- Maui Youth and Family Services
- Maui Economic Opportunities, Inc. (MEO) Youth Program

### FOOD

### Hawaii

• ICIA – Faith Based Community Action Group

- Hawaii Island Food Basket
- The Salvation Army

### Kauai

- Kaua'i Independent Food Bank
- Hawai'i Food Bank, Kaua'i Branch
- The Salvation Army
- Child & Family Services
- Kauai Economic Opportunity
- Ohana Christian Fellowship
- All Saint's Church
- Westside Christian Center
- Hale Opio Kauai
- King's Chapel
- Church of the Pacific
- St. Michael's Church

### Maui

- A Cup of Cold Water: Partnership of churches offering donated material goods, food, water to homeless and needy people in some of the more remote areas of Maui.
- Family Life Center
- Feed My Sheep: Food Pantry Program
- Hale Kau Kau (St. Theresa Church)
- Ka Hale A Ke Ola
- Maui Food Bank
- Salvation Army
- The Special Supplemental Nutrition Program for Women, Infants, and Children (WIC)

### **VETERAN SERVICES**

### Hawaii

- Salvation Army Family Intervention Services
- Hilo VET Center

### Kauai:

- Hale Opio Kauai
- Dept of Veteran's Affairs
- Catholic Charities Hawai'i
- Veteran's Center
- VA Vocational Rehab
- VA Crisis Line
- VA Clinic
- US Vets Shelter & SSVF Program

### Maui

- U.S. Department of Veteran Affairs
- Salvation Army

# **ESG EXHIBIT B**

# **Emergency Solutions Grant**

# **Standards for Providing Assistance**

**Referenced in** 

**AP-90 Program Specific Requirements** 

# 1. HISTORY

The new Emergency Solutions Grant (ESG) was authorized by Section 1503 of the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act, signed into law on May 20, 2009 (Pub.L.111-22). Formerly known as the Emergency Shelter Grant program, the program was re-named to signify a shift to homelessness prevention assistance for at-risk individuals and families and rapid rehousing activities to assist homeless individuals and families who are living on the streets or in shelters into permanent housing. Additionally, ESG continues to fund emergency shelter operational costs.

As the primary grantee for ESG funding, the State of Hawaii (State) Department of Human Services (DHS), Benefit, Employment and Support Services Division (BESSD), Homeless Programs Office (HPO) shall follow, and require that all ESG grant subrecipients follow rules and regulations as stated in 24 CFR Part 576, applicable Hawaii Administrative Rules, Request for Information and subrecipient agreements.

### 2. PROGRAM PURPOSE AND GOALS

The State's goal is to create a coordinated network of providers and jurisdictions working collaboratively to address the needs of the homeless by identifying and eliminating the gaps in the homeless Continuum of Care (CoC) system. The DHS/HPO role in the process for developing the CoC systems in each county is as follows:

- a. To support the development and expansion of a locally developed Chapter of the larger CoC in each of the Counties, and to promote efforts to develop the CoC to meet HUD requirements;
- To work with federal, State, and local government agencies to coordinate efforts and resources to effectively provide for homeless families and individuals;
- c. To ensure that the needs of all homeless sub-populations are addressed and included in the locally developed CoC systems;
- d. To support agencies in the provision of services which promote the homeless CoC systems;
- e. To continue to promote and encourage public awareness and understanding of the causes and problems associated with homelessness and to stimulate the participation in efforts to address homelessness throughout the State; and
- f. To develop an effective mechanism for leveraging resources in the development and submission of grant applications for federal funds.

As part of the State's CoC system for homeless individuals and families, the ESG program provides homeless persons with financial and other support/assistance to quickly regain stability in permanent housing after experiencing a housing crisis or homelessness.

The goals of the ESG program are to provide services that will:

- a. Improve the quality of emergency shelters for the homeless,
- b. Help meet the costs of operating an emergency shelter,
- c. Provide essential Supportive services to homeless individuals.
- d. Assist homeless individuals and families quickly regain stability in permanent housing after experiencing a housing crisis or homelessness.
- e. Reduce the number of individuals and families at-risk of losing their homes or are experiencing homelessness through the funding of Homelessness Prevention and Rapid Re-Housing (RRH) activities.

# 3. FUNDING

The 5 Year Consolidated Plan is a planning document that ensures that jurisdictions receiving federal assistance plan for the housing and related needs of low-income and moderate-income families to improve the availability and affordability of decent, safe, and sanitary housing in suitable living environments. The annual Action Plan identifies the objectives targeted to address priority needs for the Program Year. It is also an application for funds under the U. S. Department of Housing and Urban Development's (HUD) formula grant programs: HOME Investment Partnerships (HOME), National Trust Fund (NTF), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs. The HOME and NTF programs are administered by the State's Hawaii Housing Finance and Development Corporation (HHFDC) and the ESG and HOPWA programs are administered by DHS/HPO.

HHFDC acts as the lead agency which consolidates and submits the Annual Action Plan and the Consolidated Annual Performance and Evaluation Report (CAPER) to HUD. The AAP involves consultation with government housing agencies, each jurisdiction's continuum of care planning group, working group meetings with public and private service providers, public hearings to solicit input on housing needs and priorities, the publication of notices in a newspaper of general circulation, and on HHFDC's website to solicit public comments on the draft AAP. DHS is the collaborative applicant for the neighbor island Continuum of Care (CoC), called Bridging the Gap (BTG), which includes the counties of Maui, Kauai and Hawaii. DHS/HPO is directly responsible for collecting and evaluating overall State and county information, strategic plans, and data necessary for the Homeless and Special Needs sections of the AAP; chronicling the information in narrative forms,

spreadsheets, and tables; and inputting them into the Integrated Disbursement and Information System (IDIS).

### 4. PROGRAM COMPONENTS

Eligible program components for the State ESG Program include:

- a. Emergency Shelter (Operations)
- b. Homelessness Prevention
- c. Rapid Re-housing
- d. Homeless Management Information System (HMIS)
- e. Administrative costs

### **ELIGIBLE ACTIVITIES***

The State shall follow, and require that all sub-recipients comply with rules and regulations as stated in 24 CFR, Subpart B, § 576.101-108. Eligible activities for the State ESG Program include:

**Emergency shelter:** The DHS/HPO is allowing operational costs for emergency shelters as the sole eligible activity in the use of ESG funds designated for emergency shelters. ESG is the only federal funding stream available to emergency shelters. Therefore, the DHS/HPO in consultation with BTG, has deemed that the modest funding level should be used primarily on emergency shelter operational costs.

Pursuant to Title 42 United States Code 11371, the term operating costs means expenses incurred by the recipient operating a facility assisted under this subtitle with respect to a) the administration, maintenance, repair, and security of such housing; and b) utilities, fuels, furnishings, and equipment for such housing.

Not more than ten percent (10%) of a recipient's grant amount for Operations may be used for costs of staff.

Ineligible activities include, but are not limited to the following: recruitment or ongoing training of staff; depreciation; staff training, entertainment, conferences, or retreats; public relations or fund raising; bad debts or late fees; mortgage payments; or costs associated with the organization rather than the shelter, including but not limited to advertisements, pamphlets, and surveys.

Homeless Prevention / Rapid re-housing (HP/RRH): The DHS/HPO is allowing funding allocated to HP/RRH to be used for temporary financial assistance to individuals and families who are homeless or would be homeless if not for this assistance. Short term (3 months or less) and medium term (4-24 months) rental

assistance will allow individuals and families to remain in their existing rental units, or will help them obtain and remain in rental units they select. Other financial assistance will come in the form of security and utility deposits, temporary utility payments, moving costs, and housing stabilization services such as case management, credit repair/budgeting, and mediation and legal services associated with housing.

Housing re-location and stabilization services are allowable activities for BOTH HP/RRH components. Services may include housing search/placement, housing stability case management, mediation and legal services, and credit repaid/budgeting/money management assistance. Financial assistance under housing re-location and stabilization can include moving costs, rent application fees, security deposit, last month's rent, utility deposit and utility payments.

Short term (3 months) and medium term (4-24 months) rental assistance can be up to 24 months of rental assistance during any three year period, including one-time payment for up to 6 months of rent arrears on the tenant's portion of the rent. Standards for rental assistance include Fair Market Rental (FMR) limits, rent reasonableness, minimum habitability standards, and rental assistance agreement and lease. Rental assistance cannot be provided to a household receiving rental assistance from another public source for the same time period (except for a one-time payment of up to 6 months of arrears).

**HMIS:** Eligible activities for the State HMIS component includes maintaining and upgrading HMIS software or data; backing up, recovering or repairing HMIS software or data; monitoring and reviewing data quality; utilizing HMIS data for required HUD and State reports; and administering the system.

<u>Administrative costs</u>: The State shall retain 5% of the total grant amount and will allow the sub-recipients 2.5% of their respective contract amounts for administrative costs.

* In program year 2020, due to the unforeseen onset of the COVID19 pandemic, the Annual Action Plan was amended to include Essential Services under the Shelter component, and added Street Outreach as a new component allowable through the ESG CARES Act programs.

### 5. ELIGIBLE PARTICIPANTS

a. Participants of the ESG Program must meet one of the following definitions of homelessness:

# Category 1 – Literally Homeless

(1) Individual or family who lacks a fixed, regular, and adequate nighttime residence, meaning: (i) Has a primary nighttime residence that is a public or private place not meant for human habitation; (ii) Is living in a publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, transitional housing, and hotels and motels paid for by charitable organizations or by federal, state and local government programs); or (iii) Is exiting an institution where (s)he has resided for 90 days or less and who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution

### Category 2 – Imminent Risk Of Homelessness

(2) Individual or family who will imminently lose their primary nighttime residence, provided that: (i) Residence will be lost within 14 days of the date of application for homeless assistance; (ii) No subsequent residence has been identified; and (iii) The individual or family lacks the resources or support networks needed to obtain other permanent housing

### Category 3 – Homeless Under Other Federal Statutes

(3) Unaccompanied youth under 25 years of age, or families with children and youth, who do not otherwise qualify as homeless under this definition, but who: (i) Are defined as homeless under the other listed federal statutes; (ii) Have not had a lease, ownership interest, or occupancy agreement in permanent housing during the 60 days prior to the homeless assistance application; (iii) Have experienced persistent instability as measured by two moves or more during in the preceding 60 days; and(iv) Can be expected to continue in such status for an extended period of time due to special needs or barriers (Not applicable under Bridging the Gap homeless programs.)

### Category 4 – Fleeing/Attempting To Flee Domestic Violence

- (4) Any individual or family who: (i) Is fleeing, or is attempting to flee, domestic violence; (ii) Has no other residence; and (iii) Lacks the resources or support networks to obtain other permanent housing
- b. Eligibility by Component:

### **Emergency Shelter (ES):**

Individuals and Families defined as Homeless under the following categories are eligible for assistance in ES projects:

- □ Category 1 Literally Homeless
- □ Category 2 Imminent Risk of Homeless
- □ Category 3 Homeless Under Other Federal Statutes
- □ Category 4 Fleeing/Attempting to Flee DV

# Rapid Re-Housing (RRH):

Individuals defined as Homeless under the following categories are eligible for assistance in RRH projects:

□ Category 1 – Literally Homeless

□ Category 4 – Fleeing/Attempting to Flee DV (where the individual or family also meets the criteria for Category 1)

Priority shall be given to eligible homeless families and persons based on prioritization of potential program participant's vulnerability and need assessed through the Vulnerability Index-Service Prioritization and Decision Assistance Tool (VI-SPDSAT), as follows:

- a. RRH projects will be targeted to the highest-need households, to the maximum extent possible, within the applicable VISPDAT vulnerability score range.
- b. Eligible households with higher barriers to housing shall be screened in and will not be subjected to additional criteria designed to determine whether a household is housing-ready (i.e., sobriety, employability, willingness to participate in services, etc.).
- c. All households served in CoC- and ESG-funded RRH projects must be referred through BTG's Coordinated Entry System using its current prioritization method.
- d. There shall be no project-specific waiting lists or project-specific prioritization policies that exist outside of BTG CES.

# Homelessness Prevention (HP):

Individuals and Families defined as Homeless under the following categories are eligible for assistance in HP projects:

- Category 2 Imminent Risk of Homeless
- □ Category 3 Homeless Under Other Federal Statutes
- □ Category 4 Fleeing/Attempting to Flee DV

Individuals and Families who are defined as "At Risk of Homelessness" are eligible for assistance in HP projects.

HP projects have the following additional limitations on eligibility with homeless and at risk of homeless:

Must only serve individuals and families that have an annual income below 30% of AMI

# 6. DOCUMENTING ELIGIBILITY

Agencies receiving grant funding under the ESG programs must document and maintain records related to participant eligibility and the services provided to participants. Each client file must include documentation of homelessness or at-risk status and other program eligibility. Agencies shall comply with HUD's recordkeeping and reporting requirements (24 CFR §576.500).

Listed below are the order of priority for documentation of homelessness:

- a. Third Party: Preferred method of documentation. This step must be attempted first.
  - (1) Oral or written verification from a third party verifying current homeless status of client.
  - (2) The written documentation must include reference to type of homelessness to determine which HUD Category the person meets. Written documentation includes official communication (written on agency letterhead or program template), signed and dated by appropriate third party representative.
  - (3) Third party source can be: case manager, outreach worker, landlord evicting person, or family/friend who is terminating the person's residency.
  - (4) Written verification can include HMIS report or other already available documentation.
  - (5) Recorded oral statements by intake staff and/or third party providing verification, with signed/dated attestation.
- b. Intake staff observation: Allowable after unsuccessfully attempting Third Party documentation.
  - (1) Homeless program staff self-verifies status based on observation, program record orHMIS record.
  - (2) Documentation must be written, include information related to type of homelessness, and include how staff first attempted to obtain Third Party verification. Must be signed and dated by intake staff as true and complete.
- c. Self-certification: Allowable only after unsuccessfully attempting third party or intake observation.
  - (1) Letter written and signed by client (head of household) briefly explaining homelessness and that they have no resources or safe place to stay.
  - (2) Due diligence documentation by intake staff describing efforts to obtain third party documentation, outcome of efforts including obstacles, and signed/dated by intake staff as true and complete.
- d. Additional guidance for documenting homeless status:
  - (1) Intake workers are responsible for obtaining the evidence of homeless status, not the client.
  - (2) An intake worker should not contact anyone for documentation that the client feels would jeopardize his or her safety.

- (3) Self-certification from the person that they meet homeless criteria of HUD Category can be accepted in most instances, but Third Party and Intake Observation must first be attempted and documented.
- (4) When documenting homeless status using Category 4 (fleeing or attempting to flee domestic violence), the intake worker needs to ask only enough questions to understand the situation.

# 7. OTHER PROGRAM ELIGIBILITY VERIFICATION:

- a. Document compliance with any other program eligibility criteria specific to the individual project. Service providers must keep records that outline the services and financial assistance provided for all participants, including:
  - (1) Evidence that, at a minimum, quarterly assessments of service needs were completed (certain programs require more frequent assessments).
  - (2) When a participant is terminated from the program, evidence that all applicable federal requirements were followed.
- b. Annual Income: For the purposes of documenting eligibility upon re-evaluation (household is at or below 30% of the area median income according to HUD), and when any kind of rent is paid by program participants, service providers must keep the following documentation of annual income:
  - (1) Income evaluation form
  - (2) Supporting documentation of sources of income options:
    - Minimum of 2 reflecting frequency of pay and current within 30 days
    - W-2 forms if applicable
    - Pay stubs, SSI/SSDI award letter/printout, child support statement, EBT statement for TANF
  - (3) Self declaration of income: Notarized statements or affidavits signed by applicant and authorized service provider representative that describe amount and source of income.
- c. Proof of Need:
  - Documentation provided by participant to determine amounts/types of assistance needed for the household to gain stability in permanent housing
  - Utility bills, lease, arrears, eviction documentation
- d. Households assisted with rental assistance must have or obtain a written lease for the rental unit.

# 8. DOCUMENTATION REQUIREMENTS

Category	Definition	Required Document	Eligibility by Component
1. Literally Homeless	<ul> <li>Household lacks a fixed, regular, and adequate nighttime residence, meaning:</li> <li>a. Has a primary nighttime residence that is a public or private place not meant for human habitation;</li> <li>b. Is living in a publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, transitional housing, and hotels and motels paid for by charitable organizations or by federal, state and local government programs); OR</li> <li>c. Is exiting an institution where (s)he has resided for 90 days or less and who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution</li> </ul>	<ul> <li>Written observation by the outreach worker; or</li> <li>Written referral by another housing or service provider; OR</li> <li>Certification by the individual or head of household seeking assistance stating that (s)he was living on the streets or in shelter;</li> <li>For individuals exiting an institution – one of the forms of evidence above AND:</li> <li>Discharge paperwork or written/oral referral, OR</li> <li>Written record of intake worker's due diligence to obtain above evidence and certification by individual that they exited the institution</li> </ul>	<ul> <li>Emergency Shelter</li> <li>Transitional Housing</li> <li>Rapid Re- Housing</li> <li>Permanent Supportive Housing</li> </ul>
2. Imminent Risk of Homelessness	<ul> <li>Household will imminently lose their primary nighttime residence, provided that:</li> <li>a. Residence will be lost within 14 days of the date of application for assistance; AND</li> <li>b. No subsequent residence has been identified; AND</li> <li>c. Household lacks the resources or support networks needed to obtain other permanent housing</li> </ul>	<ul> <li>A court order resulting from an eviction action notifying the household that they must leave; OR</li> <li>For households leaving a hotel or motel: evidence that they lack the financial resources to stay; OR</li> <li>A documented and verified oral statement; AND</li> <li>Certification that no subsequent residence has been identified; AND</li> <li>Self-certification or other written documentation that the household lacks the financial resources and support necessary to obtain permanent housing</li> </ul>	<ul> <li>Prevention</li> <li>Emergency Housing (if household will become Literally Homeless that night)</li> </ul>

3. Homeless under other Federal Statutes	<ul> <li>Unaccompanied youth under 25 years of age, or families with Category 3 children and youth, who do not otherwise qualify as homeless under this definition, but who:</li> <li>(i) Are defined as homeless under the other listed federal statutes;</li> <li>(ii) Have not had a lease, ownership interest, or occupancy agreement in permanent housing during the 60 days prior to the homeless assistance application;</li> <li>Have experienced persistent instability as measured by two moves or more during in the preceding 60 days; and (iv) Can be expected to continue in such status for an extended period of time due to special needs or barriers.</li> </ul>	<ul> <li>Certification by the nonprofit or state or local government that the individual or head of household seeking assistance met the criteria of homelessness under another federal statute, AND</li> <li>Certification of no permanent housing in last 60 days; AND</li> <li>Certification by the individual or head of household, and any available supporting documentation, that (s)he has moved two or more times in the past 60 days; AND</li> <li>Documentation of special needs or 2 or more barriers</li> </ul>	Not applicable under Bridging the Gap homeless programs
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omestic violence	<ul> <li>Any household who:</li> <li>a. Is fleeing, or is attempting to flee, domestic violence;</li> <li>b. Has no other residence; AND</li> <li>c. Lacks the resources or support networks to obtain other permanent housing</li> </ul>	• For victim service providers: o An oral statement by the individual or head of household seeking assistance which states: they are fleeing; they have no subsequent residence; and they lack resources. Statement must be documented by a self- certification or a certification by the intake worker	<ul> <li>Prevention</li> <li>Emergency Shelter</li> <li>Transitional Housing</li> <li>Rapid Re- Housing</li> <li>Permanent Supportive Housing</li> </ul>
4. Fleeing/ attempting to flee domestic violence		<ul> <li>For non-victim service providers:         <ul> <li>Oral statement by the individual or head of household seeking assistance that they are fleeing. This statement is documented by a self-certification or by the caseworker. Where safety is not jeopardized, the oral statement must be verified; AND</li> <li>Certification by the individual or head of household that no subsequent residence has been identified; AND</li> <li>Self-certification, or other written documentation, that the household lacks the financial resources and support to obtain other permanent housing.</li> </ul> </li> </ul>	

# 9. REQUIRED DOCUMENTS FOR IDENTIFICATION AND TENANCY

a. Verification of Legal Identity (Adults)

Options (must be original and show picture, name and date of birth):

- Current, valid driver's license
- Current U.S. passport
- Certificate of birth, naturalization papers
- U.S. military discharge (DD 214)
- Current employer identification card
- b. Verification of Legal Identity (Children)

Options (must be original and show name and date of birth):

- Certificate of birth
- Adoption papers
- Custody agreement
- Health and Human Services ID
- Certified school records
- c. Social Security Number

Options (must be original document for each member of the household):

- Social Security card
- Original document issued by a federal or state government agency which contains the name, SSN, and other identifying information of the individual
- Driver's license with SSN
- Identification card issued by a medical insurance provider, or by an employer or trade union.
- Earnings statements on payroll stubs
- Bank statement
- Tax Form 1099
- Benefit award letter
- Retirement benefit letter
- Life insurance policy
- Court records

# 10. DETERMINING RENTAL ASSISTANCE LIMITS

- a. Each household may receive up to 24 months of assistance.
- b. Maximum financial assistance per household is up to the Fair Market Rent standards and must comply with HUD's rent reasonableness standards.
- c. Service providers shall conduct income and rent calculations to determine if participants may be required to contribute towards rental costs. The tenant share shall not exceed:
  - (1) 30 percent of the family's monthly adjusted income (adjustment factors include the number of people in the family, age of family members, medical expenses, and child-care expenses); or
  - (2) 10 percent of the family's monthly income; or
  - (3) If the family is receiving payments for welfare assistance from a public agency and a part of the payments (adjusted in accordance with the family's actual housing costs) is specifically designated by the agency to meet the family's housing costs, the portion of the payments that is designated for housing costs. Income must be calculated in accordance with 24 CFR 5.609-Annual Income, and 24 CFR 5.611(a) - Adjusted Income.

- A program participant's total contribution toward rent and utilities must be impartially and consistently applied to all participants served in ESG-funded RRH programs.
- e. If the participant pays separately for utilities, the monthly utility allowance established by the Public Housing Authority serving the area in which the housing is located shall be utilized.
- f. Rental assistance shall be provided in a flexible and progressive manner that is adapted to the household's needs.
- g. Participants will be evaluated every 3 months to determine if further assistance is needed. All documents required at entry will be required at reevaluation.
- h. Service providers shall have policies detailing its approach to determine amount and duration of rental assistance, including decision-making guidelines and reevaluation processes.
- i. Documentation to determine amount or percentage of rent/utilities to be paid by the program participant, and duration of financial assistance shall be retained in the participant's file.

### 11. MATCH REQUIREMENTS

The State shall follow, and require that all sub-recipients follow, match requirement rules and regulations as stated in 24 CFR § 201.

# 12. HOUSING FIRST (HF)

The sub-recipient shall provide services in a manner that is consistent with the HF approach as described below.

a. Low barrier to entry and ongoing program participation

Offer households immediate access to outreach, shelter, and permanent housing as appropriate without unnecessary prerequisites. This includes, but is not limited to:

- i. Admission practices that are welcoming and low barrier, do not require abstinence from substances, completion of or compliance with treatment, or participation in services.
- ii. Not rejecting applicants on the basis of credit, rental history, criminal history, or other factors that might indicate a lack of "housing readiness." sub-recipient shall consider criminal history and other factors on a case-by-case basis as necessary to ensure the safety of participants and staff.

Offer households experiencing homelessness ongoing access to services until they secure permanent housing. This includes, but is not limited to the following:

- i. Not establishing arbitrary time limits on length of program participation.
- ii. Limiting program rules to a brief list of requirements that are necessary to ensure participant and staff health and safety, allowing participants who may be under the influence of drugs and/or alcohol to remain in the program as long as their behavior does not present a health or safety threat, and not removing participants for non-participation in services.
- iii. Assessing participant openness to and supporting behavioral change to assist participants in meeting program health and safety expectations.
- iv. Removing participants from a program(s) only for as long as necessary to ensure their health and safety and connecting them to appropriate services and resources.
- v. Ensuring that an independent appeals process is in place and participants are notified of the process verbally and in writing to allow participants to contest involuntary temporary removal or discharge from a program.

# 13. GENERAL PROGRAM REQUIREMENTS

a. Grievance Procedures:

The State requires that the sub-recipient have a formal and documented process that provides due process to the applicant/participant served or seeking services, including Grievance Procedures. The sub-recipient is required to give the applicant/participant written notification of Grievance Procedures when assistance is terminated or denied. The written notice shall contain:

- i. a clear statement of the reasons for termination or denial of assistance
- ii. the specific date when assistance will cease
- iii. the right of the applicant/participant to request a review of the decision
- iv. instructions on how to evoke this review
- v. the right of the applicant/participant to review the records
- vi. the right to legal counsel at the sole expense of the applicant/participant

Upon request, a formal review of the decision, including the questioning of witnesses and presentation of evidence, shall be conducted before a person other than the person who made the decision on review. The sub-recipient shall render a written decision to the applicant/participant after completion of the formal review. Provider's grievance procedures shall include language that if the Participant is not satisfied with the Provider's final determination, a formal review of the decision may be requested of the State. The review letter shall be mailed to:

Department of Human Services Benefit, Employment & Support Services Division Homeless Programs Office 1010 Richards Street, Suite 312 Honolulu, Hawaii 96813

b. Conflict of Interest and Confidentiality:

All recipients and subrecipients of ESG funding must comply with Conflicts of Interest and Confidentiality provisions found respectively at 24 CFR § 576.404 and § 576.500 for ESG; and the Hawaii Administrative Rules, Title 17, Department of Human Services, Subtitle 5, Chapter 2026, Section 17-2026-11, "Confidentiality" (see Exhibit 1.1); Section 4 (f) and (h) included in the Special Conditions of the Subrecipient Agreement; and Section 8 (see Exhibit 1.2), "Confidentiality of Personal Information" of the General Conditions for Health and Human Services in the Subrecipient Agreement (see Exhibit 1.3).

The subrecipient is required to ensure participant confidentiality by having existing written policies and procedures regarding, without limitation, the handling of participant files and other confidential information. The documented standards for compliance must be submitted to HPO upon request.

BTG Transparency, Conflict of Interest, Code of Conduct, and Non-Discrimination policies may be found in the BTG Governance Charter at: <u>https://www.btghawaii.org/about/#collapse1</u>

Information on data confidentiality is available in the BTG HMIS Policies and Procedures and Participation Agreement (see Exhibits 1.4 & 1.5).

Personally Identifiable Information (PII) when lost, compromised or disclosed, may substantially harm an individual. HPO is committed to protecting the privacy of each program participant and/or agency staff, whether the personal information is stored electronically or in paper form. All documents (i.e., payment request supporting documents, case management notes, employment information, etc.) submitted to HPO shall not include PII. Examples of sensitive PII include social security numbers, medical records, and financial account numbers (credit or debit card numbers, bank account numbers, etc.). If the agency's internal forms already include such records, the PII must be redacted or otherwise obscured before submitting the documents to HPO.

### c. Intake:

The sub-recipient is required to complete an informational intake for all participants. The Intake must minimally include information on a participant's name, age, gender, social security number, ethnicity, marital status, dependent children, employment status, income and financial status, citizenship or alien status, education level, health and medical history, homeless status, cause of homelessness, family network system, previous social services received, veteran status, and residency for statistical purposes only.

### d. Assessment:

The subrecipient shall complete an initial assessment of Participant's service needs within seven (7) days of program entry, update the assessment at least monthly, and ensure assessments are signed by the Participant, case manager, and supervisor and dated. Assessment should focus on Participant strengths and barriers to housing stability.

e. Development and implementation of housing services plan:

The SUBRECIPIENT shall complete an initial housing plan for each individual and family within seven (7) days of engagement in services, update the plan at least monthly, ensure plans are signed by the Participant, outreach worker, and supervisor and dated, and ensure that goals are person-centered, specific and measurable and that plans indicate who is responsible for indicated action steps and when those action steps will occur. Plans must outline steps to secure permanent housing as quickly as possible and address barriers to accessing housing and achieving housing stability. The SUBRECIPIENT shall attempt to provide face-to-face case management services to all Participants at least two (2) times per month.

f. HUD Housing Counseling Certification Requirements:

This final rule implements statutory requirements that housing counseling required under or provided in connection with all HUD programs must be provided by HUD certified housing counselors by the final compliance date, August 1, 2021. The standards will apply to all housing counseling programs required by HUD or provided in connection with any program of HUD, including ESG.

Housing Counseling is independent, expert advice customized to the needs of the program participant to address housing barriers and to help achieve their housing goals. HUD certification is required for providers whose case management services include the following elements:

- Intake
- Client budget

- Financial and housing affordability analysis
- A client action plan
- A reasonable effort to follow-up with client

Types of housing counseling include homelessness assistance, rental assistance, pre-purchase/homebuying, non-delinquency post-purchase, resolving or preventing mortgage delinquency or default, reverse mortgage (HECM), and financial management/budgeting.

There are service agencies that are not considered housing counseling under the Housing Counseling Certification Requirements Final Rule. Some examples include:

- Services that provide only housing information or placement/referral services
- Routine administrative activities (e.g., program eligibility determinations, intake, case management)
- Case management that provides housing services as incidental to a larger case management and does not fund housing counseling
- Fair housing advice and advocacy (e.g., processing complaints and filing claims)
- Group education including online education without individualized housing counseling services

NOTE: Some organizations may have staff that do not deliver housing counseling directly, and as a result do not need to be HUD certified.

Detailed information can be found at Housing Counseling Certification.

g. Monitoring and follow-up:

The sub-recipient is required to track and document the progress of participants and shall be responsible for the enforcement of the ESG program rules.

- h. Services and Activities:
  - i. The subrecipient shall complete a Vulnerability Index and Service Prioritization Decision Assistance Tool (VI-SPDAT) on each homeless individual and/or family encountered and submit to the organization designated by STATE.
  - ii. The subrecipient shall actively participate in the Coordinated Entry System (CES) process, including case conferencing and coordination of outreach services.

BTG Coordinated Entry System Policies and Procedures Manual is available at: <u>https://www.btghawaii.org/about/#collapse1</u>

- i. <u>Nondiscrimination</u>. The subrecipient shall not discriminate against any applicant/Participant on the basis of race, color, creed, religion, ancestry, national origin, sex, sexual orientation, disability or handicap, age, or marital/ familial status with regard to public assistance. The subrecipient shall take affirmative action to ensure that its program is free from such discrimination. The subrecipient shall make known that the ESG assistance and services it provides are available on a nondiscriminatory basis, and will ensure that all citizens, including citizens with limited English proficiency, have equal access to information concerning ESG. The subrecipient shall make its Nondiscrimination policies and procedures available to HPO upon request.
- j. <u>Faith-Based Activities</u>. In connection with the ESG assistance under this Agreement, the subrecipient shall agree that:
  - i. It shall not discriminate against any applicant/Participant on the basis of religion and will not limit such assistance or give preference to persons on the basis of religion.
  - ii. It shall provide no religious instruction or counseling, conduct no religious worship or service, engage in no religious proselytizing, and exert no other religious influence in the provision of programs or services funded under this Agreement. If the subrecipient conducts such activities, the activities must be offered separately in time and location from the programs or services funded under this Contract. Participants must be voluntary for the beneficiaries of the programs or services funded under this Contract.
- k. Fair Housing. Any housing (including emergency and transitional shelters, or bridge housing) or housing services offered by subrecipient shall be made available without discrimination based on race, color, national origin, age, sex, religion, familial status, or disability, or on any basis prohibited by State law, in accordance with fair housing laws. In providing support services and financial assistance for re-housing, the subrecipient shall not discriminate against a rental applicant based on the fact that he or she receives a direct rental subsidy, such as a Section 8 voucher or HOME tenant-based rental assistance (TBRA), in accordance with 24 CFR Section 92.252 (d), as may be amended from time to time. In addition, the subrecipient shall acknowledge that the following fair housing and nondiscrimination laws apply to its provision of housing or housing services: Title VIII of the Civil Rights Act of 1968 (Fair Housing Act), as amended, and implementing regulations at 24 CFR Part 100; Title VI of the Civil Rights Act of 1964: The Age Discrimination Act of 1975, as amended and implementing regulations at 24 CFR, Part 146; Executive Orders 11063 and 12259 – Equal Opportunity in Housing, and implementing regulations at 24 CFR, Part 107; Section 504 of the Rehabilitation Act of 1973 (Section 504) and implementing regulations at 24 CFR. Part 8; and Title II of the American with Disabilities Act (ADA), incorporated herein by reference and as may be amended from time to

time. The subrecipient shall agree to comply with all applicable provisions of these statutes and rules. The subrecipient shall make its Fair Housing policies and procedures available to HPO upon requests.

- <u>Habitability Standards and Lead Based Paints</u>. The sub-recipient shall conduct initial and any follow-up inspections of housing units provided to a program participant. Units shall meet the minimum habitability standards set forth in the HEARTH Interim Rule §576.403, as may be amended from time to time. Inspections shall be conducted on an annual basis, and upon change of tenancy. The sub-recipient shall also comply with the lead based paint requirements at 24 CFR, part 35, subpart A, B, M and R, as may be amended from time to time.
- m. <u>DUNS Number</u>. Applicants for ESG funding must register with DUN and Bradstreet and obtain a DATA Universal Numbering System (DUNS) number.
- n. <u>System of Award Management</u>. The subrecipient and its principals shall not be suspended or disbarred or otherwise excluded from participating in an application for funding per 2 CFR Section 180.995. This verification is accomplished by running a report for each agency through the System for Award Management (SAM) website: (<u>https://www.sam.gov/SAM/</u>)
- o. <u>Record Keeping</u>. The subrecipient shall maintain records that document compliance with the requirements of its Agreement, which shall include, without limitation,
  - i. Records providing a full description of each activity undertaken; and the amount of ESG program funds budgeted, obligated, and expended for each activity;
  - ii. Records required to determine eligibility of activity;
  - iii. Records documenting compliance with fair housing and equal opportunity components of the ESG Program;
  - iv. Per 24 CFR 576.500, all records pertaining to each fiscal year of ESG funds must be retained for the greater of 5 years. Copies saved by electronic means, microfilming, photocopying, or similar methods may be substituted for the original records.)
  - v. Financial records as required by 2 CFR Part 200; and
  - vi. Other records necessary to document compliance with this PROVIDER Agreement.

# 14. OTHER REQUIREMENTS FOR SHELTER OPERATIONS

The sub-recipient shall provide the following services:

- a. <u>Adequate meals</u>. The sub-recipient shall provide at least one (1) meal per day or cooking facilities or appliances (refrigerator, stove or microwave, sink) with access to food supplies.
- b. <u>Adequate clothing</u>. The sub-recipient shall provide its participants with regular access to a clothing bank to address clothing needs.
- c. <u>Adequate shelter</u>. Secured and enclosed building, bed, mailing address and locked storage space for participants' use.
- d. <u>Adequate sanitation needs</u>. The sub-recipient shall provide sufficient sanitary facilities that are in proper operating condition. Such facilities must be adequate for personal cleanliness and the disposal of human waste.
- e. <u>Adequate security</u>. The sub-recipient shall submit a security plan to the State describing 24-hour security of the emergency shelter facilities to ensure the health and safety of participants.
- f. <u>Adequate provision or referral for provision of medical and social services care</u>. The sub-recipient shall have procedures in place to address those needs and make necessary referrals to appropriate medical or social services.
- g. <u>Adequate provision for emergencies</u>. The sub-recipient shall maintain procedures that address individual emergencies including, but not limited to, fire, violence and fighting at the shelter. The sub-recipient shall inform and provide the participant with the written policies and procedures regarding emergency procedures.
- h. <u>Adequate child care</u>. The sub-recipient shall establish options to address the child care needs of participants. Available child care options will enable the participant to pursue education, job training, and employment opportunities.
- i. <u>Expectations</u>. All homeless facilities are required to maintain written expectations that are provided to participants upon entry into the facility.

# 15. CoC PARTICIPATION

The subrecipient shall actively participate in their local chapter of the CoC, a homeless community-based planning group, by attending the regularly scheduled County meetings and serving on CoC committees. This is required by the State to ensure eligibility for federal funds.

# 16. COORDINATION OF SERVICES

The subrecipient shall coordinate with the DHS and other agencies/community resources to meet the needs of the target population.

The subrecipient shall participate in their local Coordinated Entry System (CES), CoC, and Point-in-Time Count, assisting in maintaining a current by-name list that includes all sheltered and unsheltered Homeless people, using the VI-SPDAT as determined by their CoC and compliance with all CoC and CES written standards, policies, and procedures.

# 17. HOMELESS MANAGEMENT INFORMATION SYSTEM (HMIS)

- a. The subrecipient shall participate in the Homeless Management Information System (HMIS), Caseworthy Software Application (Caseworthy) which collects client level data for all participants served under the ESG program. The subrecipient shall enter data into the HMIS, Caseworthy for each applicable ESG-funded project and work with the State HPO HMIS Administrator to generate an aggregate report. HMIS data entry shall be in compliance with standards established by the HMIS Data Quality Plan. The subrecipient shall comply with all HMIS, Federal and STATE confidentiality laws and requirements. Final data entry shall be accomplished within ten (10) days after the end of each Contract period or a sooner termination date or as otherwise instructed by the STATE.
- b. Domestic violence subrecipient are prohibited from participating in the HMIS under the Violence Against Women Act of 2005 (VAWA) amendment to the McKinney-Vento Act, incorporated herein by reference. Therefore, such providers shall be required to collect client level data in a comparable database. The software used for the comparable database shall not comingle the domestic violence victim service provider data with the actual HMIS data within the same database. The PROVIDER shall work with the HMIS Administrator to generate an aggregate report. Final data entry into the comparable database shall be accomplished within ten (10) days of the contract end date for inclusion in HUD's CAPER.

BTG HMIS information is available at: https://www.btghawaii.org/

# 18. PERFORMANCE AND EVALUATION REPORT

The sub-recipient is required to work with the State on an on-going basis to identify:

- a. targets for successful program performance
- b. a timetable for program performance and milestones
- c. strategies and data systems to measure progress towards performance targets
- d. areas of non-compliance
- e. strategies to remedy non-compliance

The HEARTH Act of 2009 amended and reauthorized the McKinney-Vento Homeless Assistance Act with substantial changes, including an increase in emphasis on system performance. These performance criteria encourage CoCs in coordination with ESG Program recipients and all other homeless assistance stakeholders in the community, to regularly measure their progress in meeting the needs of people experiencing homelessness in their community and to report this progress to HUD. Specifically, BTG's System Performance Measures (SPM) assess the CoC's performance relative to the following:

- Measure 1: Length of Time Persons Remain Homeless (LOT)
- Measure 2: The Extent to which Persons who Exit Homelessness to Permanent Housing Destinations Return to Homelessness
- Measure 3: Number of Homeless Persons served: (a) in the most Recent Point-In-Time Count; (b) Annually
- Measure 4: Employment and Income Growth for Homeless Persons in CoC-funded
   Projects
- Measure 5: Persons Homeless for the First Time within the Last 2 Years
- Measure 7: Successful Placement from Street Outreach and Successful Placement in or Retention of Permanent Housing
- SPM Data Quality thresholds for Exit Destination Error

# 19. CLOSURE

After the completion of the agency's project year, subrecipients are required to enter their accomplishment data in the SAGE HMIS Reporting Repository (SAGE). After review and approval by the State, the data is aggregated and narratives are compiled for inclusion in the CAPER. HPO also runs various reports through the Integrated Disbursement and Information System (IDIS), a nationwide database for expenditure and activity reporting. HPO then enters information into IDIS to generate a draft report (which includes data, narratives and IDIS reports). HHFDC incorporates the ESG, HOPWA and HOME reports into the draft CAPER then publishes a statewide Notice of Public Comment in regional newspapers. An electronic copy of the draft CAPER is posted on the HHFDC website and hard copies are made available at County offices and libraries for a 15 day public comment period. At the end of the comment period, HHFDC responds to any comments and finalizes the CAPER; submits a "For Information" document to the Executive Director; presents it to the HHFDC Board; then submits the approved CAPER to HUD by the designated deadline.

# 20. ESG COVID19 (CV)

The Coronavirus Aid, Relief and Economic Security Act (CARES) Act was approved to provide monetary relief in the wake of the global pandemic. The U.S. Department of Housing and Urban Development (HUD) has allocated the amount totaling \$10,034,673.00 to the STATE, as authorized by the CARES Act, Public Law 116-136. This includes funding for rapid rehousing, housing counseling and rental deposit assistance, temporary emergency shelters (i.e. hotels and motels), street outreach, and Homeless Management Information System (HMIS). The Department of Human Services (DHS), Homeless Programs Office (HPO) applied for ESG CV funding on behalf of the Continuum of Care (CoC) for the counties of Hawaii, Kauai and Maui.

- a. DHS/HPO, has contracted with Ka Mana O Na Helu (KMNH) to administer the ESG CV program award. As the program administrator, KMNH is responsible for overseeing a coalition of agencies providing street outreach, emergency shelter, rapid re-housing and homeless prevention services for the STATE to address the urgent needs presented by the COVID19 pandemic. (In program year 2020, due to the unforeseen onset of the COVID19 pandemic, the Annual Action Plan was amended to include Essential Services under the Shelter component, and added Street Outreach as a new component allowable through the ESG CARES Act programs.)
- b. Sub-subrecipients have been selected by DHS/HPO through flexibilities and conditions provided by the CARES Act, in which recipients may deviate from the applicable procurement standards when using the funds to procure goods and services to prevent, prepare for, and respond to the coronavirus.
- c. Grant funds must be used for eligible activities as described in ESG regulations 24 CFR Part 576, and as further defined in applicable supplementary <u>COVID19</u> regulatory waivers granted by HUD.
- d. ESG CV funds shall be utilized to prevent, prepare for, and respond to the coronavirus pandemic (COVID19) among individuals and families who are homeless or receiving homeless assistance; and to support additional homeless assistance and homelessness prevention activities to mitigate the impacts of COVID-19.
- e. Target population includes COVID19 affected households who are unsheltered or sheltered homeless, or those households at-risk of becoming homeless. Within this target population, eligible households must be able to demonstrate a financial and emergency need which is a direct barrier toward obtaining or retaining permanent housing, or acquiring essential supplies and services to reduce the spread of COVID19.
- f. ESG CV funds are not subject to the spending cap on emergency shelter and outreach under 24 CFR 576.100(b)(1).